



# Breaking Barriers and Closing Gaps for MIS and MM by Understanding Vertex Survival Probability

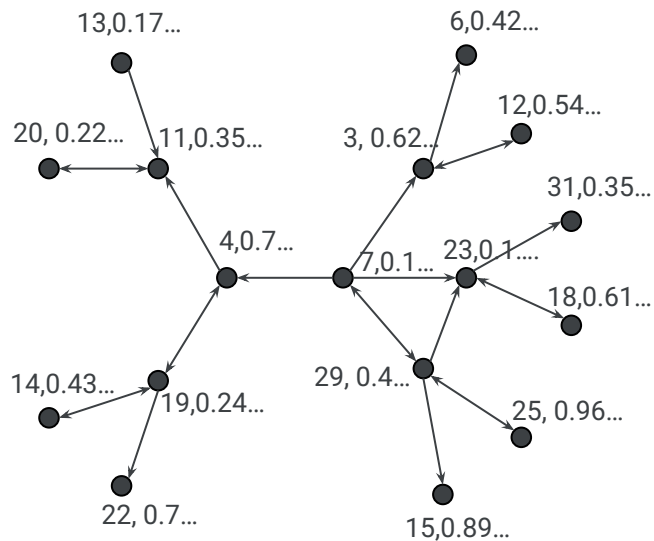


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INSAIT, Google Research



# Overview

# Distributed Computing: The LOCAL Model

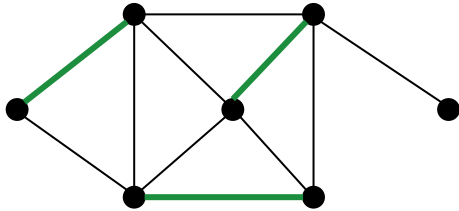


arrows: direction of message flow

- (Randomized) LOCAL model
  - $n$  vertex graph
  - Every vertex given two things
    - $O(\log n)$  bit ID
      - Unique
    - Infinite tape of randomness
      - Tapes are independent  $[0,1]$
  - Synchronous rounds
  - Vertex sends message to each neighbor
  - Terminate after  $T$  rounds and output solution
  - **Question:** How many rounds required?
- Sidenote: (Randomized) CONGEST model
  - Same as LOCAL, but messages are  $O(\log n)$  bits

# Today's Problems: MM and MIS

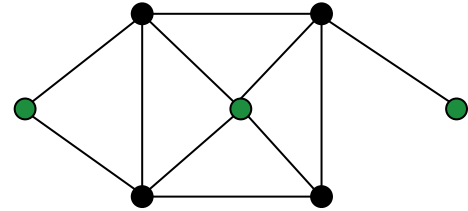
## Maximal Matching (MM)



(take line graph)

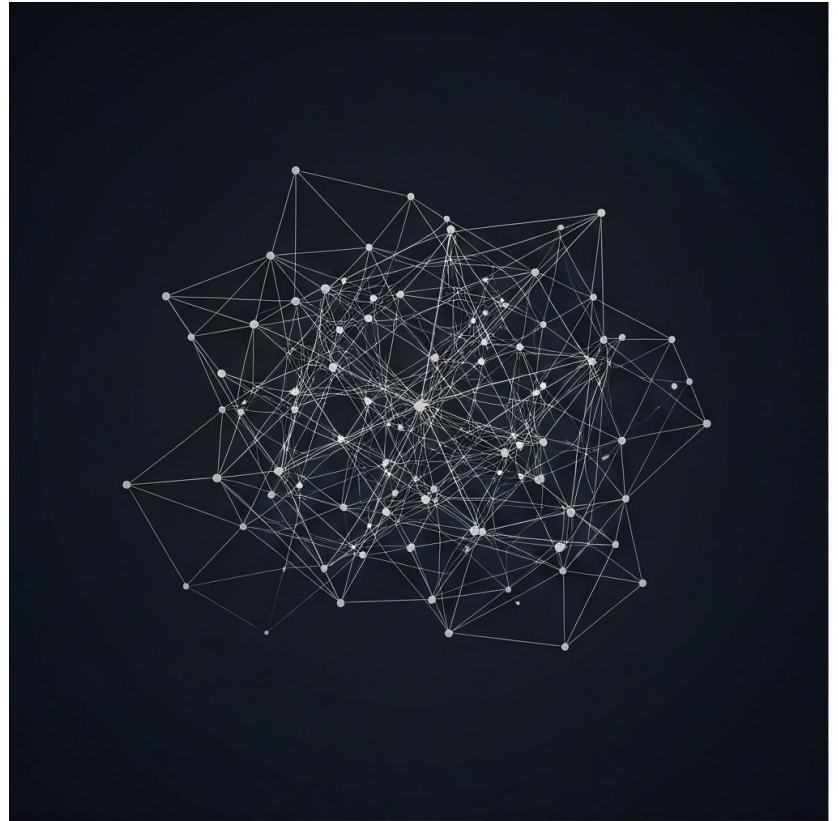
- Given: undirected graph
- Matching
  - Edges with no shared endpoints
- Maximal
  - every vertex matched/no addable edge
- Goal: find matching that is maximal

## Maximal Independent Set (MIS)



- Given: undirected graph
- Independent set (IS)
  - Nonadjacent vertices
- Maximal
  - Every vertex in or adjacent to IS
- Goal: find maximal independent set

# MIS



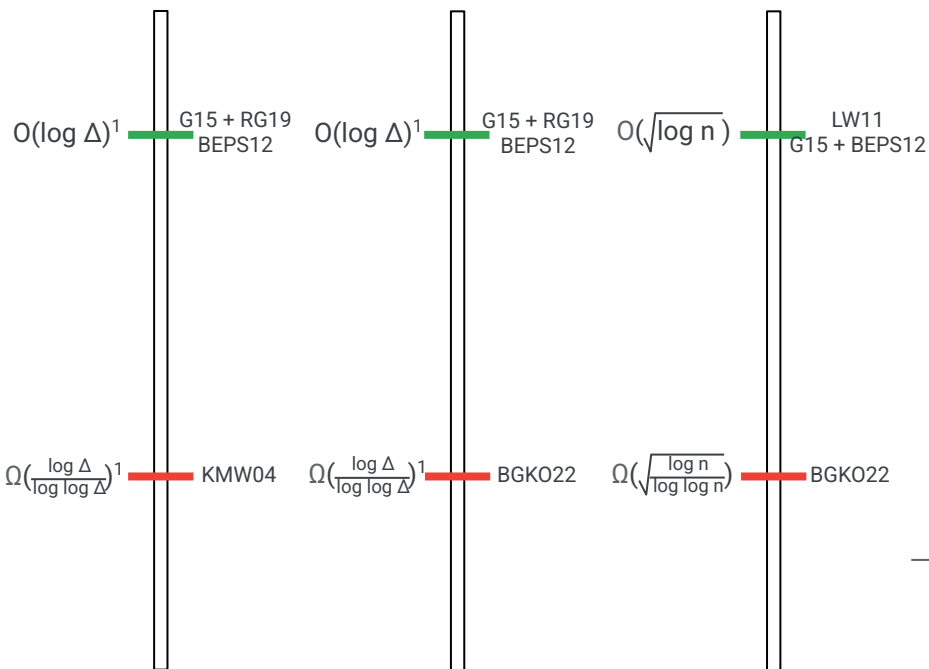
# MIS: Pre-2025 Upper and Lower Bounds

MM and MIS  
General graphs  
Function of  $\Delta$   
Randomized

MIS only  
Constant Girth  
Function of  $\Delta$   
Randomized

MIS only  
Trees  
Function of  $n$   
Randomized

$n$ : number of vertices,  $\Delta$ : max degree



- $O(\log n)$  randomized algorithm (Luby86, ABI86)
  - No improvement as function of  $n$  in 40 years
- $O(\log \Delta + \text{polyloglog } n)$  randomized (G15 + RG19)
  - Followed  $O(\log^2 \Delta + \text{polyloglog } n)$  (BEPS12)
- $O(\sqrt{\log n})$  randomized (trees only) (LW11, G15 + BEPS12)
- $O(\log \alpha + \sqrt{\log n})$  randomized (G15 + RG19)
  - $\alpha$  is arboricity
  - $g$  girth  $\Rightarrow n^{1/g}$  arboricity
    - Superconstant girth  $\Rightarrow o(\log n)$  rounds
    - What about constant girth?
- $O(\log^{5/3} n)$  deterministic (GG24)
- $\Omega\left(\min\left(\frac{\log \Delta}{\log \log \Delta}, \sqrt{\frac{\log n}{\log \log n}}\right)\right)$  randomized (KMW04)
  - Also holds for MIS on trees (BGK022)

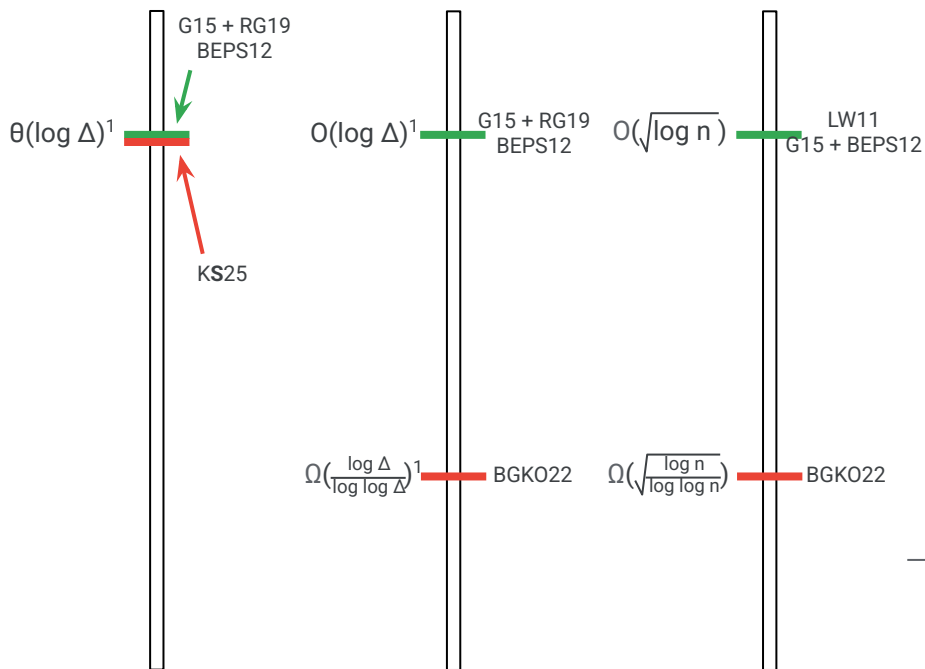
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  - Also holds for MIS on trees (BGK022)
- $\Omega(\min(\log \Delta, \sqrt{\log n}))$  randomized (KS25)

# Our Results (Randomized CONGEST Model)

1.  $O\left(\frac{\log \Delta}{\log(\log^* \Delta)}\right)$  round MIS on girth 7 graphs
2.  $O\left(\sqrt{\frac{\log n}{\log(\log^* n)}}\right)$  round MIS on trees

- These results do not help for MM
  - Line graph has short cycles
  - Fundamental by KS25 lower bound
- Girth result beats Luby for **constant** girth, not just superconstant girth
- Tree result **breaks conjecture** in book by Barenboim and Elkin

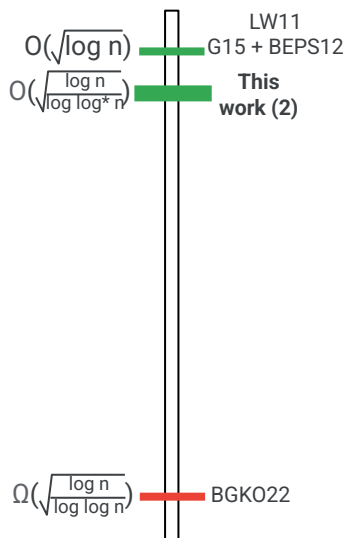
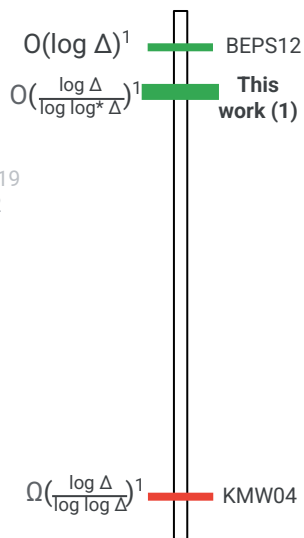
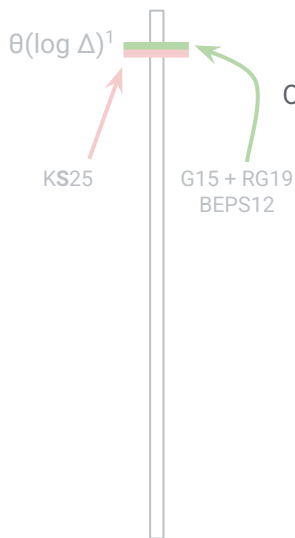
# Implications of Our Results

Results: MIS on (1) girth  $\geq \theta(1)$  in  $O(\frac{\log \Delta}{\log \log^* \Delta})$  rounds (2) trees in  $O(\sqrt{\frac{\log n}{\log \log^* n}})$  rounds

MM, MIS, VC  
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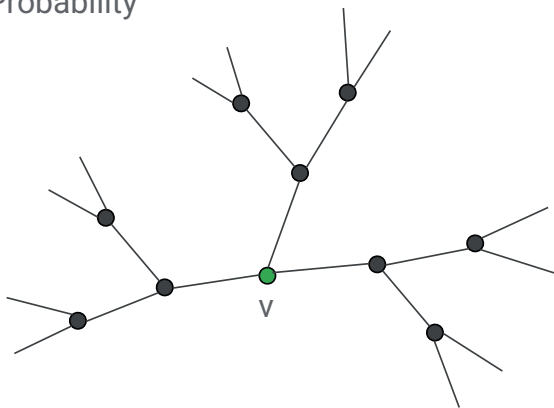
1. Breaking Barriers
  - a. (1) breaks  $O(\log n)$  barrier for constant-girth graphs
    - i. Only known for superconstant girth previously
  - b. (2) breaks conjecture 11.15 in BE book
2. Separations
  - a. MM strictly harder than MIS on trees
    - i. Opposite is true for general graphs!
  - b. MIS on trees easier than MIS on general graphs
    - i. Trees strictly easier than general graphs!
3. Exciting Open Problem
  - a. We don't achieve optimality
  - b. Question: What's the right complexity?
    - i. Has to be a weird number!

# The Key Question

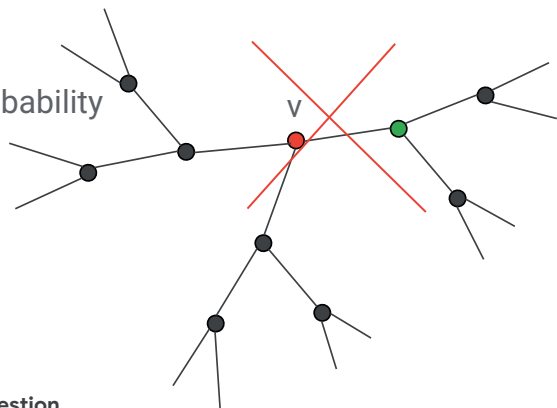


# A Tale of Two Probabilities

## Inclusion Probability

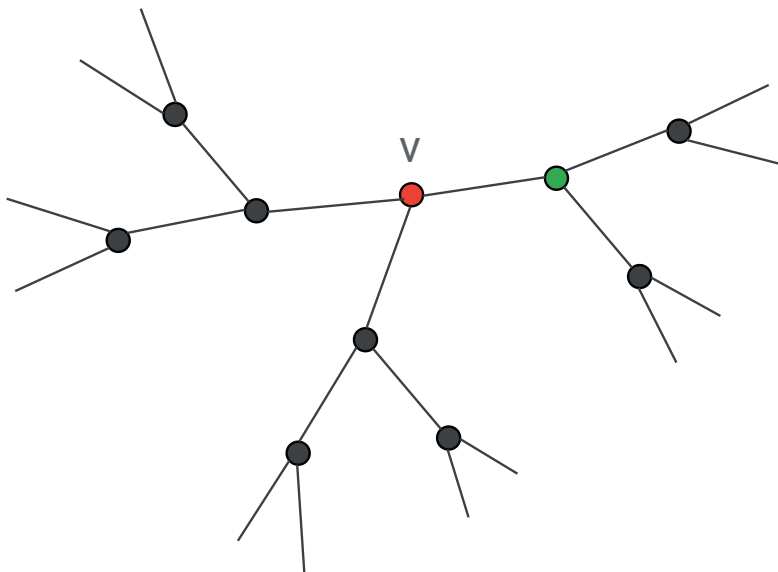


## Survival Probability



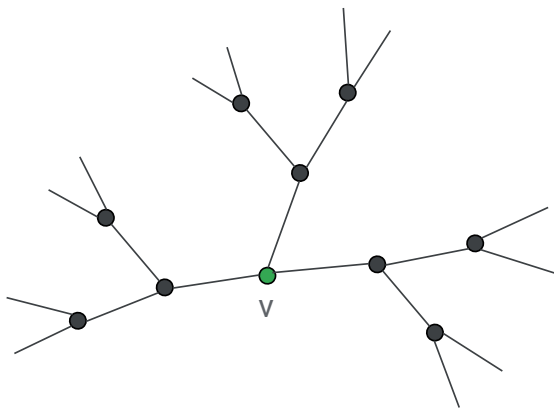
- Will think about 1 and 2-round algorithms
  - Ex: Luby repeats 1-round algo  $O(\log n)$  times
- Simplifications
  - Girth  $\Omega(1)$  + 2-round  $\Rightarrow$  can focus on trees
  - G15 algorithm  $\Rightarrow$  can focus on regular graphs
  - Independent set (IS) only, not MIS
    - Repetition yields MIS
  - Focus on  $\Delta$ -regular trees
    - All vertices behave the same
- Pick arbitrary vertex  $v$
- **Inclusion probability**
  - Probability that  $v$  is included in IS
- **Survival probability**
  - Probability that  $v$  remains after picking IS

# The Implications of High Inclusion Probability



- Focus on  $\Delta$ -regular trees
- Pick arbitrary vertex  $v$
- **Inclusion probability (IP)**
  - Probability that  $v$  is included in IS
- **Survival probability (SP)**
  - Probability that  $v$  remains after picking IS
- High IP  $\Rightarrow$  Low SP
  - (Roughly)  $IP = (k/\Delta) \Rightarrow SP = (1 - k/\Delta)^\Delta \approx e^{-k}$
  - Tree structure yields independence
- Low SP  $\Rightarrow$  fast algorithm
  - Roughly  $(\log \Delta)/k$  rounds to shatter (regular)
  - $(\log \Delta)/(\log k)$  rounds for general graphs (G15)
- Goal: Find  **$O(1)$** -round algo **with high IP**

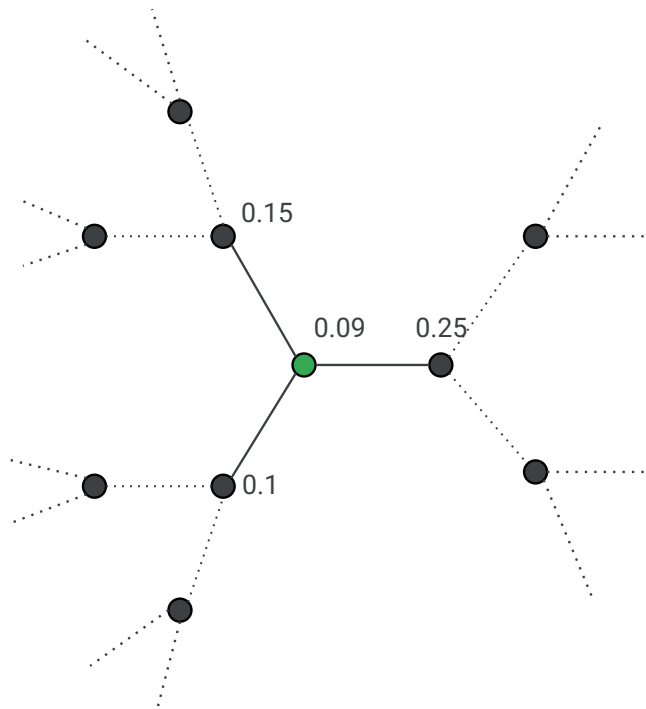
# The Key Subproblem



- Focus on  $\Delta$ -regular trees
- Pick arbitrary vertex  $v$
- **Inclusion probability (IP)**
  - Probability that  $v$  is included in IS
- High IP  $\Rightarrow$  fast algorithm
- Goal: Find  $O(1)$ -round algo with high IP

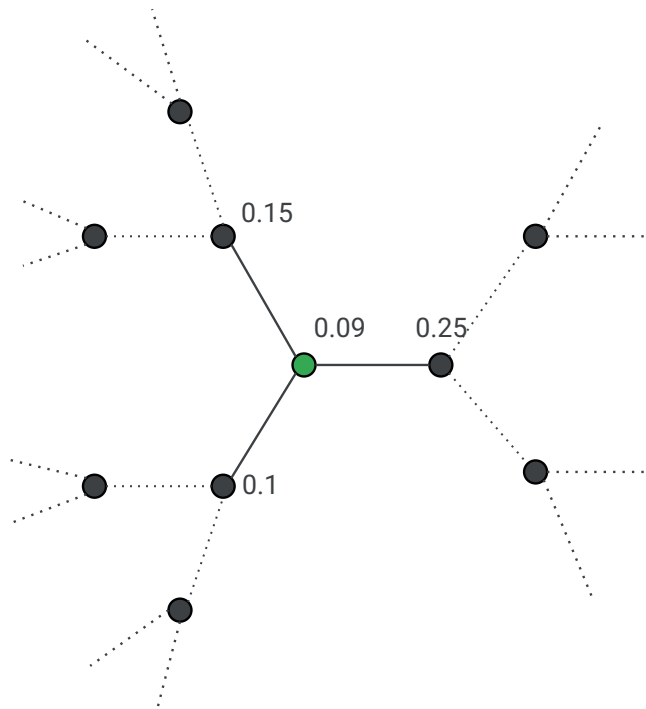
What is the maximum inclusion probability (IP)  
of any  $r$ -round IS algo?

# Warmup: 1 Round



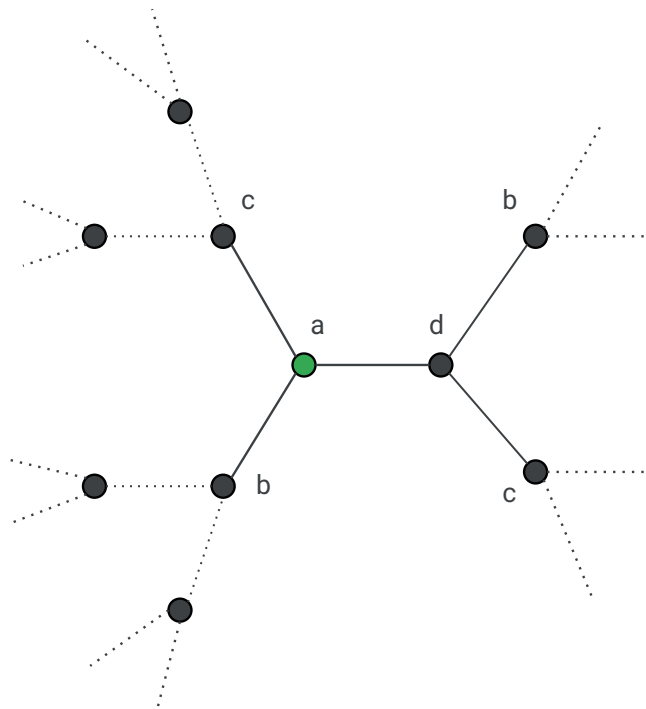
- Focus on  **$\Delta$ -regular trees**
- Pick arbitrary vertex  $v$
- **Inclusion probability (IP)**
  - Probability that  $v$  is included in IS
- Max IP of any 1-round algorithm for IS?

# Warmup: 1 Round



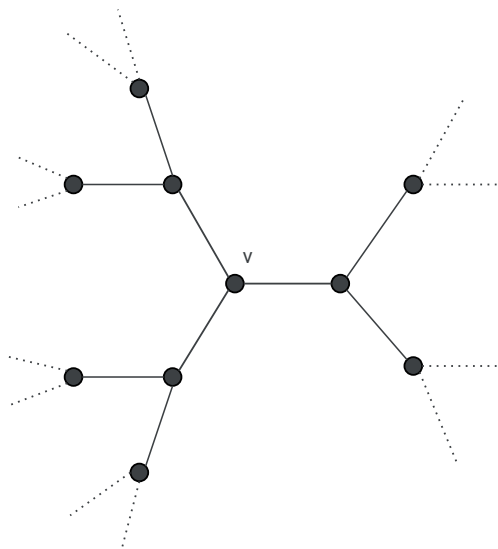
- Focus on  **$\Delta$ -regular trees**
- Pick arbitrary vertex  $v$
- **Inclusion probability (IP)**
  - Probability that  $v$  is included in IS
- Max IP of any 1-round algorithm for IS?
- Answer:  $\frac{1}{\Delta+1}$
- Algorithm: Ranking (Luby86, ABI86)
  - Each vertex picks random number
  - Joins IS if smaller than neighbors
  - $v$  has minimum rank with desired probability

# 1 Round Hardness



- Focus on  **$\Delta$ -regular trees**
- Pick arbitrary vertex  $v$
- **Inclusion probability (IP)**
  - Probability that  $v$  is included in IS
- Max IP of any 1-round algorithm for IS?
- Answer:  $\frac{1}{\Delta+1}$
- Algorithm: Ranking (Luby86, ABI86)
  - $v$  has minimum rank with desired probability
- **Hardness?**
  - Yes, nothing higher than  $\frac{1}{\Delta+1}$
  - For any set of  $\Delta + 1$  numbers, one center can accept
    - Any 2 such 1-neighborhoods can be adjacent
    - $(\Delta + 1)$ -tuples are mutually exclusive

# What About 2 Rounds?

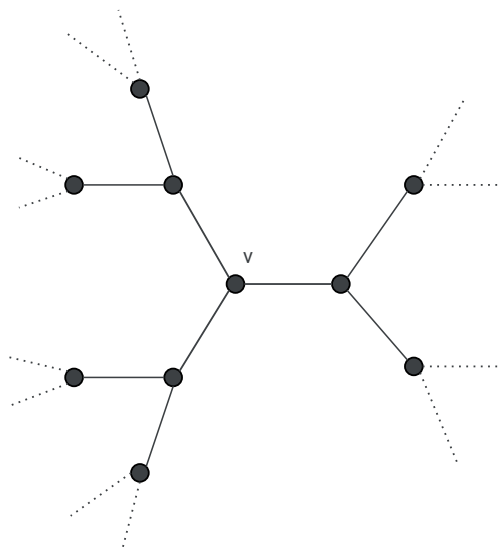


- Focus on  $\Delta$ -regular trees
- Pick arbitrary vertex  $v$
- Inclusion probability (IP)
  - Probability that  $v$  is included in IS
- Max IP of any 2-round algorithm for IS?
- One might guess roughly  $\frac{2}{\Delta}$ , but this is wrong!
- 2 rounds ends up being much better than 1 round 2 times

Key Lemma: There is a **2-round** algorithm for IS with inclusion probability  $\Omega\left(\frac{\log^* \Delta}{\Delta}\right)$ .

# Sketching the Rest

Key Lemma: There is a **2-round** algorithm for IS with inclusion probability  $\Omega\left(\frac{\log^* \Delta}{\Delta}\right)$  on  $\Delta$ -ary trees.

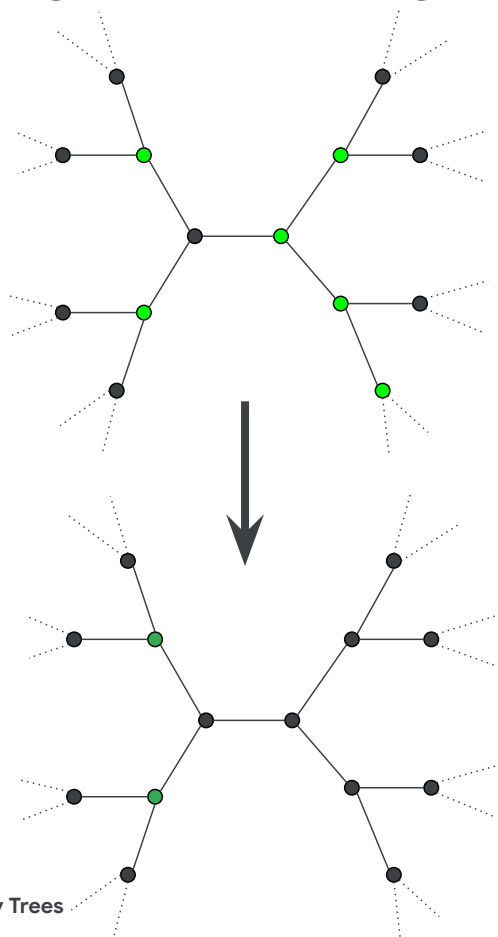


- Prove generalization to irregular graphs, following G15
  - Vertex v has “desire level”  $p(v)$ 
    - Changes over rounds
    - v joins with prob  $p(v)$  if low effective degree
    - We increase this to  $(\log^* \Delta)^{1-\epsilon} p(v)$
  - G15 analysis  $\Rightarrow O\left(\frac{\log \Delta}{\log \log^* \Delta} + \text{polyloglog } n\right)$  rounds
    - With some minor changes

# 2 Rounds in $\Delta$ -ary trees

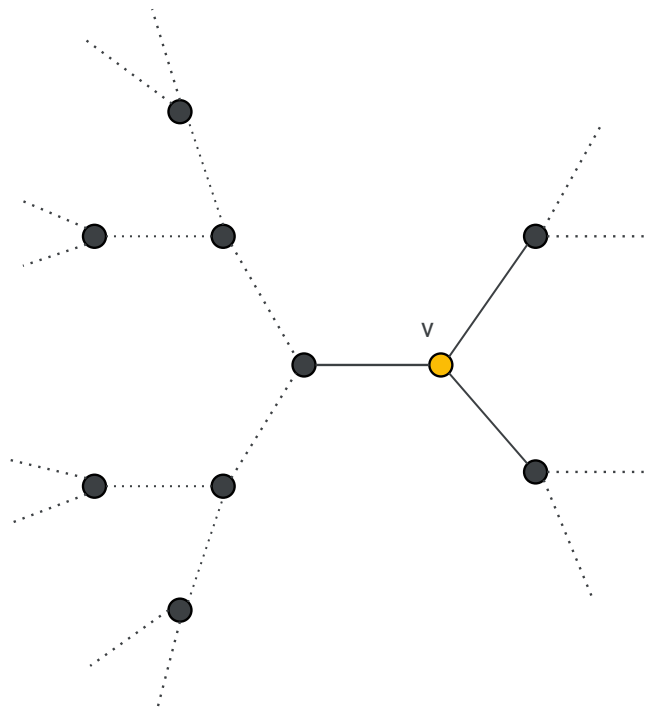


# Marking 1-Round Algorithm (Luby86, ABI86)



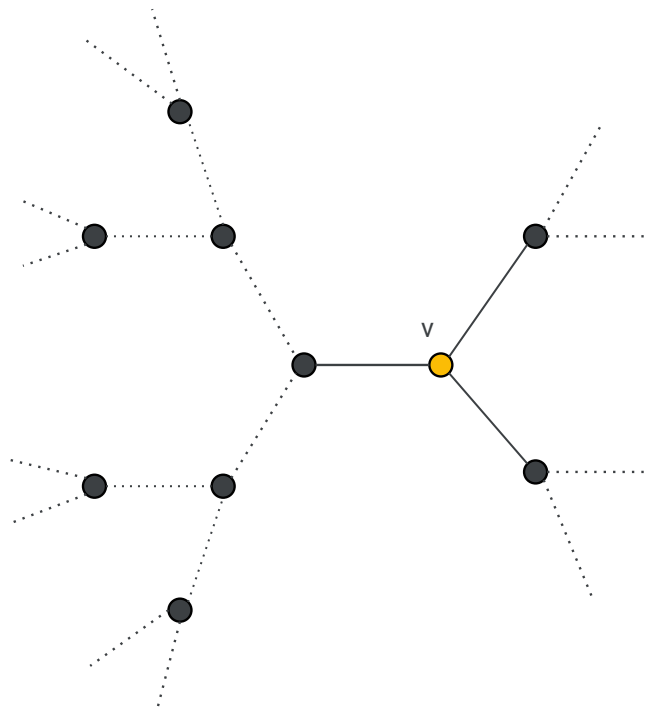
- Focus on  $\Delta$ -regular trees
- Inclusion probability (IP)
  - Probability that arbitrary  $v$  is included in IS
- Mark  $v$  with probability  $p = \frac{1}{\Delta}$  (or sample  $[0,1]$ , accept  $< \frac{1}{\Delta}$ )
- Include a vertex  $v$  iff both
  - it is marked
  - no neighbor is
- Always produces independent set (IS)
- $IP = p (1 - p)^\Delta = \theta(\frac{1}{\Delta})$
- 1-round because  $v$  only needs to know neighbor marks

# Key Concept: i-candidates



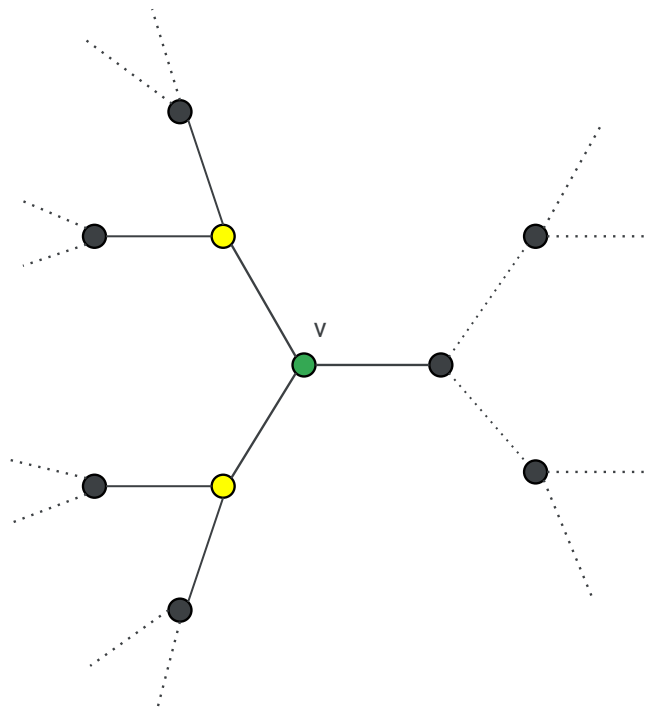
- Focus on  $\Delta$ -regular trees
- Inclusion probability (IP)
  - Probability that arbitrary  $v$  is included in IS
- Sample  $r_v$  uniformly, independently from  $[0,1]$
- ~~Include a vertex~~  $v$  is an *i-candidate* iff both
  - ~~it is marked~~  $\frac{9a_{i+1}}{10\Delta} < r_v < \frac{a_{i+1}}{\Delta}$
  - ~~no neighbor is~~  $r_u > \frac{a_i}{\Delta}$  for any neighbor  $u$  of  $v$
  - Intuition:  $v$  is candidate if no neighbor is *much* lower
    - Tiebreaking only necessary if candidate
- $a_i$ : rapidly increasing sequence (set next slide)
- Candidacy determinable in 1-round
- Key Fact: different types of candidates not adjacent!

# Candidacy Probability for i-candidates



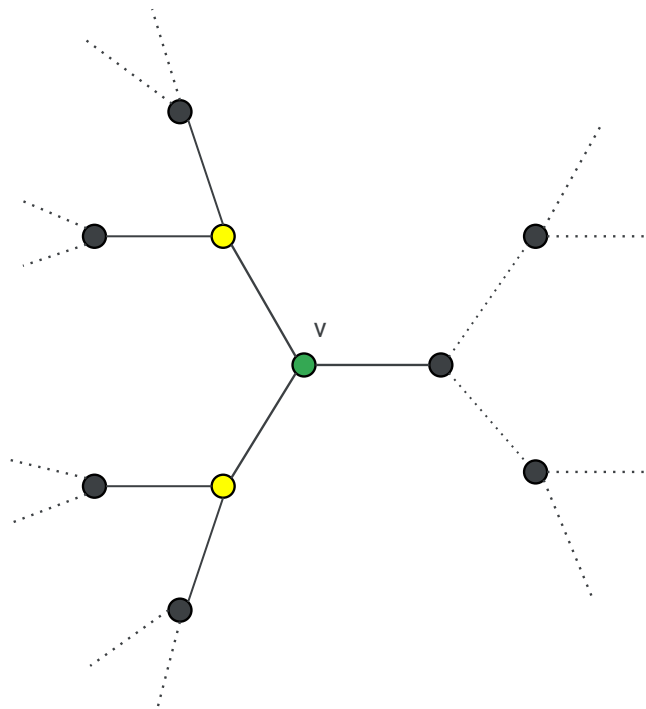
- Focus on  $\Delta$ -regular trees
- **i-Candidacy Probability (i-CP)**
  - Probability that arbitrary  $v$  is an i-candidate
- Sample  $r_v$  uniformly, independently from  $[0,1]$
- $v$  is an *i-candidate* iff both
  - $\frac{9a_{i+1}}{10\Delta} < r_v < \frac{a_{i+1}}{\Delta}$
  - $r_u > \frac{a_i}{\Delta}$  for any neighbor  $u$  of  $v$
- $i\text{-CP} = \left(\frac{a_{i+1}}{\Delta} - \frac{9a_{i+1}}{10\Delta}\right)\left(1 - \frac{a_i}{\Delta}\right)^\Delta \approx \frac{a_{i+1}}{10\Delta}e^{-a_i} = \frac{1}{10\Delta}$ 
  - Set  $a_{i+1} = e^{a_i}$ 
    - Rapidly increasing indeed
    - This is where  $\log^* \Delta$  comes from

# Inclusion Probability for i-candidates



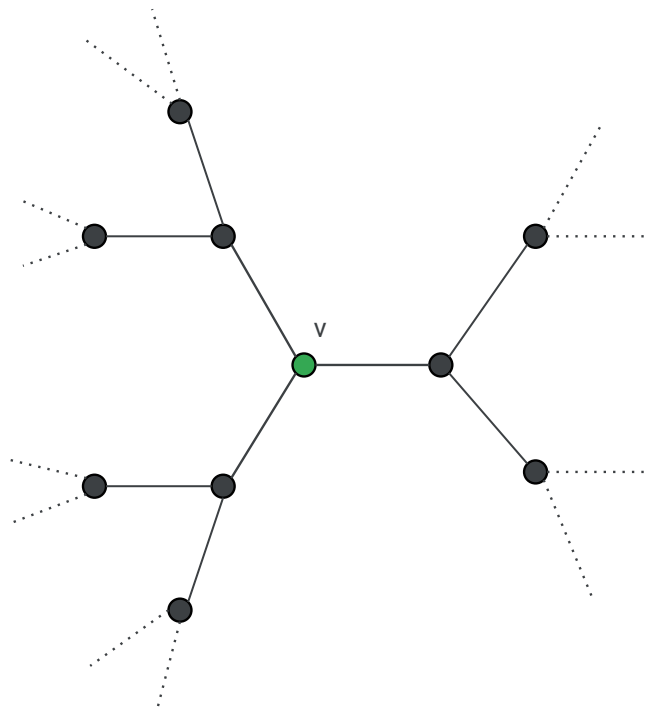
- Focus on  $\Delta$ -regular trees
- **i-Inclusion Probability (i-IP)**
  - Probability that arbitrary  $v$  is an i-candidate **and** in IS
- $v$  is an *i-candidate* iff both
  - $\frac{9a_{i+1}}{10\Delta} < r_v < \frac{a_{i+1}}{\Delta}$
  - $r_u > \frac{a_i}{\Delta}$  for any neighbor  $u$  of  $v$
- $i\text{-CP} \approx \frac{1}{10\Delta}$
- Final Algorithm:  $v$  in IS iff
  - $v$  is a candidate
  - no neighbor is
- $\Pr[\text{in IS} \mid \text{i-candidate}] = \Pr[\text{no neighbor is candidate} \mid \text{i-can}]$ 
  - $= \Pr[\text{no neighbor is i-can} \mid \text{i-can}]$
  - Union bound  $\Rightarrow \geq 1 - \Delta(\frac{1}{10\Delta}) > \frac{1}{2}$ 
    - Actual argument deals with dependencies
- $\Rightarrow i\text{-IP} = \Omega(\frac{1}{\Delta})$

# Overall Inclusion Probability



- Focus on  $\Delta$ -regular trees
- **Inclusion Probability (IP)**
  - Probability that arbitrary  $v$  is in IS
  - $i$ -IP :  $v$  in IS **and**  $v$  is  $i$ -candidate
- $v$  is an  $i$ -candidate iff both
  - $\frac{9a_{i+1}}{10\Delta} < r_v < \frac{a_{i+1}}{\Delta}$
  - $r_u > \frac{a_i}{\Delta}$  for any neighbor  $u$  of  $v$
- Final Algorithm:  $v$  in IS iff
  - $v$  is a candidate
  - no neighbor is
- $i$ -IP =  $\Omega\left(\frac{1}{\Delta}\right)$  for any  $i$
- $v$  at most one type of candidate  $\Rightarrow$  IP =  $\Omega\left(\frac{\log^* \Delta}{\Delta}\right)$  ✓
- Since there are  $> \log^* \Delta$  buckets
- 2-rounds

# 2-Round Summary



- Focus on  $\Delta$ -regular trees
- Inclusion Probability (IP)
  - Probability that arbitrary  $v$  is in IS
- Found 2-round algo for IS with inclusion probability  $\Omega(\frac{\log^* \Delta}{\Delta})$
- Starting point: marking version of Luby86, ABI86
- Introduce asymmetry:
  - Mark center with higher chance than neighbors
  - Not able to add to IS immediately, but is a candidate
  - Tiebreak using 2-neighborhood
- Higher Inclusion Probability  $\Rightarrow$  **faster degree reduction**

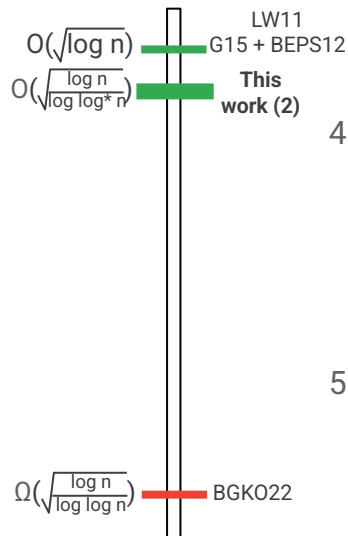
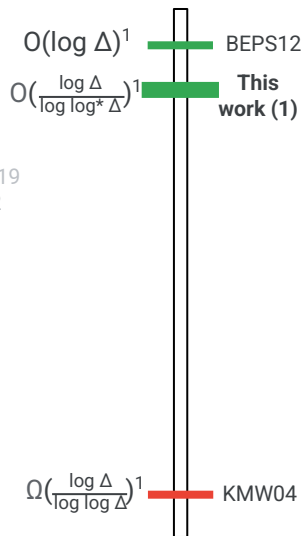
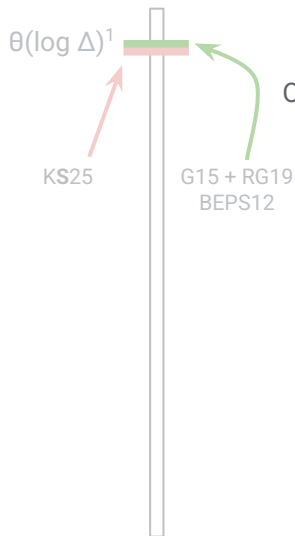
# MIS Summary

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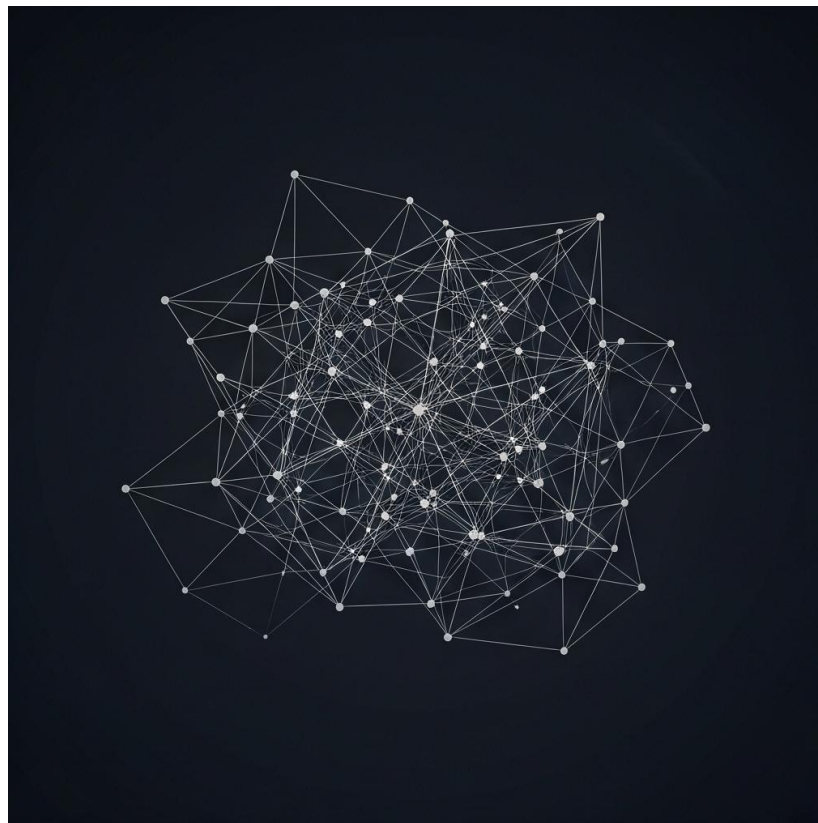
MIS only  
Trees  
Function of  $n$   
Randomized



1. Breaking some barriers for MIS
2. Establishing some new separations for MIS and MM
3. Techniques:
  - a. New algo followed from surprising 2-round marking algo
  - b. Plugs into G15 with minor modifications
4. 2-round marking algo:
  - a. Instead of marking, then selecting IS nodes,
  - b. Mark, then select candidates, then select IS nodes
  - c. Candidate iff no neighbor is much lower (clear winner)
  - d. Tiebreak only amongst candidates of same type
5. Open Questions
  - a. Beat  $O(\log n)$  for general graphs?
  - b. Improve  $\log^*$  dependence to match hardness?
    - i. Or is  $\log^*$  dependence optimal??

Green tick: algorithm. Red tick: lower bound.  
<sup>1</sup>Functions of other params left out for simplicity.

MM



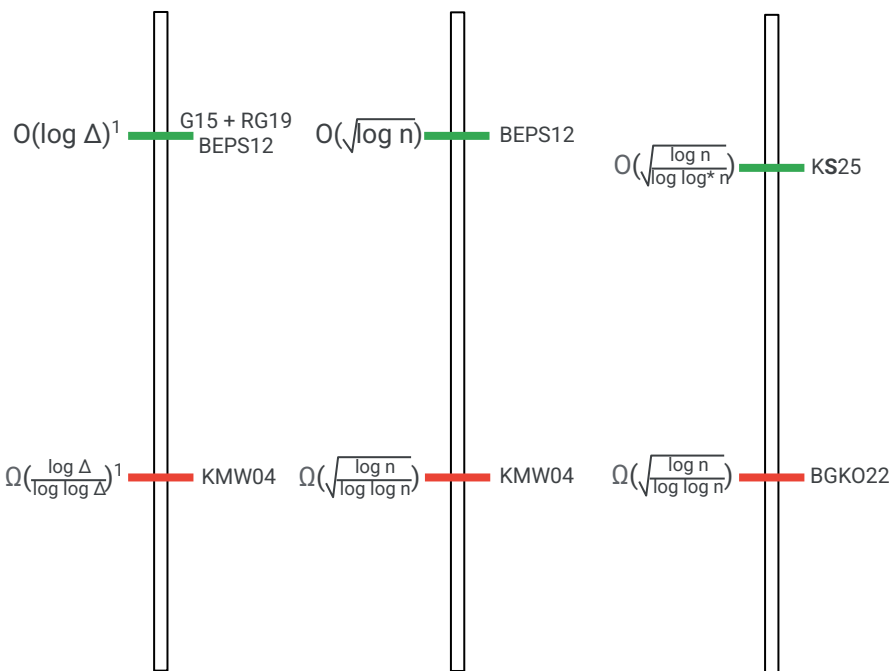
# MM and MIS: Algorithms and Lower Bounds

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- $O(\sqrt{\log n})$  randomized (trees only) (LW11, G15 + BEPS12)
- $O(\sqrt{\frac{\log n}{\log \log^* n}})$  randomized (MIS on trees only) (KS25)
- $O(\log^{5/3} n)$  deterministic (GG24)

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- $\Omega(\min(\frac{\log \Delta}{\log \log \Delta}, \sqrt{\frac{\log n}{\log \log n}}))$  randomized (KMW04)
  - Also holds for MIS on trees (BGK022)
  - $\Omega(\min(\log \Delta, \sqrt{\log n}))$  claimed (KMW10)
- $\Omega(\min(\Delta, \frac{\log \log n}{\log \log \log n}))$  randomized (BBHORS19)
- $\Omega(\min(\Delta, \frac{\log n}{\log \log n}))$  deterministic (BBHORS19)

# Lower Bounds: The KMW Bound (KMW04, CL20)

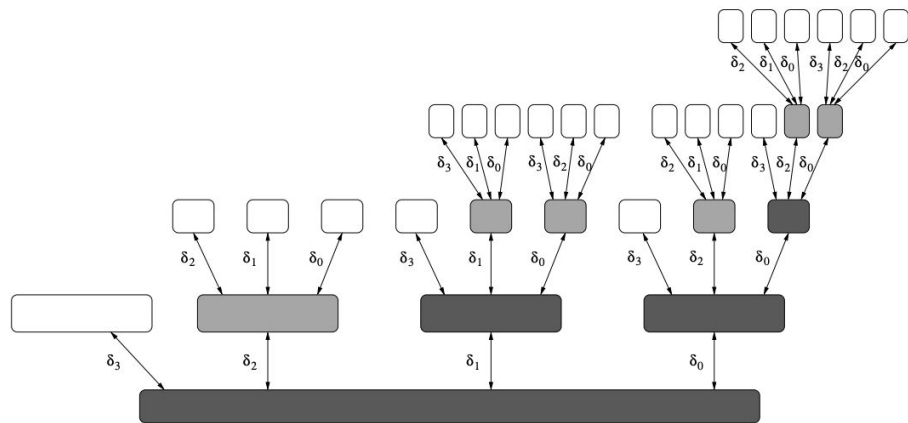
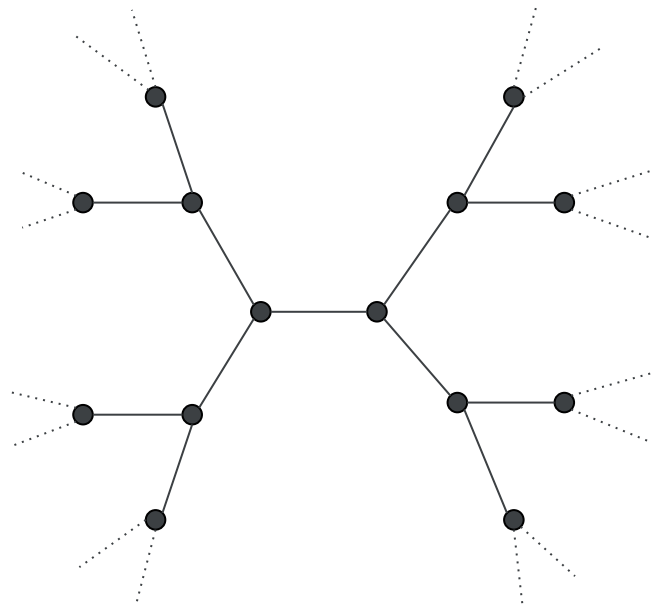


Image credit: KMW04

- $\Omega(\min(\frac{\log \Delta}{\log \log \Delta}, \sqrt{\frac{\log n}{\log \log n}}))$  randomized (KMW04)
  - Applies against  $O(1)$ -apx vertex cover (VC)
    - Also MM and MIS via reductions
- Technique: Indistinguishability
  - Let  $r = \Omega(\min(\frac{\log \Delta}{\log \log \Delta}, \sqrt{\frac{\log n}{\log \log n}}))$
  - Create graph with two sets of vertices  $X, Y$ 
    - $X, Y$  have identical  $r$ -neighborhoods
      - Local property
    - Identical behavior causes large VC
      - Global property => contradiction
- Takeaways
  - Shows hardness for MM on **irregular trees**
  - Not improvable
    - $O(1)$ -apx VC in  $O(\frac{\log \Delta}{\log \log \Delta})$  time (BCS16)

# Lower Bounds: Round Elimination (L92, BFHKLRSU15, BBHORS19)



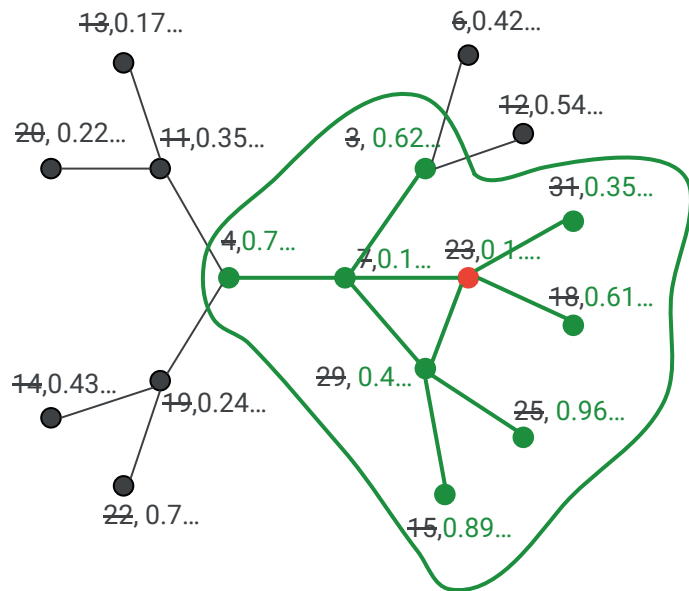
- $\Omega(\min(\Delta, \frac{\log \log n}{\log \log \log n}))$  randomized (BBHORS19)
  - Tight dependence on  $\Delta$
  - FOCS19 Best Paper Award, JACM
- Technique
  - Suppose  $r$ -round algo exists for  $P_0 = \text{MM}$
  - Implies  $(r-1)$ -round algo for  $P_1$ , etc.
  - ... Implies 0-round algo for  $P_r \Rightarrow$  contradiction
- Takeaways
  - Shows hardness for **regular trees**
  - More fine-grained than indistinguishability
  - Long line of excellent follow-up work
    - None break  $\Omega(\log \log n)$  barrier
- Summary
  - Improve KMW04 with round elimination?
  - Must break the  $\Omega(\log \log n)$  barrier

# Our Result

Result: Any  $C \min(\log \Delta, \sqrt{\log n})$ -round (randomized) LOCAL algorithm outputs a **maximal matching** with probability at **most  $\Delta^{-C'}$** , even on **regular trees**.

- Applies to MIS on general graphs as well
  - By line graph reduction
- $C = 10^{-10}$ ,  $C' = 1/1000$
- Simplified and applied to other problems by BCA025

# Function View of the LOCAL model



- (Randomized) LOCAL model
  - $n$  vertex graph
  - Every vertex given two things
    - $O(\log n)$  bit ID
    - Unique
    - Infinite tape of randomness
      - Tapes are independent  $[0,1]$
  - Algorithm: a function  $f$ 
    - Input:  $r$ -neighborhood of a vertex
    - Output: portion of solution near vertex
    - **Question:** how small can  $r$  be?
- Key: **no unique IDs required**
  - Generate using randomness w.h.p.
- Useful view for hardness results
- LOCAL hardness implies CONGEST hardness Google

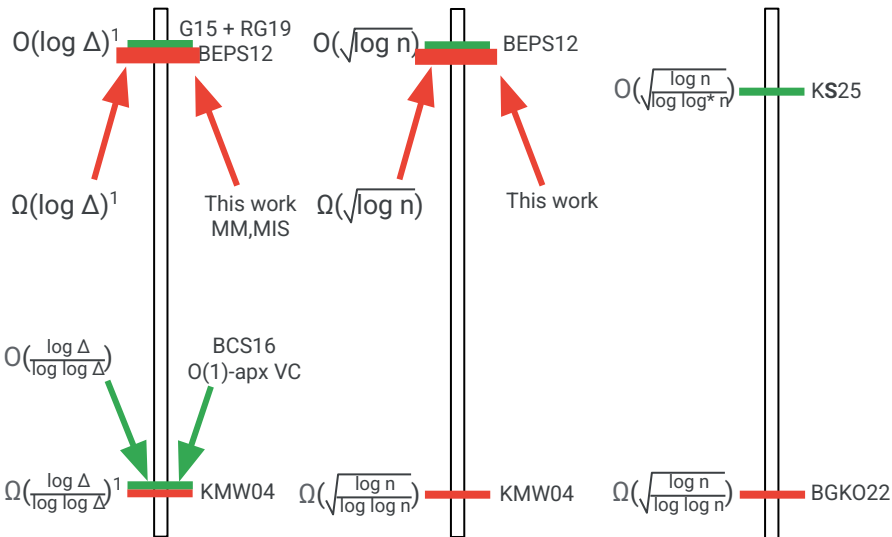
# Implications of Our Result

Result: MM on regular trees requires  $\Omega(\min(\log \Delta, \sqrt{\log n}))$  rounds.

MM, MIS, VC  
General graphs  
Function of  $\Delta$   
Randomized

MM only  
Trees  
Function of  $n$   
Randomized

MIS only  
Trees  
Function of  $n$   
Randomized



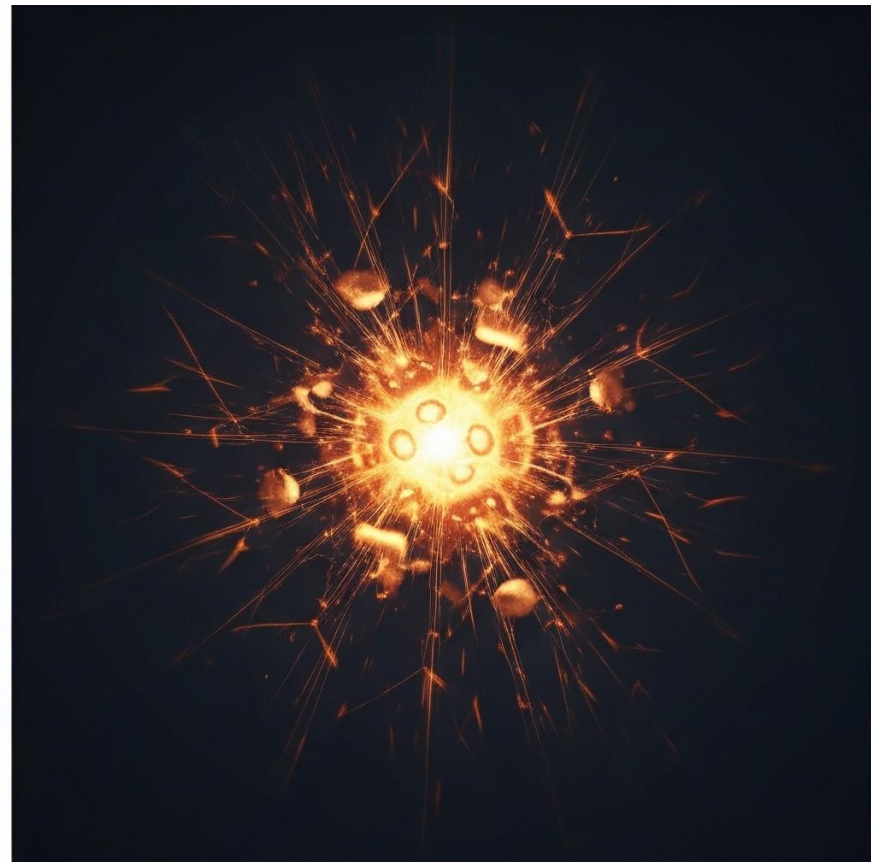
3. MM

Green tick: algorithm. Red tick: lower bound.

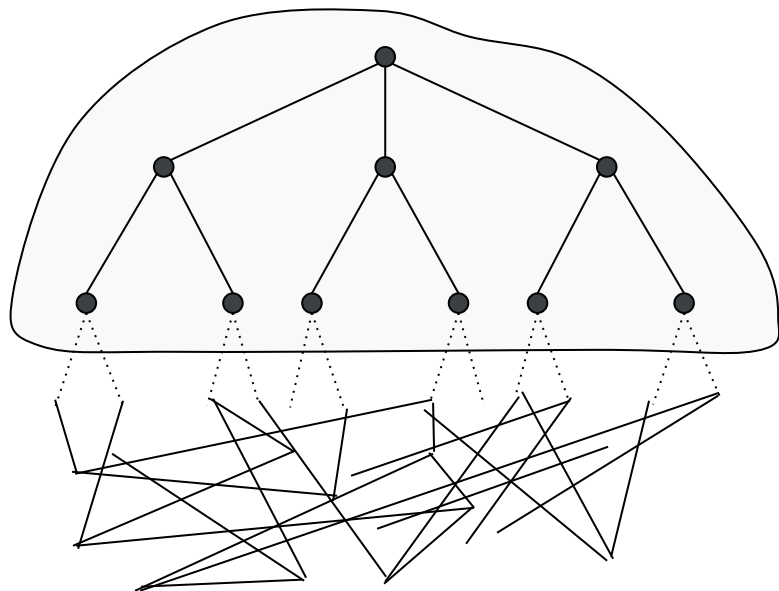
<sup>1</sup>Functions of other params left out for simplicity.

1. First improvement as function of  $n$  in 20 years (since KMW04)
2. Closing Gaps (Optimality)
  - a.  $O(\log \Delta + \text{polyloglog } n)$  algo for MIS (G15) optimal
    - i. For wide range of  $\Delta$
    - b. MM on trees settled at  $\Theta((\log n)^{1/2})$  (BEPS12)
3. Separations
  - a. MM strictly harder than MIS on trees! (KS25)
    - i. Opposite is true for general graphs!
    - ii. KS25 breaks conjecture 11.15 in BE book
  - b. MIS on trees easier than MIS on general graphs (KS25)
    - i. Trees strictly easier than general graphs!
  - c. O(1)-apx VC easier than MM/MIS (BCS16)
    - i. For wide range of  $\Delta$
4. Broke  $\Omega(\log \log n)$  barrier for round elimination
  - a. Exponentially improved hardness for regular trees

# Setting the Scene

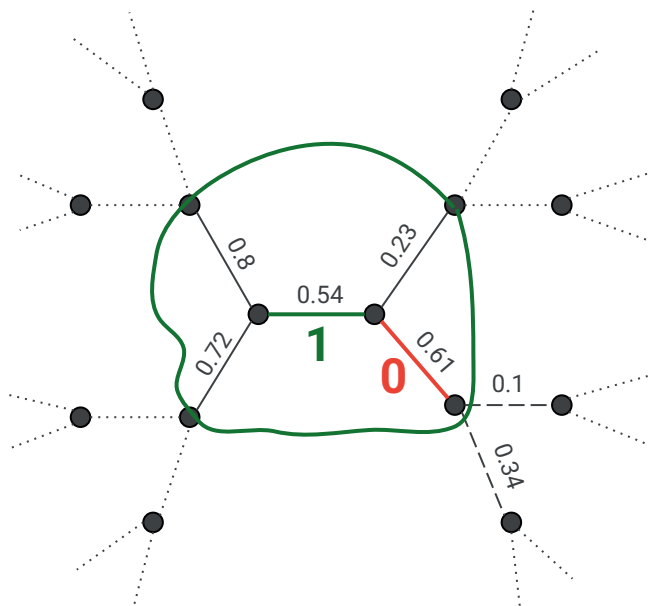


# Our Hard Instance: ID Graphs



- Hard instance (ID graph) (B80, BCGGRV22)
  - Random  $\Delta$ -regular graph
    - Configuration model (B80)
  - Construction
    - Effectively sampling  $\Delta$  perfect matchings
    - Reality
      - $n$  clusters of size  $\Delta$
      - Sample one perfect matching
      - Contract clusters
- Properties
  - $\Delta$ -regular
  - Girth  $\Omega(\log_{\Delta} n)$
  - Maximum independent size  $O(\frac{n \log \Delta}{\Delta})$
- Locally treelike, globally not

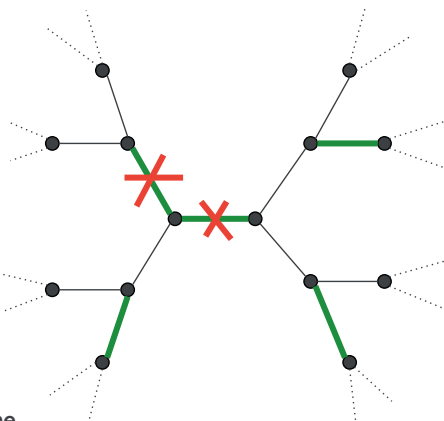
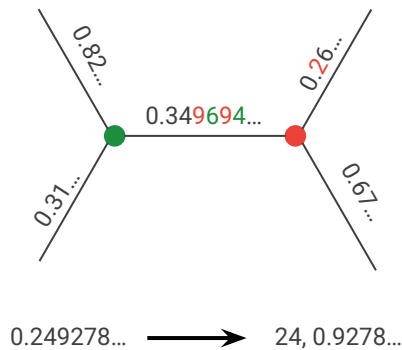
# Matching-Certified Algorithms



A 1-round matching-certified algorithm

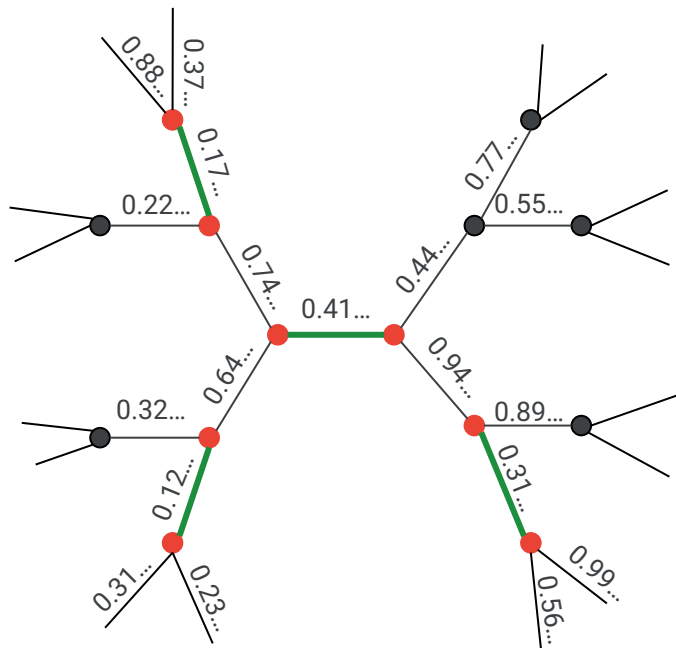
- Key point of departure from round elimination
  - Decouple **maximality** from **matching**
  - Consider a matching algorithm
  - Assess its probability of achieving maximality
- Definition:  $r$ -round Matching-Certified Algorithms
  - Function that takes as input
    - $r$ -neighborhood of edge  $e$  in  $\Delta$ -ary tree
    - Randomness on edges
    - NOT IDs on edges
  - Returns
    - 1 if algorithm includes  $e$ , 0 otherwise
  - Matching-certified property
    - Adjacent edge  $r$ -neighborhoods can't both return 1

# Lower Bounds for Matching-Certified Algos Suffice



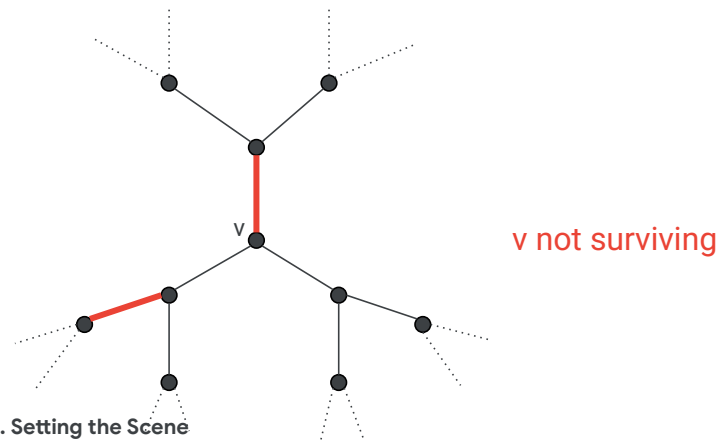
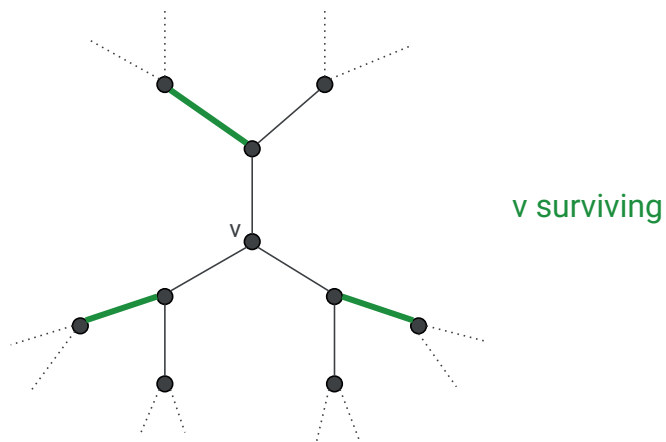
- Why can randomness be on edges, not vertices?
  - Use first  $O(\log n)$  bits of neighbors to name endpoints
  - Split subsequent randomness into blocks
  - Every other block allocated to same vert
- Why can IDs be dropped?
  - Yao Minimax
  - $r$ -round algo with IDs + randomness  $\Rightarrow$   $r$ -round algo with randomness only
    - Reserve first  $O(\log n)$  bits as IDs
- What if algo doesn't always output a matching?
  - Postprocess output
    - Delete edges with shared endpoints
  - Maximal matching found with no lower prob

# Matching-Certified Algorithm Example: Ranking (L86, ABI86)



- Ranking for maximal matching
  - Every edge gets independent  $[0,1]$
  - Edge in matching if minimum rank of incident
  - Delete matching-incident edges
  - Repeat till graph empty
- Matching-certified?
  - Yes
    - Matching found in each step
    - Deletion eliminates future conflicts
- Takeaways
  - Function of randomness only buys **symmetry**
    - Together with identical views
  - Vertices survive with **constant probability**
  - **Vertex survival probability** as a metric?

# Key Definition: Vertex Survival Probability (VSP)



- VSP of  $r$ -round matching-certified (MC) algo  $f$ :
  - Pick uniformly random  $(r+1)$ -neighborhood of  $v$
  - $\Delta$  incident edges to  $v$  (by regularity)
  - $VSP(f) = \Pr[f \text{ matches no incident edges to } v]$
- VSP( $f$ ) not function of  $v$  since  $v$  does not have ID
- Example: ranking (L86, ABI86)
  - $VSP(\text{r-round ranking}) > 2^{-O(r)}$
- **Main question:** is  $VSP(f) > 2^{-O(r)}$  for **any**  $r$ -round MC  $f$ ?
  - Yes!!

# Key Theorem: Lower-Bounding Vertex Survival Probability

Key Theorem: Any  $r$ -round matching-certified algorithm  $f$  has vertex survival probability at least  $C^{-r}$ .

- Recall that matching-certified (MC) algorithms are defined only for  $\Delta$ -ary trees
- $C = 10^{-80}$

# Proving Key Theorem Via Round Elimination

Key (Round Elimination) Lemma:

For  $r > 0$  and any  **$r$ -round** MC algo  **$f$** , there exists an  **$(r-1)$ -round** MC algo  **$g$**  such that

$$\text{VSP}(g) \leq C \text{VSP}(f)$$

for some constant  $C$ .



Key Theorem:

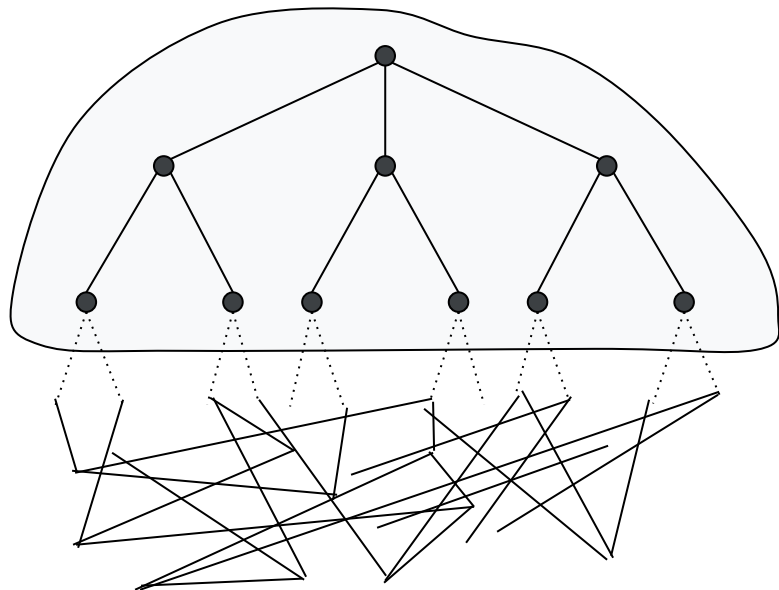
For any  $r$ -round MC algo  $f$ ,  $\text{VSP}(f) \geq C^{-r}$  for constant  $C$ .

- Proof
  - Define  $f_0 := f$ .
  - Apply lemma  $r$  times:
    - For each  $s > 0$ , define
    - $f_s := g$  (obtained from  $f_{s-1}$ )
  - By lemma,  $\text{VSP}(f_r) \leq C^r \text{VSP}(f_0)$ .
  - $f_r$  is 0-round MC algo
    - $\text{VSP}(f_r) = 1$ 
      - Otherwise not an MC algo
  - Thus  $\text{VSP}(f_0) \geq C^{-r}$  as desired. ✓

# Our Results Given Key Theorem



# Properties of the ID Graph



- Properties
  - $\Delta$ -regular
  - Girth  $\Omega(\log_{\Delta} n)$
  - Maximum independent size  $O(\frac{n \log \Delta}{\Delta})$
- Locally treelike, globally not

# Proof Sketch

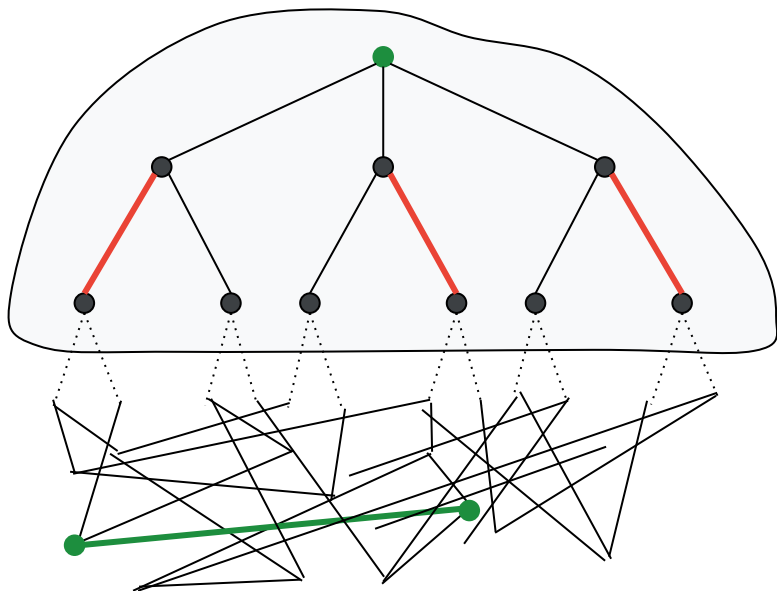
Key Theorem: For any  $r$ -round MC algo  $f$ ,  
 $VSP(f) \geq C^{-r}$   
for constant  $C$ .



Result: MM on regular trees requires  
 $\Omega(\min(\log \Delta, \sqrt{\log n}))$   
rounds.

- Properties (ID Graph)
  - $\Delta$ -regular
  - Girth  $\Omega(\log_{\Delta} n)$
  - Maximum independent size  $O(\frac{n \log \Delta}{\Delta})$
- Locally treelike, globally not
  - Locally treelike  $\Rightarrow$  MC algorithms applicable
  - Globally not  $\Rightarrow$  surviving vertices not independent
    - Think  $C^{-r} = 1/\Delta^{1/2}$
  - Two surviving vertices are adjacent
    - Matching not maximal! Contradiction
- Conclusion
  - Locally treelike when  $r \leq O(\log_{\Delta} n)$  (below girth)
  - Surviving vertices not indep when  $r \leq O(\log \Delta)$ 
    - As long as  $VSP(f) \gg \frac{\log \Delta}{\Delta}$
  - Contradiction obtained if  $r = \Theta(\min(\log \Delta, \log_{\Delta} n))$

# Picture of Proof Sketch

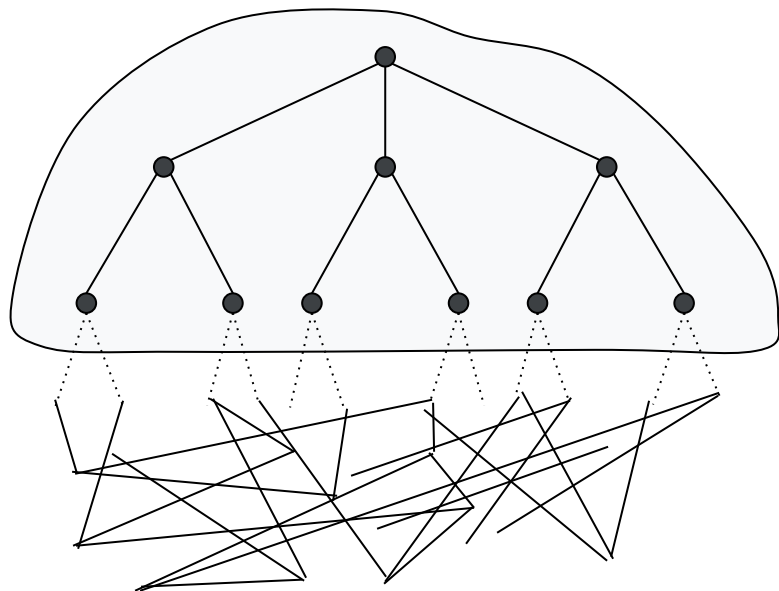


Key Theorem: For any  $r$ -round MC algo  $f$ ,  
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Result: MM on regular trees requires  
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- Properties (ID Graph)
  - $\Delta$ -regular
  - Girth  $\Omega(\log_{\Delta} n)$
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  - Contradiction obtained if  $r = \Theta(\min(\log \Delta, \log_{\Delta} n))$

# Comparison of Approach with Standard Round Elimination (RE)



- Decoupling maximality from matching
  - LCLs model all problem constraints
    - Leads to formulation change for MM with each round elimination
    - Note: Fixed points do exist
  - Our approach: LCL with extra metric
    - Matching with VSP
      - Low rounds => high VSP
- Contradiction obtained globally
  - high VSP contradicts small max IS
  - Only local structure used for standard RE

# MM Summary

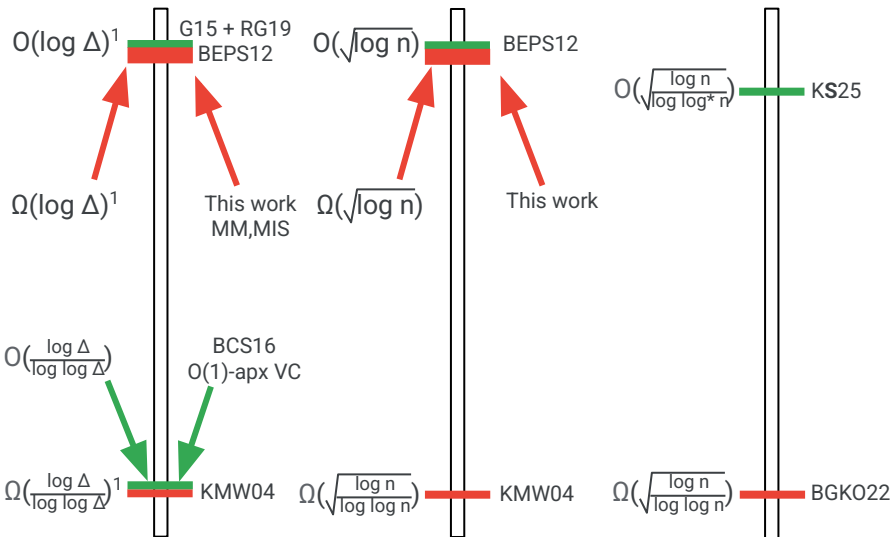
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MM, MIS, VC  
General graphs  
Function of  $\Delta$   
Randomized

MM only  
Trees  
Function of  $n$   
Randomized

MIS only  
Trees  
Function of  $n$   
Randomized

- Many implications and separations for MIS, MM, VC
  - 2 separations implied by MIS algo of KS25
    - MIS easier than MM in trees
    - MIS on trees easier than MIS on general graphs
- Round elimination framework for randomized algorithms
  - Exponential improvement for regular graphs
- Key idea: decouple maximality from matching
- Key new vertex survival probability (VSP) metric
- Future directions
  - Round elimination framework far more general
    - Extend to those problems also?
      - BCAO25 has started this effort
    - Does decoupling work for other problems?

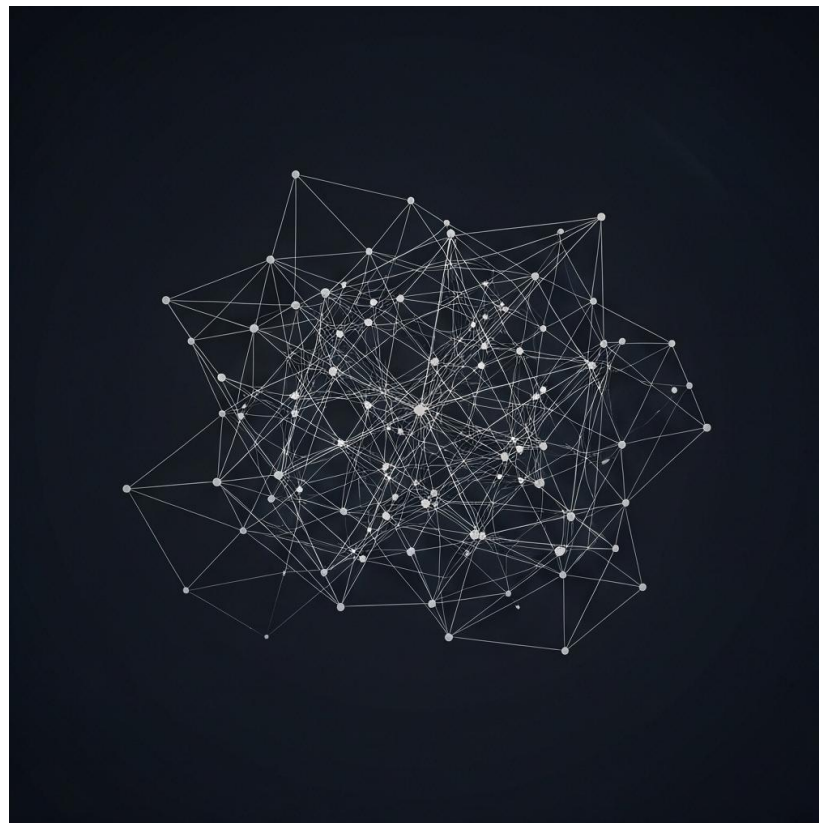


3. MM

Green tick: algorithm. Red tick: lower bound.

<sup>1</sup>Functions of other params left out for simplicity.

# Conclusion



# Results and High-Level Takeaways

Algorithm: MIS on (1) girth  $\geq \Omega(1)$  in  $O(\frac{\log \Delta}{\log \log^* \Delta} + \text{polyloglog } n)$  rounds (2) trees in  $O(\sqrt{\frac{\log n}{\log \log^* n}})$  rounds

Hardness: MM on regular trees requires  $\Omega(\min(\log \Delta, \sqrt{\log n}))$  rounds.

1. Implications (Randomized LOCAL)
  - a. Tight understanding of MM and MIS in some regimes
  - b. Breaking barriers to crack open other regimes
2. Techniques
  - a. Algorithms
    - i. All prior randomized algorithms for MIS (Luby86, G15, ...) based on same 1-round primitive
    - ii. We break that barrier via **faster degree reduction**
  - b. Hardness
    - i. New type of round elimination framework
3. Both results obtained by deeply understanding **vertex survival probability**

# Open Problems

Algorithm: MIS on (1) girth  $\geq \Omega(1)$  in  $O\left(\frac{\log \Delta}{\log \log^* \Delta} + \text{polyloglog } n\right)$  rounds (2) trees in  $O\left(\sqrt{\frac{\log n}{\log \log^* n}}\right)$  rounds

Hardness: MM on regular trees requires  $\Omega(\min(\log \Delta, \sqrt{\log n}))$  rounds.

1. Better Algorithms
  - a. Can  $\log^*$  be replaced with something larger for MIS on trees?
  - b. Can faster degree reduction be used to obtain  $o(\log n)$  for MIS on general graphs?
2. Other Problems
  - a. These techniques enable optimal hardness/algorithms
  - b. Can they be applied to other problems?
    - i. BCA025 have made progress on this

Thank you!