

# #1 Henry's Early PHD Problems Presentation

- Definitions:
- One Counter Nets (OCN)
  - Vector Addition Systems with States (VASS)
  - Semi-linear Sets.
  - (Level 1/2) Polynomial Hierarchy (& NL)
  - Decision Problems: - (compressed) Membership,  
- universality  
- Reachability / Coverability

Problems of interest: - Open Complexity Gaps!  
- 'New' variations of automata

#2

Semi-Linear Sets

$$S = \bigcup_{i=1}^k L(b_i, P_i)$$

>  $b_i \in \mathbb{N}$  "base"

>  $P_i = \{P_{i,1}, \dots, P_{i,k_i}\}$   
 (each  $P_{i,j} \in \mathbb{N}$ ) "periods"

Example: (linear set)

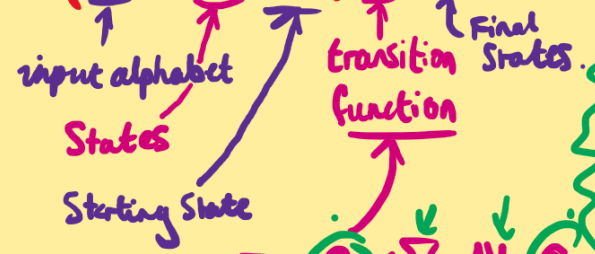
$$b = 0, P = \{4, 6, 9, 20\}$$

$$L(b, P) = \{4, 6, 8, 9, 10, 12, \dots\}$$

"chicken nuggets!"

One Counter Nets (OCN)

$$A = (\Sigma, Q, S_0, \delta, F)$$

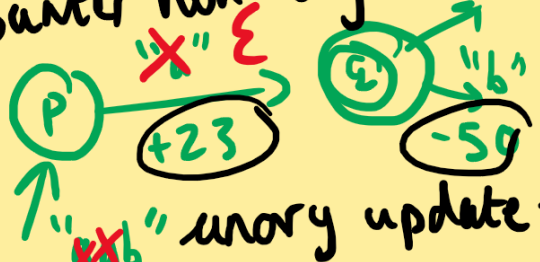


$$\delta \subseteq Q \times \Sigma \times \mathbb{N} \times Q$$

Ex:  $(p, 'a', 23, q)$

Example:

Counter non-neg.



binary  $\{\pm n \in \mathbb{N}\}$

unary update:  $\{-1, 0, +1\}$

Encodings: unary in alph:  $\Sigma = \{a\}$   
 binary in alph:  $\Sigma = \{a, b\}$

Vector Addition Systems with States (VASS)

$$V = (Q, T) \quad *k\text{-dimensional (k-VASS)}$$

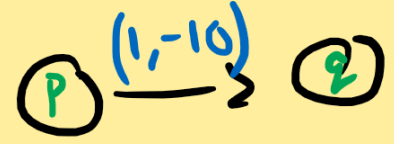


$$T \subseteq Q \times \mathbb{N}^k \times Q$$

Ex:  $(p, [x, y, \dots, z], q)$

Encodings: unary / binary

Example:  $k=2$



Non-neg. counters.

### #3 Polynomial Hierarchy (Lvl 1&2) ... by example

INPUT  $\rightarrow$  C.N.F. Boolean Formula  $\phi(x_1, \dots, x_n)$

SAT QUESTION  $\rightarrow \exists \alpha_1, \dots, \alpha_n: \phi(\alpha_1, \dots, \alpha_n) = \text{TRUE}?$

Known to be "Level 1 complete" NP, coNP

### SAT<sup>2</sup>

INPUT:  $\phi(x_1, \dots, x_n, y)$

QUESTION:  $\forall \beta, \exists \alpha_1, \dots, \alpha_n: \phi(\alpha_1, \dots, \alpha_n, \beta) = \text{TRUE}?$

Known to be "Level 2 complete" NP<sup>coNP</sup>, coNP<sup>NP</sup>

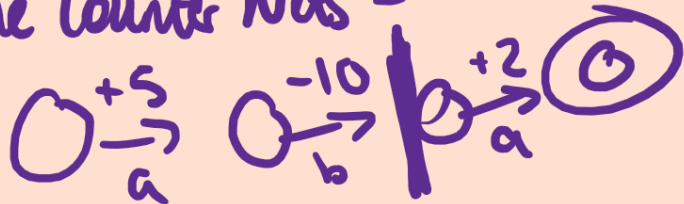
### Decision Problem (Emptiness)

> INPUT: Language / Set / Automata  $\leftarrow X$

> QUESTION: is  $X = \emptyset$ ? (or  $L(X) = \emptyset \dots$ )

Examples: Semi-linear Sets - easy 😊

One Counter Nets -



Nondeterministic  
log Space (NL)

>  $O(\log |n|)$  mem.

> Bonus read-only  
for input

> non-det ü

# #4 Coverability

INPUT >  $k$ -VASS  $V$ , States:  $p, q$   
 Vectors:  $\vec{v}_0, \vec{v}$   
 QUESTION >

"Does there exist some run  $r$  in  $V$ :  
 $p(\vec{v}_0) \rightsquigarrow q(\vec{v}')$ ?  
 where  $\vec{v}' \geq \vec{v}$  (componentwise)"

Complexity:

	encoding ↓ unary	binary
dimension (k) = 1	NL-C	NC <sup>2</sup> (⊆ P)
2	↓	PSPACE-C
3	↓	↓
4	↓	↓
⋮		

# VASS Decision Problems

Configurations:  
 $q(\vec{v})$   
 $\vec{v} \in \mathbb{N}^k$

# Reachability

INPUT >  $k$ -VASS  $V$ , States:  $p, q$   
 Vectors:  $\vec{v}_0, \vec{v}$   
 QUESTION >

"Does there exist some run  $r$  in  $V$ :  
 $p(\vec{v}_0) \rightsquigarrow q(\vec{v})$ ?"

Complexity

	encoding ↓ unary	binary
dimension (k) = 1	NL-C	NP-C
2	NL-C	PSPACE-C
3	NL-hard	PSPACE hard
4	↓	↓
⋮		
7	NP-hard	↓

#5 **OCN universality:** **INPUT** > OCN  $A$   
**QUESTION** > For all  $\underline{w} \in \Sigma^*$ , does  $w \in L(A)$ ?  
 $w = a^n$   
(unary input alphabet, binary counter updates)

**Lower Bound:**  $\text{coNP}$ -hard  
(inherited from NFA universality)  
**Upper Bound:**  $\text{coNP}^{\text{NP}}$   
 > Non-universality needs:

Nearly a new lower bound:  $\text{coNP}^{\text{NP}}$   
 via Semi-linear Set universality is  $\text{coNP}^{\text{NP}}$  complete.  
(non-)  
 $- w = a^n : a^n \notin L(A)$   
"non-membership"

\* This is actually "compressed membership"  
 Because  $n$  may be exponentially large, so need to write it  
 in binary ( $a^n$ ), not unary ( $\underbrace{aa \dots aa}_n$ ).

# #6 OCN Compressed Membership:

↑  
many input alphabet,  
binary counter updates.

INPUT > OCN  $A$ , integer  $n$   
QUESTION > Does  $a^n \in L(A)$ ?

lower bound: NL-hard  
(maybe P-hard?)

upper bound: NP.  
(from universality  $\in \text{CONP}^{\text{NP}}$ )

idea: show non-universality using compressed membership.

why?

If  $a^n \in L(A) \Rightarrow \exists \text{run } r: S_0(0) \rightsquigarrow t(x): (x, \tau, 0)$   
 "linear form":  $J_0 \delta_1 J_1 \delta_2 J_2 \dots J_{k-1} \delta_k J_k \rightarrow t$   
 (where  $k$  is small,  $|Q|^2$ , and  $\delta_k \in \text{linear in } |Q|$ )  
 Polytime!

NP-aly: - Guess L.F (run equiv. of  $r$ )

- Check it (\*)

