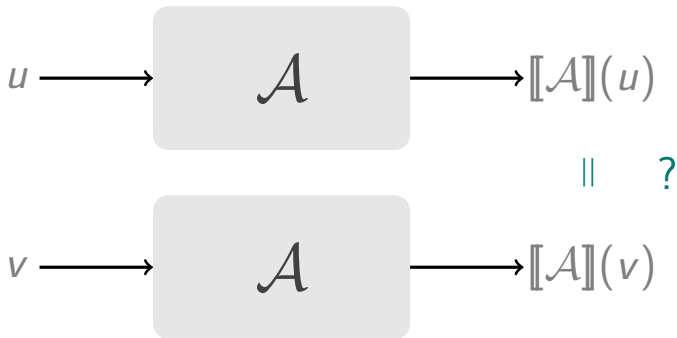

Weighted automata and Identities

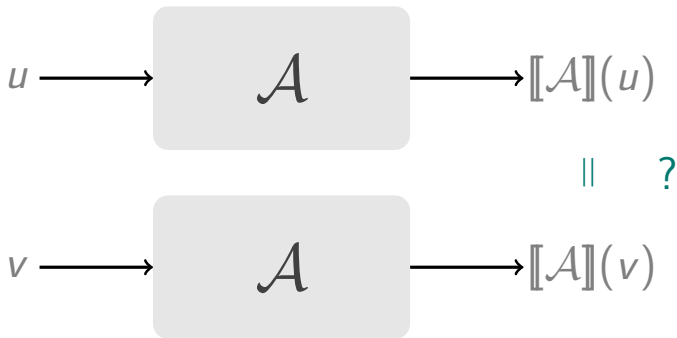
Laure Daviaud
University of Warwick

ANR Delta, October 2017

A natural and fundamental question:



A natural and fundamental question:



Which pairs of inputs can be distinguished
by a given computational model?

Given a class \mathcal{C} of weighted automata:

Given a class \mathcal{C} of weighted automata:

- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?

Given a class \mathcal{C} of weighted automata:

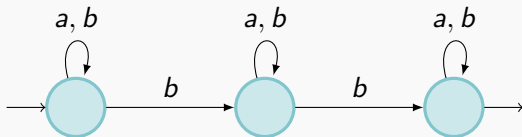
- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?

Given a class \mathcal{C} of weighted automata:

- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?
- 3 Minimal size to distinguish two given input words?

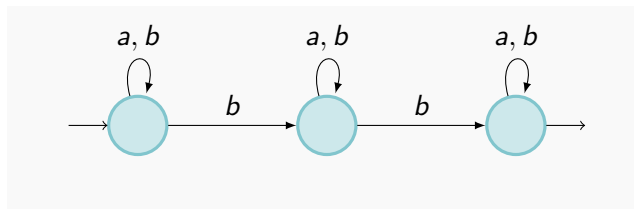
Boolean Automata

$[[\mathcal{A}]] : A^* \rightarrow \{Acc, Rej\}$



Boolean Automata

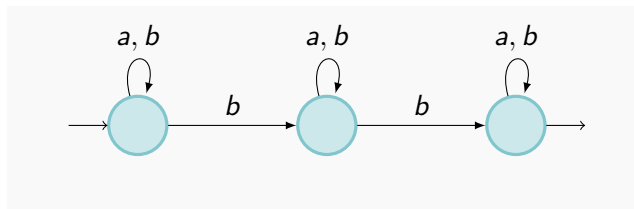
$[[\mathcal{A}]] : A^* \rightarrow \{Acc, Rej\}$



- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes

Boolean Automata

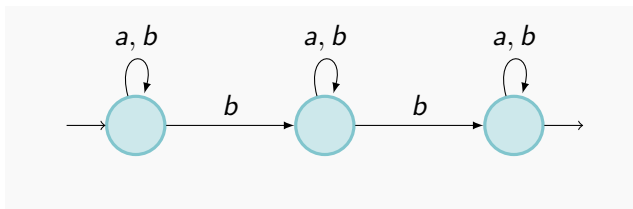
$[[\mathcal{A}]] : A^* \rightarrow \{Acc, Rej\}$



- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?
→ No

Boolean Automata

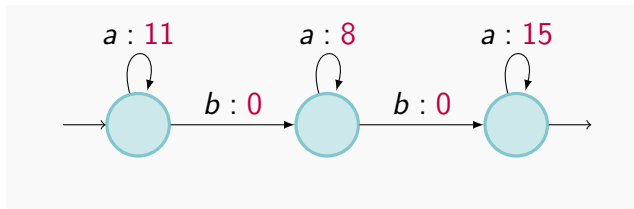
$$[[\mathcal{A}]] : A^* \rightarrow \{Acc, Rej\}$$



- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?
→ No
- 3 Minimal size to distinguish two given input words?
→ Profinite theory...

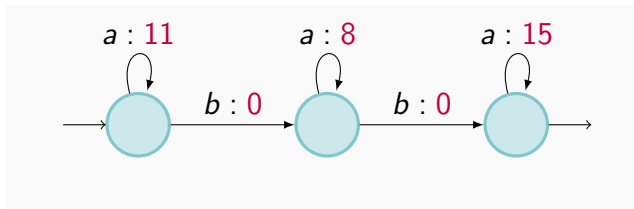
Weighted automata [Schützenberger]

$$[[\mathcal{A}]] : A^* \rightarrow S$$



Weighted automata [Schützenberger]

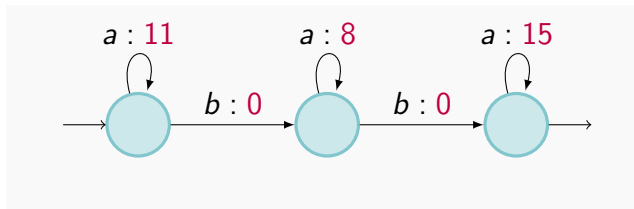
$$[[\mathcal{A}]] : A^* \rightarrow S$$



Semiring (S, \oplus, \otimes) : transitions are weighted by elements of S

Weighted automata [Schützenberger]

$$[[\mathcal{A}]] : A^* \rightarrow S$$



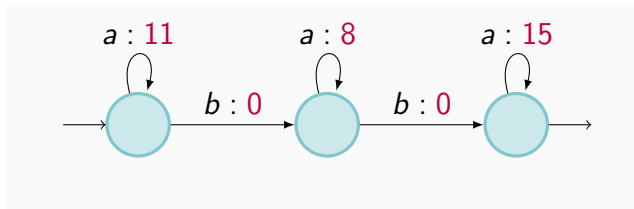
Semiring (S, \oplus, \otimes) : transitions are weighted by elements of S

Paths: \otimes

Non-determinism: \oplus

Weighted automata [Schützenberger]

$$[[\mathcal{A}]] : A^* \rightarrow S$$



Semiring (S, \oplus, \otimes) : transitions are weighted by elements of S

Paths: \otimes

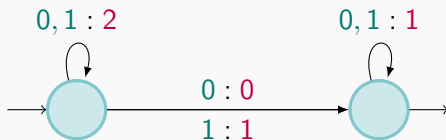
Non-determinism: \oplus

$$[[\mathcal{A}]] : w \mapsto \bigoplus_{\rho \text{ accepting path labelled by } w} (\rho_1 \otimes \rho_2 \otimes \cdots \otimes \rho_{|w|})$$

Automata weighted over $(\mathbb{R}, +, \times)$

$$[[\mathcal{A}]] : A^* \rightarrow \mathbb{R}$$

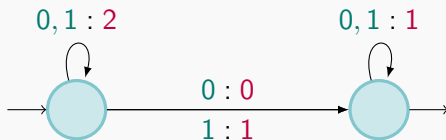
An example with $A = \{0, 1\}$



Automata weighted over $(\mathbb{R}, +, \times)$

$$[[\mathcal{A}]] : A^* \rightarrow \mathbb{R}$$

An example with $A = \{0, 1\}$

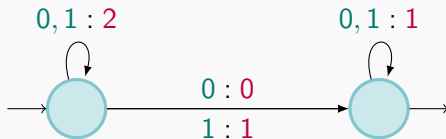


$$100101 \mapsto 2^0 + 0 + 0 + 2^3 + 0 + 2^5$$

Automata weighted over $(\mathbb{R}, +, \times)$

$$[[\mathcal{A}]] : A^* \rightarrow \mathbb{R}$$

An example with $A = \{0, 1\}$



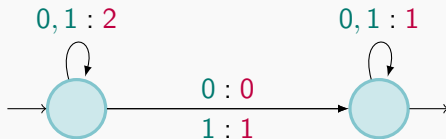
$$100101 \mapsto 2^0 + 0 + 0 + 2^3 + 0 + 2^5$$

- 1** For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes

Automata weighted over $(\mathbb{R}, +, \times)$

$$[[\mathcal{A}]] : A^* \rightarrow \mathbb{R}$$

An example with $A = \{0, 1\}$



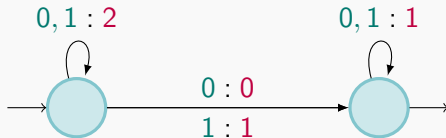
$$100101 \mapsto 2^0 + 0 + 0 + 2^3 + 0 + 2^5$$

- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?
→ Yes

Automata weighted over $(\mathbb{R}, +, \times)$

$$[[\mathcal{A}]] : A^* \rightarrow \mathbb{R}$$

An example with $A = \{0, 1\}$



$$100101 \mapsto 2^0 + 0 + 0 + 2^3 + 0 + 2^5$$

- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?
→ Yes
- 3 Minimal size to distinguish two given input words?
→ 1 or 2 states

Max-plus automata

Semiring $(\mathbb{N} \cup \{-\infty\}, \max, +)$

$[[\mathcal{A}]] : A^* \rightarrow \mathbb{N} \cup \{-\infty\}$

$$[[\mathcal{A}]] : w \mapsto \max_{\substack{\rho \text{ accepting path} \\ \text{labelled by } w}} (\rho_1 + \rho_2 + \dots + \rho_{|w|})$$

Max-plus automata

Semiring $(\mathbb{N} \cup \{-\infty\}, \max, +)$

$\llbracket \mathcal{A} \rrbracket : A^* \rightarrow \mathbb{N} \cup \{-\infty\}$

$$\llbracket \mathcal{A} \rrbracket : w \mapsto \max_{\substack{\rho \text{ accepting path} \\ \text{labelled by } w}} (\rho_1 + \rho_2 + \dots + \rho_{|w|})$$

- 1** For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes

Max-plus automata

Semiring $(\mathbb{N} \cup \{-\infty\}, \max, +)$

$[[\mathcal{A}]] : A^* \rightarrow \mathbb{N} \cup \{-\infty\}$

$$[[\mathcal{A}]] : w \mapsto \max_{\substack{\rho \text{ accepting path} \\ \text{labelled by } w}} (\rho_1 + \rho_2 + \dots + \rho_{|w|})$$

- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?
→ No

Max-plus automata

Semiring $(\mathbb{N} \cup \{-\infty\}, \max, +)$

$[[\mathcal{A}]] : A^* \rightarrow \mathbb{N} \cup \{-\infty\}$

$$[[\mathcal{A}]] : w \mapsto \max_{\substack{\rho \text{ accepting path} \\ \text{labelled by } w}} (\rho_1 + \rho_2 + \dots + \rho_{|w|})$$

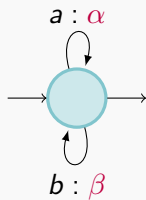
- 1 For all $u \neq v$, is there $\mathcal{A} \in \mathcal{C}$ which distinguishes u and v ?
→ Yes
- 2 Is there $\mathcal{A} \in \mathcal{C}$ which distinguishes all pairs $u \neq v$?
→ No
- 3 Minimal size to distinguish two given input words?
→ ??????

Given a positive integer n ,
are there $u \neq v$ such that
for all max-plus automata \mathcal{A} with at most n states:

$$[[\mathcal{A}]](u) = [[\mathcal{A}]](v) \quad ?$$

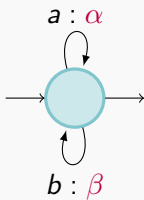
If $n = 1$

$A = \{a, b\}$



If $n = 1$

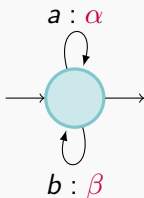
$A = \{a, b\}$



$$w \mapsto \alpha |w|_a + \beta |w|_b$$

If $n = 1$

$A = \{a, b\}$



$$w \mapsto \alpha|w|_a + \beta|w|_b$$

Max-plus automata with one state can distinguish words with different contents (in particular different lengths), and only these ones.

If $n = 2$ or $n = 3$

There exist pairs of distinct words with the same values for all automata with at most 3 states...

But we do not know much more.

If $n = 2$ or $n = 3$

There exist pairs of distinct words with the same values for all automata with at most 3 states...

But we do not know much more.

2 states [Izhakian, Margolis] - words of length 20

If $n = 2$ or $n = 3$

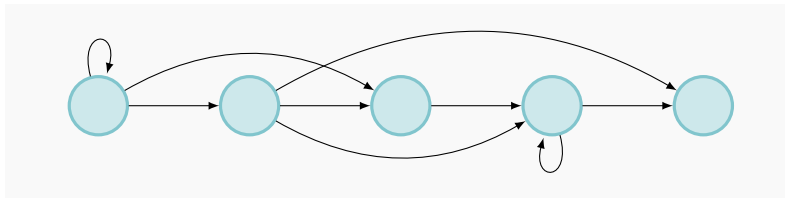
There exist pairs of distinct words with the same values for all automata with at most 3 states...

But we do not know much more.

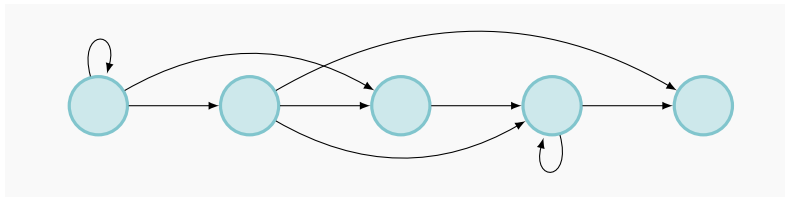
2 states [Izhakian, Margolis] - words of length 20

3 states [Shitov] - words of length 1795308

Triangular automata



Triangular automata



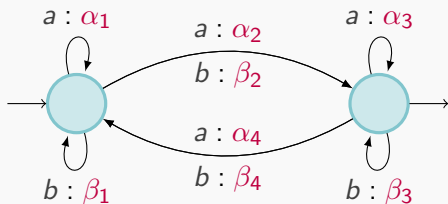
Theorem [Izhakian]

For all n , there exist a pair of distinct words $u \neq v$ such that for all triangular automata \mathcal{A} with at most n states,

$$\llbracket \mathcal{A} \rrbracket(u) = \llbracket \mathcal{A} \rrbracket(v)$$

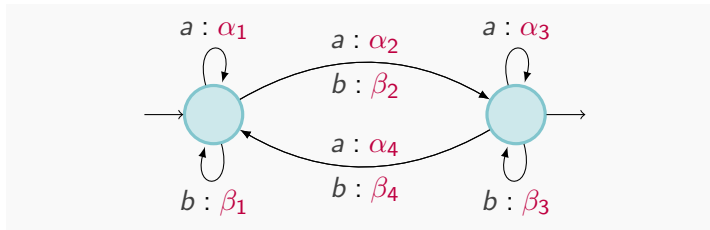
Let's go back to automata with 2 states

$$A = \{a, b\}$$



Let's go back to automata with 2 states

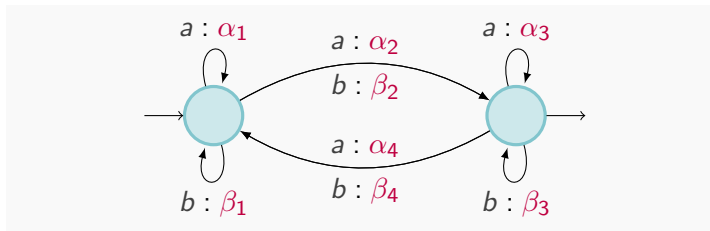
$$A = \{a, b\}$$



First attempt: Restrict the class of automata we have to consider

Let's go back to automata with 2 states

$$A = \{a, b\}$$

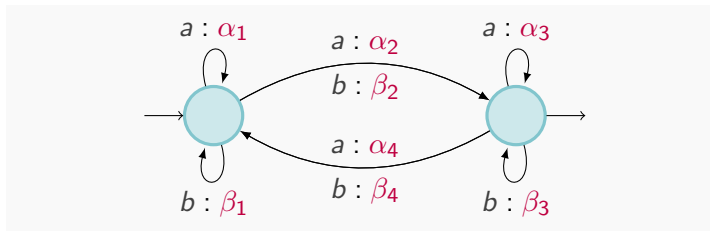


First attempt: Restrict the class of automata we have to consider

$$\bullet \mathbb{R} \longrightarrow \mathbb{Q} \longrightarrow \mathbb{Z} \longrightarrow \mathbb{N}$$

Let's go back to automata with 2 states

$$A = \{a, b\}$$

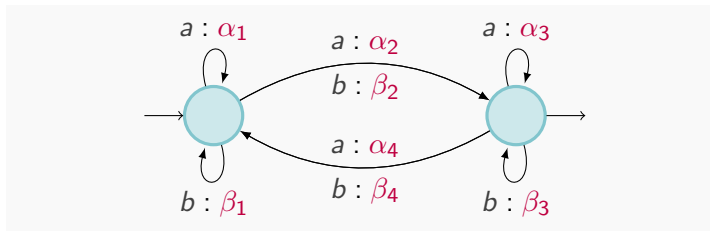


First attempt: Restrict the class of automata we have to consider

- $\mathbb{R} \rightarrow \mathbb{Q} \rightarrow \mathbb{Z} \rightarrow \mathbb{N}$
- Complete automaton

Let's go back to automata with 2 states

$$A = \{a, b\}$$

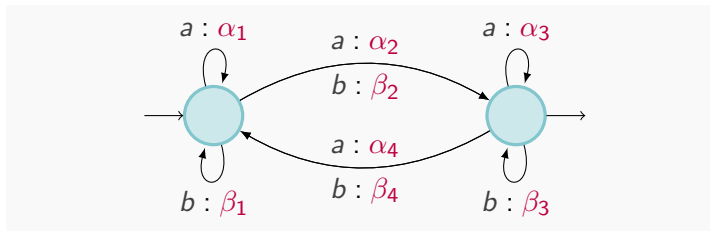


First attempt: Restrict the class of automata we have to consider

- $\mathbb{R} \rightarrow \mathbb{Q} \rightarrow \mathbb{Z} \rightarrow \mathbb{N}$
- Complete automaton
- Only one initial and one final states

Let's go back to automata with 2 states

$$A = \{a, b\}$$



First attempt: Restrict the class of automata we have to consider

- $\mathbb{R} \rightarrow \mathbb{Q} \rightarrow \mathbb{Z} \rightarrow \mathbb{N}$
- Complete automaton
- Only one initial and one final states
- Reduce the number of parameters

Let's go back to automata with 2 states

Second attempt: Give a list of criteria which can be checked

Let's go back to automata with 2 states

Second attempt: Give a list of criteria which can be checked

- Content, length

Let's go back to automata with 2 states

Second attempt: Give a list of criteria which can be checked

- Content, length
- ...

Let's go back to automata with 2 states

Second attempt: Give a list of criteria which can be checked

- Content, length
- ...

Theorem [D., Johnson] - counter-example to a conjecture of Izhakian

There are two pairs of distinct words of minimal length which cannot be distinguished by any max-plus automata with two states:

$$a^2 b^3 a^3 babab^3 a^2 = a^2 b^3 ababa^3 b^3 a^2 \text{ and } ab^3 a^4 baba^2 b^3 a = ab^3 a^2 baba^4 b^3 a$$

Let's go back to automata with 2 states

Second attempt: Give a list of criteria which can be checked

- Content, length
- ...

Theorem [D., Johnson] - counter-example to a conjecture of Izhakian

There are two pairs of distinct words of minimal length which cannot be distinguished by any max-plus automata with two states:

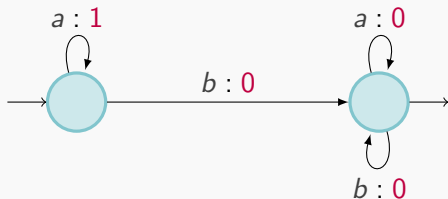
$$a^2 b^3 a^3 babab^3 a^2 = a^2 b^3 ababa^3 b^3 a^2 \text{ and } ab^3 a^4 baba^2 b^3 a = ab^3 a^2 baba^4 b^3 a$$

- Eliminate the shortest pairs by using the list of criteria
- Checking the pairs directly using the restrictions

A closer look at the list of criteria

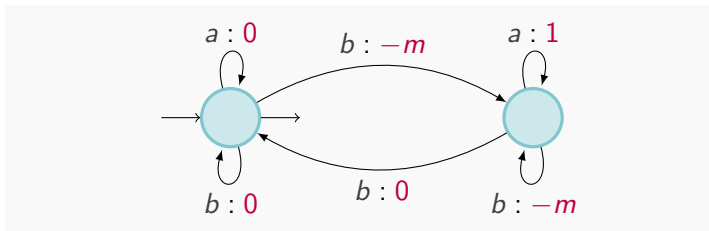
A closer look at the list of criteria

- First and last blocks



A closer look at the list of criteria

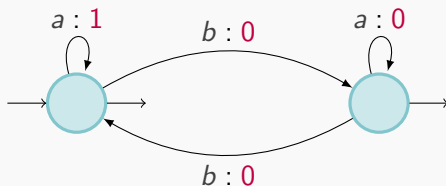
- First and last blocks
- Bloc-permutation



A closer look at the list of criteria

- First and last blocks
- Bloc-permutation
- “Counting modulo 2” criteria

Number of a 's after an even number of b 's



A closer look at the list of criteria

- First and last blocks
- Bloc-permutation
- “Counting modulo 2” criteria
- Triangular automata with two states

And now ?

And now ?

Ultimate (very far away) goal:
Characterize all the identities holding for the class of max-plus automata with at most n states, for all n ...

And now ?

Ultimate (very far away) goal:

Characterize all the identities holding for the class of max-plus automata with at most n states, for all n ...

- Is there a strict subset of max-plus automata containing all their computational power?

And now ?

Ultimate (very far away) goal:

Characterize all the identities holding for the class of max-plus automata with at most n states, for all n ...

- Is there a strict subset of max-plus automata containing all their computational power?
- Link with decidability/undecidability of the equivalence problem?