
Joint spectral radius: the power of automata

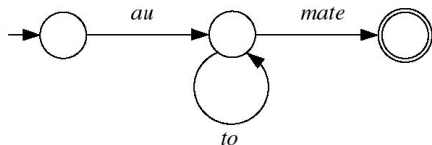
Laure Daviaud

LIP, ENS Lyon

joint work with Pierre Guillon and Glenn Merlet
(I2M, Marseille)

Louvain, 12th July 2016

Two points of view...



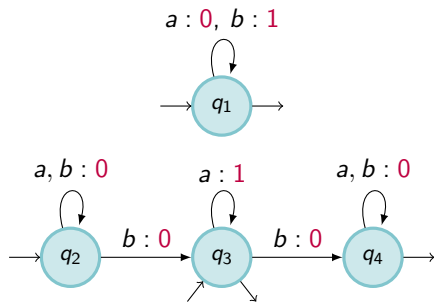
$$\begin{aligned}
 &= \frac{n^2+1}{15} + 3n > \frac{35n-15}{15} \quad (1) \\
 &-n^2+1+45n-35n-15 \\
 &< n^2+1+45n-35+15 \\
 &+n^2 = \frac{-6+\sqrt{D}}{24} = \frac{-10-\sqrt{\quad}}{2}
 \end{aligned}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

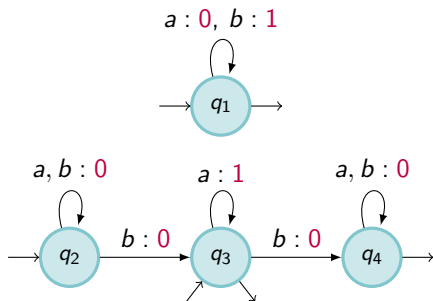
$$\begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{bmatrix}$$

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The model under study: Max-plus automata



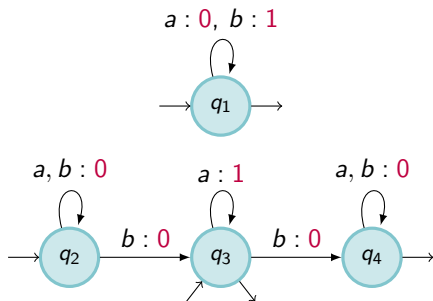
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Syntax :

Non deterministic finite automaton for which each transition is labelled by a **non negative integer (weight)**.

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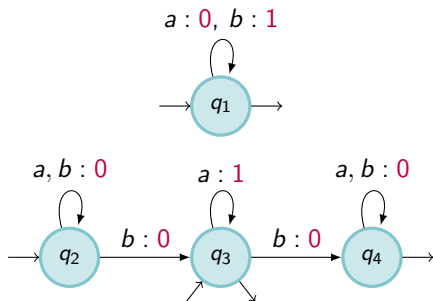
Non deterministic finite automaton for which each transition is labelled by a **non negative integer** (weight).

Semantic :

Weight of a run = sum of the weights of the transitions.

$A^* \rightarrow \mathbb{N} \cup \{-\infty\}$
 $w \mapsto$ Maximum of the weights of accepting runs labelled by w
($-\infty$ if no such run)

The model under study: Max-plus automata



$$a^{n_0} b a^{n_1} b \dots b a^{n_k}$$

$$\mapsto \max(n_0, n_1, \dots, n_k, k)$$

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Non deterministic finite automaton for which each transition is labelled by a **non negative integer** (weight).

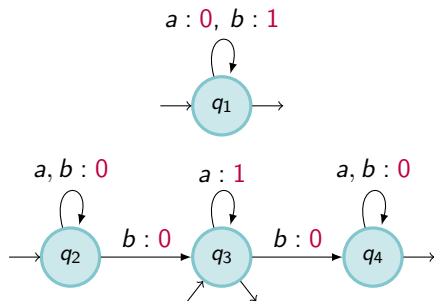
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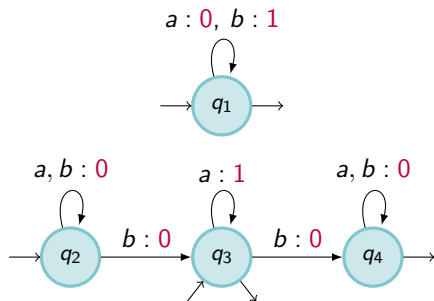
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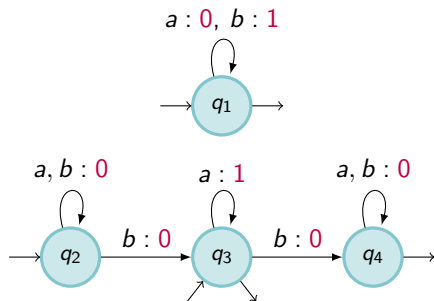


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$$\begin{matrix} & q_1 & q_2 & q_3 & q_4 \\ \begin{matrix} q_1 \\ q_2 \\ q_3 \\ q_4 \end{matrix} & \begin{pmatrix} 0 & \infty & \infty & \infty \\ \infty & 0 & \infty & \infty \\ \infty & \infty & 1 & \infty \\ \infty & \infty & \infty & 0 \end{pmatrix} & = & \mu(a) \end{matrix}$$

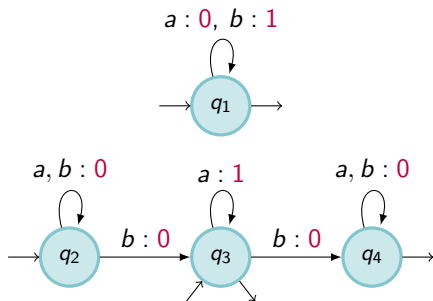
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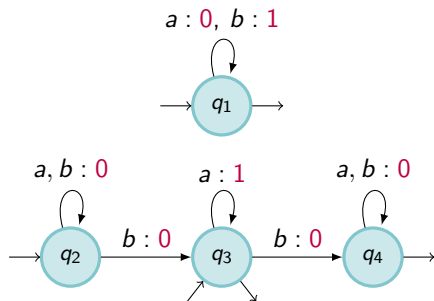


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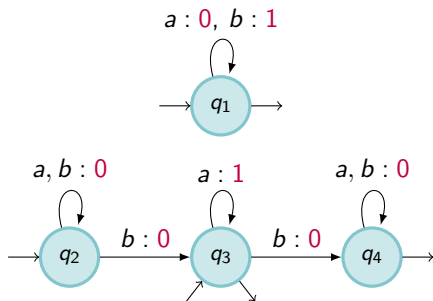
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$$\mu(a_1 \cdots a_n) = \mu(a_1) \cdots \mu(a_n) \quad I = (0 \ 0 \ 0 \ \infty) \quad F = \begin{pmatrix} 0 \\ \infty \\ 0 \\ 0 \end{pmatrix}$$

$\mu(w)_{i,j}$ = max of the weights of the runs from i to j labelled by w

$$f(w) = I\mu(w)F$$

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Going both ways...

Joint spectral radius

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Γ : finite set of matrices of size $d \times d$

Joint spectral radius of Γ :

$$\rho(\Gamma) = \inf_{\ell > 0} \left\{ \frac{1}{\ell} \|M_1 \cdots M_\ell\|_\infty \mid M_1, \dots, M_\ell \in \Gamma \right\}$$

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Undecidability

Comparison problem: Given a max-plus automaton computing a function f , do we have for all words w , $f(w) \geq |w|$?

Theorem [Krob, 92]

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Reduction to the problem of computing the joint spectral radius
→ even with fixed weights/number of states

Approximation

Approximation problem:

Input: a max-plus automaton computing a function f and $\varepsilon > 0$

Output: a rational r such that $r - \varepsilon \leq \inf \left\{ \frac{f(w)}{|w|} \mid w \in A^* \right\} \leq r + \varepsilon$

— Theorem [Colcombet, D.] —

There is an algorithm that solves the approximation problem.

Consequence: There is an algorithm that approximates the joint spectral radius.

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- Fix a number of states d , are there two words that are not separable by a max-plus automaton with at most d states?
- = Is there an identity on finitely generated semigroup of matrices of dimension d ?
- $d = 2$ [Izhakian, Margolis], $d = 3$ [Shitov], triangular [Izhakian]

Positivity

Problem:

Input: a \mathbb{Z} -max-plus automaton computing a function $f \geq 0$

Output: “yes” if f is computable by a \mathbb{N} -max-plus automaton,
“no” otherwise

Is this problem decidable?

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Is this problem decidable?

Translation:

Input: a finite set of matrices Γ with coefficients in $\mathbb{Z} \cup \{-\infty\}$
such that all $(M_1 \cdots M_k)_{1,2} \geq 0$

Question: is there a finite set Γ' of matrices with coefficients in $\mathbb{N} \cup \{-\infty\}$ and μ a bijection $\Gamma \rightarrow \Gamma'$ such that for all $M_1, \dots, M_k \in \Gamma$:

$$(M_1 \cdots M_k)_{1,2} = (\mu(M_1) \cdots \mu(M_k))_{1,2} ?$$

Determinisation

Problem:

Input: a max-plus automaton computing a function f

Output: “yes” if f is computable by a deterministic max-plus automaton, “no” otherwise

Is this problem decidable?

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Output: “yes” if f is computable by a deterministic max-plus automaton, “no” otherwise

Is this problem decidable?

Translation:

Input: a finite set of matrices Γ

Question: is there a finite set Γ' of matrices with at most one finite coefficient per row and μ a bijection $\Gamma \rightarrow \Gamma'$ such that for all $M_1, \dots, M_k \in \Gamma$:

$$(M_1 \cdots M_k)_{1,2} = (\mu(M_1) \cdots \mu(M_k))_{1,2} ?$$

Conclusion

*There are a lot of things to gain by using this connection
and taking advantage of the best of both worlds.*