

Asymptotic behaviour of Max-Plus Automata

Laure Daviaud (LIAFA)
joint work with Thomas Colcombet (LIAFA)
and Florian Zuleger (TU Vienna)



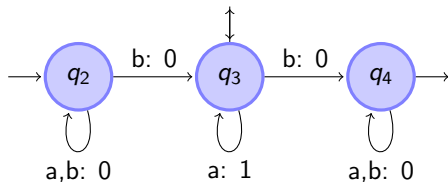
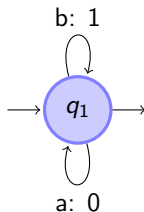
- Max-plus automata: definition and example
- Asymptotic behaviour of a max-plus automaton
- Application to the computational time complexity of terminating size-change abstraction instances
- Ideas of the main proof

Max-Plus Automata

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Max-plus automaton: Non deterministic finite automaton for which each transition is also **labelled by a non-negative integer** called the weight of the transition.

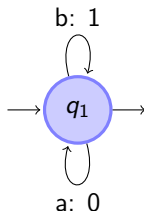
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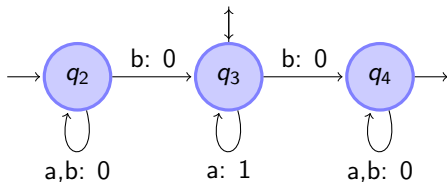
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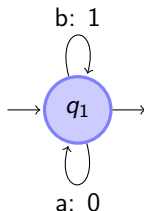
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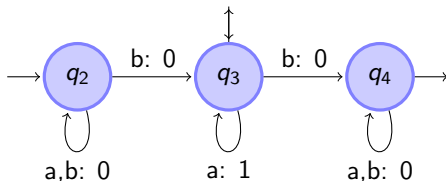
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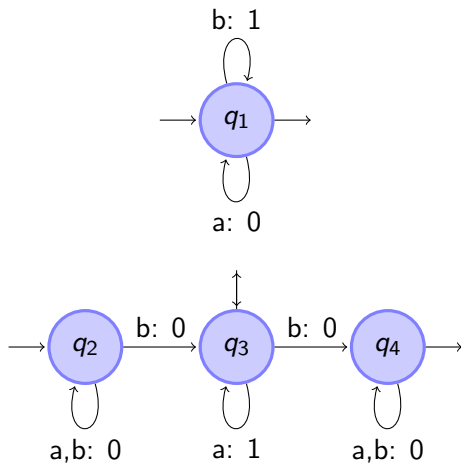
Computed function:

$$\mathbb{A}^* \rightarrow \mathbb{N} \cup \{-\infty\}$$

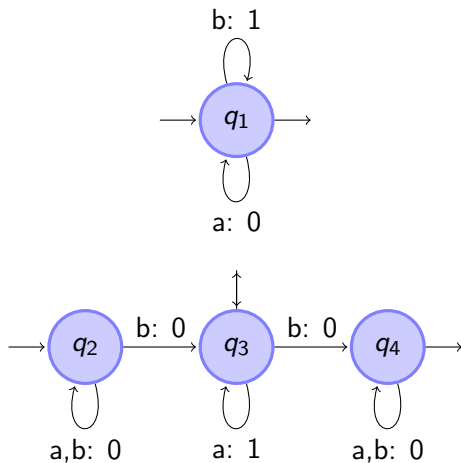
$w \mapsto$ maximum of the weights of the runs labelled by w going from an initial state to a final state
($-\infty$ if no such run)



Example: $a^m ba^n ba^p$



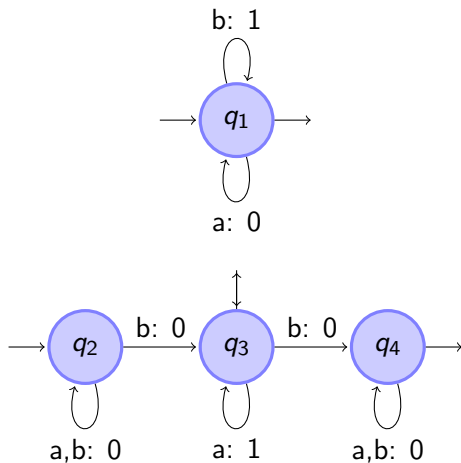
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Max-Plus Automata

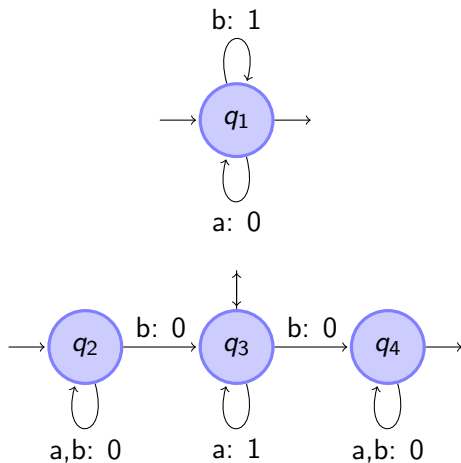


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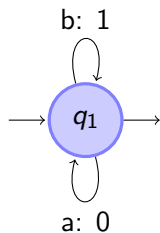
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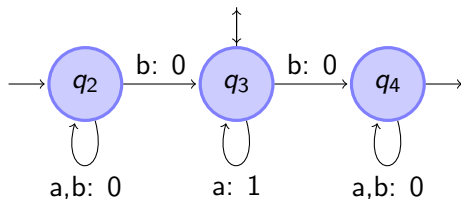
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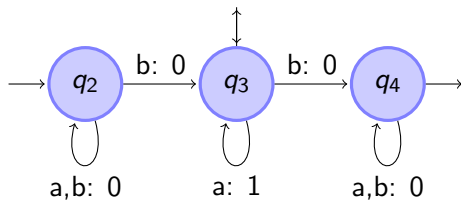
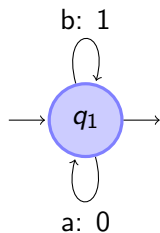
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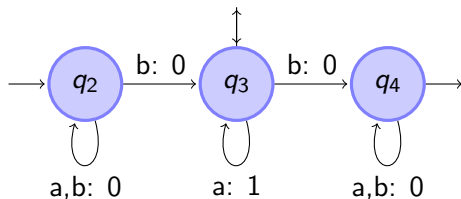
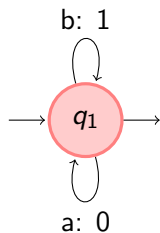
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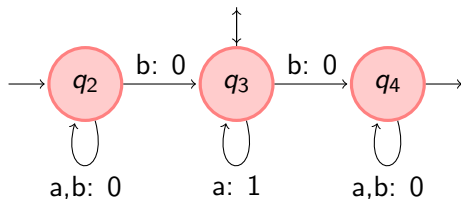
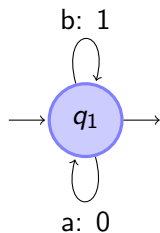
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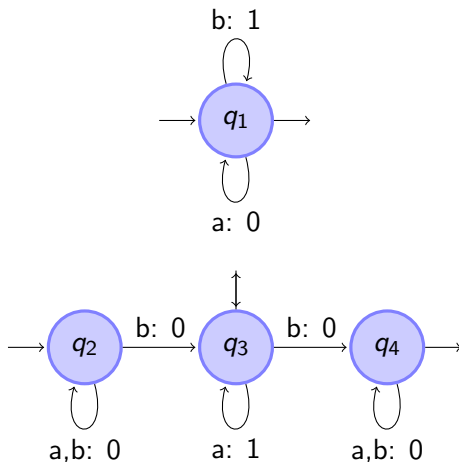
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Max-Plus Automata



$$a^{n_0} b a^{n_1} b \dots b a^{n_k} \mapsto \max(n_0, n_1, \dots, n_k, k)$$

Asymptotic behaviour of a max-plus automaton

Theorem [Krob, 92 (equivalent to min-plus automata)]

The following problems are undecidable:

Given f and g computed by max-plus automata,

- is $f \leq g$?
- is $f = g$?

↪ Find other ways to look at the behaviour of functions computed by max-plus automata.

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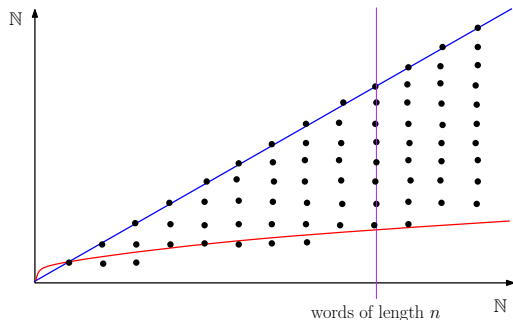
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Asymptotic behaviour of a max-plus automaton

$f : \mathbb{A}^* \mapsto \mathbb{N} \cup \{-\infty\}$ computed by a max-plus automaton.

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Theorem

There exists effectively $\alpha \in (\mathbb{Q} \cap [0, 1]) \cup \{-\infty\}$ such that $\bar{f}(n) = \Theta(n^\alpha)$.

- $\alpha = -\infty$: there is an infinite number of words of weight $-\infty$
- $\alpha = 0$: there is an infinite sequence of words that is bounded
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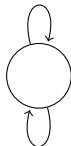
\rightsquigarrow Length of the longest word having value at most n : $\Theta(n^{1/\alpha})$.

Application to the computational time complexity of terminating size-change abstraction instances

Size-Change Abstraction

Variables : x, y
Primed versions : x', y'

$$t_1: x \geq x', y > y'$$

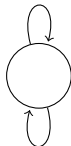


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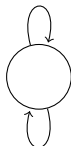
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- A finite number of variables that can take values in \mathbb{N} .
- Transitions: conjunction of a finite number of predicates of the form $x_i > x'_j$ or $x_i \geq x'_j$.
- A trace: sequence of transitions and valuations compatible with the transitions.

Size-Change Abstraction

Terminating SCA instance: no infinite trace.

Theorem [Lee, Jones, Ben-Amram]

It is decidable whether a given SCA instance is terminating.

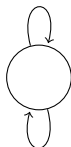
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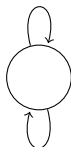
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Restriction to $[0, n]$: What is the length of the longest trace ?

Theorem

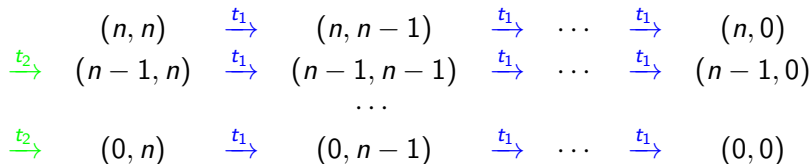
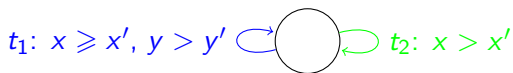
Given a terminating SCA instance, the **longest trace** is of order $\Theta(n^\alpha)$, for some rational number α no smaller than 1.

Moreover, α is **computable**.

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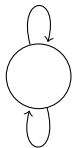
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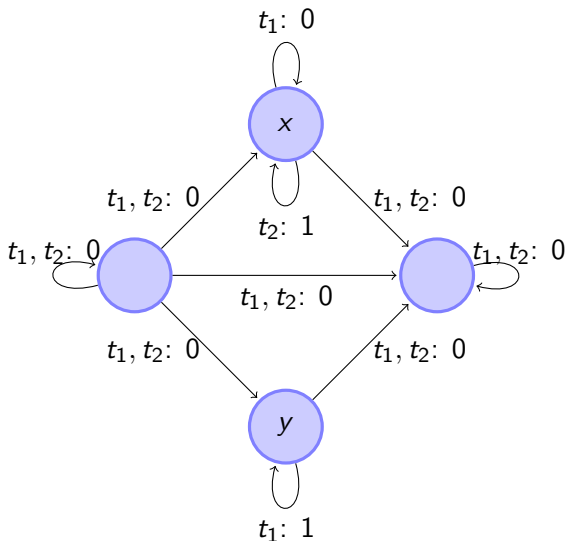
Longest trace
when variables belongs to $[0, n]$

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$$t_2: x > x'$$

Longest word
having value at most n

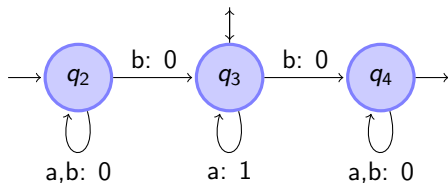
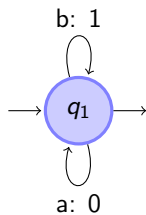


Ideas of the main proof

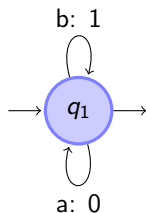
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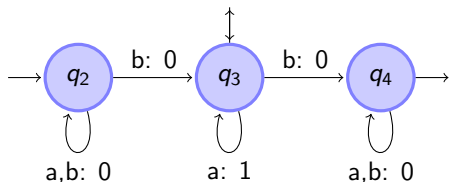
Max-Plus Automata: an algebraic view



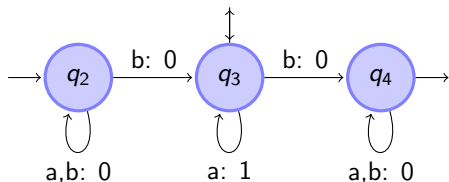
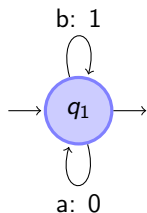
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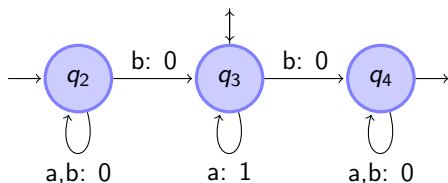
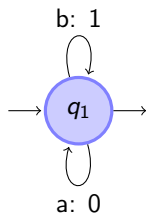
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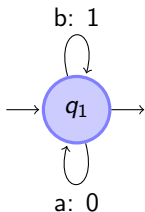


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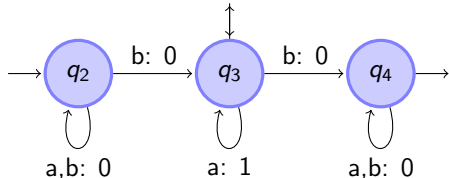
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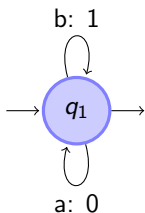


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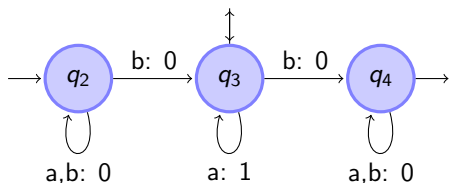
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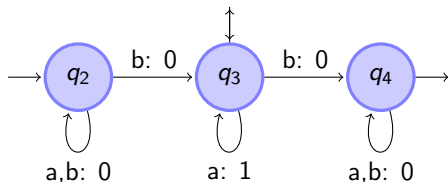
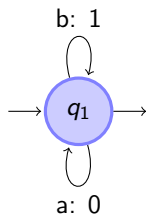
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$$\mu(a_1 a_2 \cdots a_k) = \mu(a_1) \otimes \mu(a_2) \otimes \cdots \otimes \mu(a_k)$$

$\mu(w)_{i,j}$ is the maximal weight of runs going from i to j labelled by w .

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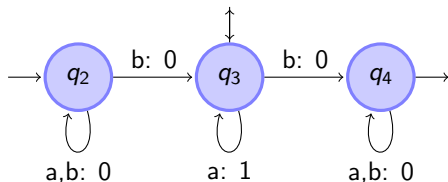
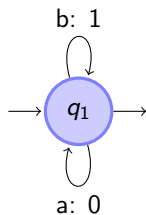


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$$I = (0, 0, 0, -\infty) \quad F = \begin{pmatrix} 0 \\ -\infty \\ 0 \\ 0 \end{pmatrix}$$

Max-Plus Automata: an algebraic view



$$\mu(a) = \begin{pmatrix} 0 & -\infty & -\infty & -\infty \\ -\infty & 0 & -\infty & -\infty \\ -\infty & -\infty & 1 & -\infty \\ -\infty & -\infty & -\infty & 0 \end{pmatrix}$$

$$\mu(b) = \begin{pmatrix} 1 & -\infty & -\infty & -\infty \\ -\infty & 0 & 0 & -\infty \\ -\infty & -\infty & -\infty & 0 \\ -\infty & -\infty & -\infty & 0 \end{pmatrix}$$

$$I = (0, 0, 0, -\infty) \quad F = \begin{pmatrix} 0 \\ -\infty \\ 0 \\ 0 \end{pmatrix}$$

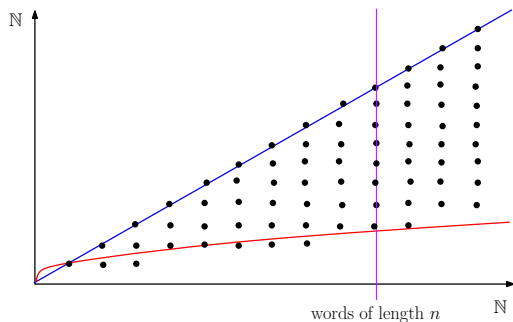
$$f(w) = I \otimes \mu(w) \otimes F$$

Ideas for the proof

$f : \mathbb{A}^* \mapsto \mathbb{N} \cup \{-\infty\}$ computed by a max-plus automaton.

$$\begin{aligned} \bar{f} : \mathbb{N} &\mapsto \mathbb{N} \cup \{-\infty\} \\ n &\rightarrow \min\{f(w) \mid |w| = n\} \end{aligned}$$

\rightsquigarrow describe the asymptotic behaviour of infinite sequences of words.



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Describe the set $\{(\mu(w), |w|) \mid w \in \mathbb{A}^*\}$:

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- Represent the asymptotic behaviour of infinite sequences of words:
presentable sets.

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Describe the set $\{(\mu(w), |w|) \mid w \in \mathbb{A}^*\}$:

- Represent the asymptotic behaviour of infinite sequences of words:
presentable sets.
- **Approximate** the smallest pairs.

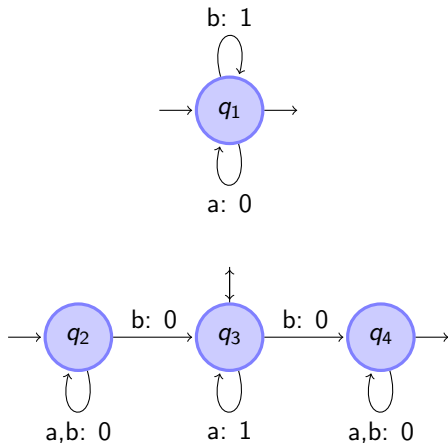
Ideas of the proof: presentable sets

Represent the asymptotic behaviour of an infinite sequence of words

$$\left\{ \left(\begin{array}{cccc} 0 & -\infty & n^{1/2} & n^{1/3} \\ 0 & 0 & n & 1 \\ n^{3/5} & -\infty & 1 & -\infty \\ -\infty & n^{2/3} & -\infty & 0 \end{array} \right), n \mid n \in \mathbb{N} \right\}$$

Ideas of the proof: presentable sets

Represent the asymptotic behaviour of an infinite sequence of words



$$(a^n b)^n a^n$$

$$\begin{pmatrix} n^{1/2} & -\infty & -\infty & -\infty \\ -\infty & 0 & n^{1/2} & n^{1/2} \\ -\infty & -\infty & -\infty & n^{1/2} \\ -\infty & -\infty & -\infty & 0 \end{pmatrix}$$

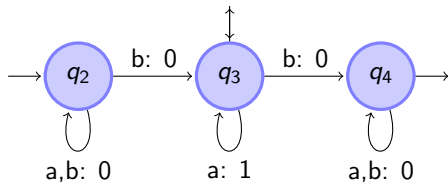
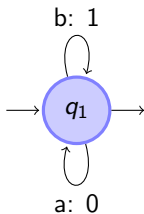
Ideas of the proof: presentable sets

Represent a convex polyhedra of exponents

$$\left\{ \left(\begin{array}{cccc} 0 & -\infty & n^\lambda & n^\mu \\ 0 & 0 & n & 1 \\ n^\nu & -\infty & 1 & -\infty \\ -\infty & n^\eta & -\infty & 0 \end{array} \right), n \right\} \mid \left\{ \begin{array}{l} n \in \mathbb{N} \\ \lambda, \eta, \mu, \nu \in [0, 1] \\ \mu + \eta \geq 1 \\ 5\lambda + 10\nu \geq 8 \end{array} \right\}$$

Ideas of the proof: presentable sets

Represent a convex polyhedra of exponents

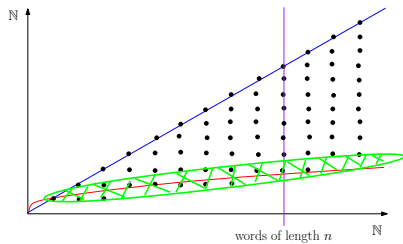


$$(a^* b)^* a^*$$
$$\begin{pmatrix} n^{1-\lambda} & -\infty & -\infty & -\infty \\ -\infty & 0 & 1 & n^\lambda \\ -\infty & -\infty & -\infty & n^\lambda \\ -\infty & -\infty & -\infty & 0 \end{pmatrix}$$

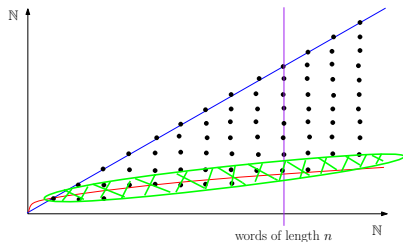
for all $\lambda \in [0, 1]$

$$\begin{pmatrix} 1 & -\infty & -\infty & -\infty \\ -\infty & 0 & n & 1 \\ -\infty & -\infty & -\infty & 1 \\ -\infty & -\infty & -\infty & 0 \end{pmatrix}$$

Ideas of the proof: approximation

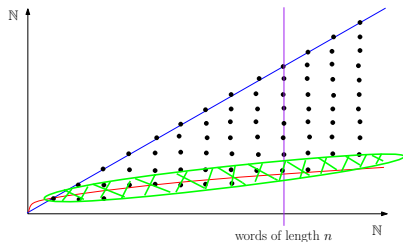


Ideas of the proof: approximation



$$(M, \ell) \preceq_a (N, k) \quad \text{if} \quad \begin{aligned} M &\leq aN \\ k &\leq a\ell \\ \tilde{M} &= \tilde{N} \end{aligned}$$

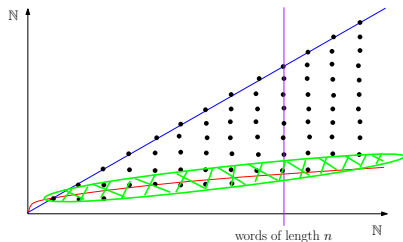
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$X \preceq_a Y$ if for all $(N, k) \in Y$, there is $(M, \ell) \in X$ such that $(M, \ell) \preceq_a (N, k)$

Ideas of the proof: approximation

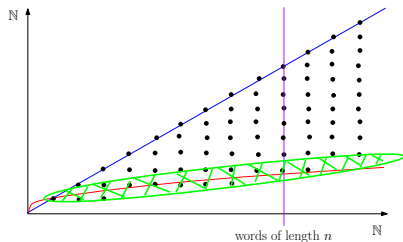


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$X \approx_a Y$ if $X \preceq_a Y$ and $Y \preceq_a X$

Ideas of the proof: approximation



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$X \approx_a Y$ if $X \preceq_a Y$ and $Y \preceq_a X$

$X \approx Y$ if there is a such that $X \approx_a Y$

Structure of the proof: forest factorization theorem

Approximate by presentable sets:

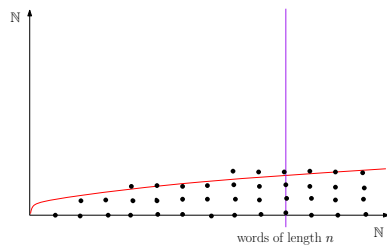
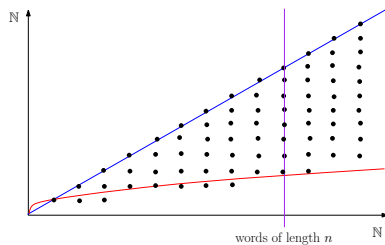
- the "product" of two presentable sets
- the "closure under product" of "idempotent" presentable sets

↪ use of the forest factorization theorem of Simon:

- start with matrices corresponding to letters
- apply the two previous operations
- after a finite number of steps, we get a presentable set that approximates the set $\{(\mu(w), |w|) \mid w \in \mathbb{A}^*\}$

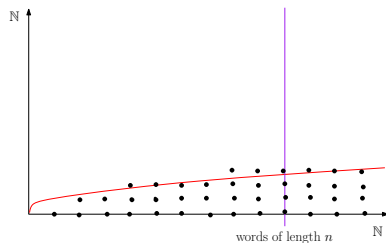
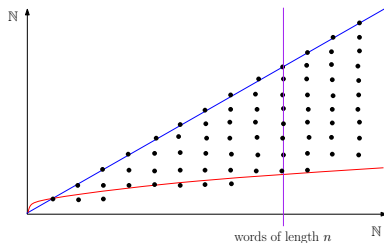
Conclusion and further questions

- What about min-plus automata?



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- What about min-plus automata?



- Compute the multiplicative coefficient.
(done for min-plus and $|\cdot|$ up to an ε -approximation)