

# Probabilistic Neural Topic Models for Text Understanding

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# Outline

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- Motivation
- Research Objectives
- Contributions
- Publications
- Discussion
- Future Work and Conclusion

# Motivation

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Making sense of text in **large corpora**

- User Reviews, Electronic Health Records, Social Networks, etc.

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Our children didn't manage to **clean their plates!** Plenty of food!



After one cycle the crockery is still dirty, it doesn't **clean the plates** even at full power.



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- Clinical notes often contain **technical jargon**, **multiword phrases**, medical **abbreviations** and **polysemous** terms



*White blood cell*  
*Shortness of breath*

...

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- User reviews might be characterized by colloquial **idioms**, **slang** or **contractions**
- Clinical notes often contain **technical jargon**, **multiword phrases**, medical **abbreviations** and **polysemous** terms
- Authors mix their **opinions** with **factual descriptions**



Overall, this is a good film and an excellent adaption. [...] the myriad inhabitants of Middle-earth, the legendary Rings of Power, and the fellowship of hobbits, elves, dwarfs, and humans—led by the wizard Gandalf (Ian McKellen) and the brave hobbit Frodo.

# Motivation

- **Topic models** have established themselves as effective tools to generate concise and expressive representations of high volumes of documents.
  - *Global Context* - Document-based embedding (e.g. LDA, LSI).



# Motivation

- **Topic models** have established themselves as effective tools to generate concise and expressive representations of high volumes of documents.
  - *Global Context* - Document-based embedding (e.g. LDA, LSI).
- Distributional representations of **word** and **language models**
  - *Local Context* - Window-based embedding (e.g. word2vec, BERT).

## Word Embeddings

...government **debt** problems turning into **banking** crises as happened in 2009...  
...saying that Europe needs unified **banking** **regulation** to replace the hodgepodge...  
...India has just given its **banking** **system** a shot in the arm...

These context words will represent **banking**

## Masked Language Models

the man went to the **[MASK]** to buy a **[MASK]** of wine

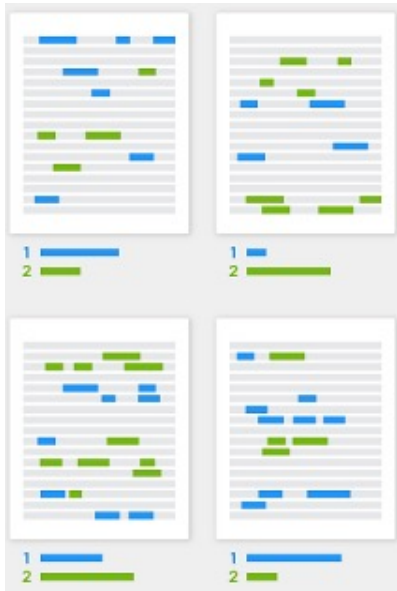
pub                      glass

↑                              ↑

# Research Objectives

Our hypothesis is that **topic models** and **neural architectures** are a suitable combination for capturing text semantic, with benefits connected to several NLP open problems.

**RO 1** Combining global and local context of words

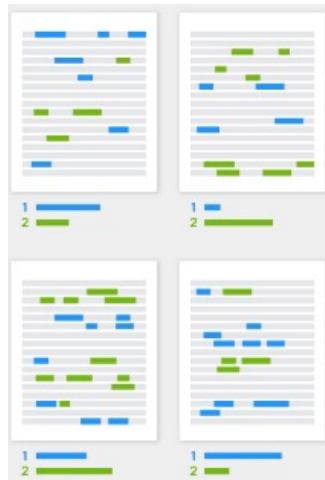


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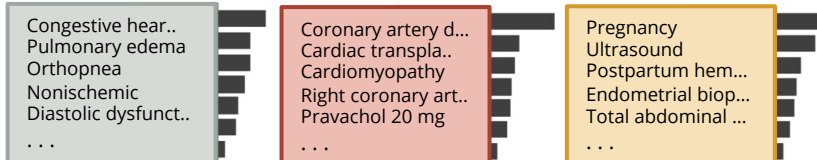
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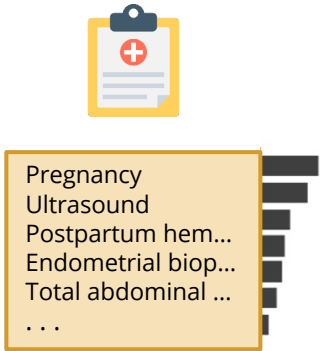
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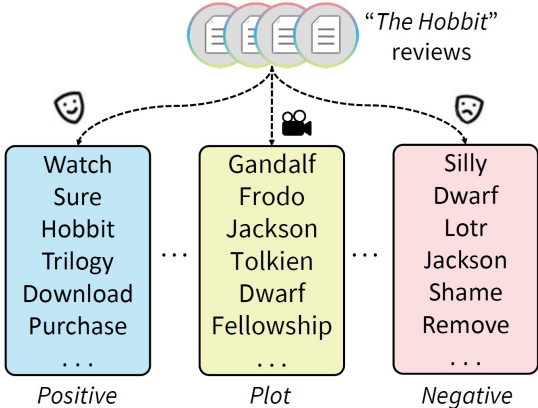
**RO 1** Combining global and local context of words

**RO 2** Generating fine-grained topics.

Topical phrases



Polarity-disentangled Topics



Sentiment-oriented topics

HAN

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1) wait the half hour with a cup of joe , and enjoy more than your average breakfast .

2) space was limited , but the food made up for it .

3)the prices should have been lower .

4) the crowd is mixed yuppies , young and old .

5)making the cakes myself since i was about seven - but something about these little devils gets better every tim .

Positive polarity	
FOOD#QUALITY	pos
RESTAURANT#MISCELLANEOUS	neg
FOOD#STYLE_OPTIONS	neg
RESTAURANT#MISCELLANEOUS	neut
FOOD#QUALITY	pos

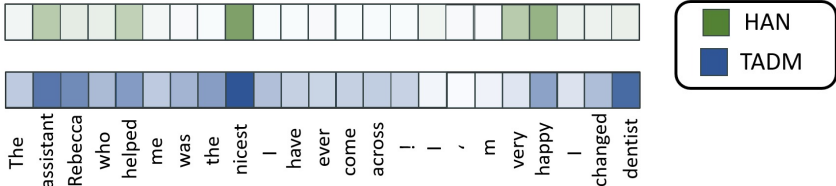


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- RO 1** Combining global and local context of words
- RO 2** Generating fine-grained topics.
- RO 3** Incorporating unstructured knowledge.

## Word Polarity



## Domain-specific lexicons and concepts

A screenshot of the MedTagger interface. It features a blue button labeled "MedTagger" and a green icon of a document with a plus sign. Below the icon, the text "White blood cell" and "Shortness of breath" is displayed in red, followed by three dots indicating more results.

# Research Objectives

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**RO 1** Combining global and local context of words

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**RO 3** Incorporating unstructured knowledge.

**RO 4** Incorporating structured knowledge.

## Domain-specific lexicons and concepts



Patients **ENTITY** with diabetes **ENTITY** ( HR **ENTITY** 1.59) were more likely to reach to the composite **ENTITY** endpoints **ENTITY** than those without.

# Research Objectives

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Our hypothesis is that **topic models** and **neural architectures** are a suitable combination for capturing text semantic, with benefits connected to several NLP open problems.

**RO 1** Combining global and local context of words

**RO 2** Generating fine-grained topics.

*Sentiment Classification*

**RO 3** Incorporating unstructured knowledge.

*Aspect Extraction*

**RO 4** Incorporating structured knowledge.

*Biomedical QA*

**RO 5** Evaluation on downstream tasks.

# Contributions

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“TDAM: a Topic-Dependent Attention Model for Sentiment Analysis”

*Information Processing and Management, 2019*

## Research Objectives

**RO 1** Combining global and local context of words

**RO 2** Generating fine-grained topics.

**RO 3** Incorporating unstructured knowledge.

**RO 4** Incorporating structured knowledge.

**RO 5** Evaluation on downstream tasks.

## Contributions

**External memory** keeping track of word co-occurrences

**Aspect** extraction through sentiment topics

**Word embeddings** induced from sentiment polarity

Sentiment classification and Aspect Extraction

# Contributions

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“A Disentangled Adversarial Neural Topic Model for Separating Opinions from Plots in User Reviews”

*North American Chapter of the Association for Computational Linguistics (NAACL), 2021*

## Research Objectives

**RO 1** Combining global and local context of words

**RO 2** Generating fine-grained topics.

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**RO 5** Evaluation on downstream tasks.

## Contributions

Initializing with **pre-training word embedding**

Generation of **disentangled topics**

**MOBO dataset** and introduction of **disentangling rate** for topics

# Contributions

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“Topical Phrase Extraction from Clinical Reports by Incorporating both Local and Global Context”

*The 2nd AAIL Workshop on Health Intelligence, AAIL 2018*

## Research Objectives

## Contributions

**RO 1** Combining global and local context of words

Modified **inference process** joining word embedding information

**RO 2** Generating fine-grained topics.

Identification of **topical phrases** encoded by character-based word embedding

**RO 3** Incorporating unstructured knowledge.

**RO 4** Incorporating structured knowledge.

**Domain-specific** word embedding

**RO 5** Evaluation on downstream tasks.

Identification of **topical phrases** encoded by character-based word embedding

# Contributions

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**“Boosting Low-Resource Biomedical QA via Entity-Aware Masking Strategies”**

*European Chapter of the Association for Computational Linguistics (EACL) 2021*

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**RO 4** Incorporating structured knowledge.

**RO 5** Evaluation on downstream tasks.

## Contributions

**Biomedical entity detection** via a scientific NER model

**Impact on several language models**

# Publications

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**“A Disentangled Adversarial Neural Topic Model for Separating Opinions from Plots in User Reviews”**

*North American Chapter of the Association for Computational Linguistics (NAACL), 2021*



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*Information Processing and Management, 2019*



**“Topical Phrase Extraction from Clinical Reports by Incorporating both Local and Global Context”**

*The 2nd AAAI Workshop on Health Intelligence (AAAI), 2018*



# Publications - 2

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## **“Adversarial Learning of Poisson Factorisation Model for Gauging Brand Sentiment in User Reviews”**

*Runcong Zhao, Lin Gui, Gabriele Pergola, Yulan He.*

*European Chapter of the Association for Computational Linguistics (EACL), 2021*



## **“CHIME: Crosspassage Hierarchical Memory Network for Generative Review Question Answering”**

*Junru Lu, Gabriele Pergola, Lin Gui, Binyang Li, Yulan He.*

*The 28th International Conference on Computational Linguistics (COLING), 2020*



## **“Neural Topic Model with Reinforcement Learning”**

*Lin Gui, Jia Leng, Gabriele Pergola, Yu Zhou, Ruifeng Xu, Yulan He.*

*The 2019 Conference on Empirical Methods in Natural Language Processing (EMNLP), 2019*

# TDAM: a Topic-Dependent Attention Model for Sentiment Analysis

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Gabriele Pergola, Lin Gui, Yulan He  
University of Warwick

*Information Processing and Management*  
2019

*Chosen for poster presentation at*



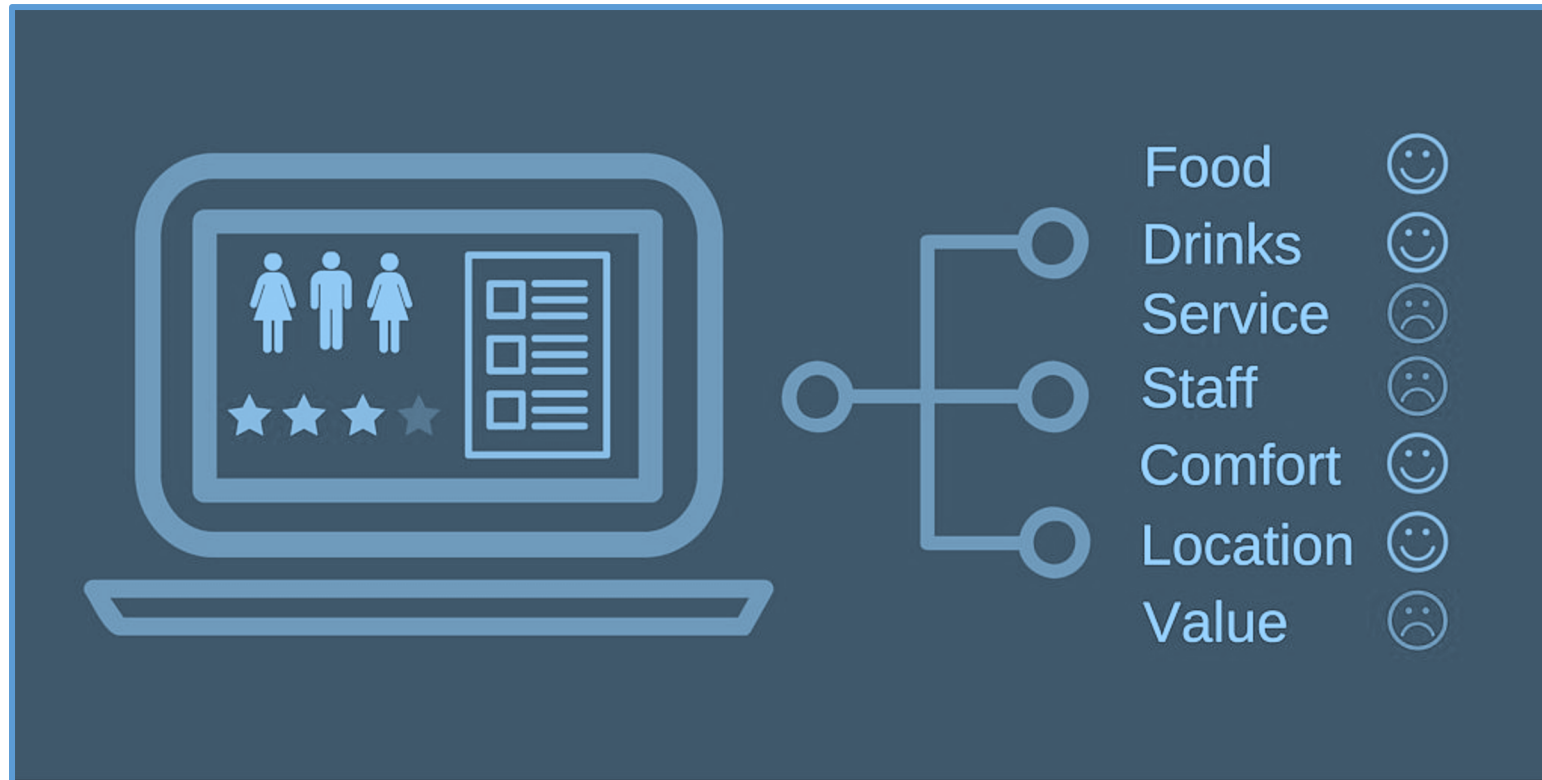
# Outline

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- What is Sentiment Analysis? What are “Topics” ?
- Problem - How to Extract Polarity-Bearing Topics from Text using Neural Models
- The Consciousness Prior
- TDAM: A Topic-Dependent Attention Model
- Summary of Results

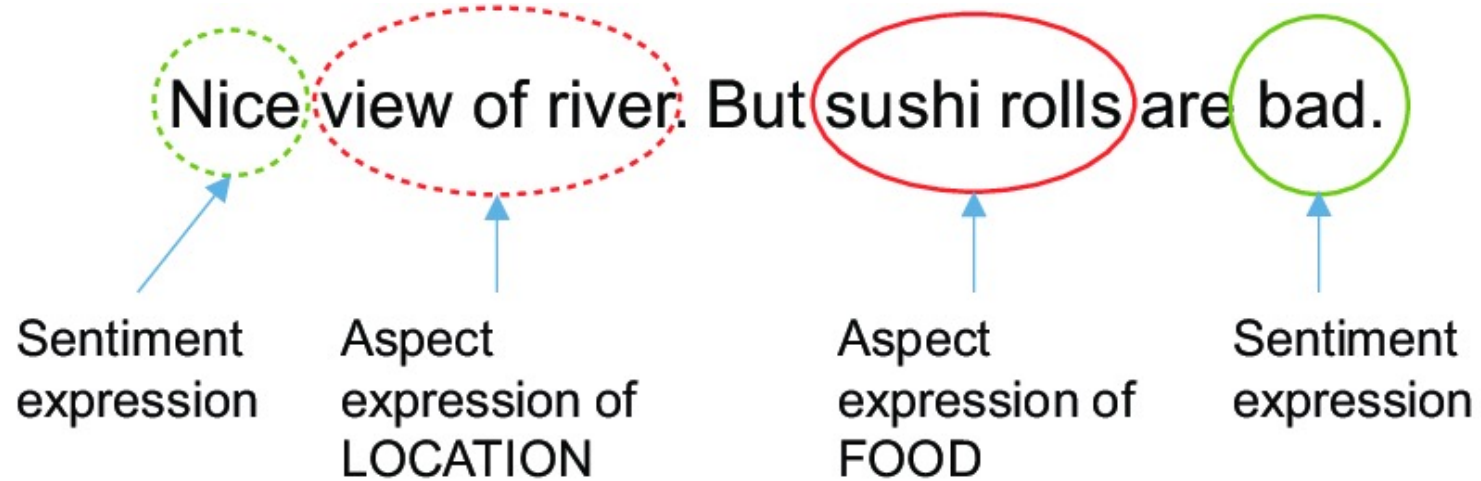
# Sentiment Analysis

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# Aspect-Based Sentiment Analysis

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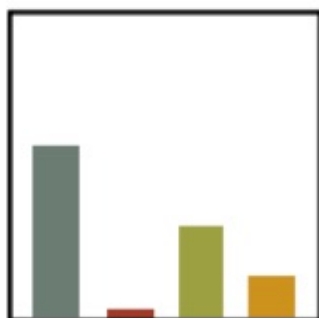
# Topic Modeling - 1

sick  
sore  
throat  
feel  
fever  
flu  
...

allergies  
nose  
eyes  
allergy  
allergic  
sneezing  
...




watch  
watching  
tv  
killing  
movie  
seen  
...

class  
school  
read  
test  
doing  
finish  
...



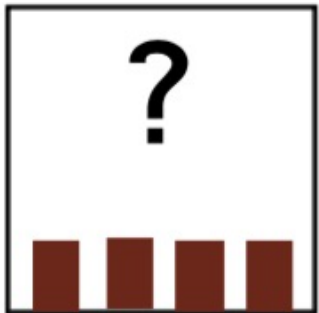
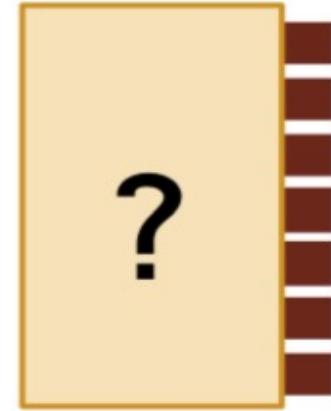
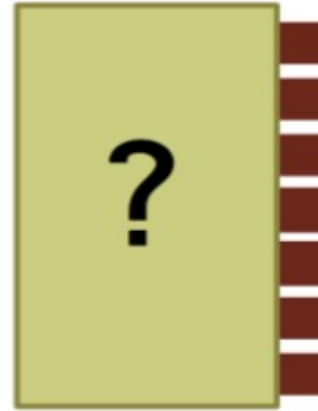
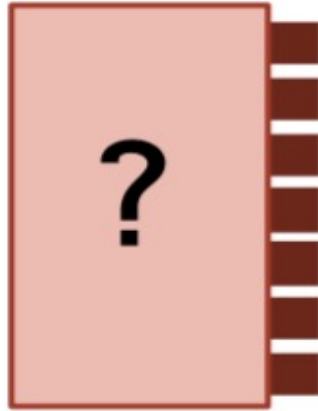
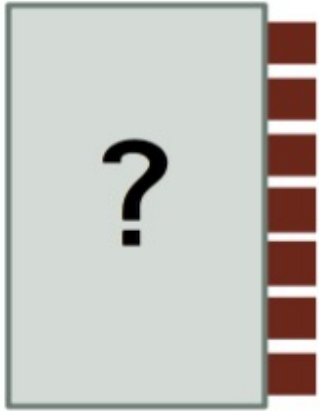
 Michael Paul @mjp39 · Jan 24



I've had the flu and fever all week :( **staying home from school** and **watching a lot of tv**





# Topic Modeling - 2

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 **Michael Paul** @mjp39 · Jan 24 

I've had the flu and fever all week :( staying home from school and watching a lot of tv

# Sentiment analysis meets topic modeling

Word representations should depend on the **topical context** in which they appear in. This context emerges from the co-occurrence of words across documents.



Our children didn't manage to **clean their plates!** Plenty of food!



After one cycle the crocker is still dirty, it doesn't **clean the plates** even at full power.





# Polarity-bearing Topics from Neural Models

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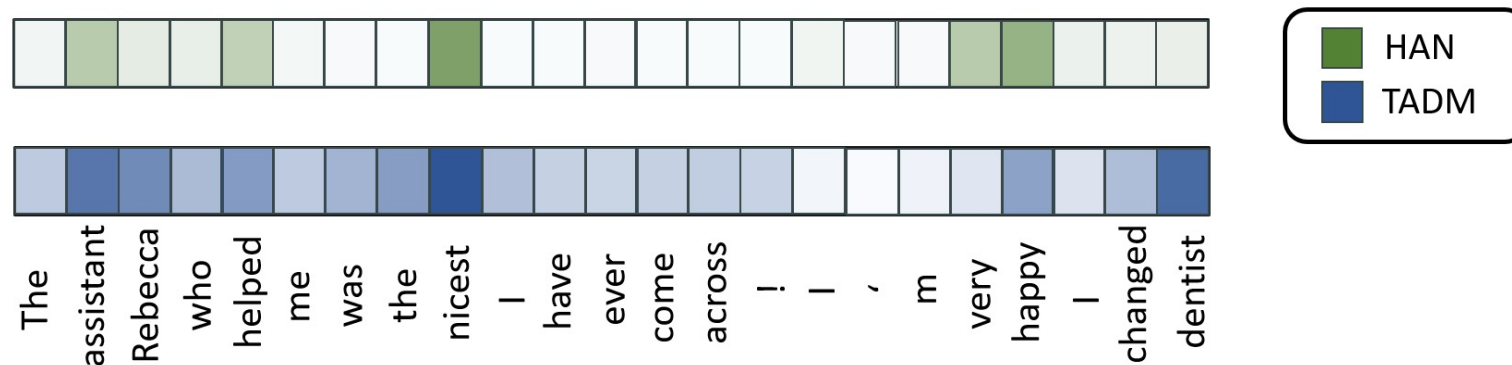
## PROBLEM

We want to extract **topics** by looking at the **attention weights** learned by neural models; however, there is no mechanism to separate words into multiple clusters representing polarity-bearing topics.

# Polarity-bearing Topics from Neural Models

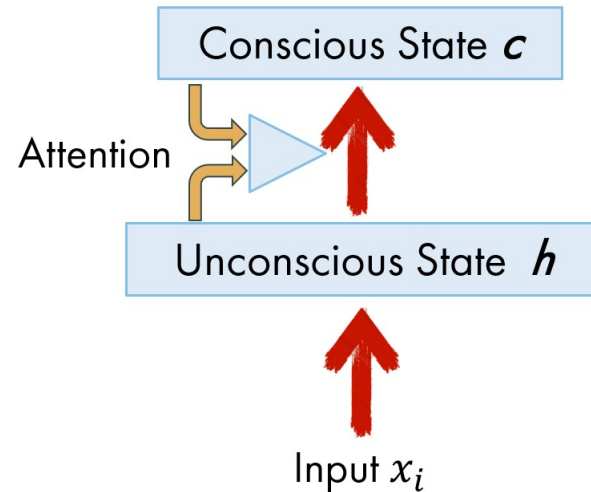
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# The Consciousness Prior

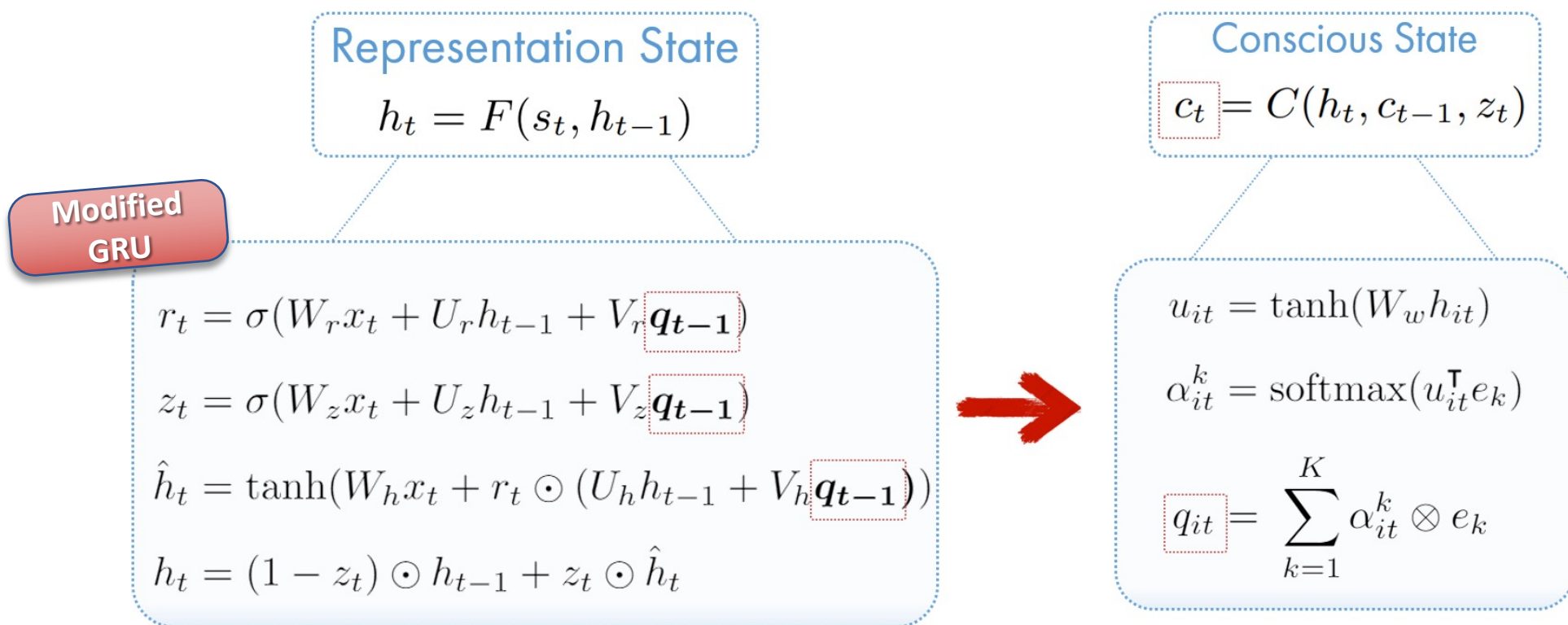
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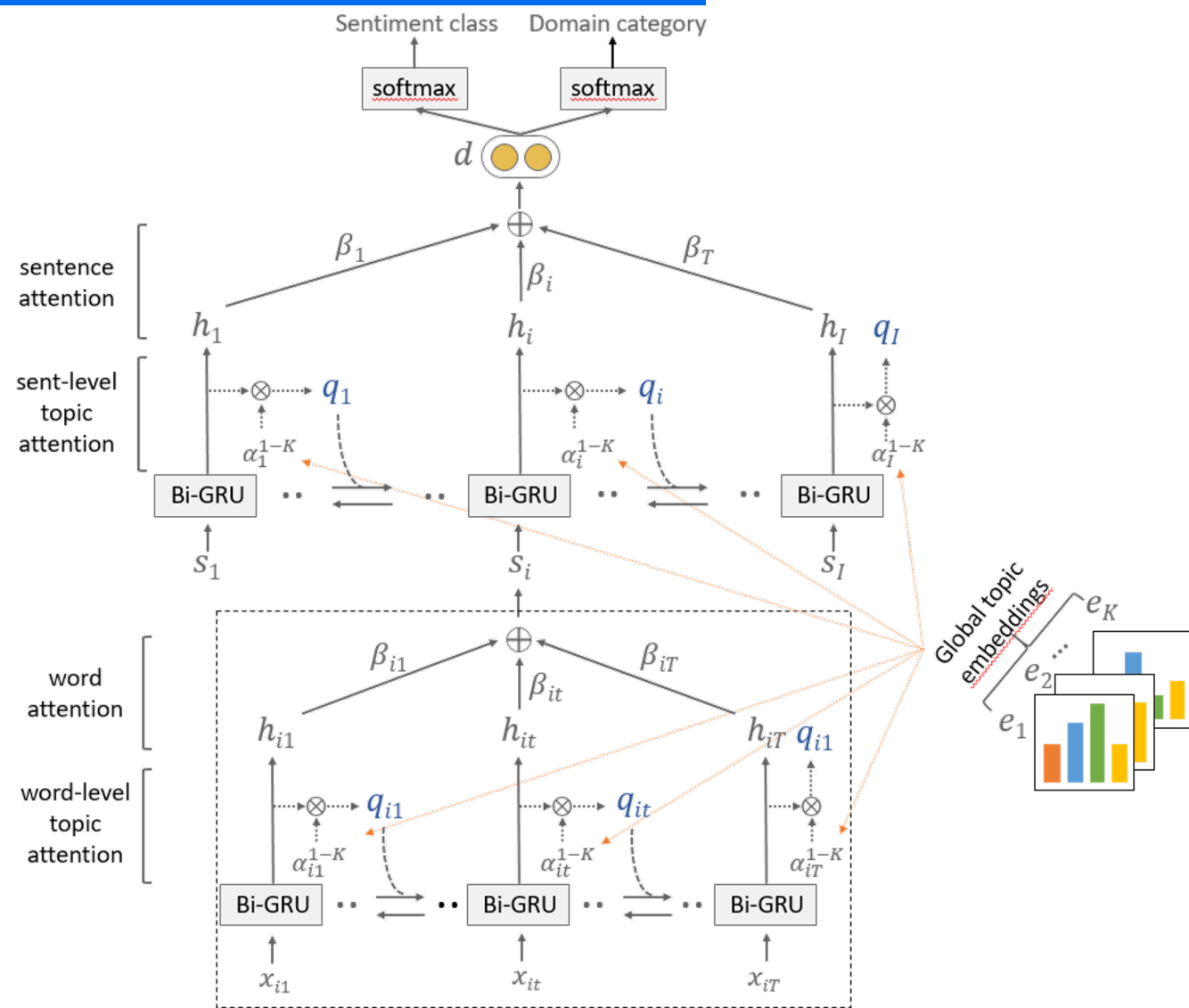
<< [...] the **consciousness RNN  $C()$**  a tool for exploring interpretations or plans or to sample predictions about the future.

We can also think of the consciousness RNN as the tool to **isolate a particular high-level abstraction and extract the information about it** >> [1].

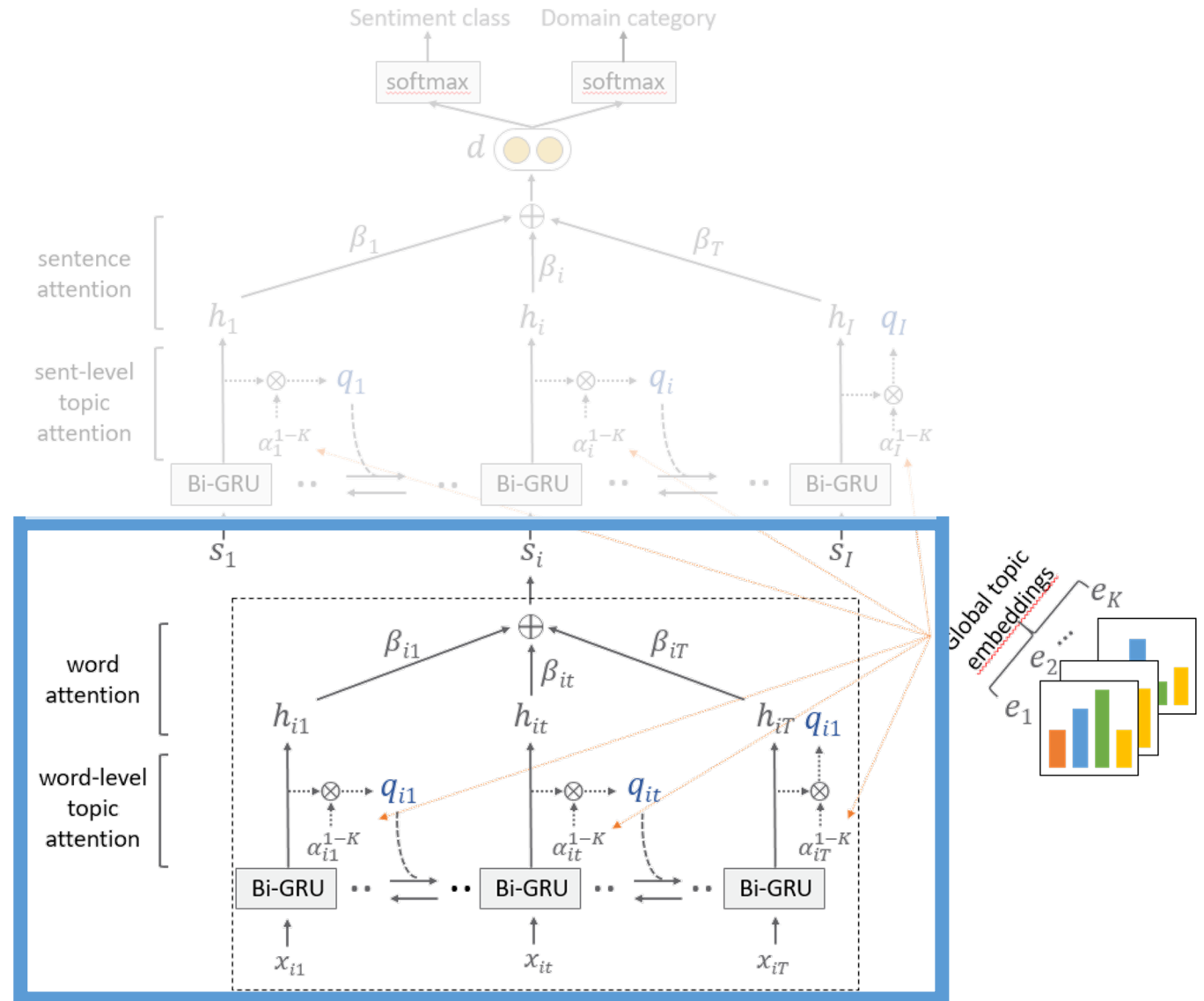
# TopicGRU – Attention to extract topics



# Topic Dependent Attention Model



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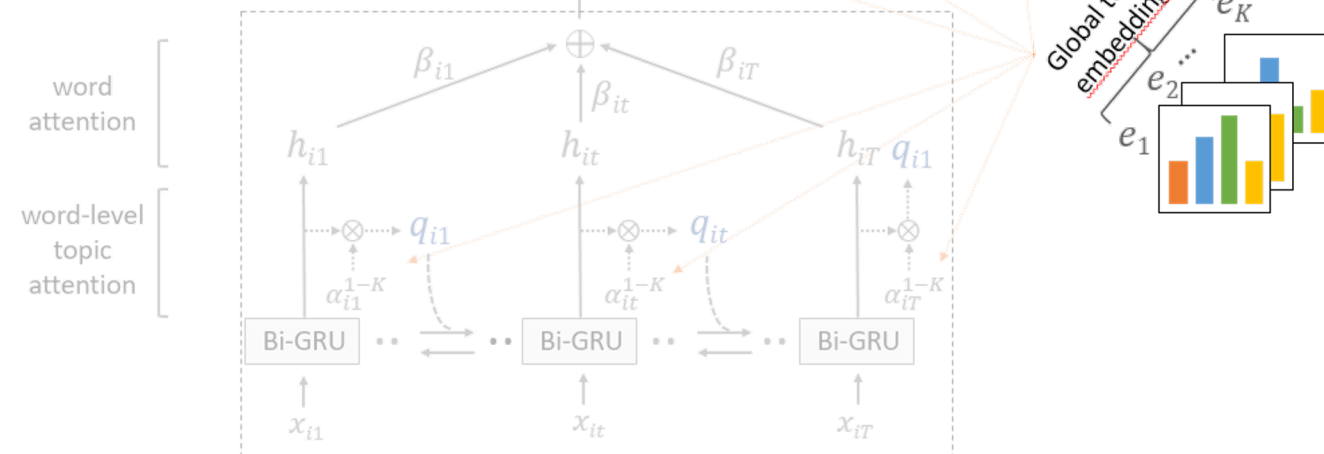
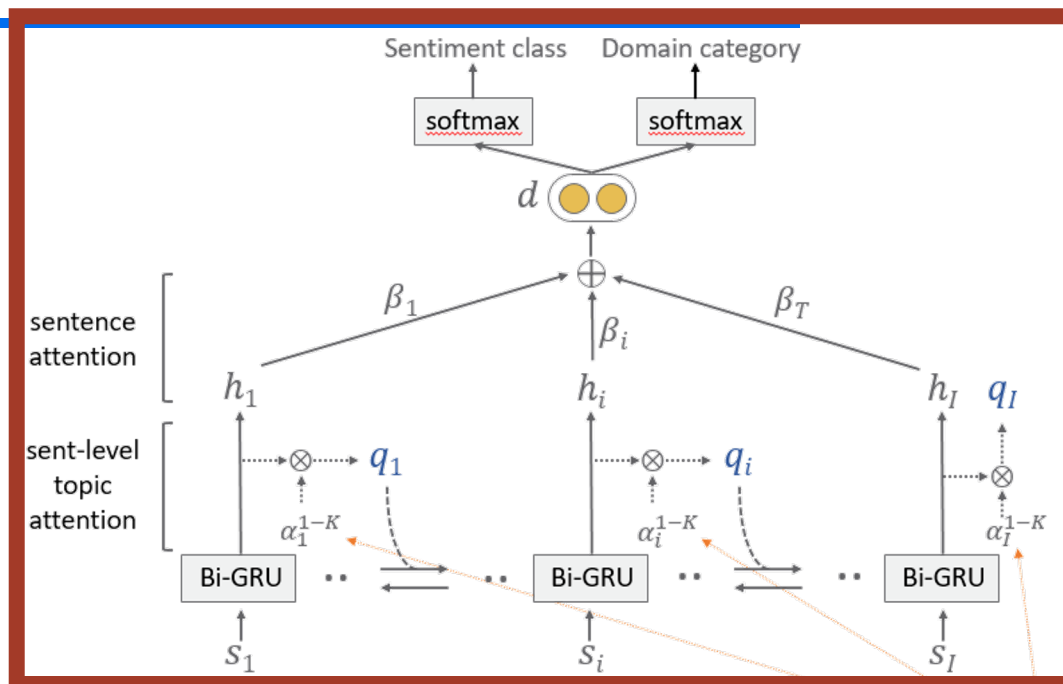


FROM WORDS  
TO  
SENTENCES

# Topic Dependent Attention Model

FROM SENTENCES  
TO  
DOCUMENTS

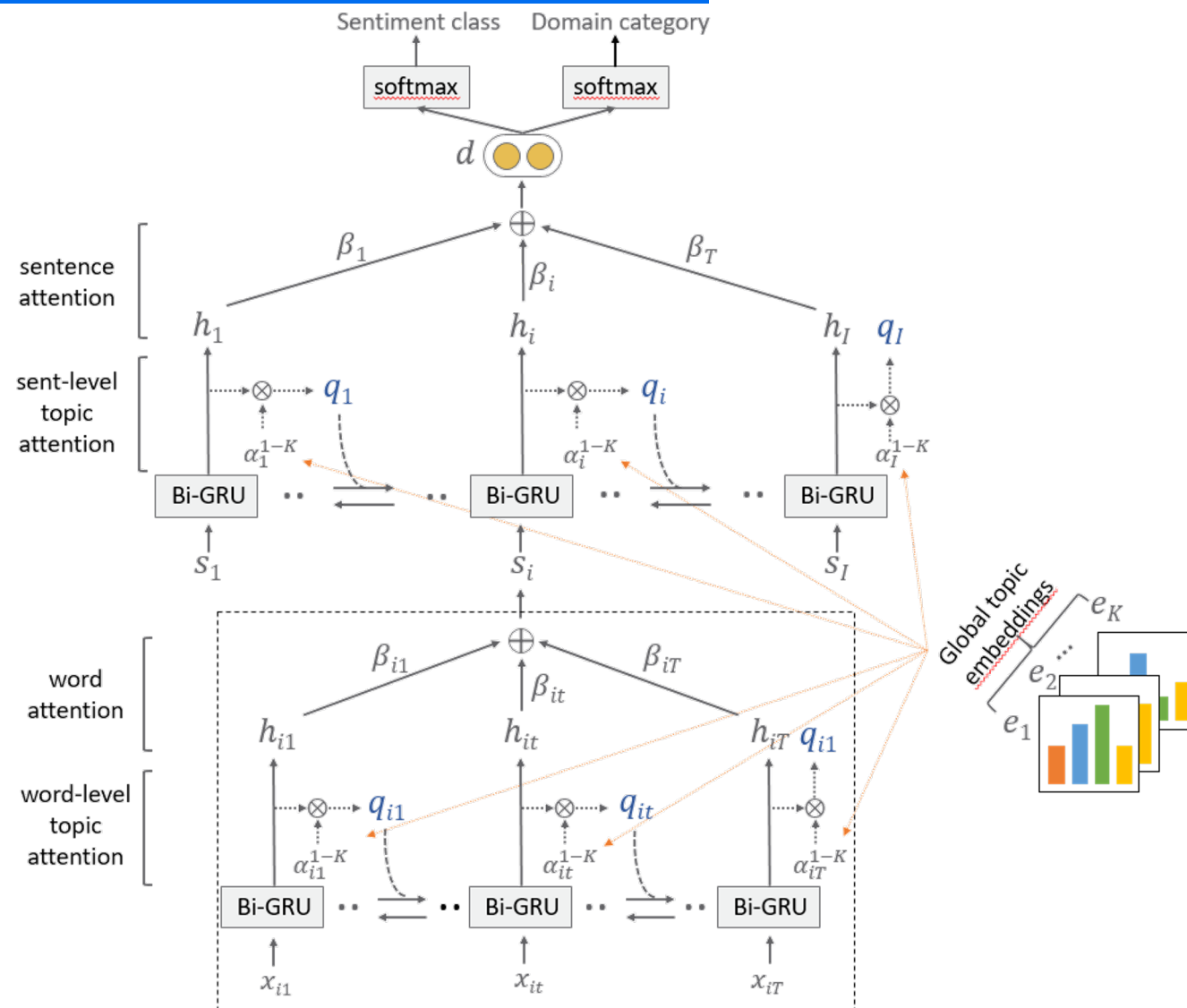
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# Sentiment Classification

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Methods	Yelp 18	Amazon
BiLSTM	74.5 $\pm$ 0.2	72.1 $\pm$ 0.2
BiLSTM - Mtl	74.2 $\pm$ 0.2	71.8 $\pm$ 0.1
BiGRU	75.5 $\pm$ 0.1	72.5 $\pm$ 0.3
BiGRU - Mtl	75.4 $\pm$ 0.2	72.1 $\pm$ 0.3
HAN	83.7 $\pm$ 0.2	78.4 $\pm$ 0.2
HAN - Mtl	83.6 $\pm$ 0.3	78.2 $\pm$ 0.3
S-LDA	70.8 $\pm$ 0.2	64.6 $\pm$ 0.1
SCHOLAR	77.3 $\pm$ 0.2	71.4 $\pm$ 0.2
TDAM	84.2 $\pm$ 0.2	78.9 $\pm$ 0.2
TDAM - Mtl	<b>84.5 <math>\pm</math> 0.3</b>	<b>79.1 <math>\pm</math> 0.2</b>

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# Aspect-based Sentiment Analysis

HAN

TDAM

## Positive polarity - Food#Quality

1) wait the half hour with a cup of joe , and enjoy more than your average breakfast .	FOOD#QUALITY pos	1) the food was all good but it was way too	FOOD#QUALITY neg
2) space was limited , but the food made up for it .	RESTAURANT#MISCELLANEOUS neg	2) the pizza 's are light and scrumptious .	FOOD#STYLE.OPTIONS pos
3)the prices should have been lower .	FOOD#STYLE.OPTIONS neg	3) the food is great and they make a mean bloody mary .	FOOD#QUALITY pos
4) the crowd is mixed yuppies , young and old .	RESTAURANT#MISCELLANEOUS neut	4) great draft and bottle selection and the pizza rocks .	FOOD#QUALITY pos
5)making the cakes myself since i was about seven - but something about these little devils gets better every time .	FOOD#QUALITY pos	5) the food is simply unforgettable !	FOOD#QUALITY pos

## Negative polarity - Food#Quality

1) the pancakes were certainly inventive but \$ 8.50 for 3 - 6 " pancakes ( one of them was more like 5 " )	FOOD#STYLE.OPTIONS neg	1) i may not be a sushi guru	FOOD#QUALITY neg
2)a beautiful assortment of enormous white gulf prawns , smoked albacore tuna, [...] and a tiny pile of dungeness	FOOD#STYLE.OPTIONS pos	2) rice is too dry , tuna was n't so fresh either .	FOOD#QUALITY neg
3) space was limited , but the food made up for it .	RESTAURANT#MISCELLANEOUS neg	3) the only way this place survives with such average food is because most customers are one-time customer tourists	FOOD#QUALITY neg
4) the portions are big though , so do not order too much .	FOOD#STYLE.OPTIONS neut	4) the portions are big though , so do not order too much .	FOOD#STYLE.OPTIONS neut
5) not the biggest portions but adequate .	FOOD#QUALITY pos	5) the only drawback is that this place is really expensive and the portions are on the small side .	RESTAURANT#PRICES neg

Detecting **aspect** (e.g. food, service, options) and **polarity** (positive, negative, neutral)

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Detecting **aspect** (e.g. food, service, options) and **polarity** (positive, negative, neutral)

# Conclusion

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- A possible extension of the hierarchical model is to introduce an intermediate level between words and sentences, defining a **discourse-level layer**.

This discourse-level layer would determine the **elementary discourse units (EDUs)**.

- Use a **Neural Topic Model** to jointly update the external memory inferring topical representations.
- Employment of **Contextualized Language Models** in place of GloVe embedding.

# Disentangled Adversarial Topic Model

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Gabriele Pergola, Lin Gui, Yulan He  
University of Warwick

*“A Disentangled Adversarial Neural Topic Model for Separating Opinions from Plots in User Reviews”*

North American Chapter of the Association for Computational Linguistics (NAACL)

2021



# Problem: Disentangling Topics

- The application of topic models to text with users' opinions (e.g. book reviews) often leads to the generation of **topics mixing different aspects**. For example, information about book's plot or characters gets mixed with user's feelings and opinions.
- Therefore, we want to separate **polarity-bearing topics** from **neutral topics**.  
Providing features disentangling different aspects in text.
- As a case study, we applied our model to movie and book reviews to disentangle topics about their **plots** and topics about the users' **opinions**.  
Fig. 1 shows an example of polarity-disentangled topics.

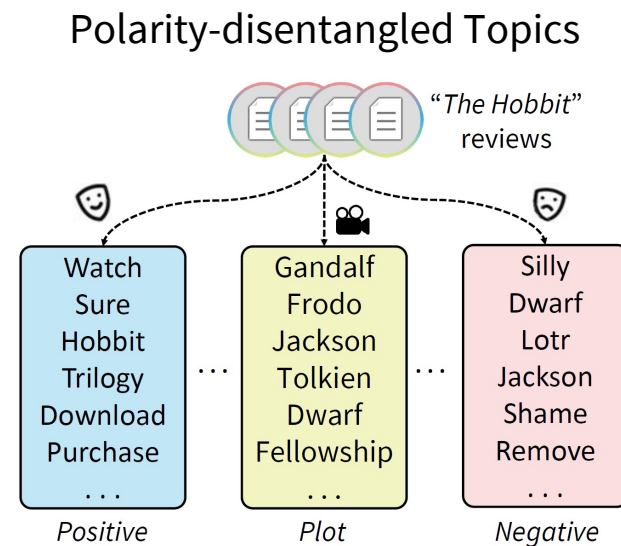
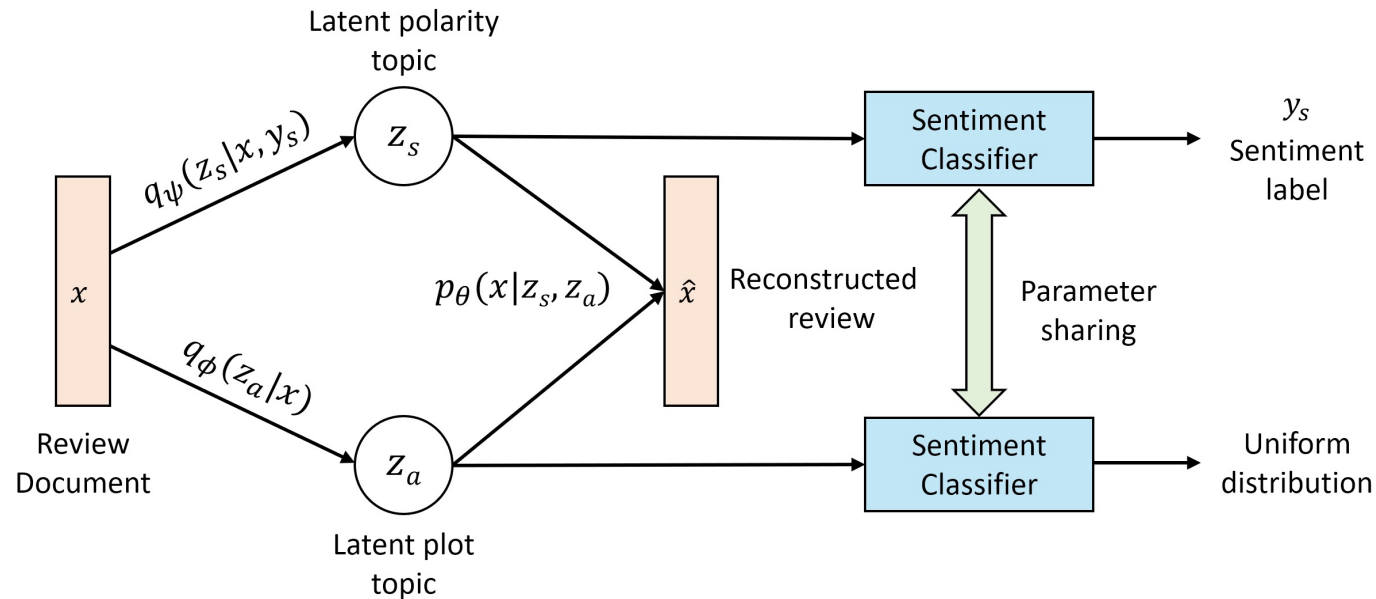


Fig. 1: Disentangled topics extracted by DIATOM from the Amazon reviews for "The Hobbit".



# Model: Neural Topic Model with Adversarial Training

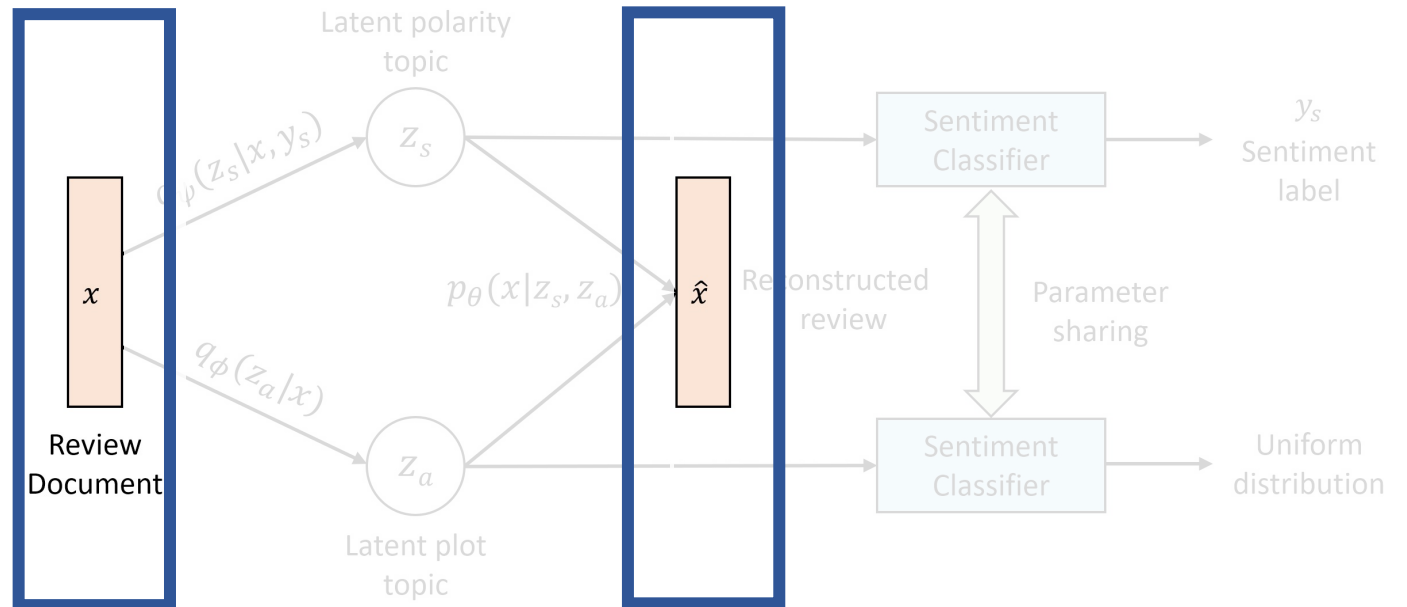
We propose to combine a **Neural Topic Model** architecture with **adversarial training** to disentangle polarity-bearing topics from neutral ones.



# Model: Neural Topic Model with Adversarial Training

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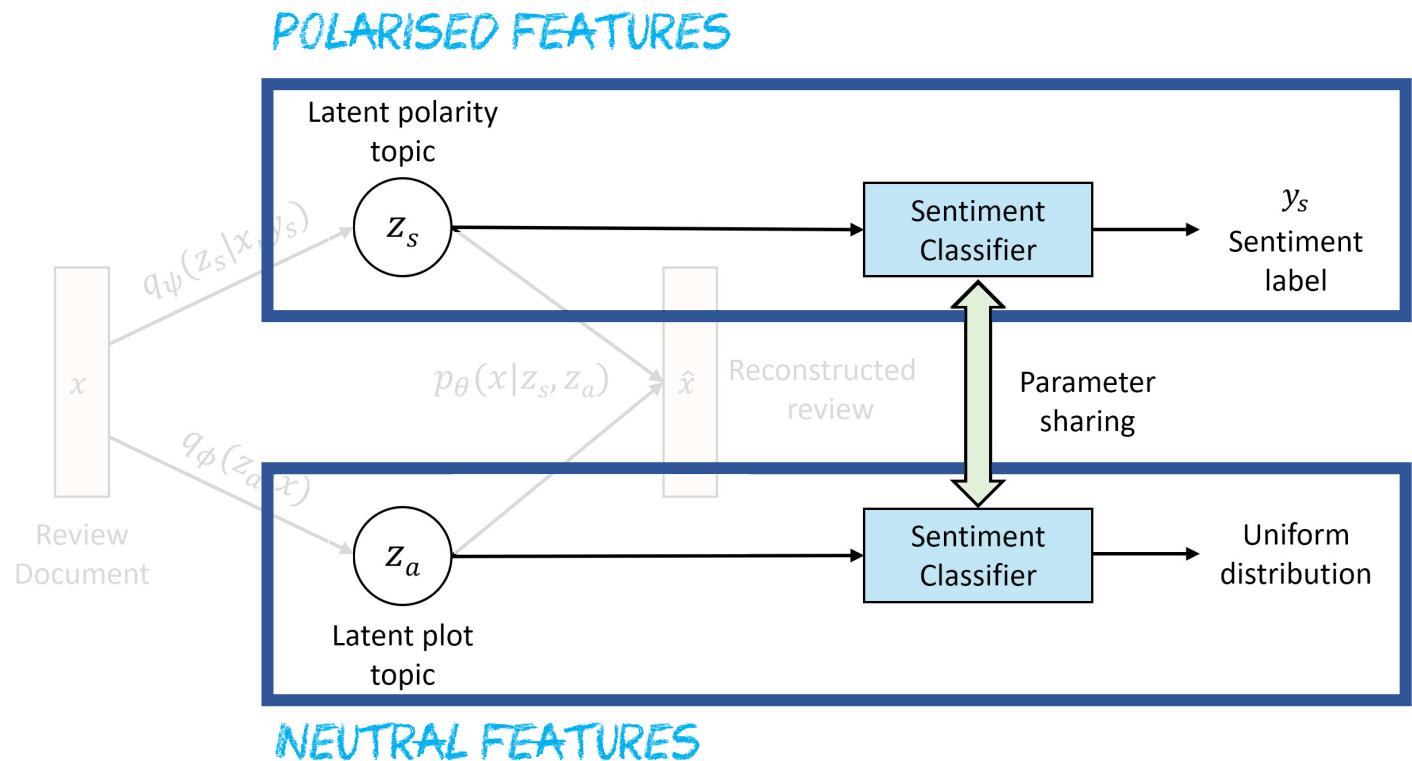
- The **VAE architecture** extracts topics through a bottleneck mechanism, reading and reconstructing the documents



# Model: Neural Topic Model with Adversarial Training

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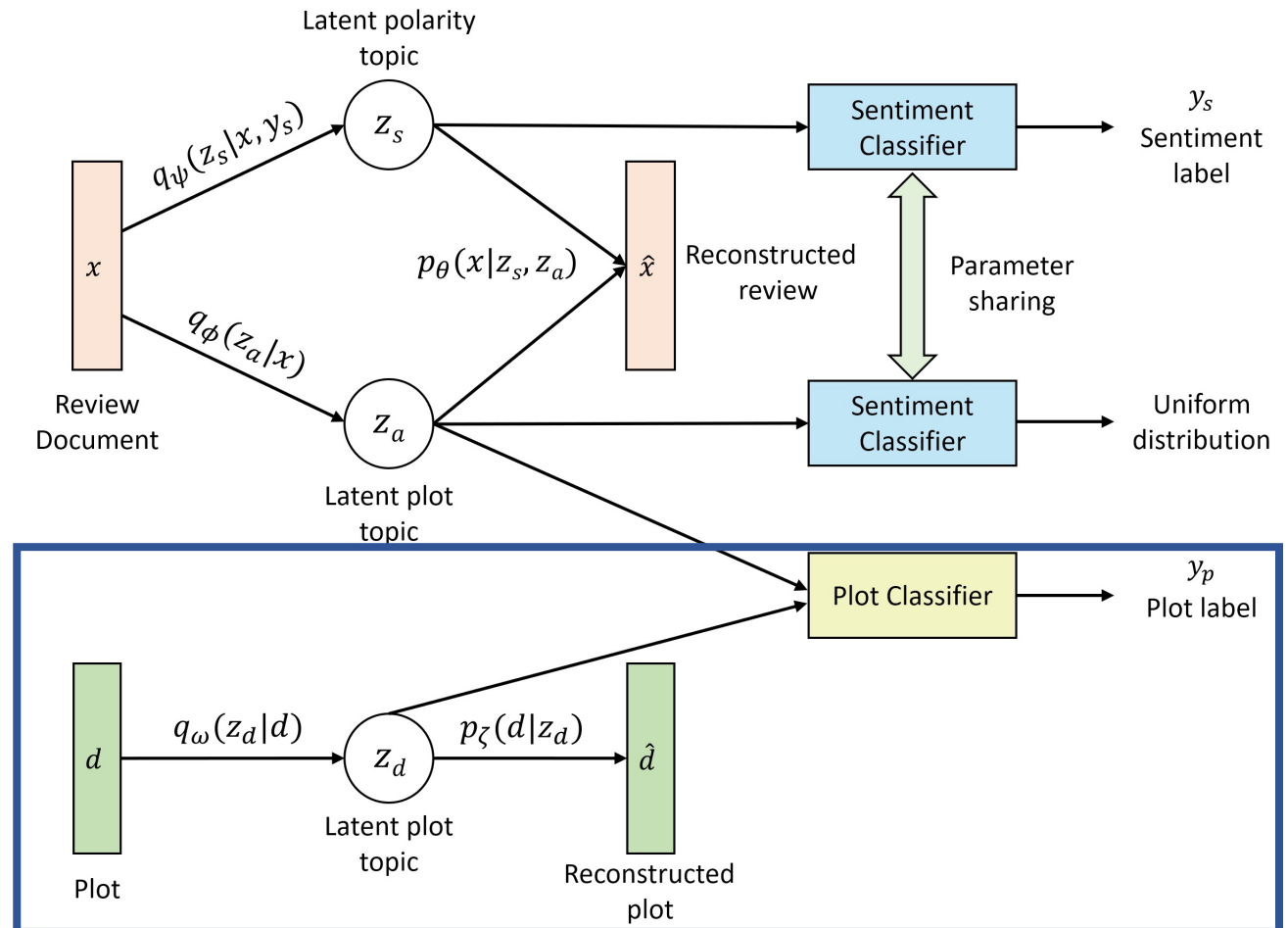
- The **VAE architecture** extracts topics through a bottleneck mechanism, reading and reconstructing the documents
- An **adversarial mechanism** separate polarised and neutral features, corresponding to polarised and neutral topics



# Model: Neural Topic Model with Adversarial Training

We propose to combine a **Neural Topic Model** architecture with **adversarial training** to disentangle polarity-bearing topics from neutral ones.

- The **VAE architecture** extracts topics through a bottleneck mechanism, reading and reconstructing the documents
- An **adversarial mechanism** separate polarised and neutral features, corresponding to polarised and neutral topics
- An analogous mechanism induces a prevalence of **plot topics** among all the possible neutral aspects.



# Results: the MOBO Dataset

- We also introduce a new dataset, namely the **MOBO dataset**, made up of **MO**vie and **BO**ok reviews, paired with their related plots. The reviews come from different publicly available datasets: IMDB, GoodReads and Amazon reviews.
- Additionally, around **15.000 sentences** of these reviews were manually annotated and used (only) during the evaluation phase to compute the disentanglement rate between polarity-bearing topics and plot (or neutral) topics.

Statistics	IMDB	GoodReads	Amazon
No. of plots	1,131	150	100
No. of reviews	25,836	83,852	32,375
No. of reviews / plot (avg / max / min)	24 / 30 / 10	954 / 3,000 / 549	464 / 1525 / 272
Pos / Neg / Neutral distribution	0.46 / 0.54 / 0	0.33 / 0.50 / 0.17	0.32 / 0.46 / 0.22
Training set	20,317	65,816	25,883
Development set	2,965	9,007	3,275
Test set	2,554	9,029	3,217
No. of annotated sentences	6,000	6,000	6,000

# Results: **Topic Evaluation - 1**

---

- To automatically evaluate whether a topic is polarised or neutral, we perform **topic labelling** using the annotated sentences in the MOBO dataset.
- For each topic we compute a **topic embedding** taking the normalized weighted average of the word embeddings, and we then retrieve the top 10 most similar human-annotated sentences via cosine similarity between the topic embedding and each **sentence embedding**, where the sentence embedding is computed using the *Sentence-BERT* encoder.
- The most frequent label among the retrieved sentences is adopted as the topic's label.

# Results: Topic Evaluation - 2

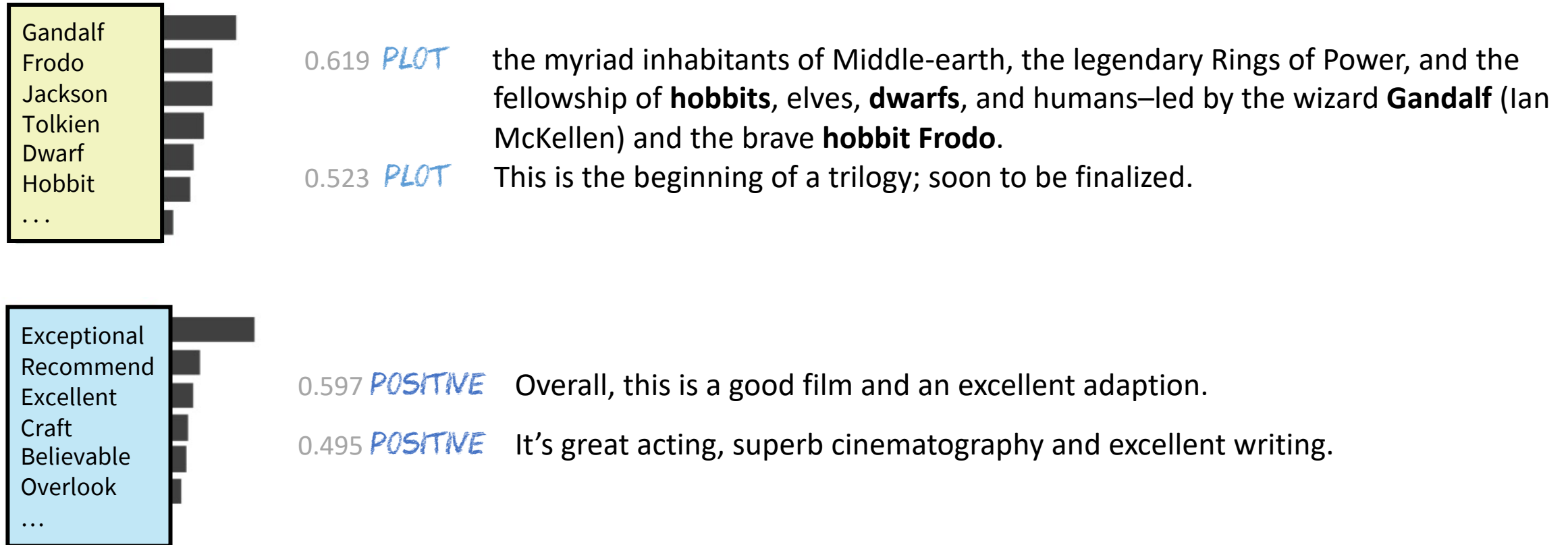
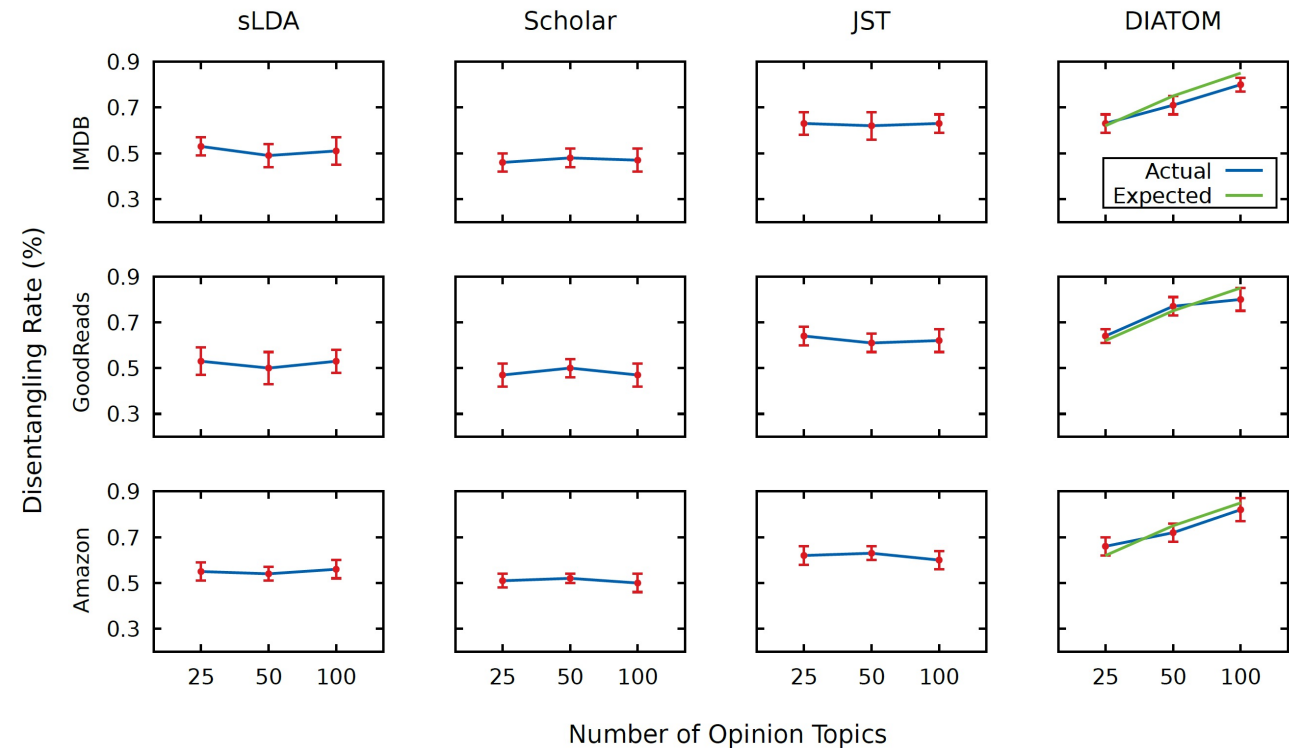


Fig. 3: Examples of topics with the assigned sentences.  
Each sentence has a manually assigned label (i.e. Positive, Negative, Plot or None)

# Results: Disentangling rate

- We analyse how the **proportion of polarity-bearing topics** varies across standard and sentiment topic models.
- We notice that despite the signal from the document labels, sLDA and SCHOLAR tend to produce topics rather **balanced** in terms of neutral and polarity-bearing topics. Joint-Sentiment Topic model has a more skewed distribution towards opinion topics.
- DIATOM instead generates a proportion of opinion topics approaching the expected proportion set up by the model, demonstrating the capability to control the generation of neutral and polarity-bearing topics.





# Conclusion

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- In our current model, the latent plot topics extracted from reviews are encouraged to have a similar discriminative power as the latent topic learned from plots directly for predicting the plots. It is also possible to impose a **Gaussian prior centred on “z\_d”** for the latent plot topics in reviews instead of using the Gaussian prior of zero mean and unit variance.
- Another approach would consist of replacing the plot classifier with a **discriminator** as typically used in GAN training that the learned plot topics from different sources (reviews and plots) are competing
- An additional adversarial mechanism could be employed to differentiate opinion topics based on their overall polarity, to **avoid topics with mixed sentiments**.

# **Making sense of clinical notes by exploiting local and global context of words**

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Gabriele Pergola, Yulan He, David Lowe

The 2nd AAI Workshop on Health Intelligence (AAI)

2018

# Outline

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- Making Sense of Text (focusing on clinical documents)
- Topic Modeling and Distributed Representations of Language
- Context-GPU: Combining Local and Global Context
- Conclusions and Future Works

# Natural language processing for medical documents

---



Find me relevant **documents** on this topic!



Find me relevant **facts** about this issue!



Find me **scientific papers** which help treat this patient!



I need more **information** on my health problem

# Research Challenges

---

*Is my inflammation your inflammation?*

# Research Challenges

---

- **COMPOSITIONAL SEMANTIC**

*'spike protein sequence' and 'white blood cell'.*

# Research Challenges

---

- **COMPOSITIONAL SEMANTIC**      *'spike protein sequence' and 'white blood cell'.*
- **TECHNICAL JARGON**      *'basophilia', 'synechococcus elongatus'.*

# Research Challenges

---

- **COMPOSITIONAL SEMANTIC**

*'spike protein sequence' and 'white blood cell'.*

- **TECHNICAL JARGON**

*'basophilia', 'synechococcus elongatus'.*

- **ABBREVIATIONS**

*S.B.P. expanded both as: - 'spontaneous bacterial peritonitis'  
- 'systolic blood pressure'*





# Research Challenges

---

- **COMPOSITIONAL SEMANTIC** *'spike protein sequence'* and *'white blood cell'*.
- **TECHNICAL JARGON** *'basophilia'*, *'synechococcus elongatus'*.
- **ABBREVIATIONS** *S.B.P.* expanded both as: - *'spontaneous bacterial peritonitis'*  
- *'systolic blood pressure'*
- **POLYSEMY** *'Inflammation'* up to 5 different meanings<sup>1</sup>.
- **LACK OF STRUCTURE**

[1]: "Coping with Medical Polysemy in the Semantic Web: the Role of Ontologies", Pisanelli et al. 2004



# Research Challenges

---

- **COMPOSITIONAL SEMANTIC**
- **TECHNICAL JARGON**
- **ABBREVIATIONS**
- **POLYSEMY**
- **LACK OF STRUCTURE**
- **DATA AVAILABILITY**

## Topical phrases

Topics made of relevant phrases

## Contexts

Word local and global context

## External source of knowledge

Embedding exploited within the inference process

# Topic Modeling - 1

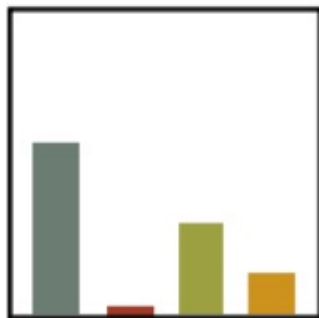
---


sick  
sore  
throat  
feel  
fever  
flu  
...

allergies  
nose  
eyes  
allergy  
allergic  
sneezing  
...




watch  
watching  
tv  
killing  
movie  
seen  
...

class  
school  
read  
test  
doing  
finish  
...



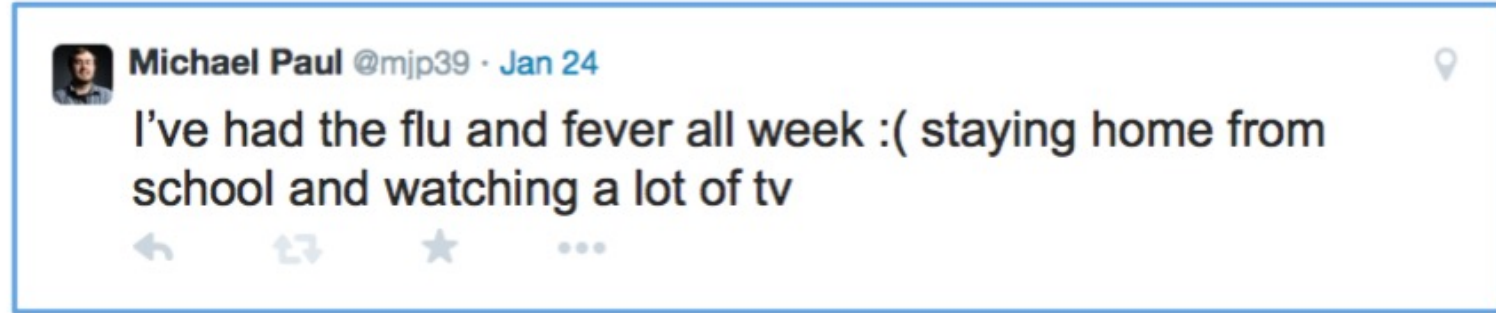
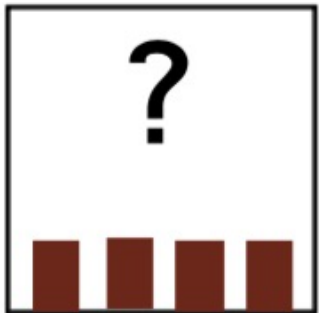
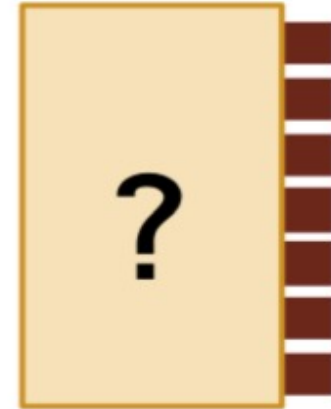
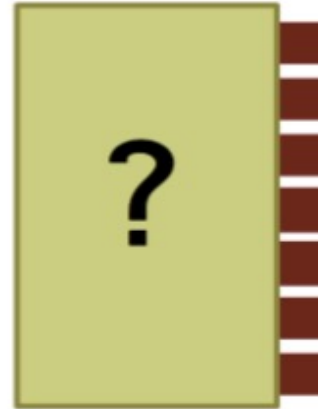
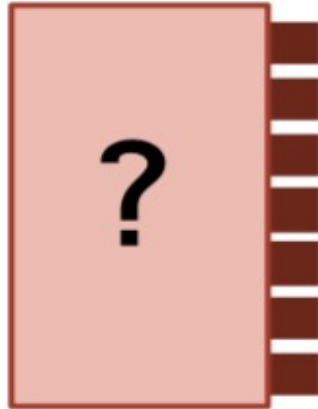
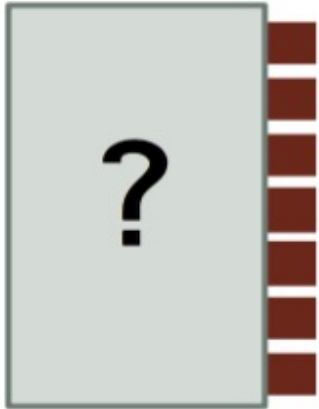
 Michael Paul @mjp39 · Jan 24

I've had the flu and fever all week :( **staying home from school** and **watching a lot of tv**

# Topic Modeling - 2

---



# Word Embedding

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Local context of words

**Distributional hypothesis:** “*You shall know a word by the company it keeps*”  
J. R. Firth, British Linguist, 1957

*I love NLP and I like dogs*

I = [0 1 0 1 1 0]

Love = [1 0 1 0 0 0]

NLP = [0 1 0 1 0 0]

And = [1 0 1 0 0 0]

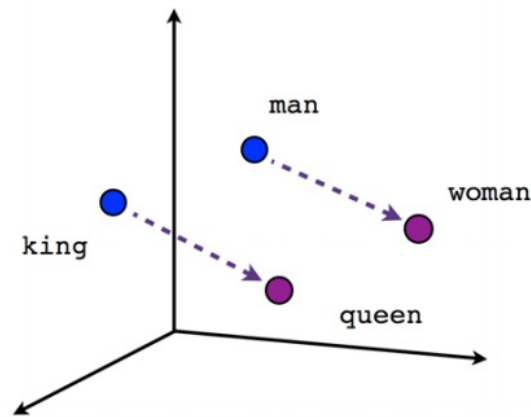
Like = [1 0 0 0 0 1]

Dogs = [0 0 0 0 1 0]

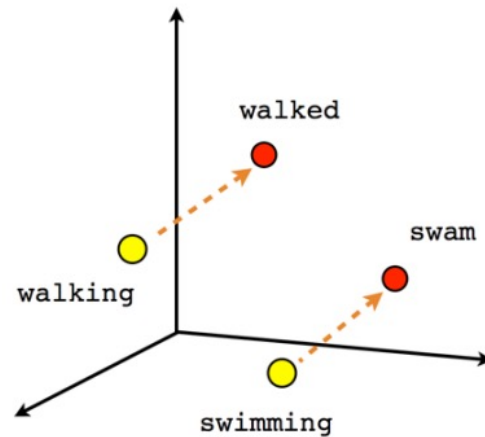
# Word Embedding

Distributional hypothesis: *“You shall know a word by the company it keeps”*

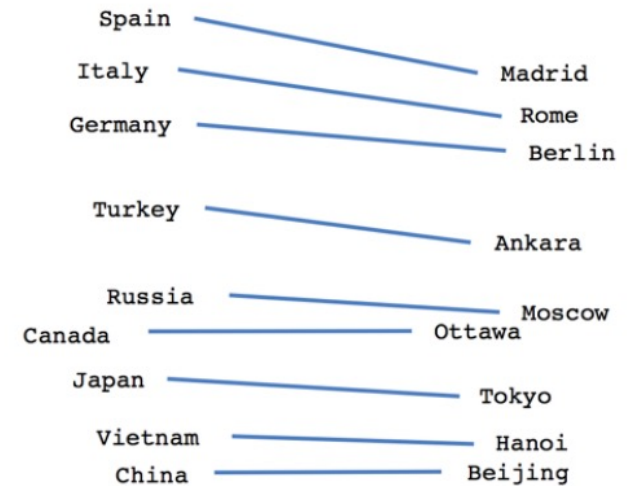
J. R. Firth, British Linguist, 1957



Male-Female



Verb tense

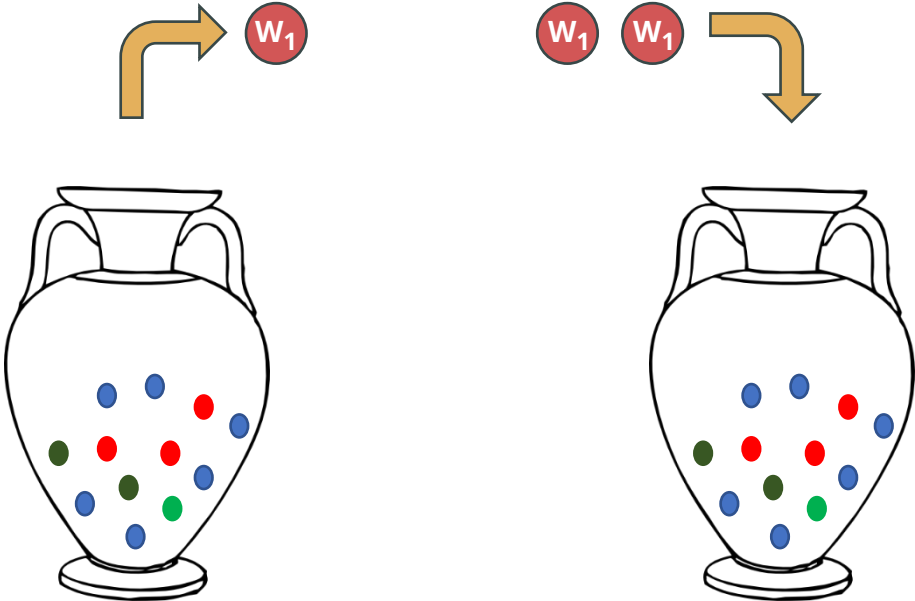


Country-Capital

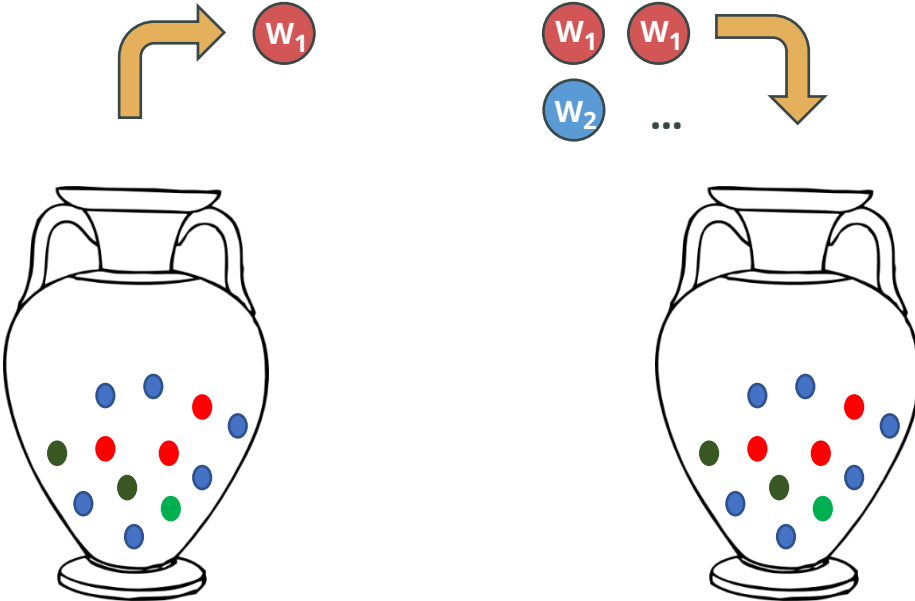


# Context-GPU: Combining local and global context

Simple Polya Urn model



Generalized Polya Urn model

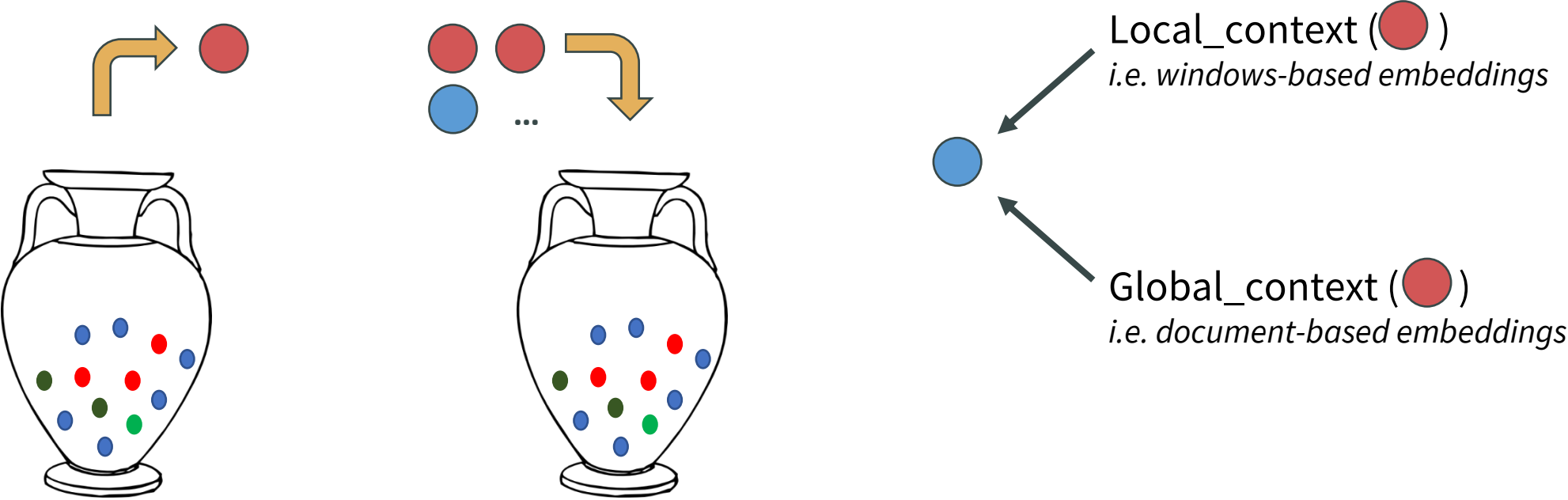


[1]: "Optimizing Semantic Coherence in Topic Models", Mimno et al. 2011

# Context-GPU: Combining local and global context

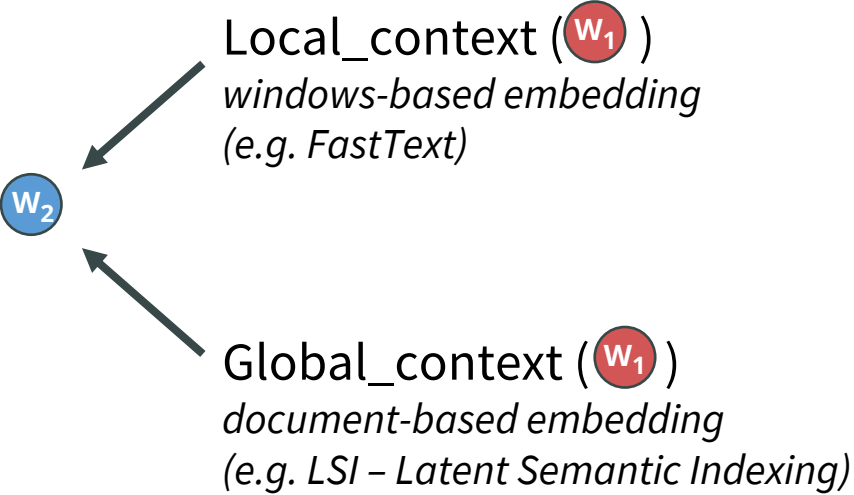
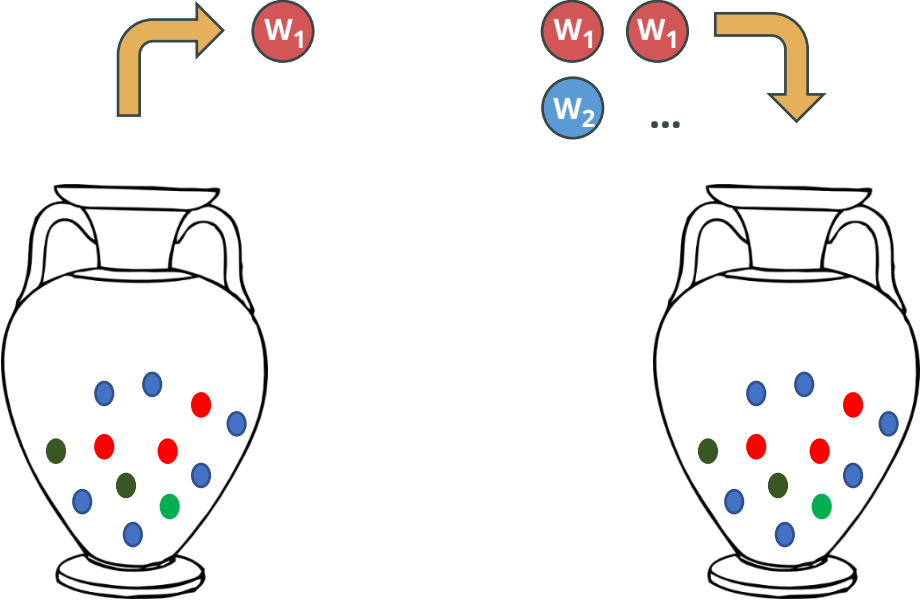
---

Context - Generalized Polya Urn (GPU) model



# Context-GPU: Combining local and global context

Context - Generalized Polya Urn (GPU) model



Local\_context ( $w_1$ )  
*windows-based embedding*  
(e.g. FastText)

Global\_context ( $w_1$ )  
*document-based embedding*  
(e.g. LSI - Latent Semantic Indexing)

- Enhancements**
- Improve word semantic
  - Add external knowledge
  - Simple and efficient process

# Context-GPU

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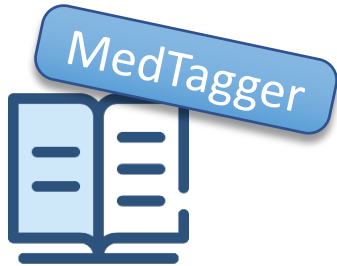


*[...] white\_blood\_cell [...]*  
*[...] shortness\_of\_breath [...]*

...

# Context-GPU

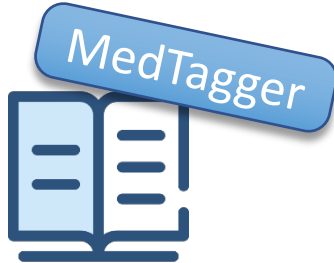
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*[...] white\_blood\_cell [...]*  
*[...] shortness\_of\_breath [...]*

...

# Context-GPU



[...] white\_blood\_cell [...]  
[...] shortness\_of\_breath [...]

...

LSI

$$U = \begin{matrix} & \begin{matrix} C_1 & C_2 & C_3 & \dots & C_m \end{matrix} \\ \begin{matrix} T_1 \\ T_2 \\ T_3 \\ T_4 \\ T_5 \\ T_6 \\ \vdots \\ T_n \end{matrix} & \begin{pmatrix} a_{11} & a_{12} & a_{13} & \dots & a_{1m} \\ a_{21} & a_{22} & a_{23} & \dots & a_{2m} \\ a_{31} & a_{32} & a_{33} & \dots & a_{3m} \\ a_{41} & a_{42} & a_{43} & \dots & a_{4m} \\ a_{51} & a_{52} & a_{53} & \dots & a_{5m} \\ a_{61} & a_{62} & a_{63} & \dots & a_{6m} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & a_{n3} & \dots & a_{nm} \end{pmatrix} \end{matrix}$$
$$\Sigma = \begin{matrix} & \begin{matrix} D_1 & D_2 & D_3 & \dots & D_n \end{matrix} \\ \begin{matrix} T_1 \\ T_2 \\ T_3 \\ T_4 \\ T_5 \\ T_6 \\ \vdots \\ T_n \end{matrix} & \begin{pmatrix} \sigma_{11} & 0 & 0 & \dots & 0 \\ 0 & \sigma_{22} & 0 & \dots & 0 \\ 0 & 0 & \sigma_{33} & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & \sigma_{nn} \end{pmatrix} \end{matrix}$$
$$V^T = \begin{matrix} & \begin{matrix} D_1 & D_2 & D_3 & \dots & D_n \end{matrix} \\ \begin{matrix} C_1 \\ C_2 \\ C_3 \\ \vdots \\ C_n \end{matrix} & \begin{pmatrix} v_{11} & v_{12} & v_{13} & \dots & v_{1n} \\ v_{21} & v_{22} & v_{23} & \dots & v_{2n} \\ v_{31} & v_{32} & v_{33} & \dots & v_{3n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ v_{n1} & v_{n2} & v_{n3} & \dots & v_{nn} \end{pmatrix} \end{matrix}$$

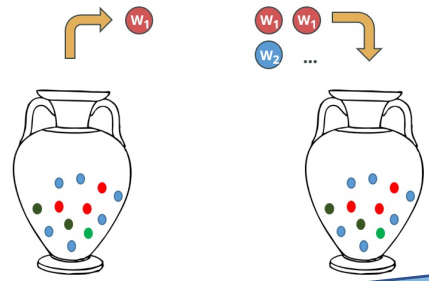
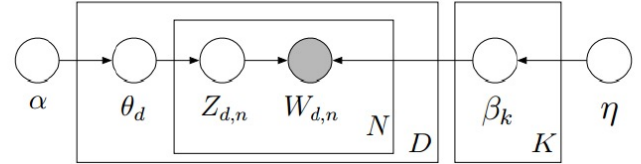
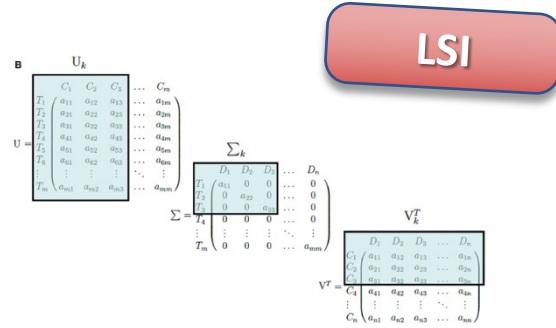
FastText



# Context-GPU



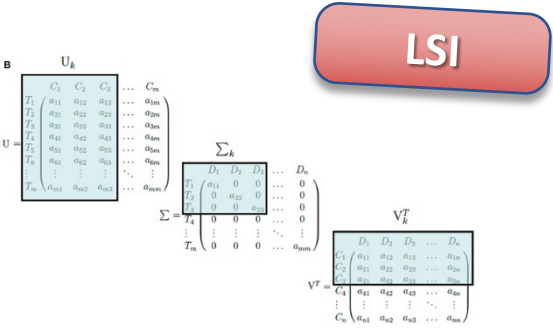
[...] white\_blood\_cell [...]  
 [...] shortness\_of\_breath [...]  
 ...



# Context-GPU



[...] white\_blood\_cell [...]  
 [...] shortness\_of\_breath [...]  
 ...



LSI

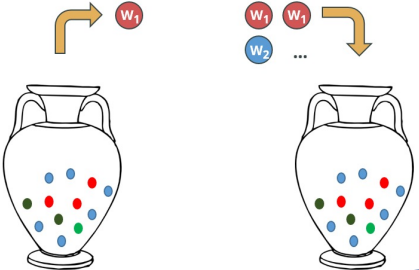
Congestive hear..  
 Pulmonary edema  
 Orthopnea  
 Nonischemic  
 Diastolic dysfunct..  
 ...

Coronary artery d...  
 Cardiac transpla..  
 Cardiomyopathy  
 Right coronary art..  
 Pravachol 20 mg  
 ...

Pregnancy  
 Ultrasound  
 Postpartum hem...  
 Endometrial biop...  
 Total abdominal ...  
 ...



FastText



ContextGPU



# Experimental assessment - Dataset

---

## I2b2 dataset

- 1,243 de-identified discharge summaries
- 7,883 unique terms with “bag of words”
- 9,932 unique terms with “bag of phrases”
- Patient history, discharge, medications and treatments, etc.



# Qualitative assessment

---

<b>LDA</b>		
chemotherapy	fever	congestive heart failure
dilantin	urinalysis	diuresis
oncology	culture	ejection fraction
xrt	bacteria	approximately
oncologist	white blood cell	felt
cycle	polys	orthopnea
breast cancer	urinary tract infection	digoxin
cancer	band	dyspnea
seizure	fluid	weight
left breast	white	pressure

---

---

<b>Contex-GPU</b>		
pregnancy	coronary artery disease	congestive heart failure
ultrasound	cardiac transplant	pulmonary edema
postpartum hemorrhage	cardiomyopathy	orthopnea
endometrial biopsy	right coronary artery	nonischemic
total abdominal hysterectomy	pravachol 20 mg	diastolic dysfunction
postpartum	paroxysmal atrial fibrillation	cardiomyopathy
vomiting	cyclosporine	heart failure
salpingo oophorectomy	herpes zoster	shortness of breath
physical examination	fenofibrate tricolor	cardiac catheterization
fibroid	right coronary artery	atrial fibrillation

---

# Qualitative assessment

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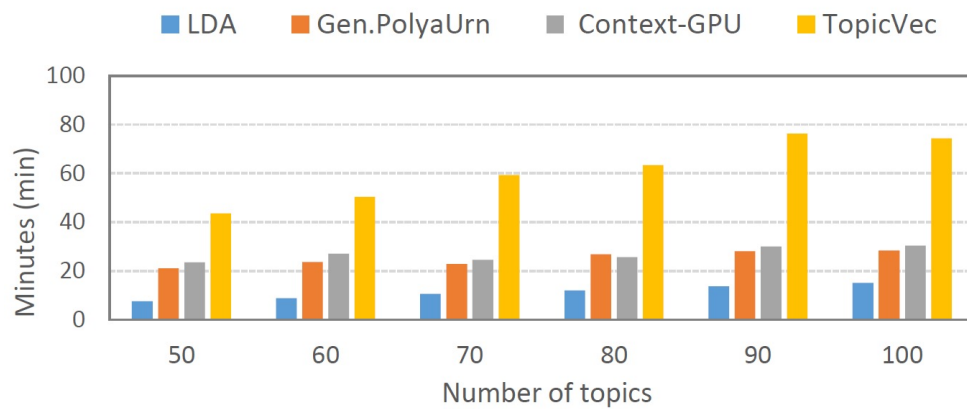
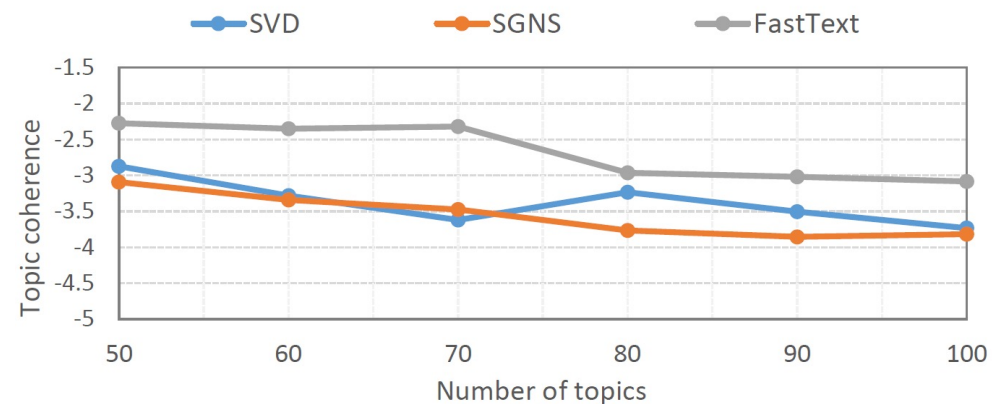
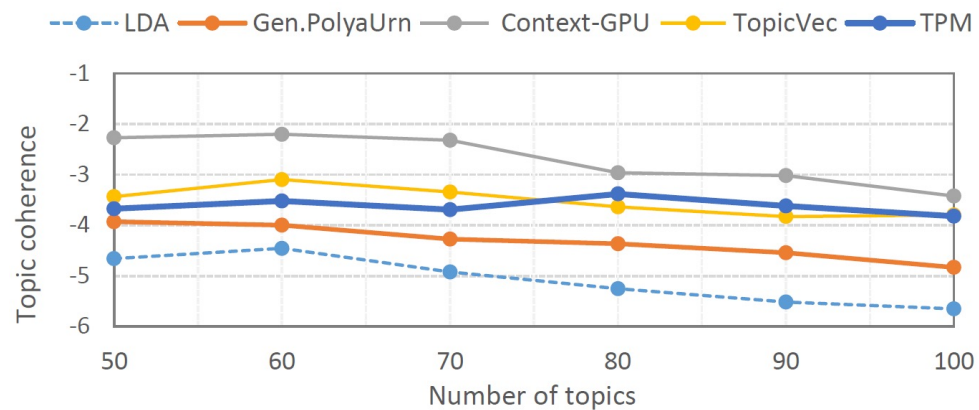
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physical examination	fenofibrate tricor	cardiac catheterization
fibroid	right coronary artery	atrial fibrillation

---

# Context-GPU - Performance



# Conclusions

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- **Scale up** to bigger dataset (from the i2b2 to the Mimic III dataset) or documents in a new domain (e.g. legal documents)
- Substitute more context-depend representations using **Contextualized Language Models** within the inference process. In addition, **WordPiece tokenizer** combining sub-tokens could better fit the topical phrase encoding.
- **Promotion of medical entities** by means of a biomedical NER (e.g. SciSpacy).
- Not only topic, but **medical coherence**

# Boosting Low-Resource Biomedical QA via Entity-Aware Masking Strategies

---

Gabriele Pergola<sup>†</sup>, Elena Kochkina<sup>§</sup>, Lin Gui<sup>†</sup>, Maria Liakata<sup>\*</sup> and Yulan He<sup>†</sup>

<sup>†</sup>University of Warwick

<sup>§</sup>Alan Turing Institute

<sup>\*</sup>Queen Mary University Of London

European Chapter of the Association for Computational Linguistics (EACL)

2021

# Outline

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- Brief Introduction to the QA task
- Why is Biomedical QA hard?
- Transfer Learning
- Biomedical Entity-Aware Masking (BEM) Strategy
- Experimental assessment
- Conclusion and Future Work



# Why Biomedical Question Answering?

---



Find me an answer among **scientific papers** of the current literature



I need more **information** on my health problem



Find me relevant **information** on this issue!

# Question-Answering

---



**Questions:** Who patented a steam engine in 1781?

**Answer:** James Watt

# QA: Machine Reading Comprehension

---

**Questions:** Who patented a steam engine in 1781?



**Passage:** In 1781 James Watt patented a steam engine that produced continuous rotary motion. Watt's ten-horsepower engines enabled a wide range of manufacturing machinery to be powered. The engines could be sited anywhere that water and coal or wood fuel could be obtained. By 1883, engines that could provide 10,000 hp had become feasible. The stationary steam engine was a key component of the Industrial Revolution, allowing factories to locate where water power was unavailable. The atmospheric engines of Newcomen and Watt were large compared to the amount of power they produced, but high pressure steam engines were light enough to be applied to vehicles such as traction engines and the railway locomotives.

# Biomedical Question-Answering

---

**Questions:** What is the incubation period of the virus?



**Passage:** The mean incubation period was 5.6 days (95% CI: 4.4, 7.4) when excluding Wuhan residents—slightly larger than the estimate without right truncation. The mean estimate for illness onset to hospital admission was 9.7 days (95% CI: 5.4, 17.0) for living cases and 6.6 days (95% CI: 5.2, 8.8) for deceased cases, with the former nearly 2.5 times the length of its untruncated version. Illness onset to death and hospital admission to death were likewise longer than their non-truncated counterparts, at 20.2 days (95% CI: 15.1, 29.5) and 13.0 days (95% CI: 8.7, 20.9), respectively.

# Why is Biomedical QA hard?

---

- **COMPOSITIONAL SEMANTIC**

*'spike protein sequence' and 'white blood cell'.*

# Why is Biomedical QA hard?

---

- **COMPOSITIONAL SEMANTIC**                      *'spike protein sequence'* and *'white blood cell'*.
- **TECHNICAL JARGON**                        *'basophilia'*, *'synechococcus elongatus'*.

# Why is Biomedical QA hard?

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- **COMPOSITIONAL SEMANTIC**

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- **TECHNICAL JARGON**

*'basophilia', 'synechococcus elongatus'.*

- **ABBREVIATIONS**

*S.B.P. expanded both as: - 'spontaneous bacterial peritonitis'  
- 'systolic blood pressure'*









# Transfer Learning: Sequential Adaptation

---

## Pre-Training



*Wikipedia*  
*BookCorpus*

...



Masked  
Language Model  
(**MLM**)

# Transfer Learning: **Sequential Adaptation**

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## Pre-Training



Wikipedia  
BookCorpus  
...



Masked  
Language Model  
(**MLM**)

Sentence:

The dog was chewing on a [MASK].

Mask 1 Predictions:

45.2% **bone**  
30.1% **stick**  
15.3% **toy**  
9.4% **shoe**

# Transfer Learning: **Sequential Adaptation**

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Pre-Training



*Wikipedia*  
*BookCorpus*  
...



MLM

Fine-Tuning



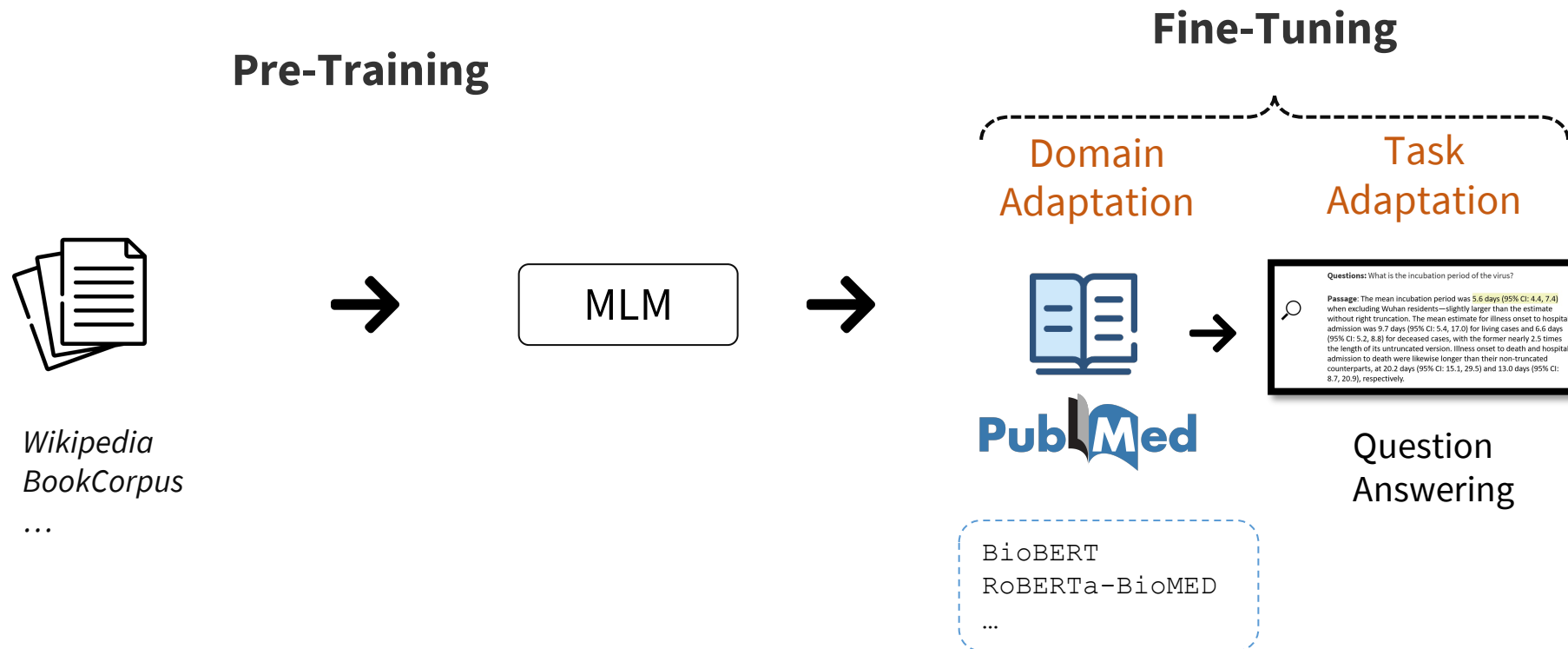
**Questions:** What is the incubation period of the virus?



**Passage:** The mean incubation period was 5.6 days (95% CI: 4.4, 7.4) when excluding Wuhan residents—slightly larger than the estimate without right truncation. The mean estimate for illness onset to hospital admission was 9.7 days (95% CI: 5.4, 17.0) for living cases and 6.6 days (95% CI: 5.2, 8.8) for deceased cases, with the former nearly 2.5 times the length of its untruncated version. Illness onset to death and hospital admission to death were likewise longer than their non-truncated counterparts, at 20.2 days (95% CI: 15.1, 29.5) and 13.0 days (95% CI: 8.7, 20.9), respectively.

*BioASQ*  
*CovidQA*  
...

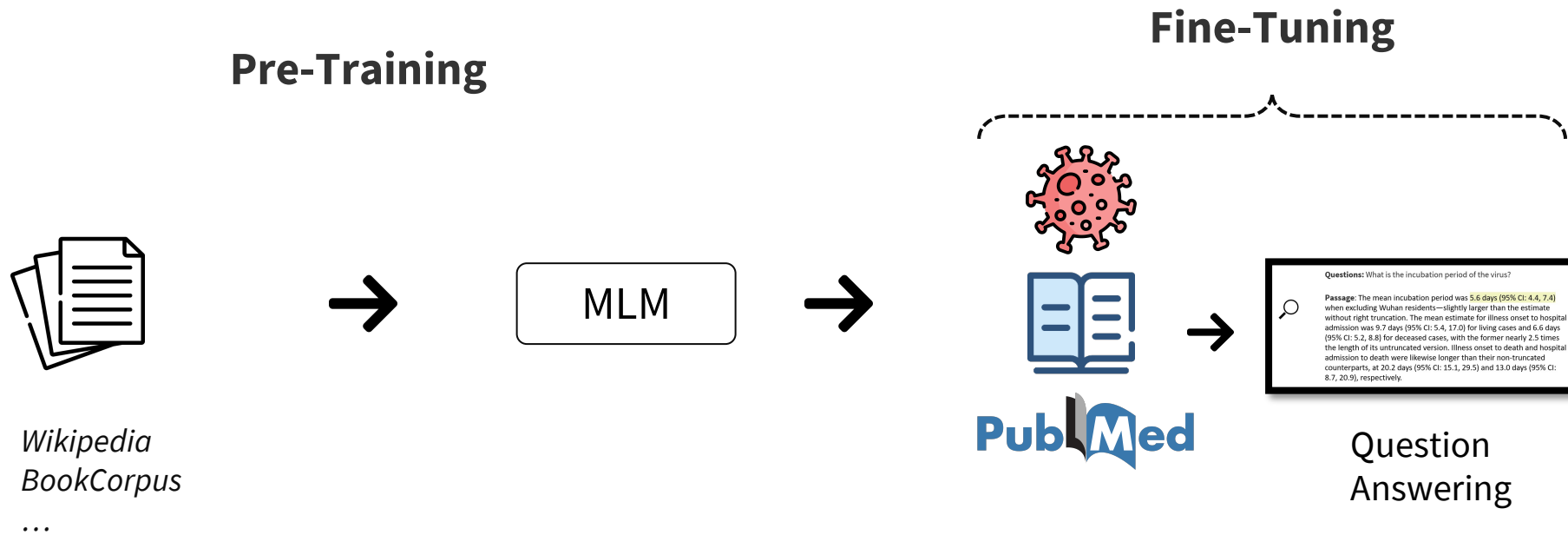
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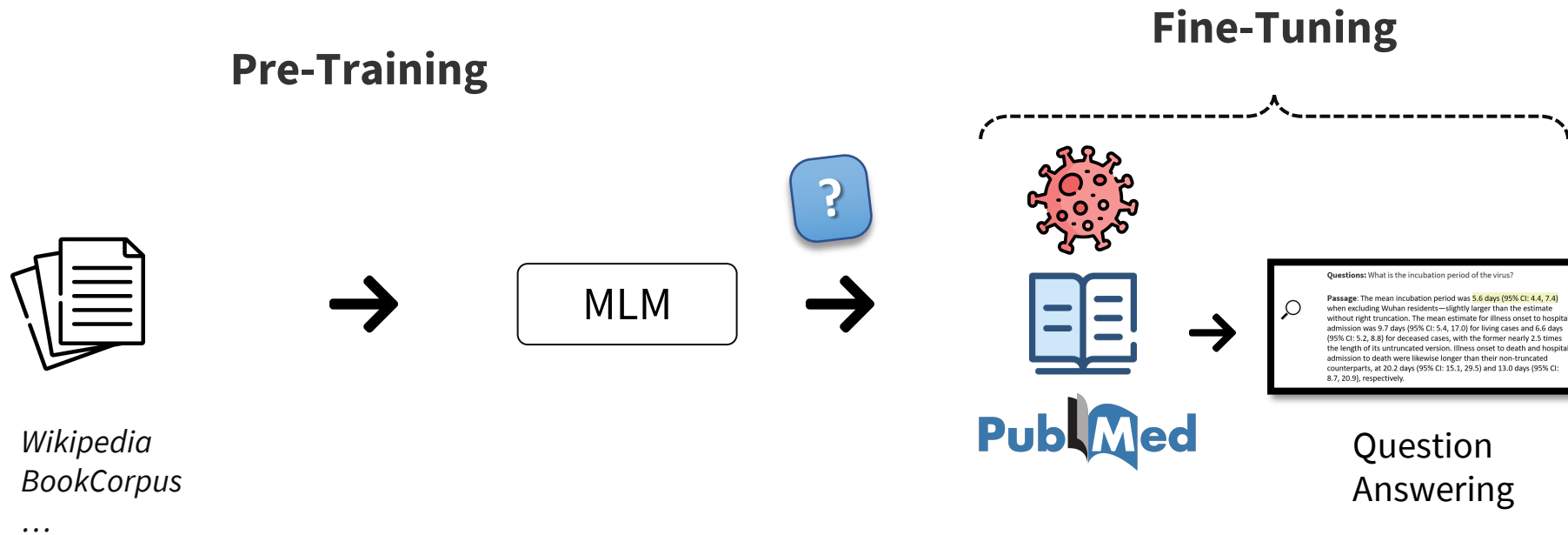
[1]: "BioBERT: a pre-trained biomedical language representation model for biomedical text mining", Lee et al., Bioinformatics 2019

[2]: "Don't stop pretraining: Adapt language models to domains and tasks", Gururangan et al., ACL20

# Transfer Learning: **Sequential Adaptation**



# Transfer Learning: **Sequential Adaptation**





# Transfer Learning: Sequential Adaptation



# **Attention On Medical Entities**

# Masked Language Models

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## Masked Language Model

Generates word representations that can be used to predict the missing tokens of an input text.

the man went to the [MASK] to buy a [MASK] of wine

pub                      glass

↑                              ↑

# Masked Language Models

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## Masked Language Model

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↑                              ↑

## BERT

Pre-trained language model (LM) using multi-layer bidirectional transformer networks to encode contextualised language representations.

## BERT's Masking Strategy

15% tokens randomly chosen:

- 80% replaced with [MASK]
- 10% randomly swapped
- 10% kept the same

# Biomedical Entity-Aware Masking Strategy

---

BEM masks a proportion of the medical entities in text.

Thus, realigning the word representation to predict the missing medical entities.

Patients with diabetes (HR 1.59) were more likely to reach to the composite endpoints than those without.

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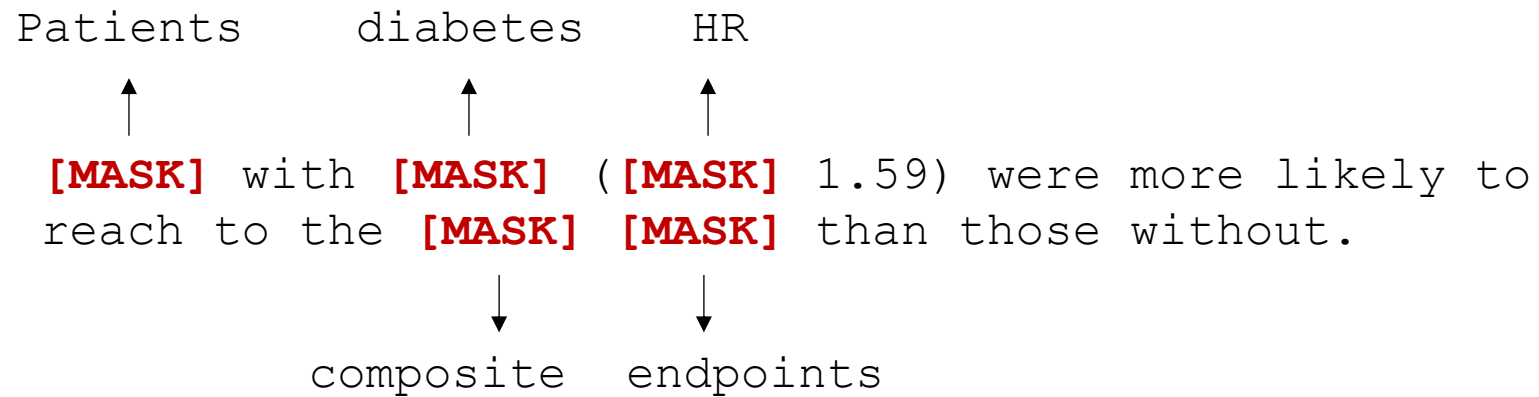
**Patients** with **diabetes** (**HR** 1.59) were more likely to reach to the **composite endpoints** than those without.

# Biomedical Entity-Aware Masking Strategy

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# Detect Medical Entities

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<http://scispacy.apps.allenai.org/>

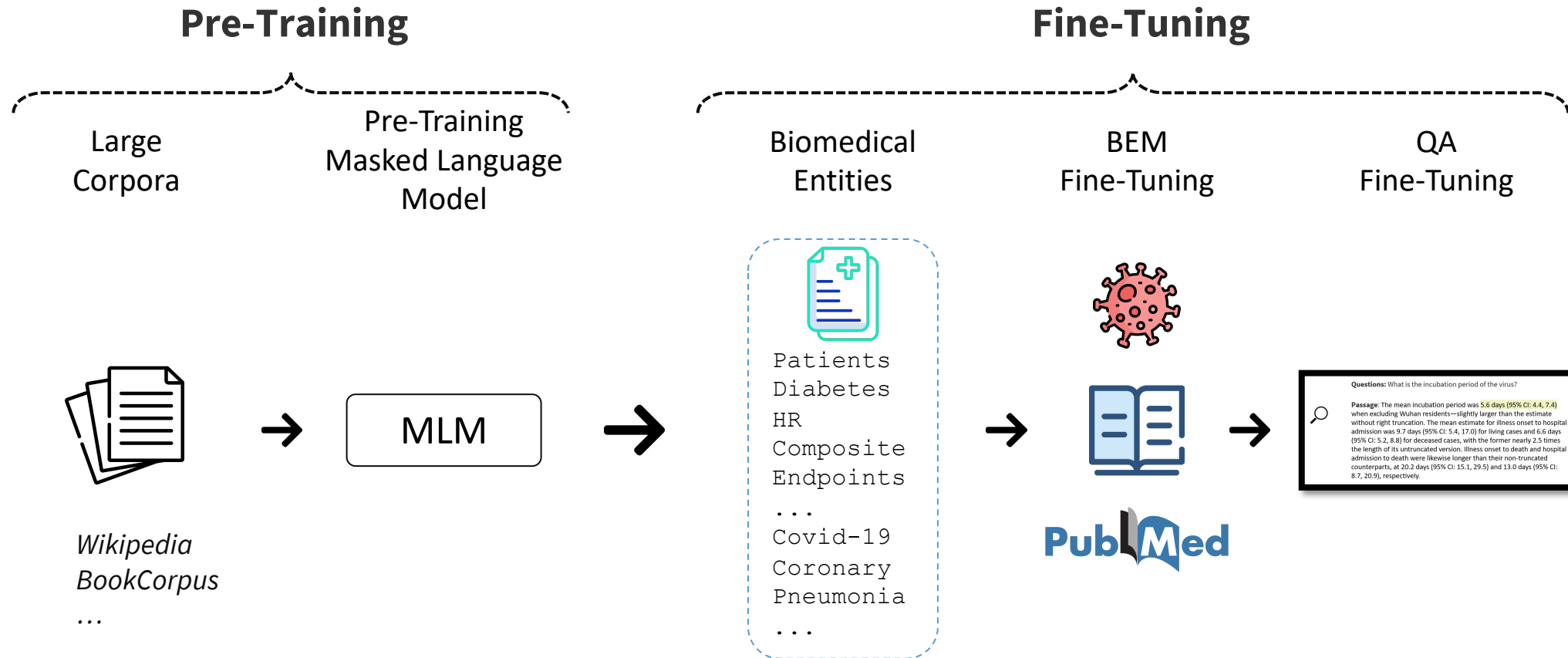
Patients with diabetes (HR 1.59) were more likely to reach to the composite endpoints than those without.



Patients **ENTITY** with diabetes **ENTITY** ( HR **ENTITY** 1.59) were more likely to reach to the composite **ENTITY** endpoints **ENTITY** than those without.



# Transfer Learning: Sequential Adaptation



# Results: CovidQA and BioASQ dataset

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- LM fine-tuning on passages provided as context among the PubMed articles referred in the BioASQ [1] and AI2's COVID-19 Open Research [2] datasets.
- **CovidQA** [3] is a manually curated dataset based on the AI2's COVID-19 Open Re-search dataset, and consists of 127 question-answer pairs with 27 questions and 85 unique related articles.

What is the **incubation period** of the virus?  
What is the length of viral **shedding** after illness onset?  
What is the **incubation period** across different age groups?  
What is the proportion of patients who were **asymptomatic**?  
What is the **asymptomatic transmission** during incubation?

[1]: "An overview of the BioASQ largescale biomedical semantic indexing and question answering competition", Tsatsaronis et al., BMC Bioinformatics 2015

[2]: "The Covid-19 open research dataset", L. Wang et al. 2020

[3]: "Rapidly bootstrapping a question answering dataset for COVID-19" R. Tang et al. 2020

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What is the **RR** for severe infection in COVID-19 patients with hypertension?

What is the **HR** for severe infection in COVID-19 patients with hypertension?

What is the **OR** for severe infection in COVID-19 patients with hypertension?

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What does the **pembrolizumab companion diagnostic test** assess?

What is the **combined effect** of Nfat and miR-25?

Which genomic positions are preferentially selected for **transposon insertion**?

Is **Baloxavir** effective for influenza?

# Results: QA Metrics

#	Model	CovidQA			BioASQ 7b		
		P@1	R@3	MRR	SAcc	LAcc	MRR
1	<b>BERT</b>	0.081*	0.117*	0.159*	0.012	0.032	0.027
2	+ BioASQ	0.125	0.177	0.206	0.226	0.317	0.262
3	+ STM + BioASQ	0.132	0.195	0.218	0.233	0.325	0.265
4	+ BEM + BioASQ	0.145	0.278	0.269	0.241	0.341	0.288
5	<b>RoBERTa</b>	0.068	0.115	0.122	0.023	0.041	0.036
6	+ BioASQ	0.106	0.155	0.178	0.278	0.324	0.294
7	+ STM + BioASQ	0.112	0.167	0.194	0.282	0.333	0.300
8	+ BEM + BioASQ	0.125	0.198	0.236	0.323	0.374	0.325
9	<b>RoBERTa-Biomed</b>	0.104	0.163	0.192	0.028	0.044	0.037
10	+ BioASQ	0.128	0.355	0.315	0.415	0.398	0.376
11	+ STM + BioASQ	0.136	0.364	0.321	0.423	0.410	0.397
12	+ BEM + BioASQ	0.143	0.386	0.347	<b>0.435</b>	0.443	0.398
13	<b>BioBERT</b>	0.097*	0.142*	0.170*	0.031	0.046	0.039
14	+ BioASQ	0.166	0.419	0.348	0.410 <sup>†</sup>	0.474 <sup>†</sup>	0.409 <sup>†</sup>
15	+ STM + BioASQ	0.172	0.432	0.385	0.418	0.482	0.416
16	+ BEM + BioASQ	0.179	<b>0.458</b>	0.391	0.421	<b>0.497</b>	<b>0.434</b>
17	<b>T5 LM</b>						
18	+ MS-MARCO	<b>0.282*</b>	0.404*	<b>0.415*</b>	—	—	—

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# Results: Qualitative Results

BERT with STM	BERT with BEM
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<ul style="list-style-type: none"> <li>- There were significant correlations between COVID-19 severity and [...], diabetes [OR=2.67], coronary heart disease [OR=2.85].</li> <li>- Compared with the non-severe patient, the pooled odds ratio of hypertension, respiratory system disease, cardiovascular disease in severe patients were (OR 2.36, ..), (OR 2.46, ..) and (OR 3.42, ..).</li> </ul>	<ul style="list-style-type: none"> <li>- There were significant correlations between COVID-19 severity and [...], diabetes [OR=2.67], coronary heart disease [OR=2.85].</li> <li>- Compared with the non-severe patient, the pooled odds ratio of hypertension, respiratory system disease, cardiovascular disease in severe patients were (OR 2.36, ..), (OR 2.46, ..) and (OR 3.42, ..).</li> </ul>
<i>What is the <b>HR</b> for severe infection in COVID-19 patients with hypertension?</i>	
- - - -	<ul style="list-style-type: none"> <li>- After adjusting for age and smoking status, patients with COPD (HR 2.681), diabetes (HR 1.59), and malignancy (HR 3.50) were more likely to reach to the composite endpoints than those without.</li> </ul>
<i>What is the <b>RR</b> for severe infection in COVID-19 patients with hypertension?</i>	
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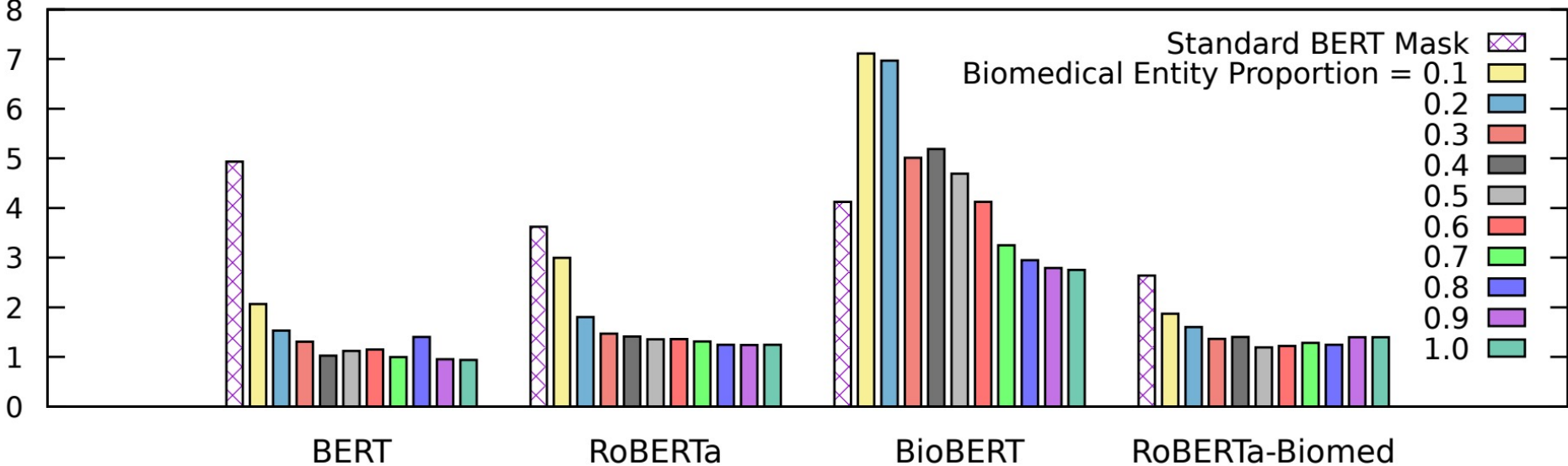


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# Results: Perplexity

Perplexity - BioASQ 7



# Conclusions

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Open questions:

- It remains worth investigating what would be the best strategy in choosing the medical entities to mask depending on their **types** (e.g. UMLS categories), and in what proportion doing so.
- Language model pre-training can capture world knowledge by storing it implicitly in the network parameters. More structured knowledge can be integrated to drive the masking process, adopting, for instance, a **knowledge graph** of the medical entities' dependences
- First step towards the integration of topics for a **Topic-Aware Masking Strategy**.
- Assess whether a similar technique would be applicable in other **low-resources domains**, or to drive the model towards **less represented instances** (mitigating the inherent bias).