

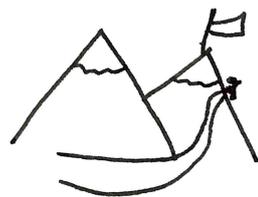
# AN ARITHMETIC EXPEDITION INTO K3 SURFACES

André Weil (1958)

K3 - Kummer, Kähler, Kodaira

K2 in Cachemir

- 2<sup>nd</sup> tallest mountain in the world
- ~400 people
- 1/5 mortality rate



## ① WHAT IS A K3 SURFACE?

- Most natural generalisation of elliptic curves  
→ Abelian surfaces (because of their group law)
- What are special features of elliptic curves that can be generalised to higher dimensions?

$\Omega_C^1 = \bar{k}(C)$ -vector space generated by symbols of the form  $dx$  for  $x \in \bar{k}(C)$  satisfying differential properties

Let  $C: y^2 = (x-\alpha_1)(x-\alpha_2)(x-\alpha_3)$

To every differential we can associate a divisor

$$\text{div}(w) = \sum_{P \in C} \text{ord}_P(w) P$$

Then, in elliptic curves

$$\text{div}(dx) = (\alpha_1, 0) + (\alpha_2, 0) + (\alpha_3, 0) - 3\infty = \text{div}(y)$$

$$\text{div}\left(\frac{dx}{y}\right) = 0$$

Turns out, given any  $w \in \Omega_C$   $\text{div}(w) \sim \text{div}(w')$

To this special divisor is the canonical divisor  $K_C$

As we have seen  $K_C \sim 0$   $K_C = 0$  in  $\text{Pic } C$

But also,  $l(D) - l(K_C - D) = \deg D - g + 1$ .

If  $K_C = 0, D = 0 \Rightarrow g = 1$  This condition completely determines elliptic curves.  
smooth projective

Let  $X$  be an algebraic variety of dimension  $n$ .

$$\Omega^n_X = \underbrace{\Omega_X^1 \wedge \Omega_X^1 \wedge \dots \wedge \Omega_X^1}_{n\text{-times}}$$

$\Rightarrow$  We can also associate a canonical divisor  $K_X$ .

Suppose we have  $X$  surface. What are the surfaces of trivial canonical divisor?

WAYS OF STUDYING ALGEBRAIC VARIETIES OVER  $\mathbb{C}$

From its cohomology over  $\mathbb{C}$ .  $h^{p,q} = H^q(X, \Omega_X^p)$

Smooth curves of genus  $g$

	1	
g	1	g

HODGE DIAMOND

$$\begin{matrix} & h^{0,0} & & \\ & h^{0,1} & h^{1,0} & \\ h^{0,2} & h^{1,1} & h^{2,0} & \\ & \vdots & & \ddots \end{matrix}$$

Which surfaces we have?

	1		
2	2		
1	4	1	
	2	2	
		1	

ABELIAN SURFACES

	1		
0	0		
1	20	1	
	0	0	
		1	

$K3$  SURFACES

$P_g - P_n$

$$\begin{aligned} g(X) &= 0 \\ P_g(X) &= 6 \\ \chi(X) &= 2 \\ e(X) &= 24 \end{aligned}$$

Why is the second more natural generalization?  
 Have some geometric and algebraic genus

Definition  $\rightarrow$  A  $K3$  surface is a <sup>sm</sup> surface  $X$  with  $K_X = 0$  and  $h^1(X, \mathcal{O}_X) = 0$

Examples

- $\rightarrow X_4$  (smooth) in  $\mathbb{P}^3$
- $\rightarrow X_{2,3}$  in  $\mathbb{P}^4$
- $\rightarrow X_{2,2,2}$  in  $\mathbb{P}^5$

$\rightarrow$  There are 95 families of  $K3$  surfaces described as <sup>6th</sup> hypersurfaces in w.p.s  $\mathbb{P}(a_1, a_2, a_3, a_4)$   
 e.g.  $X_6 = \mathbb{P}(2, 2, 2, 3)$  double cover of a smooth sextic.

Kummer surfaces  $\rightarrow$  Let  $A$  be an abelian surface. Then, for every  $P \in A$  we have an inverse  $-P$ .

There is an involution  $i: P \mapsto -P$ , we can consider the quotient  $\text{Kum}(A) = A/\langle i \rangle$ . The points in  $A[2]$  are fixed points in the action  $\Rightarrow$  singularities

## (2) THE ARITHMETIC OF K3 SURFACES

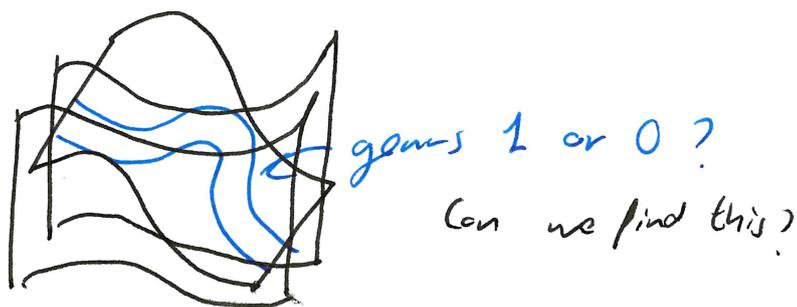
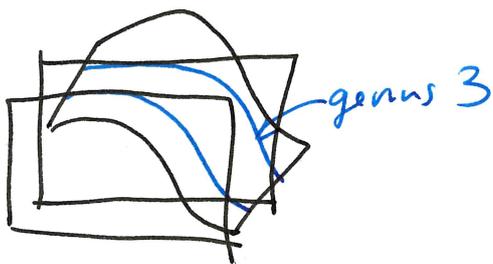
• Counting points?  $x^3y + xy^2w + zyw^2 + z^3w = 0$

$w=0$   $x^3y=0$  lines

$w \neq 0$   $y=0 \Rightarrow z^3=0$  lines

$w \neq 0$   $y \neq 0 \Rightarrow$  quartic curves  $\Rightarrow$  genus 3 curves  
smooth

• Observation  $\Rightarrow$  Genus 0 and 1 curves provide infinite points whereas  $g > 1$  don't.



For K3 surfaces we cannot find infinite genus 0 curves in them.

Sometimes we can get infinite genus 1 curves (elliptic fibration).

Definition  $\Rightarrow$  A genus 1 fibration is a surjective proper morphism to a variety  $Z$   $f: X \rightarrow Z$  s.t. for all fibers except for finitely many are smooth curves of genus 1.

Perfect for algebraic geometries but not for us.

A section of a map as before is a morphism  $\sigma: Z \rightarrow X$   
 s.t.  $\rho \circ \sigma: Z \rightarrow Z$  is the identity.

An elliptic surface  $\leftarrow$  over  $U$ .  
 is a genus 1 fibration  $X \rightarrow C$  with  
 a section over  $U$

$$sy^2z = x(x-z)(s^2x - t^2z) \subset \mathbb{P}_{x,y,z}^2 \times \mathbb{P}_{s,t}^1 \xrightarrow{1} \mathbb{P}^1$$

$$([x:y:z], [s:t]) \longmapsto [s:t]$$

section  $\mathbb{P}^1 \rightarrow \mathbb{P}^2 \times \mathbb{P}^1$   
 $[s:t] \mapsto ([0:1:0], [s:t])$

We can easily see  
 by dehomogenising that  
 this is  $y^2 = x(x-1)(x-t^2)$

$$X \rightarrow C \text{ elliptic fibration over } U \iff E(K(C))$$

### MORDELL-WEIL FOR FUNCTION FIELDS

Let  $X \rightarrow C$  be an elliptic surface over  $U$ .  $\Rightarrow$  if  $X \rightarrow C$   
does not split  $\Rightarrow E(K(C))$  is finitely generated.

$X \rightarrow C$  splits if  $X \cong E_0 \times C$  for some  $E_0/K$

$\Leftrightarrow \Delta(E(K(C)))$  is not constant

There are singular fibers | ADE singularities in surfaces  
 behaviour of singular fibers

~~Do K3s have elliptic fibrations?~~

Do K3s have elliptic fibrations?

Yes & no. When they do, it is over  $\mathbb{P}^1$

Elliptic fibered K3 surfaces /  $U \Rightarrow$  Elliptic curves over  $U(t)$

$$y^2 + a_1(t)xy + a_3(t)y = x^3 + a_2(t)x^2 + a_4(t)x + a_6(t)$$

Not a  $\Leftrightarrow \forall i (a_i(t)) \leq 2i$  but  $\forall i (a_i(t)) > i$  for some  $i$

Interestingly enough there is connections between the rank of  $MW(E(K(t)))$  and the geometry of K3 surfaces.

In <sup>nice</sup> surfaces we can define a product of curves

We can identify curves that behave the same under the product.  $C_1 \equiv C_2$  if  $C_1 \cdot D = C_2 \cdot D \forall D \in \text{Div}(X)$ .

In K3 surfaces,  $\text{Num}(X) = \text{NS}(X)$

$$\text{Num}(X) = \text{Pic}$$

and also turns out  $\text{NS}(X)$  is finitely generated  $\cong \mathbb{Z}^r$  for some  $r = \rho(X)$ .

$$\begin{cases} \rho(X) \leq b_2(X) = 22 \\ \text{char } 0 \\ \rho(X) \leq 20 \end{cases}$$

There is a connection between elliptic fibrations and this Picard rank

$$\rho(X) = r + 2 + \sum_{\text{singular fibers}} (m_v - 1)$$

In general, in characteristic 0  $\Rightarrow \text{rank}(E(K(t))) \leq 18$

Previous example

$$y^2 = x^3 + (2t^3 + 2t)x^2 + t^4x$$

$$E(K(t)) = \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$$

$$P_1 = (\frac{1}{3}t(2t^2-1), 0)$$

$$P_2 = (\frac{1}{3}t(-t^2+2), 0)$$

$$P_3 = (\frac{1}{3}t(-t^2-1), 0)$$

## INTERACTIONS BETWEEN $\text{NS}(X)$ AND ELLIPTIC FIBRATIONS

Point counting

Upper bounds on  $\text{NS}(X)$

Understand lattice to find things.

Elkies

Elliptic fibrations

Lower bounds on  $\text{NS}(X)$

Understand complexity of varieties