

THE THEOREM OF THE CUBE, SQUARE, AND APPLICATIONS

DANIEL MARLOWE

Fix an algebraically closed field k .

Theorem 0.1 ([Mum85, §10, Theorem, p.91]). *Suppose given X, Y complete k -varieties, Z a connected variety, and $\mathcal{L} \in \text{Pic}(X \times Y \times Z)$. If there exist points $x_0 \in X(k), y_0 \in Y(k), z_0 \in Z(k)$ with the restriction of \mathcal{L} to $\{x_0\} \times Y \times Z, X \times \{y_0\} \times Z$ and $X \times Y \times \{z_0\}$ trivial, \mathcal{L} is trivial.*

We require the following results:

Theorem 0.2 (Formal function theorem, [GD61, Théorème 4.1.5]). *For $X \xrightarrow{\pi} Y$ a proper morphism of noetherian schemes, \mathcal{F} a coherent sheaf of \mathcal{O}_X -modules, and $Y' \subset Y$ a closed subscheme with ideal sheaf \mathcal{J} , then for each $p \geq 0$ the system of maps*

$$\mathbf{R}^p \pi_*(\mathcal{F}) \otimes_{\mathcal{O}_Y} \mathcal{O}_Y/\mathcal{J}^n \rightarrow \mathbf{R}^p(\mathcal{F}/\mathcal{J}^n \mathcal{F})$$

induces an isomorphism of topological $\widehat{\mathcal{O}_X}$ modules

$$(\mathbf{R}^p \pi_*(\mathcal{F}))^\wedge \rightarrow \varprojlim_n \mathbf{R}^p(\mathcal{F}/\mathcal{J}^n \mathcal{F}).$$

Corollary 0.3 ([GD61, Proposition 4.2.1]). *For $X \xrightarrow{\pi} Y$ a proper morphism with Y locally noetherian and \mathcal{F} coherent on X , $y \in Y$, consider the following diagram of thickenings:*

$$\begin{array}{ccc} X_n := X \times_Y \text{Spec}(\mathcal{O}_{Y,y}/\mathfrak{m}_y^n) & \xrightarrow{i_n} & X \\ \downarrow & & \downarrow \pi \\ Y_n := \text{Spec}(\mathcal{O}_{Y,y}/\mathfrak{m}_y^n) & \longrightarrow & Y, \end{array}$$

$\mathcal{F}_n := i_n^* \mathcal{F}$; *Then*

$$(\mathbf{R}^p \pi_* \mathcal{F})_y^\wedge \cong \varprojlim_n H^p(X_n, \mathcal{F}_n)$$

as $\widehat{\mathcal{O}_{Y,y}}$ -modules.

Proposition 0.4 (Künneth formula, [Stacks, Tag 0BEF]). *For X, Y locally noetherian schemes of finite type over k , there is a natural isomorphism*

$$H^n(X \times Y, \mathcal{O}_{X \times Y}) \cong \bigoplus_{i+j=n} H^i(X, \mathcal{O}_X) \otimes_k H^j(Y, \mathcal{O}_Y).$$

Lemma 0.5 ([Stacks, Tag 0FD2]). *For X a proper k -variety, $H^0(X, \mathcal{O}_X) \cong k$.*

Lemma 0.6. *For X a complete k -variety, $\mathcal{L} \in \text{Pic}(X)$ is trivial if and only if both \mathcal{L} and \mathcal{L}^\vee have nontrivial global sections.*

Definition 0.7. For Y a topological space, and $Y \xrightarrow{f} \mathbb{Z}$ a map of sets. f is upper semicontinuous if for each $y \in Y$ there is an open $U \subset Y$ such that for each $y' \in U$, $f(y') \leq f(y)$.

For \mathcal{F} a sheaf of $\mathcal{O}_{X \times Y}$ -modules and $y \in Y$, write $X_y := X \times \{y\}$ and $\mathcal{F}_y := \mathcal{F}|_{X_y}$.

Theorem 0.8 (Semicontinuity, [Mum85, §5, Corollary, p.50]). For $X \xrightarrow{\pi} Y$ a proper morphism of locally noetherian schemes, \mathcal{F} a coherent sheaf on X flat over Y , i.e. \mathcal{F}_x is a flat $\mathcal{O}_{Y, \pi(x)}$ -module for each $x \in X$. Then the function $y \mapsto \dim_{k(y)} H^p(\pi^{-1}(y), \mathcal{F}|_{\pi^{-1}(y)})$ is upper semicontinuous on Y . Accordingly, the set

$$\{y \in Y \mid \dim_{k(y)} H^p(\pi^{-1}(y), \mathcal{F}|_{\pi^{-1}(y)}) \geq n\} \subset Y$$

is closed.

Corollary 0.9 (Grauert). For X, Y and \mathcal{F} as above with Y integral, suppose that for some i , $y \mapsto \dim_{k(y)} H^i(\pi^{-1}(y), \mathcal{F}|_{\pi^{-1}(y)})$ is constant on Y . Then $(\mathbf{R}^i \pi_* \mathcal{F})^+$ is locally free on Y , and

$$(\mathbf{R}^i \pi_* \mathcal{F})^+ \otimes k(y) \cong H^i(\pi^{-1}(y), \mathcal{F}_y)$$

naturally, where $(-)^+$ is the sheafification.

Theorem 0.10 ([Mum85, §5, Corollary 6, p.54]). Suppose given X, Y varieties over k with X complete, and $\mathcal{L}, \mathcal{M} \in \text{Pic}(X \times Y)$ such that for each $y \in Y$ closed, $\mathcal{L}_y \cong \mathcal{M}_y$. Then there exists $\mathcal{N} \in \text{Pic}(Y)$ with $\mathcal{L} \cong \mathcal{M} \otimes \pi^* \mathcal{N}$, for $X \otimes Y \xrightarrow{\pi} Y$ the projection.

Proof. Note that $X_y := X \times \{y\}$ is complete, and so $H^0(X_y, \mathcal{L}_y \otimes \mathcal{M}_y^{-1}) \cong H^0(X_y, \mathcal{O}_{X_y}) \cong k(y)$ for each $y \in Y$ closed. By Grauert's corollary, we have $\pi_*(\mathcal{L} \otimes \mathcal{M}^{-1}) \otimes k(y) \cong H^0(X_y, \mathcal{L}_y \otimes \mathcal{M}_y^{-1}) \cong k(y)$, and so $\pi_*(\mathcal{L} \otimes \mathcal{M}^{-1})$ is an invertible sheaf on Y . We claim that the $\pi^* \dashv \pi_*$ counit $\varepsilon : \pi^* \pi_*(\mathcal{L} \otimes \mathcal{M}^{-1}) \rightarrow \mathcal{L} \otimes \mathcal{M}^{-1}$ is an isomorphism.

Consider the pullback

$$\begin{array}{ccc} X_y & \xrightarrow{j} & X \times Y \\ \downarrow \pi' & & \downarrow \pi \\ \text{Spec}(k(y)) & \xrightarrow{i} & Y \end{array}$$

with π and hence π' flat. Writing $\mathcal{F} := \mathcal{L} \otimes \mathcal{M}^{-1}$, we have $j^* \pi^* \pi_* \mathcal{F} \cong \pi'^* i^* \pi_* \mathcal{F} \cong \pi'^{-1} \mathcal{O}_{k(y)} \cong \mathcal{O}_{X_y}$, and so $\varepsilon_y : j^* \pi^* \pi_* \mathcal{F} \rightarrow j^* \mathcal{F} \cong \mathcal{O}_{X_y}$ is an isomorphism.

It thus suffices to show that given a map $\mathcal{E} \xrightarrow{f} \mathcal{O}_{X \times Y}$ with f fibrewise an isomorphism, f is an isomorphism; Nakayama's lemma implies that f is surjective, and comparing ranks we see that it is injective. \square

The proof below follows Akhil Mathew's exposition in [Mat12]

Proof of Theorem 0.1. Set $Z' \subset Z$ the set of points z with $\mathcal{L}|_{X \times Y \times \{z\}}$ trivial; this is the case if and only if $\dim_{k(z)} H^0(\mathcal{L}|_{X \times Y \times \{z\}})$ and $\dim_{k(z)} H^0(\mathcal{L}^\vee|_{X \times Y \times \{z\}}) > 0$, and by semicontinuity this is closed; note that $z_0 \in Z'$.

Fix $z' \in Z'$. We first show for any local finite-dimensional k -algebra A and infinitesimal thickening $\text{Spec}(A) \rightarrow Z$ of z' that $\mathcal{L}|_{X \times Y \times \text{Spec}(A)}$ is trivial. Set $d := \dim_k(A)$, and note that the case $d = 1$ follows by hypothesis. Suppose the required triviality holds for any such A of k -dimension $< d \geq 1$. There exists $a \in A$ nonzero with $\mathfrak{m}_A a = 0$, inducing a surjection of k -algebras $A \rightarrow A/a = A/ka$; then we have an exact sequence of sheaves (on z'):

$$0 \rightarrow \mathcal{O}_k \rightarrow \mathcal{O}_A \rightarrow \mathcal{O}_{A/a} \rightarrow 0,$$

inducing

$$0 \rightarrow \mathcal{L}|_{X \times Y \times \text{Spec}(k)} \rightarrow \mathcal{L}|_{X \times Y \times \text{Spec}(A)} \rightarrow \mathcal{L}|_{X \times Y \times \text{Spec}(A/a)} \rightarrow 0$$

on $X \times Y \times \text{Spec}(A)$. We wish to find a trivialising section $s \in \Gamma(\mathcal{L}|_{X \times Y \times \text{Spec}(A)})$; by induction, there exists some such $s' \in \Gamma(\mathcal{L}|_{X \times Y \times \text{Spec}(A/a)})$, since $\dim_k A/a < d$. A lift of s' exists if and only if the connecting homomorphism

$$H^0(\mathcal{L}|_{X \times Y \times \text{Spec}(A/a)}) \xrightarrow{\delta} H^1(\mathcal{L}|_{X \times Y \times \text{Spec}(k)})$$

takes $s' \mapsto 0$. By the Künneth formula, we have

$$\begin{aligned} H^1(\mathcal{L} |_{X \times Y \times \{z_0\}}) &\cong H^0(\mathcal{L} |_{X \times \{y_0\} \times \{z_0\}}) \otimes H^1(\mathcal{L} |_{\{x_0\} \times Y \times \{z_0\}}) \oplus H^1(\mathcal{L} |_{X \times \{y_0\} \times \{z_0\}}) \otimes H^0(\mathcal{L} |_{\{x_0\} \times Y \times \{z_0\}}) \\ &\cong H^0(\mathcal{O}_{X \times \{y_0\} \times \{z_0\}}) \otimes H^1(\mathcal{L} |_{\{x_0\} \times Y \times \{z_0\}}) \oplus H^1(\mathcal{L} |_{X \times \{y_0\} \times \{z_0\}}) \otimes H^0(\mathcal{O}_{\{x_0\} \times Y \times \{z_0\}}) \\ &\cong H^1(\mathcal{L} |_{\{x_0\} \times Y \times \{z_0\}}) \oplus H^1(\mathcal{L} |_{X \times \{y_0\} \times \{z_0\}}), \end{aligned}$$

since $X \times \{y_0\} \times \{z_0\}$ and $\{x_0\} \times Y \times \{z_0\}$ are complete. We then note that the connecting maps

$$H^0(\mathcal{L} |_{X \times \{y_0\} \times \text{Spec}(A/a)}) \xrightarrow{\delta'} H^1(\mathcal{L} |_{X \times \{y_0\} \times \{z_0\}})$$

and

$$H^0(\mathcal{L} |_{\{x_0\} \times Y \times \text{Spec}(A/a)}) \xrightarrow{\delta''} H^1(\mathcal{L} |_{\{x_0\} \times Y \times \{z_0\}})$$

send $s' \mapsto 0$, since \mathcal{L} is trivial on $X \times \{y_0\} \times \{z_0\}$ and $\{x_0\} \times Y \times \{z_0\}$ by hypothesis, and so $\delta(s) = (\delta'(s), \delta''(s)) = 0$.

We now show we can extend triviality of \mathcal{L} to an open containing z' . We take Z to be irreducible, without loss of generality (otherwise we restrict to each irreducible component). Write $\pi : X \times Y \times Z \rightarrow Z$ for the projection, and set $\mathcal{M} := \pi_* \mathcal{L}$, a coherent sheaf on Z , so $\mathcal{M}_{z'}$ is a finitely generated $\mathcal{O}_{Z, z'}$ -module. By the corollary to the formal function theorem, we have

$$\widehat{\mathcal{M}}_{z'} \cong \varprojlim H^0(\mathcal{L} |_{\text{Spec } \mathcal{O}_{Z, z'} / \mathfrak{m}_{z'}^n}) \cong \widehat{\mathcal{O}}_{Z, z'},$$

since

$$\begin{aligned} &H^0(X \times Y \times \text{Spec } \mathcal{O}_{Z, z'} / \mathfrak{m}_{z'}^n, \mathcal{L} |_{X \times Y \times \text{Spec } \mathcal{O}_{Z, z'} / \mathfrak{m}_{z'}^n}) \\ &\cong H^0(X \times Y, \mathcal{O}_{X \times Y}) \otimes H^0(\text{Spec } \mathcal{O}_{Z, z'} / \mathfrak{m}_{z'}^n, \mathcal{O}_{\text{Spec } \mathcal{O}_{Z, z'} / \mathfrak{m}_{z'}^n}) \\ &\cong H^0(\mathcal{O}_{\text{Spec } \mathcal{O}_{Z, z'} / \mathfrak{m}_{z'}^n}) \end{aligned}$$

by Künneth and since $H^0(X \times Y, \mathcal{O}_{X \times Y}) \cong k$.

Since $\mathcal{O}_{Z, z'}$ is noetherian local, the completion $\mathcal{O}_{Z, z'} \rightarrow \widehat{\mathcal{O}}_{Z, z'}$ is faithfully flat, and so $\widehat{\mathcal{M}}_{z'} \cong \widehat{\mathcal{O}}_{Z, z'}$ if and only if $\mathcal{M}_{z'} \cong \mathcal{O}_{Z, z'}$. So $\mathcal{M}_{z'}$ is free of rank one, and by coherence, \mathcal{M} is a line bundle in a neighbourhood V of z' . We also note that $\mathcal{M}_{z'} \rightarrow H^0(\mathcal{L} |_{X \times Y \times \{z'\}}) \cong k$ is surjective, and so for some neighbourhood $z' \in U \subset V$, $1 \in H^0(\mathcal{L} |_{X \times Y \times \{z'\}}) \cong k$ lifts to a section s of \mathcal{L} over $X \times Y \times U$. Shrinking U , we may assume that s is invertible on $X \times Y \times U$, and so $\mathcal{L} |_{X \times Y \times \{u\}} \cong \mathcal{O}_{X \times Y \times \{u\}}$ for each $u \in U$.

But the set of $t \in Z$ with $\mathcal{L} |_{X \times Y \times \{t\}}$ trivial is closed, and hence equal to Z , and we thus have that \mathcal{L} is the pullback of a line bundle on Z (in fact, to $\pi^* \mathcal{M}$). Then $\mathcal{L} |_{\{x_0\} \times \{y_0\} \times Z} \cong \mathcal{M} \cong \mathcal{O}_Z$, and hence \mathcal{L} is trivial. \square

From this we immediately obtain a number of useful corollaries. For an abelian k -variety X and $S \subset \{1, 2, 3\}$, denote by $\pi_S : X^3 \rightarrow X$ the map defined on k -points via $(x_1, x_2, x_3) \mapsto \sum_{s \in S} x_s$, where $is $s = \emptyset$ the sum is the unit of 0 of X .$

Corollary 0.11. *X an abelian k -variety, $\mathcal{L} \in \text{Pic}(X)$. Then the line bundle*

$$\Theta(\mathcal{L}) := \pi_{123}^* \mathcal{L} \otimes \pi_{12}^* \mathcal{L}^{-1} \otimes \pi_{13}^* \mathcal{L}^{-1} \otimes \pi_{23}^* \mathcal{L}^{-1} \otimes \pi_1^* \mathcal{L} \otimes \pi_2^* \mathcal{L} \otimes \pi_3^* \mathcal{L}$$

is trivial on X^3 .

Proof. Clearly it suffices to check that the restriction of $\Theta(\mathcal{L})$ to $\{0\} \times X \times X$, $X \times \{0\} \times X$, and $X \times X \times \{0\}$ is trivial. Write $j : \{0\} \times X \times X \hookrightarrow X^3$ for the inclusion morphism, and note that $\pi_S \circ j = \pi_{S \setminus \{1\}}$. Writing $c_0 : X^3 \rightarrow X$ for the constant morphism to $0 \in X$, we have

$$c_0^* \mathcal{L} : U \mapsto \text{colim}_{c_0(U) \subset V} \Gamma(V, \mathcal{L}) \cong \mathcal{L}_0 \cong \mathcal{O}_{X, 0},$$

the stalk of the structure sheaf at zero, and hence $c_0^* \mathcal{L} \cong \mathcal{O}_{X \times X}$. Then

$$\begin{aligned} j^* \Theta(\mathcal{L}) &= \pi_{23}^* \mathcal{L} \otimes \pi_2^* \mathcal{L}^{-1} \otimes \pi_3^* \mathcal{L}^{-1} \otimes \pi_{23}^* \mathcal{L}^{-1} \otimes \pi_2^* \mathcal{L} \otimes \pi_3^* \mathcal{L} \\ &\cong \pi_{23}^* \mathcal{L} \otimes \pi_{23}^* \mathcal{L}^{-1} \otimes \pi_2^* \mathcal{L}^{-1} \otimes \pi_2^* \mathcal{L} \otimes \pi_3^* \mathcal{L}^{-1} \otimes \pi_3^* \mathcal{L} \\ &\cong \mathcal{O}_{X \times X}, \end{aligned}$$

and similarly for $X \times \{0\} \times X$ and $X \times X \times \{0\}$. \square

Corollary 0.12. *For Y a k -variety and X an abelian k -variety, given maps $f, g, h : Y \rightarrow X$ and $\mathcal{L} \in \text{Pic}(X)$, we have*

$$(f + g + h)^* \mathcal{L} \cong (f + g)^* \mathcal{L} \otimes (f + h)^* \mathcal{L} \otimes (g + h)^* \mathcal{L} \otimes f^* \mathcal{L}^{-1} \otimes g^* \mathcal{L}^{-1} \otimes h^* \mathcal{L}^{-1}.$$

Proof.

$$\begin{array}{ccc} Y & \xrightarrow{(f,g,h)} & X^3 \\ & \searrow (f,g,h)_S & \downarrow \pi_S \\ & & X, \end{array}$$

where for instance $(f, g, h)_{12} = f + g$. We see that

$$\begin{aligned} (f + g + h)^* \mathcal{L} &\cong (f + g)^* \mathcal{L} \otimes (f + h)^* \mathcal{L} \otimes (g + h)^* \mathcal{L} \otimes f^* \mathcal{L}^{-1} \otimes g^* \mathcal{L}^{-1} \otimes h^* \mathcal{L}^{-1} \cong (f, g, h)^* \Theta(\mathcal{L}) \\ &\cong (f, g, h)^* \mathcal{O}_{X^3} \\ &\cong \mathcal{O}_Y, \end{aligned}$$

and we are done. \square

Recall for an abelian variety X and $x \in X(k)$, we have the translation morphisms $t_x : X \rightarrow X$.

Corollary 0.13 (Theorem of the square, [Mum85, §, Corollary 4, p.59]). *For X an abelian k -variety and $\mathcal{L} \in \text{Pic}(X)$,*

$$t_{x+y}^* \mathcal{L} \otimes \mathcal{L} \cong t_x^* \mathcal{L} \otimes t_y^* \mathcal{L}.$$

Proof. Note firstly that $t_x = \text{id}_X + c_x$, for c_x the constant map at x . Setting $f := \text{id}_X$, $g := c_x$, $h := c_y$ in the above corollary,

$$t_{x+y}^* \mathcal{L} \cong t_x^* \mathcal{L} \otimes t_y^* \mathcal{L} \otimes (c_x + c_y)^* \mathcal{L} \otimes c_x^* \mathcal{L}^{-1} \otimes c_y^* \mathcal{L}^{-1} \otimes \mathcal{L}^{-1} \cong t_x^* \mathcal{L} \otimes t_y^* \mathcal{L} \otimes \mathcal{L}^{-1},$$

since $c_z^* \mathcal{L} \cong \mathcal{O}_X$ for any $z \in X$. \square

Definition 0.14. For A an abelian k -variety, we define the degree 0 part of the Picard group to consist of translation invariant line bundles:

$$\text{Pic}^0(A) := \{\mathcal{L} \in \text{Pic}(A) \mid t_x^* \mathcal{L} \cong \mathcal{L}\}.$$

Note that t_x^* commutes with \otimes (as a left adjoint), and so $\text{Pic}^0(A) \subset \text{Pic}(A)$ is a subgroup.

The following is now immediate.

Corollary 0.15. *For A an abelian k -variety and $\mathcal{L} \in \text{Pic}(A)$, there is a homomorphism of abelian groups*

$$\begin{aligned} A &\xrightarrow{\varphi_{\mathcal{L}}} \text{Pic}^0(A) \\ a &\mapsto t_a^* \mathcal{L} \otimes \mathcal{L}^{-1}. \end{aligned}$$

REFERENCES

- [Mum85] David Mumford. *Abelian varieties, second edition, 1985 reprint*. Vol. 5. Tata Institute of Fundamental Research Studies in Mathematics. Published for the Tata Institute of Fundamental Research, Bombay; by Hindustan Book Agency, New Delhi, 1985.
- [GD61] Alexandre Grothendieck and Jean Dieudonné. *Éléments de géométrie algébrique: III. Étude cohomologique des faisceaux cohérents, Première partie*. Vol. 11. Publications Mathématiques de l’IHÉS, 1961, pp. 5–167. URL: http://www.numdam.org/item/PMIHES_1961__11__5_0/.
- [Stacks] The Stacks Project Authors. *Stacks Project*. <https://stacks.math.columbia.edu>. 2018.
- [Mat12] Akhil Mathew. *The theorem of the cube*. 2012. URL: <https://amathew.wordpress.com/2012/06/04/the-theorem-of-the-cube/> (visited on 10/24/2023).