

## Introduction to Functional Analysis – Errata

With thanks to Robin Chapman, Juan David González Cobas, Wojciech Ożański, and Shamindra Shrotriya.

p31 Exercise 2.12:  $S$  must also be bounded above (otherwise the supremum need not exist).

p29 The displayed equation in the proof of Proposition 2.29 is incomplete: it should read

$$\sup\{y : y \in f(K)\} \in f(K)$$

p37 In the statement of Lemma 3.4, it should be  $N: X \rightarrow [0, \infty)$ . Before the final displayed equation in the proof, property (ii) from the statement of the lemma is used ( $N(\lambda x) = |\lambda|N(x)$ ), not property (ii) from Definition 3.1.

p42 The left-hand side of the final displayed equations in the proof of Example 3.13 should be

$$\|\lambda f + (1 - \lambda)g\|_{L^p}^p$$

p75 The definitions of  $g$  and  $p$  in the proof of Corollary 6.3 should be  $g(x) := f(a + x(b - a))$  and  $p(x) := q((x - a)/(b - a))$ .

p85 The space  $\text{Lip}_L(X; \mathbb{K})$  and the similar space on p86 are not compact as defined. We need to include a boundedness condition (as required by Corollary 6.13): so, for example, the space

$$\{f \in C(X; \mathbb{K}) : \|f\|_\infty \leq B, |f(x) - f(y)| \leq L|x - y| \text{ for all } x, y \in X\}$$

is compact.

p94ff When defining  $L^p$  spaces as completions, a little more care is needed than here. There are two issues: when  $\Omega$  is unbounded, and when the boundary of  $\Omega$  has non-zero measure. In the first case (which includes  $\mathbb{R}^n$ , of course) there may be functions in  $C(\overline{\Omega})$  that are not in  $L^p(\Omega)$ , so here one must take the completion of functions in  $C(\overline{\Omega})$  such that  $\int_\Omega |f|^p < \infty$ . In the second case one has to take the completion of continuous functions with compact support in  $\Omega$ .

p149 The proof of surjectivity of  $T + S$  uses the Contraction Mapping Theorem, so the result guarantees that there is a *unique*  $x$  such that  $(T + S)x = y$ , so  $T + S$  is not only surjective, it is also injective. Given a different ordering of the material in the book, one could now appeal to the Inverse Mapping Theorem (Theorem 23.2) to deduce that  $(T + S)^{-1}$  is bounded.

p158 Exercise 12.3 (iii) - should read  $B(x, x) \geq b\|x\|^2$  for some  $b > 0$ .

p158 Exercise 12.4 (iii) - should read  $f \in H^*$

p170 Third paragraph of proof of Theorem 14.9 is unnecessary: it is an immediate consequence of Proposition 11.18 that  $\beta_i I - T$  is invertible for each  $i$ , and hence  $\beta_i \notin \sigma(T)$ .

p178 The spectrum of a bounded operator on a Banach space is also non-empty; but this requires the theory of operator-valued complex functions (see Theorem X in Kreyszig, 1978, for example).

p179 Exercise 15.7: summation in the definition of  $S\mathbf{x}$  should be over  $j$

p184 After the first sentence of the proof of Theorem 16.6, define  $\lambda_1$ : “set  $\lambda_1 = \pm\|T\|$ , so that  $Tw_1 = \lambda_1 w_1$ ”.

p192 Lemma 17.3. For general functions  $u_1$  and  $u_2$ , having zero Wronskian  $W_1(u_1, u_2)$  does not imply that  $u_1$  and  $u_2$  are linearly dependent: for example,  $u_1(x) = x|x|$  and  $u_2(x) = x^2$  have  $u_1'(x) = 2|x|$  and  $u_2'(x) = 2x$ , so  $W(u_1, u_2)(x) = 0$  but these functions are linearly independent.

However, if  $L[u_1] = L[u_2] = 0$  then zero Wronskian *does* imply linear dependence. To see this, fix any  $x_0 \in [a, b]$ ; then, as written in the current proof, there must exist  $\alpha, \beta \in \mathbb{R}$  such that  $\alpha u_1(x_0) + \beta u_2(x_0) = 0$  and  $\alpha u_1'(x_0) + \beta u_2'(x_0) = 0$ . The issue – not addressed in the proof as printed – is that a priori  $\alpha$  and  $\beta$  could depend on  $x$ . However, if we consider the function  $\phi(x) := \alpha u_1(x) + \beta u_2(x)$  then

$$L[\phi] = 0 \quad \text{with} \quad \phi(x_0) = \phi'(x_0) = 0.$$

Uniqueness of solutions for this initial-value problem now shows that  $\phi(x) \equiv 0$  for all  $x \in [a, b]$ .

p193 Theorem 17.5:  $u_1, u_2$  here should be in  $C^2([a, b])$ , not in  $\mathcal{D}$ . [If they were in  $\mathcal{D}$  then Lemma 17.1 would imply that they were both zero.]

p194 The functions  $u_1$  and  $u_2$  constructed in this way satisfy

$$L[u_1] = 0, \quad u_1(a) = 0, \quad u_1'(a) = 1 \quad \text{and} \quad L[u_2] = 0, \quad u_2(b) = 0, \quad u_2'(b) = 1.$$

They are linearly independent, since otherwise  $u_1(a) = u_1(b) = 0$ , which coupled with the fact that  $L[u_1] = 0$  would imply (by Lemma 17.1) that  $u_1 = 0$  (and  $u_2 = 0$  too).

p196 That  $\mathcal{T}g = u$  follows from the uniqueness of  $\mathcal{D}$ -valued solutions of  $L[u] = g$ : if  $L[u] = L[v] = g$  then  $L[u - v] = 0$ , so clearly

$$(L[u - v], u - v) = 0$$

and Lemma 17.1 implies that  $u = v$ .

p196 The final sentence of the penultimate paragraph should say “in particular, zero is not an eigenvalue of  $\mathcal{T}$ ”.

p198 The first case should be when  $\lambda < 0$ , in which case  $\sqrt{\lambda}$  should be replaced by  $\sqrt{|\lambda|}$ . The final case is then  $\lambda > 0$ .

p234 line 5 of proof of Lemma 21.6, should read  $|\phi(x_n)| \geq n$  since  $X$  could be a complex space.

p260 in the displayed equation following (24.1), the denominator should be  $\|x_{n_j} - z_{n_j}\|$  ( $z_{n_j}$  not  $y_{n_j}$ ).

p262 Proof of Proposition 24.6: if we set  $E_0 = \{0\}$  then it is possible to take  $n > m \geq 1$  in the penultimate line.

p294 Theorem 27.12: in the second paragraph of the proof, the separability of  $Y^*$  is required in order to invoke Theorem 27.11, which is applied with  $X = Y^*$  and  $X^* = (Y^*)^* = Y^{**}$ .

p297 Exercise 27.10:  $X$  here is once again (as in Exercise 27.9) a real Banach space

p366 solution of Exercise 12.3, the coefficient of  $B(v, v)$  in first equation after (S.20) should be  $\frac{t^2}{2}$ .

p369 line -4:  $\ell^\infty$  should be  $\ell^2$  (twice)

p382 solution of Exercise 20.9: in first line  $\phi$  should read  $\phi_n$