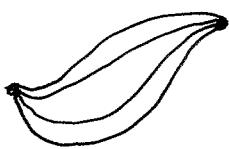


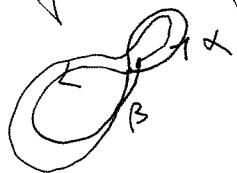
# Covering spaces and fundamental groups.



Recall that the fundamental group of a space  $X$  based at a point  $p \in X$ ,  $\pi_1(X, p)$  is the collection of homotopy classes of parametrized loops based at  $p$ .

The group operation is given by concatenation:

Example.



Let  $\mathbb{C}^* = \mathbb{C} - \{0\}$ .

$\pi_1(\mathbb{C}^*, 1)$  is generated by  $\gamma$  where  $\gamma(t) = e^{2\pi i t}$   $t \in [0, 1]$ .

$\alpha\beta$  is the path obtained first by following  $\alpha$  then following  $\beta$ .

There is a close connection between covering spaces and fundamental groups.  
be a covering space.

Let  $f: X \rightarrow Y$ . Pick a point  $q \in Y$  and a point  $p \in X$  with  $f(p) = q$ .

$f$  induces a map  $f_*: \pi_1(X, p) \rightarrow \pi_1(Y, q)$ .

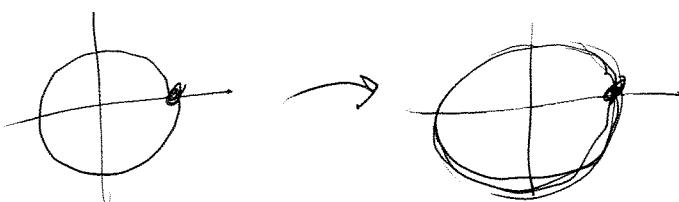
In an appropriate sense the covering space is determined by knowing the image of  $f_*$ , it corresponds to  $f_*(\pi_1(X, p)) \subset \pi_1(Y, q)$ .

$$\begin{matrix} X & & Y \\ \downarrow & & \downarrow \end{matrix}$$

Example:  $f: \mathbb{C}^* \rightarrow \mathbb{C}^*$   $f(z) = z^3$ ,

Choose  $p = q = 1$ .

$f_*(z) = z^3$ . If we identify each group with  $\mathbb{Z}$  then  
 $f_*(m) = 3m$ ,  $f_*(\pi_1(\mathbb{C}^*, 1)) = 3\mathbb{Z} \subset \mathbb{Z}$



Gives  $\mathbb{Z}/3\mathbb{Z}$  as  $\pi_1(\mathbb{C}^*, 1)$   
 $\#f'(z) = 3$ ,  
 $f_*(\pi_1(\mathbb{C}^*, 1))$  has index  
3 in  $\pi_1(\mathbb{C}^*, 1)$

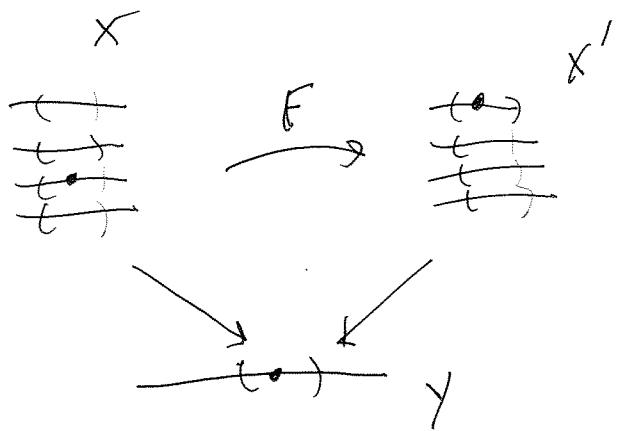
Define a relation on pointed covering spaces.

3 26

Let  $f: (X, p) \rightarrow (Y, q)$  and  $f': (X, p') \rightarrow (Y, q')$  be connected covering spaces. We say  $f$  and  $f'$  are equivalent if there is a homeomorphism  $F: (X, p) \rightarrow (X, p')$  so that

$$(x, p) \xrightarrow{f} (x', p') \\ \downarrow f' \qquad \qquad \qquad \downarrow f' \\ (y, q)$$

commutes,



Over any  
weakly covered  
set  $F$  is  
permitting the  
inverse image  
"the streets",

Example: Consider  $(\mathbb{C}^*, \mathbb{1}) \rightarrow (\mathbb{C}^*, \mathbb{1})$  and  $f: (\mathbb{C}^*, \mathbb{3}) \rightarrow (\mathbb{C}^*, \mathbb{1})$ . There are distinct as pointed covering spaces but they are equivalent where

$$f: \mathbb{C}^* \rightarrow \mathbb{C}^* \quad f(z) = 5z,$$

$$\begin{array}{ccc}
 (\mathbb{C}^*, 1) & \xrightarrow{\text{2458}} & (\mathbb{C}^*, 3) \\
 & \searrow \text{2423} & \downarrow \text{2425} \\
 & & (\mathbb{C}^*, 4)
 \end{array}$$

Then.

(Galois correspondence).

Let  $X, Y$  be  
connected

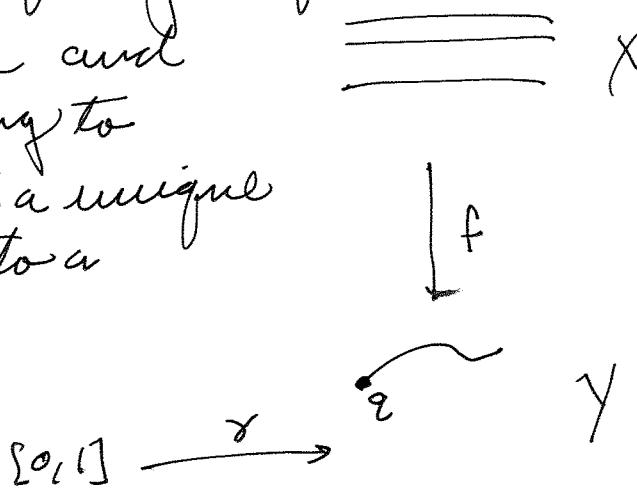
If  $f: (X, p) \rightarrow (Y, q)$  be a covering space then  
 $f_*$  induces an injective map  $f_*: \pi_1(X, p) \rightarrow \pi_1(Y, q)$ .

Covering spaces are determined up to  
equivalence by the corresponding subgroups.

$f: (X, p) \rightarrow (Y, q)$  and  $f': (X', p') \rightarrow (Y, q)$  are  
equivalent if and only if  $f_*(\pi_1(X, p)) = f'_*(\pi_1(X', p'))$ .

If  $Y$  is sufficiently nice (eg a manifold) then  
for every subgroup  $P \subset \pi_1(Y, q)$  there  
is a covering space  $f: (X, p) \rightarrow (Y, q)$  with  
 $f_*(\pi_1(X, p)) = P$ .

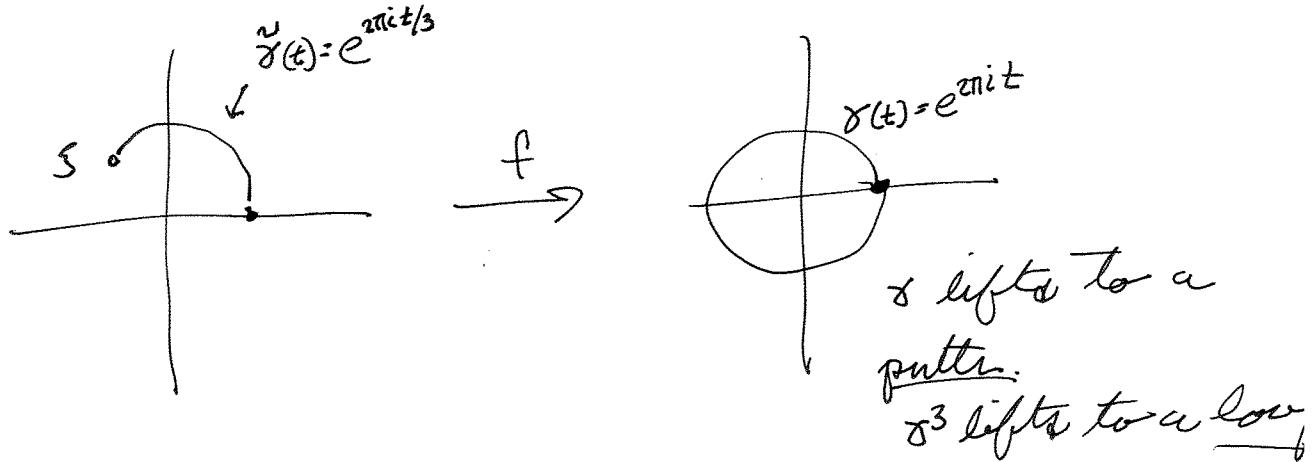
A basic construction in analyzing covering spaces is path lifting. If we have a path in the base and a point  $p$  mapping to  $x(0)$  we can construct a unique lift of this path to a



$\tilde{\gamma} : [0, 1] \rightarrow X$  with  
 $\tilde{\gamma}(0) = p$  and  $f \circ \tilde{\gamma} = \gamma$ .

If you start with a loop downstairs it can lift either to a path or a loop.

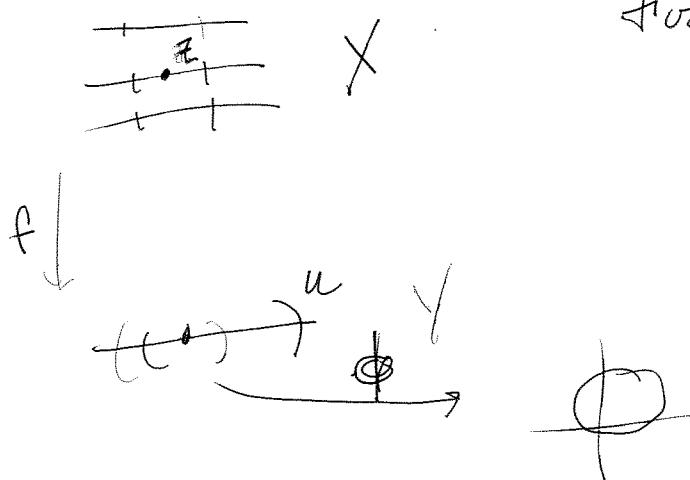
$f^*(\pi_1(X, p))$  tells you which loops at  $q$  lift to loops at  $p$  and which lift to paths



This construction allows us to build topological spaces abstractly, in terms of subgroups of  $\pi_1(Y, q)$ .

Plan. If  $(Y, q)$  is a Riemann surface then any covering space  $f: (X, p) \rightarrow (Y, q)$  is a Riemann surface and  $f$  is holomorphic. Two <sup>topologically</sup> equivalent covers are are conformally equivalent,

Proof. How do we construct the atlas?



For each chart  $\phi$  downstairs defined on  $U \subset Y$  and each  $z \in X$  with  $f(z) \in U$  there is an open set  $U' \subset U$  which is evenly covered and contains  $f(z)$ .

$z$  is in a component  $U'_z$  of  $f^{-1}(U)$ . Define  $\phi_z: U'_z \rightarrow \mathbb{C}$  by  $\phi_z = \phi \circ f$ .

This construction allows us to build Riemann surfaces abstractly.

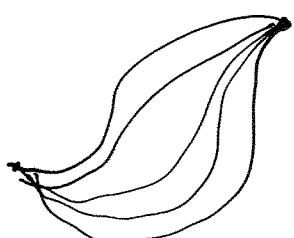
One of the motivations for the development of the theory of Riemann surfaces is the desire to deal with "multivalued functions" such as the logarithm which ~~do not have unique~~ values.

One way in which multivalued functions arise is through integration. Let me relate this to the theory of covering spaces.

Say that  $f$  is a holomorphic function on  $U \subset \mathbb{C}$ . We want to define a Riemann surface on which  $\int f dz$  is well defined.

Pick  $g \in U$  and define  $h: \pi_1(U, g) \rightarrow \mathbb{C}$  by

$$h(x) = \int_x f dz.$$
 We note that  $h$  is actually well defined since 2 homotopic paths have the same integral.



We also note that  $h$  is a homomorphism  $h(\alpha\beta) = h(\alpha) + h(\beta)$  to the additive group of  $\mathbb{C}$ .

Let  $\Gamma = \text{ker}(h)$  and let  $X_\Gamma$  be the covering space corresponding to  $\Gamma$  under the Galois correspondence.

Example:  $U = \mathbb{C}^*$   $f(z) = \frac{1}{z}$ .  $\gamma: [0, 1] \rightarrow U$ .

$h(\gamma) = \int_{\gamma} \frac{dz}{z}$ ,  $h(\gamma)$  is  $2\pi$  times the winding<sup>\*</sup>  $w(\gamma, 0)$  the winding # of  $\gamma$  with respect to 0.  
 $\text{ker } h$  is the trivial subgroup.  $X_\Gamma$  is the covering space corresponding to the trivial subgroup which is the universal cover of  $\mathbb{C}^*$ .

More generally:

$h(\alpha\beta) = h(\alpha) + h(\beta)$  whenever you can compose paths  $\alpha, \beta$

$\pi: U_p \rightarrow U$ .

8.

For  $w \in U_p$  define  $F(w) = \int_{\gamma} f(z) dz$  where  
 $\gamma = \pi(\tilde{\gamma})$  and  $\tilde{\gamma}$  is a path  
from  $p$  to  $w$ .

Claim that  $F$  is holomorphic and  
well defined on  $U_p$ .

Proof. Say that  $\alpha$  and  $\beta$  are paths from  
 $p$  to  $w$  in  $U_p$ . We want to show that

$$\int_{\pi(\alpha)} f(z) dz = \int_{\pi(\beta)} f(z) dz \quad \text{or} \quad \int_{\pi(\alpha) \cdot \pi(\beta^{-1})} f(z) dz = 0$$

Choice of  
constant  
of integration  
has an  
effect.

or  $\int_{\pi(\alpha \beta^{-1})} f(z) dz = 0$ , By construction

$\alpha \beta^{-1}$  is a path in  $U$  which lifts to a loop  
in  $U_p$  so  $\alpha \beta^{-1} \in \Gamma$  and

$$0 = h(\alpha \beta^{-1}) = \int_{\pi(\alpha \beta^{-1})} f(z) dz$$

Conclude that anti-derivatives exist though perhaps  
on a different surface. Parboring garage picture.

Further

# Applications of covering space theory to Riemann surfaces

①

How does  $\pi_1(X, p)$  depend on  $p$ ?

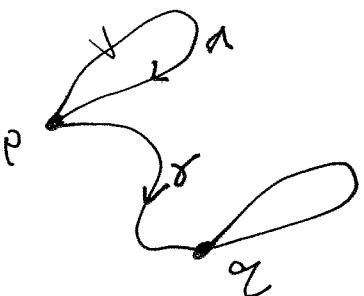
Assume  $X$  is path connected.

Let  $p, q$  be points and  $\gamma$  a path between them.

We can define a homomorphism  $L_\gamma: \pi_1(X, p) \rightarrow \pi_1(X, q)$

$$\rightarrow \pi_1(X, q)$$

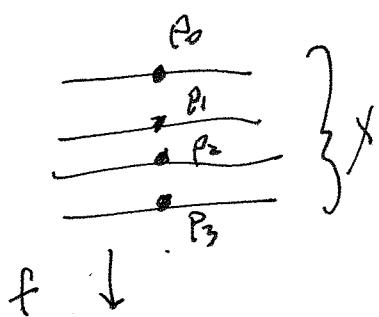
$$L_\gamma(x) = \frac{\gamma}{\gamma^{-1}x\gamma},$$



Prop.  $L_\gamma$  is an isomorphism (with inverse  $L_{\gamma^{-1}}$ ).

Remark: In general  $\gamma$  is a path -

if  $p=q$  then  $\gamma$  is a loop and represents an element of the homotopy group.



$$f \downarrow$$



Any  $f: X \rightarrow Y$  is a covering and  $X$  is connected

Prop. The deck group acts transitively on  $f^{-1}(q)$

$f_*(\pi_1(X, p_0))$  is normal in  $\pi_1(Y, q)$ .

Remark.  $f_*(\pi_1(X, p_0))$  and  $g_*(\pi_1(X, p_1))$

differ by an inner automorphism. Choose a path  $\gamma$  from  $p_0$  to  $p_1$ . This gives an isomorphism

(2)

$$(X, p_0) \xrightarrow{F} (X, p_1)$$

$$\begin{array}{ccc} & \searrow f^* & \\ (Y, q) & & \end{array}$$

$F$  exists iff  $f_*(\pi_*(X, p_0)) = f_*(\pi_*(X, p_1))$

inserted  
page

Formula for the deck group action:

Let  $f: (X, p_0) \rightarrow (Y, q_0)$  be a covering space. Let  $\gamma$  be a loop based at  $q_0$  representing an element of  $\pi_1(Y, q_0)$ . We define a map  $g: X \rightarrow X$  in the deck group.

Let  $z \in X$ . Choose a path  $\alpha$  from  $p_0$  to  $z$  in  $X$ . Consider the composition path  $\gamma \cdot f(\alpha)$  in  $Y$ . Lift this to a path based at  $p_0$ . Define  $g(z)$  to be the endpoint of this path.

(3)

Let  $\gamma$  from  $\pi_1(X, p_0)$  to  $\pi_1(X, p_1)$ . Downstair this corresponds to conjugation by  $f(\gamma)$  which is a loop. If  $\pi_1(X, p_0)$  is normal then  $f_\ast(\gamma)$  is a loop and  $f_\ast(\pi_1(X, p_0))$ ,  $f_\ast(\pi_1(X, p_1))$  differ by conjugation by the corresponding element of the fundamental group.

$$f: X \rightarrow Y$$

Def. If  $P \subset \pi_1(X, p)$  is normal then  $\pi: X_P \rightarrow X$  is called a regular cover, ~~in this case the deck group acts freely on  $X$  so that  $X_P = Y$ .~~ Deck group is

$$P = \pi_1(Y, q) / f_\ast(\pi_1(X, p)).$$

Example  $\mathbb{Z} \rightarrow \mathbb{Z}$ . Deck group is  $\mathbb{Z}/n\mathbb{Z}$ . acts conformally

Cor. Any Riemann surface is the quotient of a simply connected Riemann surface by subgroup <sup>(7)</sup> of the conformal automorphism group acting freely. [Example:  $\mathbb{C}^*$  is conf. equivalent to  $\mathbb{C}/\mathbb{Z} + \mathbb{Z}\tau$ . Deck group =  $\mathbb{Z}$  acting conformally by  $\tau(z) = z + \tau$ .]

Proof. Let  $R$  be the universal cover of  $S$  and  $\pi: R \rightarrow S$  the covering map. Let  $P$  be the deck group.  $P$  acts freely and conformally.

$R$  inherits a Riemann surface structure from  $S$ .

In the last section of the course I will show that the only simply connected Riemann surfaces are  $S^2$ ,  $\mathbb{C}$  and  $\frac{\text{UHP}}{\text{ant UHP}}$ . This implies that the quotient construction builds all examples

$$\left[ \text{ant } \text{UHP} = \frac{cz+d}{cz+\bar{d}} \right]$$

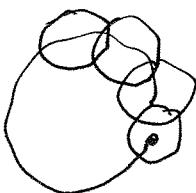
Second application, Example sheet 1.

Problem 8.

Linear fractional  
trans.  $a, b, c, d$  real  
and  $ad - bc > 0$ .

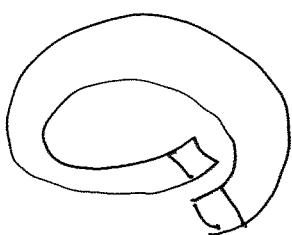
A basic idea leading to the construction of Riemann surfaces is the idea that many of the functions one would like to consider are naturally multiple valued.

This arises for example in solving complex differential equations. Power series methods let you solve them in a disk. We get solutions by piecing disks together. We often find that



in piecing these together along a loop we get a different solution over the initial disk.

Simplest example



$F' = \frac{1}{z}$ . Solution is  $\log z$  but  $\log$  is multivalued,

(5)

In some cases we can solve this problem by building an appropriate covering space and thinking about our multivalued function as being single valued on the covering space.

We consider here the simplest case  $F' = f$  for  $f$  holomorphic in  $U \subset \mathbb{C}$ .  $f$  is a polygon. Let  $u = \mathbb{D}$ -roots of  $f$ . Let  $q \in U$ .

Define  $h: \pi_1(U, q) \rightarrow \mathbb{C}$  by  $\gamma \mapsto \int_{\gamma} f dz$ .  
 $h$  is a homomorphism from  $\pi_1$  to the additive group of  $\mathbb{C}$ .  
 Let  $R = \ker h$ .

Define  $\pi: U_R \rightarrow U$  to be the covering space constructed by the Galois correspondence.

Define  $F(z)$  on  $U_R$  by

(6)

Let  $f$  be a holomorphic function defined on  $U \subset \mathbb{C}$ . Let  $z_0 \in U$

Define a homomorphism<sup>4</sup> from  $\pi_1(U, z_0) \rightarrow \mathbb{C}$

by  $h(\gamma) = \int_{\gamma} f(z) dz.$

Let  $P \subset \pi_1(U, z_0)$  be the kernel of  $h$ .

Let  $U_P$  be the <sup>connected</sup> covering space  $U$  corresponding to  $P$ . Then there is a well-defined function

$F$  on  $U_P$  defined by  $F(w) = \int_{\gamma_w} f(z) dz$  where

$\gamma_w$  is some path in  $U$  which lifts to a path connecting  $z_0$  to  $w$ .

Parking garage picture.

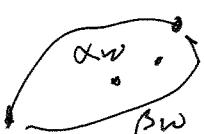
Proof. We have given a formula for construct

$F$ . We need to check to see that it is well

defined. Say we have paths  $\gamma_w, \beta_w$  that connect  $z_0$  to  $w$ . Need to show that

$$\int_{\gamma_w} f dz = \int_{\beta_w} f dz \text{ or}$$

$$\int_{\gamma_w * \beta_w^{-1}} f dz = 0.$$



But  $\gamma_w * \beta_w^{-1}$  is a loop based at  $z_0$  which is a projection of a loop in  $U$ .

By definition  $\int_{\gamma} \frac{f}{z-w} dz = 0$  if  $w \in P$

$$\text{so } \int_{\gamma_w} \frac{f}{z-w} dz = 0.$$

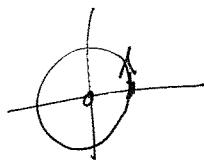
This uses composition  
of arbitrary paths.

Example,  $f = \frac{1}{z}$  on  $U = \mathbb{C}^*$   
(attempting to define  $\log$ ,  
not defined on  $U$ )

$$\pi_1(\mathbb{C}^*) = \mathbb{Z}.$$

$h$  maps

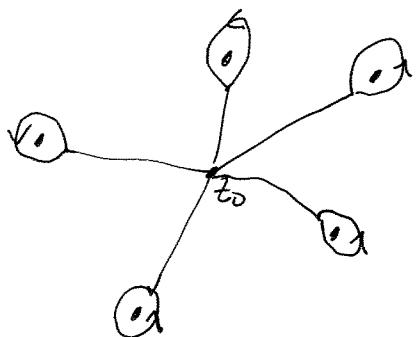
Generator corresponding to the loop going  
counter-clockwise around the  
origin,  $h(z^n) = n \cdot 2\pi i$ .



(attempting to define  $\log$ )

Example  $f = \frac{P'}{P}$  for  $P$  a polynomial  
with distinct roots with mult  $m_k$ .  $P = \prod (z - z_j)^{m_j}$

Any  $z_1, \dots, z_d$  are the roots of  $P$ . say  $z_0$  is a  
distinct point



$$U = \mathbb{C} - \{z_1, \dots, z_d\}$$

$z_0 \in U$  is the basepoint.

Let  $\gamma_1, \dots, \gamma_d$  correspond to  
loops around  $z_1, \dots, z_d$ .

Claim  $\pi_1(U)$  is the free group on  $\gamma_1, \dots, \gamma_d$ ,

Recall that the residue of  $\frac{P'}{P}$  at  $z_k$  is the

multiplicity of  $z_k$  as a root of  $P$ .  $\exists!$

Residue formula for integration

Gives  $h(\gamma_j) = w_j$ . (8)

$$h(\gamma_{j_1} \gamma_{j_2} \dots \gamma_{j_k}) = \sum_{l=1}^k w_{j_l}.$$

Alternatively

$$\frac{(QR)'}{QR} = \frac{Q'R + QR'}{QR} = \frac{Q'}{Q} + \frac{R'}{R}$$

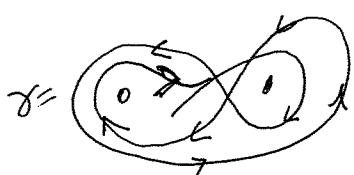
so the integral is the sum of the winding numbers  
of  $\gamma$  around

$$\sum_{j=1}^{ak} w(\gamma, z_j),$$

Equiv. winding of  $P(z)$   
around 0.



$$h(\gamma) = 0.$$



$$h(\gamma) = 2.$$

Constant of integration,

Shows that the covering space  $U_P$   
is not simply connected.

Define  $\sqrt{P(z)}$ .

Relate this to  $\log P(z) = \int \frac{P'(z)}{P(z)} dz$ .

Image contains  
in  $\mathbb{Z} \cdot \pi i$ .

$$\sqrt{P(z)} = \exp\left(\frac{1}{2} \int \frac{P'(z)}{P(z)} dz\right)$$

$$\gamma \mapsto \exp\left(\frac{1}{2} h(\gamma)\right).$$

Plus is a multiplicative  
homomorphism with image  
contained in  $\exp(\mathbb{Z} \cdot \pi i) = \pm 1$ .

A loop maps trivially iff the weighted sum of its winding #'s around the zeros of  $P$  is even. ⑨

Let  $\Gamma = \text{ker } \mu$ .

We can define a parametrization  
of  $\gamma^2 = P(z)$  by  $u_P$ .

Identification of an abstract  
surface  $U_P$  with a concrete surface.

$$\left( \exp \frac{1}{2} \int_S \frac{P'}{P} dz \right)^2 = P(z)$$

Make it true at  $z_0$  with an appropriate choice  
of constant of integration.

$\int_S \frac{P'}{P} dz$  is a local branch of

$$\left( \exp \frac{1}{2} \log P \right)^2 = e^{2 \cdot \frac{1}{2} \log P} = e^{\log P} = P.$$

up to a constant

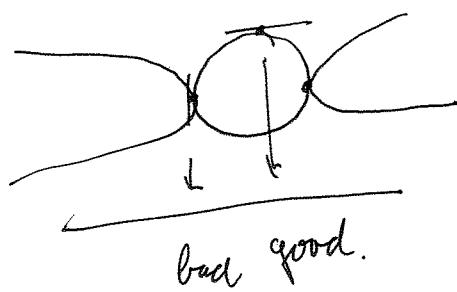
$$P(x, y) = -y^2 + f(x), \quad (1)$$

Let  $V = \{(x, y) : y^2 = f(x)\}$  where  $f$  is a polynomial.

Let  $\pi_x : V \rightarrow \mathbb{C}$  map  $(x, y)$  to  $x$ .

The projection  $\pi$  is a local homeomorphism

where  $\frac{\partial P}{\partial y} \neq 0$ . This follows from the implicit function theorem as we used. Recall the two types of charts.



$$V' = V - \{(x, y) : y=0\}, \quad \mathbb{C}' = \mathbb{C} - \{x : f(x)=0\},$$

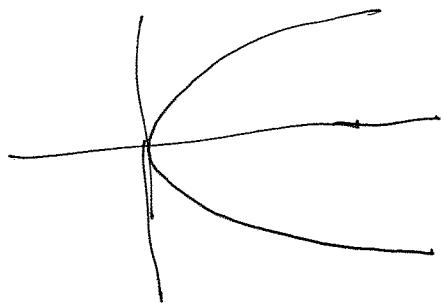
$\frac{\partial P}{\partial y}$  and  $P$  vanish where  $y=0$  and  $x$  is a root of  $f$ . [Claim:  $\pi_x : V' \rightarrow \mathbb{C}'$  is a covering space,

I want to build this cover explicitly by using a cover associated to  $\log f$

$$\text{or } \int_{\gamma} \frac{f'}{f} dz.$$

$$\text{Let } Z = \{z \in \mathbb{C} : f(z)=0\},$$

76  
 $V = \{y^2 = x\}$  as a covering space,



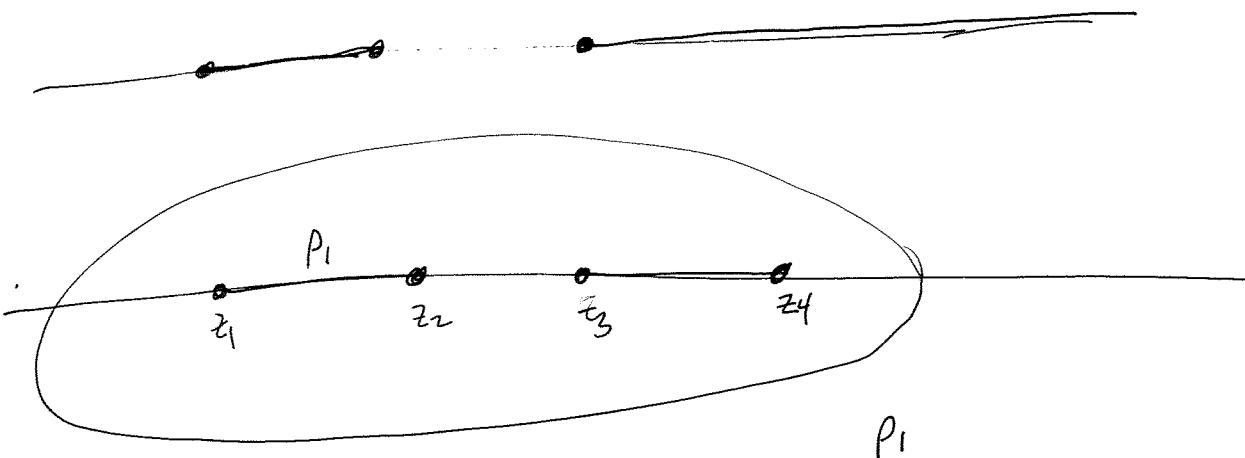
Consider  $\pi_x: V' \rightarrow \mathbb{C}'$ .

Let  $f(z)$  be defined on  $U \subset \mathbb{C}$ . We can define a homomorphism  $\pi_1(U, p) \rightarrow \mathbb{C}$  taking  $\gamma$  based at  $p$  to  $\int_{\gamma} f(z) dz$ . Consider the (abstract) covering space corresponding to the kernel. Claim  $F(u) = \int_p^u f(z) dz$  is well defined on this covering space.

Can't always find a global parametrization for a Riemann surface.

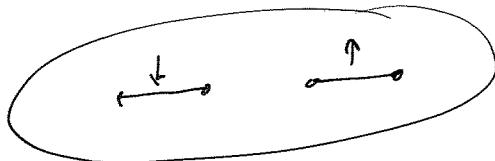
Applications:

Branch cut construction:

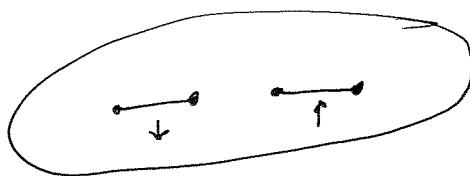


Closed curves that don't cross

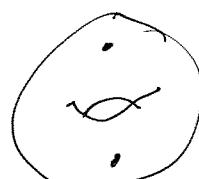
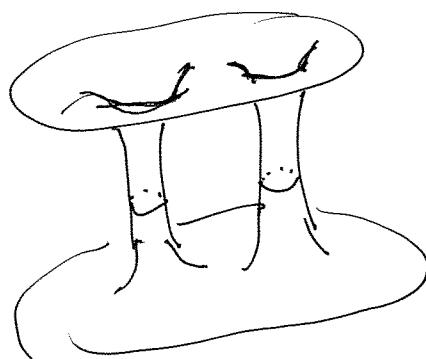
lift to curves since each one has  
the same winding # around  $z_1$  and  $z_2$   
hence its total winding number is even.



Trivial holonomy  
around  $\infty$ .



affine  
Hyper-elliptic curve  
of even degree is a 2d  
is a surface of  
genus d minus  
2 pts.



affine  
Hyper-elliptic curve  
of degree  $2d-1$  has  
genus genus d minus  
1 pt.

$$\text{Recall } V = \{Y^2 = P(X)\}$$

$$P(X) = \prod_{j=1}^n (X - X_j)^{m_j}$$

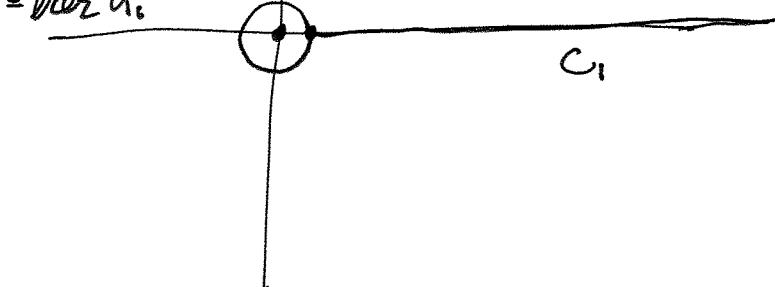
$V$  now singular at all  $m_j > 1$ .

$$V' = V - \{(0, x_0)\} \quad U = \mathbb{C} - \{x_0\}.$$

$\pi: V' \rightarrow U$  is a covering:

$V'$  is a normal covering corresponding to  $\ker h_i: \pi_1(U) \rightarrow \mathbb{Z}^{\pm 1}$ .

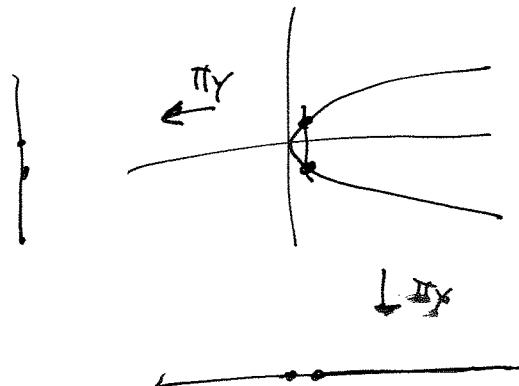
$$= \ker \bar{h}_i$$



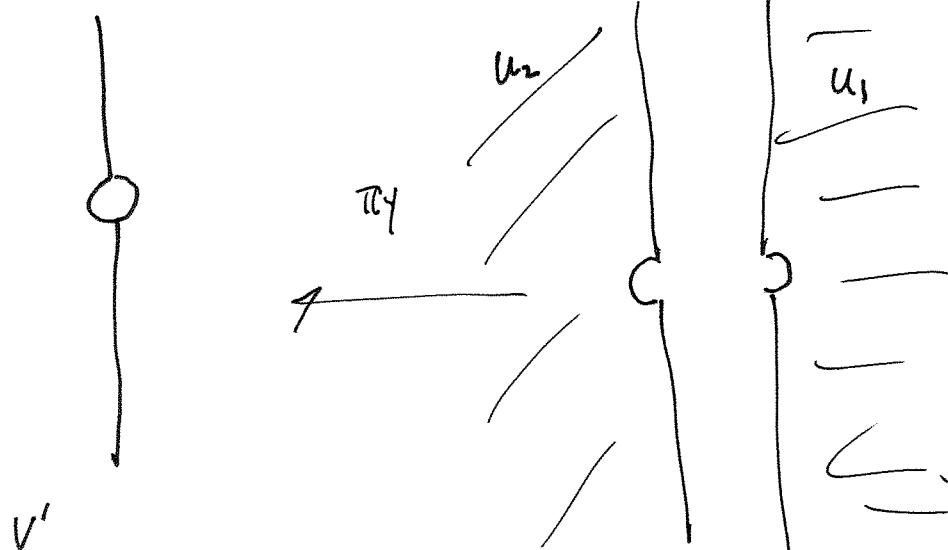
$$V = \{Y^2 = X\}$$

$$V' = V - \{0, 0\},$$

$$\begin{cases} \bar{h}_i = \frac{w_0}{2\pi i} \bmod 2 \in \mathbb{Z}/2\mathbb{Z} \\ w_1 = (-1)^{\bar{h}_i} \\ \bar{h}_i(\sigma) = \sum \text{wind}(\sigma, X_i) \bmod 2 \end{cases}$$

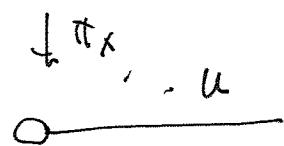


$$\hat{U} = U - \{C_1 \cup D_0\}$$



For this particular example  $\pi$  provides a global parametrization of  $V$ ,

$$V = V' \cup \{\text{small disks}\}$$



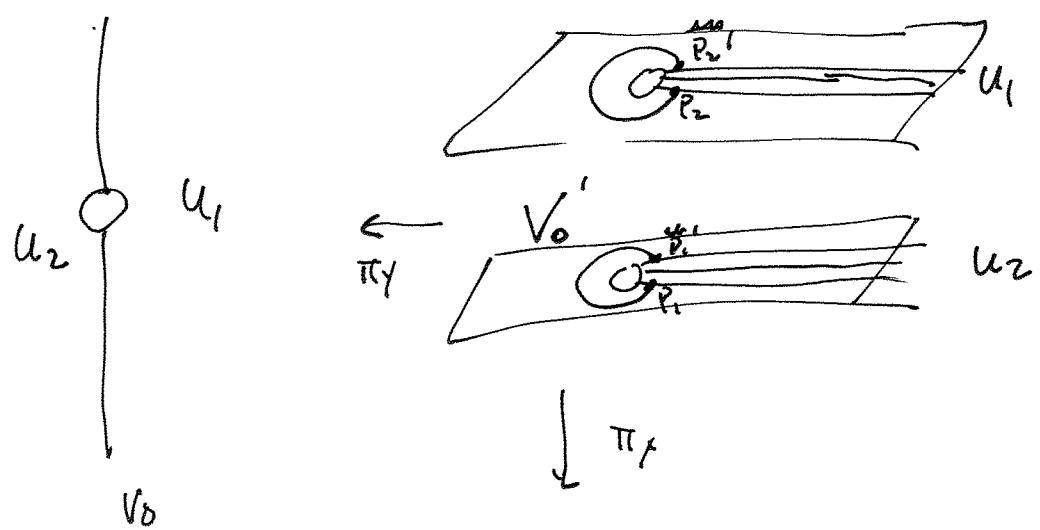
Objective: Use our information  
about  $\bar{G}_i$  to build a topological  
model of  $V$ .

(1.1)

$U_0 = U$  with small disks around the roots removed,

$$V_0' = \pi^{-1}(U_0),$$

If  $V$  is now singular then  $V$  is  $V_0'$  with small disks around the points  $\{(0, x_i)\}$  added.

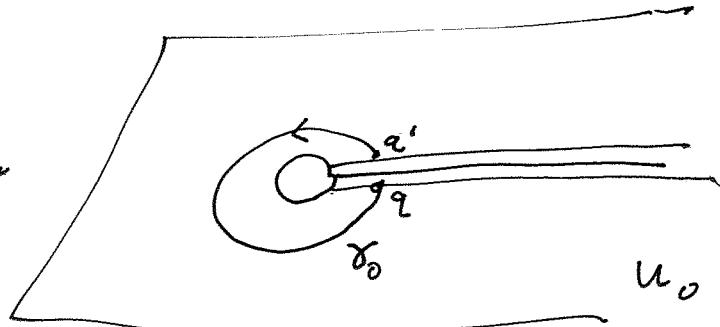


$c = \text{slit from}$   
 $\text{etcs.}$

$\pi_x^{-1}(\delta)$  is a  
single circle

$$V = V_0 \cup D$$

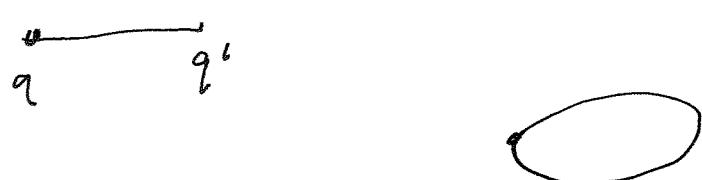
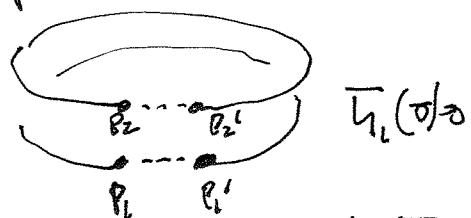
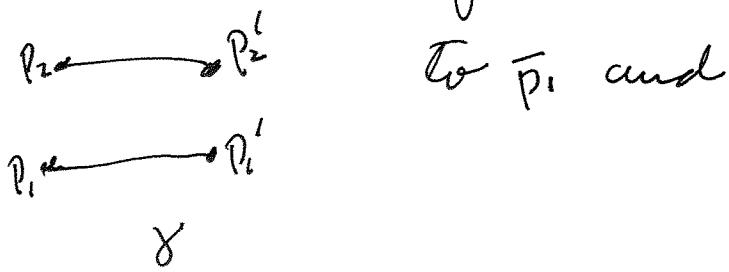
because  $V_0$   
is a coordinate  
chart.



Lift of a short segment downstream  
connects  $p_1$  to  $\bar{p}_1$  or  $\bar{p}_2$ ,  $p_2$  to  $\bar{p}_1$  or  $\bar{p}_2$ ,  
Which is it?

It is determined by the monodromy of  $\gamma$ ,

If  $\bar{h}_*(\gamma) = 0$  then  $p_i$  gets connected



$$\bar{h}_*(\gamma) = 0$$

$$\bar{h}_*(\gamma) = 1$$

Lemma. Let  $p(t): \{r=0\} \rightarrow \mathbb{C}$  be a proper map.  
 Let  $\gamma$  be a parametrized curve disjoint  
 from the image of  $p$ . Then  $\text{wind}(\gamma, p(0)) = 0$ .

(Proper map means that the inverse image  
 of a compact set is compact. Means that  
 $p(t)$  eventually leaves any disk around the  
 origin.)

Proof.  $\text{wind}(\gamma, p(t)) = \int_{\gamma} \frac{dz}{z - p(t)}.$

Since  $p(t)$  does not lie on  $\gamma$  the integrand  
 varies continuously with  $t$ . Thus  $\text{wind}(\gamma, p(t))$   
 is a continuous function of  $t$ .

As  $t \rightarrow \infty$ ,  $p(t) \rightarrow \infty$  and for a fixed  $z$  value  
 $\frac{1}{z - p(t)} \rightarrow 0$ . It follows that  $\lim_{t \rightarrow \infty} \text{wind}(\gamma, p(t)) = 0$ .

On the other hand  $\text{wind}(\gamma, p(t)) \in \mathbb{Z}$  so  
 $\text{wind}(\gamma, p(t)) = 0$  for all  $t$  and  $\text{wind}(\gamma, p(0)) = 0$ .

3

Observation: There are 2 degree 2 covering  
maps of the circle.



trivial monodromy  $\tilde{w}_2(z) = 0$

2 components,

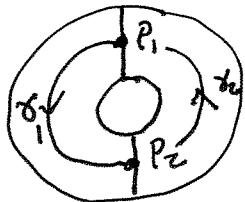
non-trivial  
monodromy  
connected.

$$\tilde{w}_1(z) = 1$$

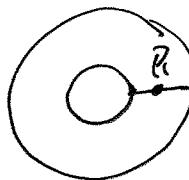
We described a method last time of cutting our region  $U \subset \mathbb{C}_x$  by slits as a way of investigating the topology of  $V$ .

As a warm up example let's apply the method to our 2 earlier examples.

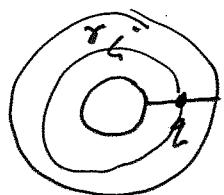
$$V = \{y^2 = x^3\}$$



$$V = \{y^2 = x^2\}$$

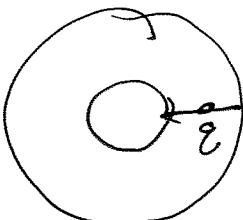


$$\downarrow \pi_x$$



$$\downarrow \pi_x$$

$$\gamma(t) = e^{2\pi i t}$$



$$\mu: \pi_1(U) \rightarrow \text{Perm}(\pi_1'(Q)).$$

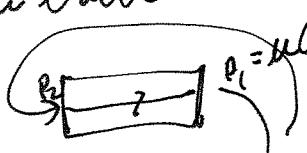
$$\gamma \xrightarrow{\mu} \begin{matrix} 1 & \xrightarrow{\alpha} \\ 2 & \xrightarrow{\beta} \end{matrix}$$

$$\gamma \xrightarrow{\mu}$$

$$\begin{matrix} 1 & \rightarrow 1 \\ 2 & \rightarrow 2 \end{matrix}$$

How to build the covering space.

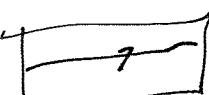
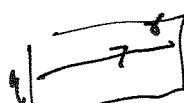
In both cases we get 2 rectangles,  $\mu$  tells us how the sides are glued,



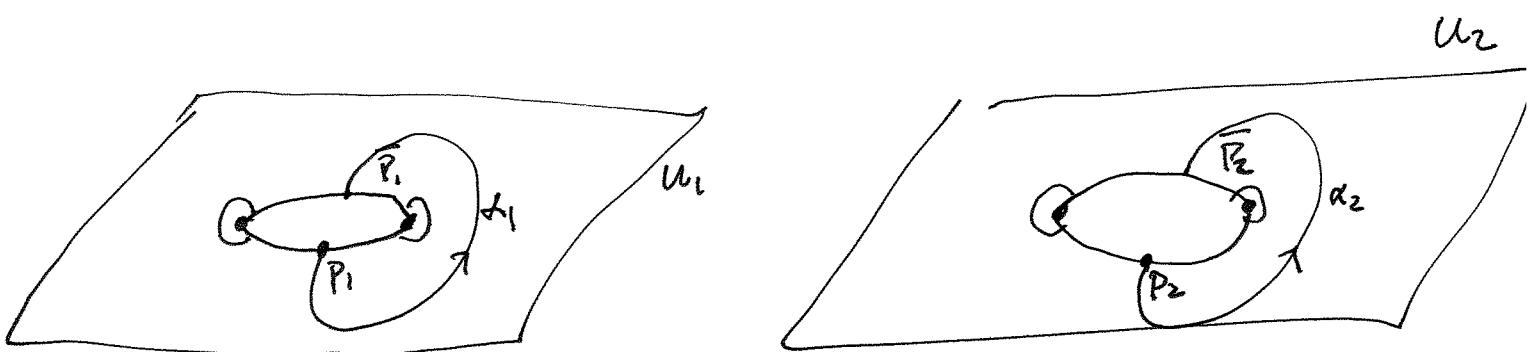
$\mu(s)(r_i)$



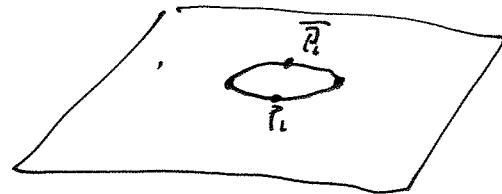
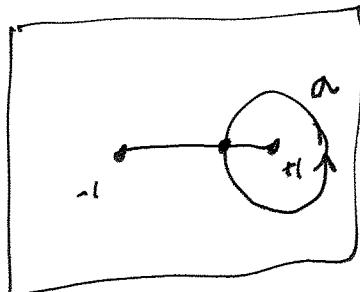
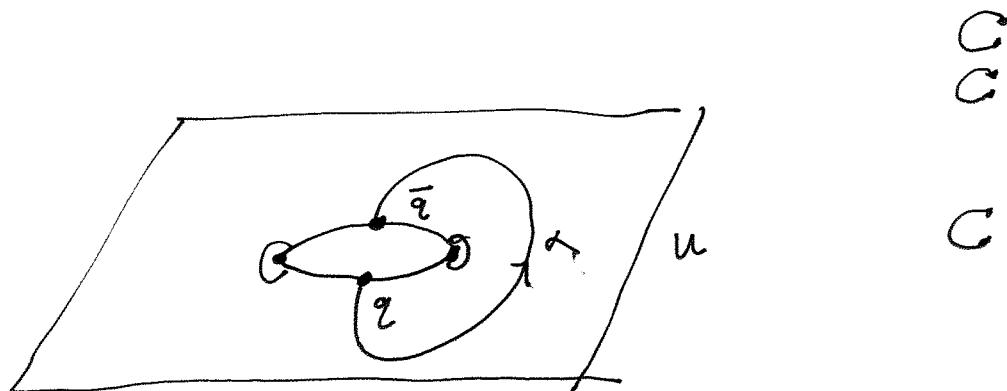
$\mu(s)(r_i)$



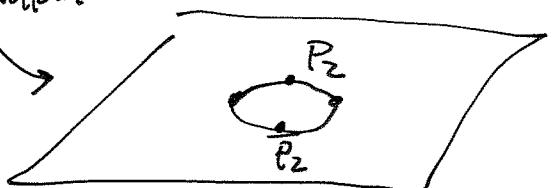
$$V = \{Y^2 = X^2 + 1\}$$



$$\begin{aligned} P_1 &\rightarrow \bar{P}_2 \\ P_2 &\rightarrow \bar{P}_1 \end{aligned}$$



Flip the bottom sheet.



Recall  $h_1(\alpha) = 1$  so

$\alpha$  corresponds to  
a non-trivial permutation.

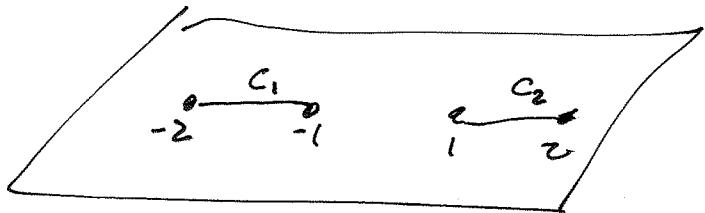
Builds a cylinder



Now consider  $V = \{y^2 = (x^2-1)(x^2-4)\}$ .

$P$  has degree 4 with 4 real ~~and~~ distinct zeros at  $\pm 1$  and  $\pm 2$ .

Introduce 2 slits.



Let  $\hat{U} = U - \{c_1, c_2\}$ .

Let  $\alpha$  be a loop in  $\hat{U}$ .

Since  $\alpha$  avoids  $c_1$  and  $c_2$  we have:

$$\text{wind}(\alpha, -2) = \text{wind}(\alpha, -1) \quad \text{and}$$

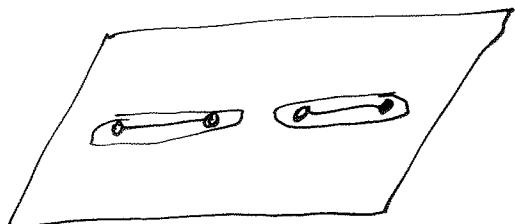
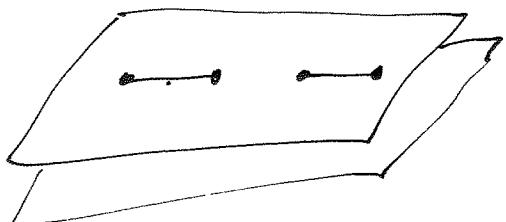
$$\text{wind}(\alpha, 1) = \text{wind}(\alpha, 2) \quad \text{so}$$

$$\frac{u_1}{2\pi i}(\alpha) \stackrel{\text{mod } 2}{=} \sum_{j=1}^4 \text{wind}(\alpha, \gamma_j) = 2\text{wind}(\alpha, -2) + 2\text{wind}(\alpha, -1) \equiv 0 \pmod{2}.$$

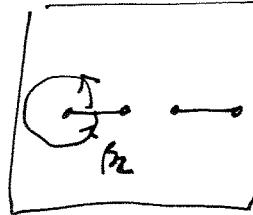
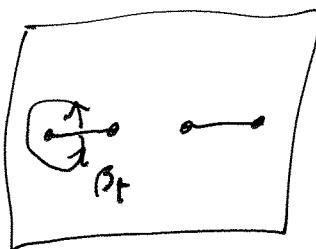
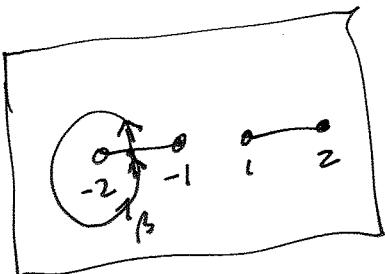
So if we restrict the covering map  $\pi_x: V' \rightarrow U$  to  $\pi_x: V' \rightarrow \hat{U}$  now the monodromy is trivial.

In particular  $\pi_x^{-1}(\hat{U})$  is disconnected.

Let  $\hat{w}_1$  and  $\hat{w}_2$  be the two sheets.



We want to see how the opposite sides of the slits get matched up when we go from  $\pi_1(\hat{w})$  to  $\pi_1(w)$ . As before we check the monodromy of a loop  $\beta$  which crosses a single slit.

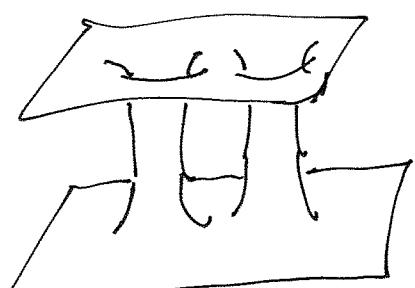


$\beta$  has winding # 1 around -2 and winding # 0 around -1, 1, 2 so non-trivial.

$$\frac{\text{lo}_{\text{top}}}{2\pi i}(\beta) = 1. \quad \mu(\beta) \text{ is}$$

2 disks with 2 handles between them.

Note that the first time that you put in a handle you get a cylinder.



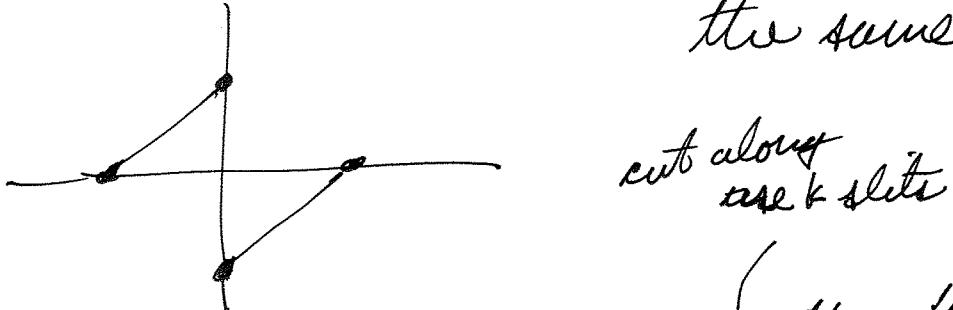
8

Plane still has genus 0. The next time you put  
in a handle you increase the genus.

Conclusion  $V'$  is  
minus 2 pts.

Topologically a torus

If Plus 4 arbitrary distinct zeros we can choose  
a pair of slits and do  
the same analysis.

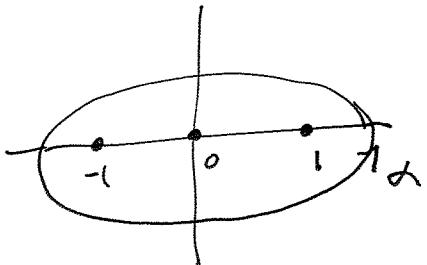


If Plus degrees  $d=2k$  we get 2 disks  
each with  $k$  slits. Corresponds to  $k$   
handles added to 2 disks. The first handle  
does not increase the genus but every  
subsequent handle increases the genus by 1.  
non-singular affine curve

Prop. Cyclically elliptic of even degrees  
 $d=2k$   $k \geq 1$  is homeomorphic to the surface  
of genus  $k-1$  with 2 pts. removed.

If  $P(x)$  has  $2d+1$  distinct zeros then<sup>9</sup>  
 we have a different phenomenon for  $V = \sum x^2 - P(x)$

$$P(x) = x(x^2 - 1)$$



Now  $\frac{u_0(z)}{2\pi i}$  is odd so

$$u_1(z) = \frac{u_0(z)}{2\pi i} \bmod 2 = 1$$

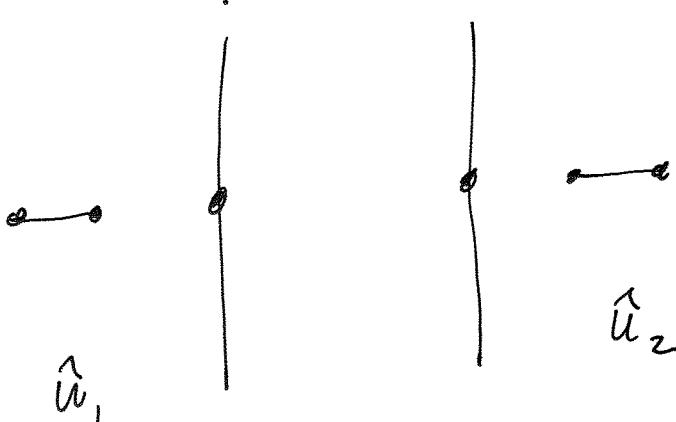
and  $u(z)$  is non-trivial. We introduce  
 a slit connecting 1 to  $\infty$



$$\tilde{U} = U - \{C_1 \cup C_2\}.$$

Lemma,

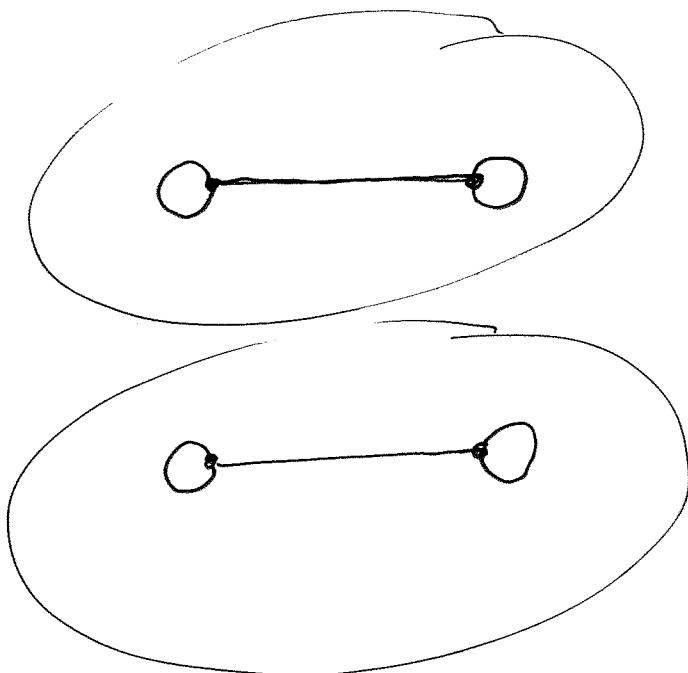
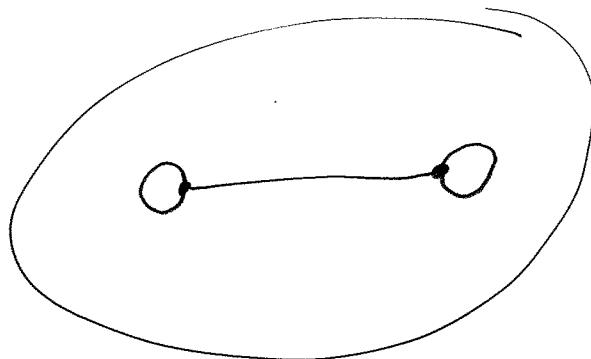
If  $\alpha$  is any loop  
 that avoids  $C_2$  then  
 $\text{wind}(\alpha, 1) = 0$ .



Proof. Let  $t \mapsto C_2(t)$   
 be a parametrization  
 of  $C_2$ . ~~singular~~

$C_1: \mathbb{R}^+ \rightarrow C_2: \mathbb{R}^+ \rightarrow \mathbb{C}$  is  
 given by  $C_2(t) = t + 1$ .

We are removing branch points and adding  
 them back in.

 $\pi_f \downarrow$ 

We know that small circles around the punctures in  $U$  lift to single circles around punctures in  $V'$ . Since each puncture in  $V'$  is a non-singular point these small circles surround disks in  $V'$ .

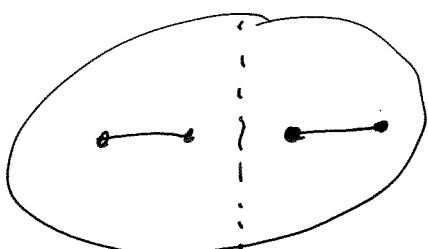
$$\text{Consider } \text{wind}(\gamma, c_2(t)) = \frac{1}{2\pi i} \int_{\gamma} \frac{dz}{z - c_2(t)}.$$

As  $t \rightarrow \infty$  the integrand tends to 0 since  $\frac{1}{z - c_2(t)} \rightarrow 0$ . It follows that  $\lim_{t \rightarrow \infty} \text{wind}(\gamma, c_2(t)) = 0$ .

Since  $\gamma$  does not intersect outside the image of  $c_2$  the integrand is continuous.

On the other hand  $\text{wind}(\gamma, c_2(t)) \in \mathbb{Z}$

so in fact it is constant and equal to 0.



Gluing  $\hat{\alpha}_1$  and  $\hat{\alpha}_2$  together we get a disk with 2 slits. Gluing the slits together is equivalent to adding a handle. We get a torus with one pt. removed.

Prop. If  $d = 2k+1$  with  $k \geq 1$  then

a non-singular hyperelliptic curve is homeomorphic to a surface of genus  $k$  with one pt. removed.

$U_0 = U - \cup D_j$  where  $D_j$  is a small disk around  $x_j$ .

$$V_0 = \pi_x^{-1}(U_0),$$

Prop. If  $V$  is non-singular then the inverse image of such disk  $D_j$  is a disk  $\tilde{D}_j$  so that

$$V = V_0 \cup \tilde{D}_j.$$

Let us back up and explain the motivation.  
Motivation. For what we were trying to do and develop  
an interesting ①

We are trying to build a family of examples  
of Riemann surfaces which are also  
plane curves.

Let  $P(x)$  be a polynomial in one variable.

Let  $V = \{ (x, y) : y^2 = P(x) \}$ ,

Let  $\pi_x : V \rightarrow \mathbb{C}$  send  $(x, y)$  to  $x$ .

using the implicit fn. then

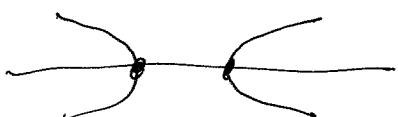
We have shown that  $\pi_x$  is a local homeo.

at points  $(x, y)$  where  $y \neq 0$ .

Let throw out those  $\pi_x$  where  $y=0, P(x)=0$ . It's invertible satisfying  $y \neq 0, P(x) \neq 0$ .

Let  $V' = V - \{ (x_j, 0) : j=1 \dots k \}$  where  $x_1, \dots, x_k$  are the roots of  $P$ .

Let  $U = \mathbb{C} - \{ x_j : j=1 \dots k \}$ .  $\pi_x : V' \rightarrow U$  is a local homeomorphism.



$\pi_x$



We would like to do 2 things.

We want to show that

$\pi_x: V' \rightarrow U$  is a covering map,

We would like to identify which covering space  $V'$  corresponds to in the Galois correspondence.

Our technique is to actually construct a parametrization of  $V'$  using integration the integration construction we have described.

Hyper-elliptic surfaces are connected to multivalued functions.

A defining property of hyper-elliptic curves is that

we can make sense of the function

$\sqrt{P}$  on  $V$  by taking  $\sqrt{P} = Y$  since both

$Y$  and  $\sqrt{P}$  satisfy  $Y^2 = \sqrt{P}^2 = P$ .

Our construction using integration produces a surface on which  $\log P$  is defined. We want to modify this using  $\sqrt{P} = \exp(\frac{1}{2} \log P)$ .

We generalize by taking  $n$ -th roots instead of just squareroots.

(3)

On Wednesday I stated  $\alpha$  as  $\alpha$  ~~as~~<sup>as</sup> a proposition that " $\alpha$ " is a well defined function on  $U_p$ .

I want to readjust some of my definitions to make the proof obvious.

Recall that given a polynomial  $P$  we defined

$$h: \pi_1(U) \rightarrow \mathbb{C} \text{ by } h(\gamma) = \int_{\gamma} \frac{P'}{P} dz,$$

Let me call this  $h_0$

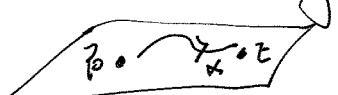
We observed that  $h$  was a homomorphism:

$$h(\alpha \cdot \beta) = h_0(\alpha) + h_0(\beta).$$

Key obvious remarks: This is true for any pair of paths not just for loops.

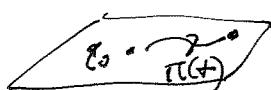


In constructing



$$F(z) = \int_{\pi(\alpha)} \frac{P'}{P} dz = h_0(\pi(\alpha))$$

I used this <sup>fact</sup> without observing it.



and showing that

$F$  is well defined on  $U_p$ , where  $P = \ker h_0 = h_0^{-1}(0)$  in  $\pi_1(U, q_0)$ .

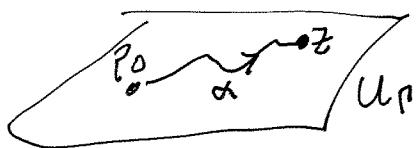
$$(\text{"log } P \text{"} \text{ or } F' = \frac{P'}{P},) \quad (4)$$

$$(F \circ \pi)' = \frac{P'}{P}$$

$F$  has the cor  
derivative,

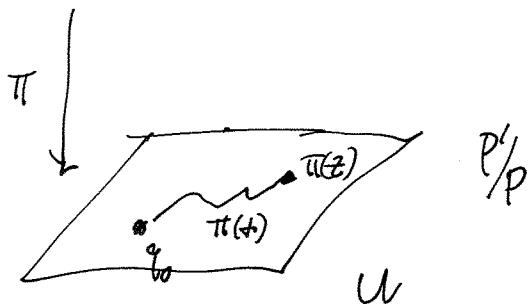
$F + c$  has same  
property.

Now  $\omega_0$  defines a function  $F$  upstairs.



$$F(z) = \omega_0(\pi(x)),$$

(Note that we are using  
the fact that  $\omega_0$  is defined  
on paths.)



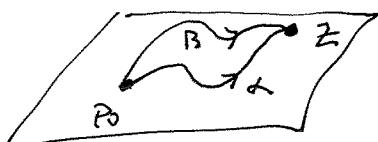
$$\omega_0 : \pi_1(U, q_0) \rightarrow \mathbb{C}$$

(here we are just  
using  $\omega_0$  on loops)

$$\Gamma = \text{ker } \omega_0 = \omega_0^{-1}(0).$$

The fact that  $F$  is well defined upstairs is  
super easy now,

If  $\beta$  is a second path joining  $p_0$  to  $z$  then  
we want to show that



$$\omega_0(\pi(\beta)) = \omega_0(\pi(\alpha)).$$

Use the homomorphism property  
(for paths and loops).

$$\omega_0(\pi(\beta)) - \omega_0(\pi(\alpha)) = \omega_0(\pi(\beta) \cdot \pi(\alpha)^{-1}) = \omega_0(\pi(\beta \cdot \alpha^{-1}))$$

but the loop  $\beta \cdot \alpha^{-1}$  lies in  $\Gamma$  by the construction  
of  $U_\Gamma$ , so  $\omega_0(\pi(\beta \cdot \alpha^{-1})) = 0$ ,

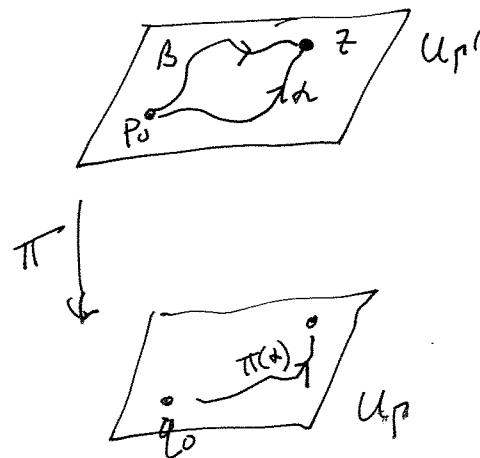
$$\text{Now define } h_1(\sigma) = \exp\left(\frac{i}{n} \int_{\gamma} \frac{P'}{P} dz\right) = \exp\left(\frac{L}{n} h_0(\sigma)\right)$$

$h_1$  is a homomorphism from the groupoid of paths to the multiplicative group  $\mathbb{C}^*$ .

$$h_1(\sigma \cdot p) = h_1(\sigma) \cdot h_1(p),$$

$$\text{Define } \Gamma' = \ker h_1 = h_1^{-1}(1).$$

$$\text{Define } G(z) = h_1(\pi(z))$$



$G(z)$  is well defined.

Want to show  $G(\alpha) = G(\beta)$

$$G(\alpha) \cdot G(\beta) = h_1(\pi\alpha) \cdot h_1(\pi\beta) = h_1(\pi\alpha \cdot \beta^{-1}) = h_1(\pi(\alpha \cdot \beta^{-1}))$$

$\pi(\alpha \cdot \beta^{-1}) \in \Gamma'$  since it is the image of a loop in  $U_{\Gamma'}$  so  $h_1(\pi(\alpha \cdot \beta^{-1})) = 1$  as was to be shown.

(6)

If  $F$  is well defined on  $U^*$  then  $F + \text{const.}$   
 is also well defined. Changing  $F$  by an  
 additive constant corresponds to  
changing  $G$  by a multiplicative constant.  
 Proposition

For an appropriate constant  $c$ :

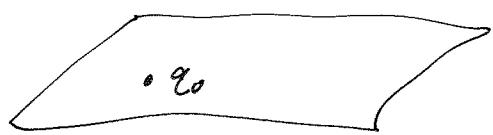
$$cG(z) = \sqrt[n]{P(z)} \quad \text{or} \quad (cG)^n(z) = P(\pi(z)).$$

Proof. By construction  $G(p_0) = 1$ , it need not  
 be the case that  $P(\pi(p_0)) = P(q_0) = 1$ .  
 We choose  $c$  so that



$$(cG)^n(p_0) = P(q_0),$$

$$\text{i.e. } c^n = P(q_0).$$



Now we want to use the  
 fact that  $U^*$  is connected  
 as a topological space,

(7)

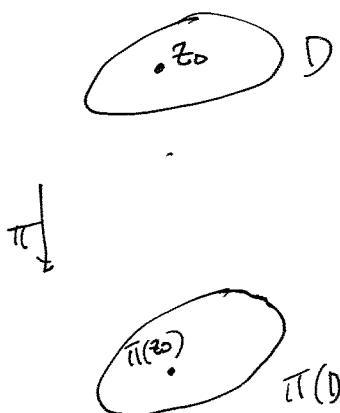
Consider the set  $\Sigma$  in  $U^*$  for which

$\Sigma = \{z \in U^* : (cG)^n(z) > P(\pi(z))\}$ . This set is closed since  $(cG)^n$  and  $P\pi$  are continuous.

The set is non-empty since  $p_0 \in \Sigma$ .

Let us show that the set is open.

Let  $z_0 \in \Sigma$  let  $D$  be a disk containing  $z_0$ .



Given a holomorphic function  $f$  on  $D$  we can choose a branch of the log  $f$  over  $D$ .

Choose branches of  $\log((cG)^n)$  and  $\log P(\pi(z))$  that agree at  $z_0$ . Let's differentiate them,

$$\log((cG)^n) = n \log(cG) = n(\log c + \log G)$$

where  $G = \exp_{\ln F}$

$$\begin{aligned} &= n(\log c + \log(\exp_{\ln F})) \\ &\approx n \log c + n \cdot \frac{1}{n} f = n \log c + f. \end{aligned}$$

$$\text{Der. } = \frac{\partial}{\partial z} F = \frac{P'}{P}.$$

We have constructed a covering space of degree  $n$  (we think). Is it connected?

(8)

$$\frac{d}{dz} \log P(\pi(z)) = \frac{P'(\pi(z))}{P(\pi(z))}$$

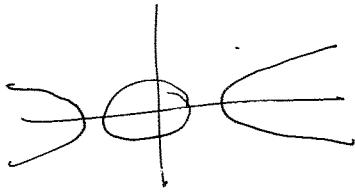
Want to specialize to hyperelliptic curves. ①

Let  $P(x)$  be a polynomial.

$$V = \{(x, y) \in \mathbb{P}^2 : y^2 = P(x)\} \quad (\text{Note } u=2).$$

(Plane affine curve not projective)

$$\text{Any } P = \prod_j (x - x_j)^{m_j}.$$



$$V' = V - \{(x_j, 0)\}.$$

$$U = \mathbb{P} - \{x_j\}.$$

Recall that  $h_0(\gamma) = \int_{\gamma} \frac{P'}{P} dz$ . ②

$h_0: \pi_1(U, P) \rightarrow \mathbb{C}$ ,

$$h_0(\gamma) = 2\pi i \sum_j m_j \cdot \text{wind}(\gamma, X_j), \in 2\pi i \mathbb{Z},$$

$$h_1(\gamma) = \exp\left(\frac{i}{2} h_0(\gamma)\right) \in \exp\left(\frac{2\pi i \mathbb{Z}}{2}\right) = \{\pm 1\}.$$

$h_1(\gamma) = +1$  if  $h_0(\gamma)$  is even

$-1$  if  $h_0(\gamma)$  is odd.

$$\bar{h}_1(\gamma) = h_0(\gamma)/2\pi i \bmod 2 \in \{0, 1\}.$$

Informally  $h_1(\gamma) = (-1)^{\bar{h}_1(\gamma)}$ .

$$\bar{h}_1(\gamma) = \sum_j m_j \cdot \text{wind}(\gamma, X_j) \bmod 2.$$

(3)

$$\Gamma = \text{Im} \pi_1 = \text{Im } \pi_1.$$

$U_\Gamma$  is the covering space associated to  $\Gamma$ .

We defined a function  $G: U_\Gamma \rightarrow \mathbb{C}$  and showed that  $(cG)^2 = P(\pi(z))$ . (For some  $c$ .)

This means that the map

$\Phi: z \in U_\Gamma \mapsto$

$\Phi(z) = (\pi(z), cG(z))$  is contained in  $V = \{(x, y) : y^2 = P(x)\}$

It is not hard to see that this map is a bijection when  $\Gamma$  has index 2.

in  $\pi_1(U, p)$  since for every  $x$  in  $U$  the image of the map contains two solutions of  $y^2 = P(x)$ .

$$\begin{array}{ccc} U_\Gamma & \xrightarrow{\Phi} & V \\ \pi \downarrow \text{deg} 2 & & \pi \downarrow \text{2 to 1} \\ U & \longrightarrow & \mathbb{C} \end{array}$$

Cor.  $\pi_U: V \rightarrow U$   
is a covering map.

(4)

If  $\Gamma = \text{ker } h_1$  has index 2 then the

covering

$\pi: U_p \rightarrow U$  is a normal covering and  
the deck group has order 2. Unwinding the  
definitions of  $G$  shows that,

The deck group on  $U_p$  corresponds to  
the automorphism  $(x, y) \mapsto (x, -y)$  of  $V$ .

Note that  $(x, y) \mapsto (x, -y)$  preserves the equation

$$y^2 = P(x).$$

(Simple) example.

(5)

$$Y^2 = X$$

$$P(X) = X$$

$$V' = V - \{(0,0)\},$$

$P$  has 1 root at 0 of multiplicity 1.

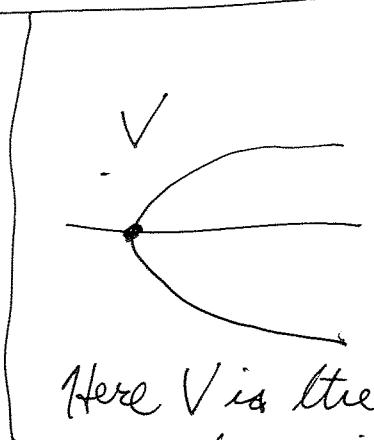
$$U = \mathbb{C} - \{0\}$$

$$\bar{h}_1(\alpha) = \text{wind}(\alpha, 0) \bmod 2,$$

$\Gamma = \langle \alpha \rangle$  is generated

by 2 generator of  $H_1(\mathbb{C} - \{0\})$  and

has index 2.



Here  $V$  is the parabola on its side.



Example 2.  $P(t) = X^2$ .  $V$  is singular but our method still work

$$V = \{Y^2 - X^2 = 0\}, \quad V' = V - \{(0,0)\}.$$

$P$  has 1 root of multiplicity 2 at 0.

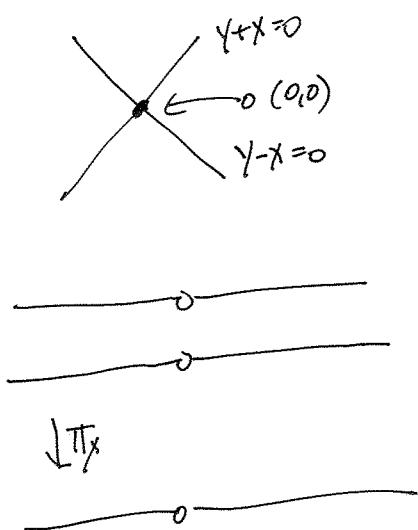
$$U = \mathbb{C} - \{0\}.$$

$$\bar{h}_1(\alpha) = 2 \cdot \text{wind}(\alpha, 0) \bmod 2 = 0,$$

$\Gamma$  is the whole group. It does not have index 2.

In this case  $V' = V - \{(0,0)\}$  is disconnected. (6)

$$V = \{y^2 = x^2\} = \{y^2 - x^2 = 0\} = \{(y+x)(y-x) = 0\}$$

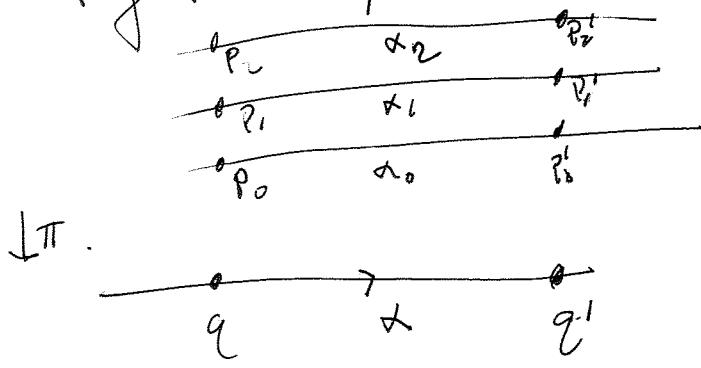


$V$  consists of 2 lines that intersect at  $(0,0)$ .  $V'$  consists of 2 components each of which projects isomorphically to  $\mathbb{C}$ ,  $\pi_x|_{V'}$  is still a covering space.

There is an alternative view of covering spaces which works even when the covering space is not connected. A covering space gives rise to a homomorphism

$\mu: \pi_1(U, q) \rightarrow \text{Perm}(\pi^1(q))$ . This is called the monodromy representation and we define it using path lifting:

Say  $\alpha$  is a path



$\alpha$  defines a map from  $\pi_1(q)$  to  $\pi^1(q')$  by considering the endpoint of  $\alpha_j$  the lift of  $\alpha$  starting at  $\tilde{q}_j$ .

$$\text{W } \alpha \circ \pi^{-1}: \pi_1(q) \rightarrow \pi^1(q').$$

Now take  $q = q'$ ,

(7)

### Example 3.

$$x^2 + y^2 = 1$$

$$y^2 = 1 - x^2$$

$$y^2 = (1+x)(1-x)$$

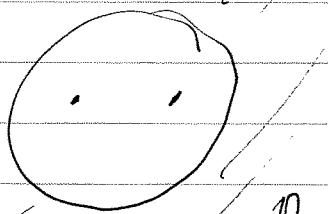
$$u = 1 - x^2 \approx 13.$$

Please 2 simple roots,

$$-i \quad i$$

$$\frac{h_1(\gamma)}{2\pi i} = \text{wind}(\gamma, -i) + \text{wind}(\gamma, +i) \pmod{2}.$$

Note that the loop that surrounds both pts. maps trivially.



for a val. of  $\alpha$  the covering space has 2 distinct sheets.

Recall that there are 2 points at  $\infty$  one corresponding to each sheet. (Cusps like  $\infty$  asymptotes w/ slope  $\pm \frac{\pi}{2}$ )

Slit picture:

In the corresponding projective curve.  
Two sheets give punctured holes of these 2 pts.

$$-i \quad +i$$

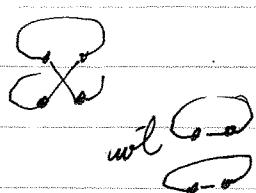
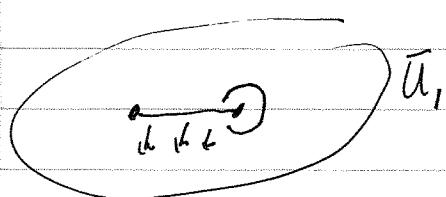
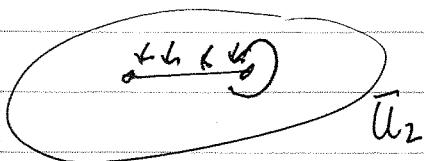
Cut  $\mathbb{C}$  along the slit between  $-i$  and  $i$ .  
Call this  $\bar{U}$ . Note that all loops in  $\bar{U}$  correspond to the trivial permutation.

This means that  $\pi_{\bar{x}}^{-1}(\bar{U})$

is disconnected. In fact it consists of two sheets.

(8)

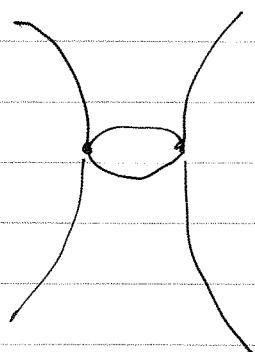
Each sheet looks like a slit plane  
 ✓ can be reconstructed by  
 gluing these two sheets together along the  
 slit.



Since any loop which crosses the slit has non-trivial monodromy we see that the top slit must be glued to the bottom slit.

loop has non-trivial monodromy if it does not lift to a loop upstairs.

If we cut the loop it lifts to 2 pieces, one in  $U_1$  and one in  $U_2$ .



Reconstruction of  $V$  (the cylinder).  
 (Projective curve is the sphere.)

(9)

Now consider

$$Y^2 = (X^2 - 1)(X^2 - 4)$$

$V$  is non-singular since  
Plus no multiple roots.

$$U = \mathbb{C} - \{-1, +2\}$$



Let's do the slit analysis again.



If we use our slits to pair up the roots of  $P$ , then any loop which avoids the slits has the property that

$$\text{wind}(\gamma, -2) = \text{wind}(\gamma, -1) \quad \text{and}$$

$$\text{wind}(\gamma, 1) = \text{wind}(\gamma, 2) \quad \text{so}$$

$$\frac{h_1}{2\pi i}(\gamma) = \sum \text{wind}(\gamma, z_j) = 2 \cdot \text{wind}(\gamma, -2) + 2 \cdot \text{wind}(\gamma, 1) = 0 \pmod{2}$$

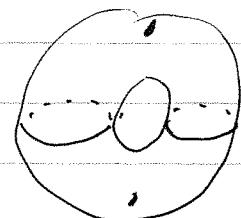
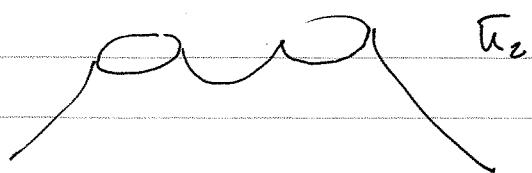
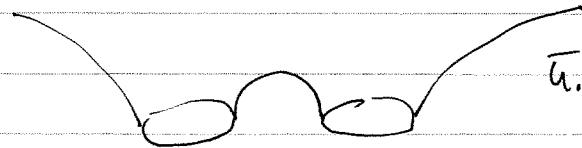
Furthermore any  $\gamma$  that crosses one slit exactly once

satisfies

$$\frac{h_1}{2\pi i}(\gamma) = 1 \pmod{2}.$$

(10)

Consider  $\bar{u}_1, \bar{u}_2$  as before



Conformally  $V$  is equivalent to a torus with two punctures

If we have 4 distinct roots at any location

in  $\mathbb{C}$  we can perform the same construction

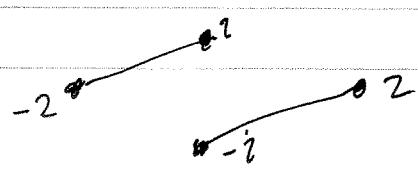
to obtain a topological torus. Typically

these tori will have different conformal

structures. Interesting to study these.

Consider the polynomial:

$$(x^2 - 4)(x^2 + 1)$$



Choice of slits is not unique,  
but the slits are only  
a tool.

(11)

Note that when the sum of roots is odd a large loop around all the roots has non-trivial monodromy.

What about a cubic?

$$Y^2 = X(X^2 - 1).$$



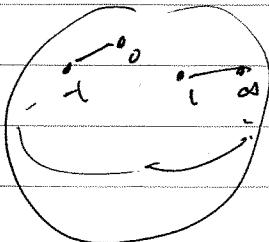
Consistent with having a unique pt. at  $\infty$ .



We can construct

a second slit by connecting 1 and  $\infty$ .

This picture makes more sense in  $\mathbb{CP}^1$



Still get a topological torus,

more than roots? Surface of higher genus.