

Thm. (Sarkovskii 1964) Define an ordering on the real numbers as follows:

$$\begin{aligned}
 & 3 < 5 < 7 < 9 < 11 \dots \\
 & < 3 \cdot 2 < 5 \cdot 2 < 7 \cdot 2 < \dots \\
 & < 3 \cdot 2^2 < 5 \cdot 2^2 < 7 \cdot 2^2 < \dots \\
 & \quad \vdots \\
 & < \dots 2^n < \dots 2^4 < 2^3 < 2^2 < 1
 \end{aligned}$$

If an interval map has a point of period p then it has a point of period q where $p < q$ with respect to the above ordering.

We can see a connection between the special role played by the number 2 and our period doubling operator on maps.

Answer

We want to consider diffeomorphisms f in dimensions greater than 1.

We want to find a family of diffeomorphisms that behave like the expanding maps of the circle in that we can code orbits by symbolic sequences.

(Note that expanding diffeomorphisms do not exist in 1-dimension.)

Instead of starting with a definition, we will start with an example, the linear horseshoe ~~map~~ ^{map}.

Observation about iterates. We constructed iterates $W(f)$ based on the location of $f^j(x)$ for $j \geq 0$. If f is invertible we can consider sequences with positive and negative indices.

Based on this ^{idea} we would like to expand our collection of symbolic models.

Two sided shift.

Let $\Omega = \{0, 1, 2, \dots, N-1\}$ where $N \geq 2$.

Let $\Sigma = \Omega^{\mathbb{Z}} = \{(\omega_j)_{j \in \mathbb{Z}} : \omega_j \in \Omega\}$

Let $\lambda > 1$ and define a metric on Σ .

For $\omega, \omega' \in \Sigma$ let

$$d_\lambda(\omega, \omega') = \max_{n \in \mathbb{Z}} \frac{\varepsilon(\omega_j, \omega'_j)}{\lambda^{|j|}}$$

where $\varepsilon(j, k) = \begin{cases} 1 & \text{if } j \neq k \\ 0 & \text{if } j = k. \end{cases}$

In particular $d_\lambda(\omega, \omega) = 0$ and the triangle inequality holds.

Prop. Σ is a compact metric space.

The ball of radius λ^{-n} around ω consists of ω' so that $\omega'_j = \omega_j$ for $j \leq n$.

The shift map $\sigma: \Sigma$ shifts a sequence one position to the left. That is if

$\omega' = \sigma(\omega)$ then $\omega'_n = \omega_{n+1}$.

Unlike the case of the one-sided shift

σ is invertible. The inverse is the right shift.

Prop. σ is a homeomorphism.

The topology of Σ is independent of λ , since the collection of balls is independent of λ .

Notation. If we write down a sequence in Σ using say 0's and 1's then we need a way to see the indices. Do this with a decimal pt.

$\dots \omega_3 \omega_2 \omega_1 \cdot \omega_0 \omega_1 \omega_2 \omega_3 \dots$

$\dots 001, 0010 \dots$

Shifting left means moving the decimal point to the right.

A cylinder set is a ^{sub} set of Σ obtained by specifying a finite number of coordinates,

If $\omega = \omega_{-n} \omega_{-n-1} \dots \omega_0 \dots \omega_{n-1}$ is a finite word

$$C_\omega = \left\{ (\omega'_k)_{k=-\infty}^{\infty} : \omega'_k = \omega_k \text{ for } -n \leq k \leq n-1 \right\}, \quad \begin{array}{l} C_\omega \neq \emptyset \\ \omega = \dots * * 01 \end{array}$$

A cylinder set is open: Given $\omega' \in C_\omega$ then

for $\varepsilon = 2^{-(n+1)}$ any point within ε of ω' has

the same entries as ω' for positions $-n$ through $n-1$.

Thus the ball of radius ε around ω' is in C_ω .

Cylinder sets are closed: The complement of a cylinder set is a finite union of cylinder sets, so the complement of a cylinder set is open.

Def. If $f^t: X \rightarrow X$ then $Y \subset X$ is an invariant set if $f(Y) = Y$. $\textcircled{6.18}$

Note that if we have an invariant set we can think of the restriction of f to Y as a dynamical system in its own right.

Prop. For the horseshoe $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ the set $\Lambda = \bigcap_{n=-\infty}^{\infty} f^n(\Delta)$ is a closed invariant set.

(In fact it is the product of 2 middle-third Cantor sets.)