

Recall that if, for a lift  $F$  of an orientation preserving homeomorphism,

$$F^n(0) \geq k \quad \text{then} \quad \rho(F) \geq \frac{k}{n},$$

$$F^n(0) \leq k \quad \text{then} \Rightarrow \rho(F) \leq \frac{k}{n}.$$

(We also implicitly assumed that  $n \geq 0$ .)

We will need an extension of this result:

*Lemma.* Let  $F$  be a lift of an orientation preserving homeo. of  $\mathbb{R}/\mathbb{Z}$ .

$$\text{If } F^n(x) \geq k \quad \text{then} \quad \begin{cases} \rho(F) \geq \frac{k}{n} & \text{if } n > 0 \\ \rho(F) \leq \frac{k}{n} & \text{if } n < 0. \end{cases}$$

$$\text{If } F^n(x) \leq k \quad \text{then} \quad \begin{cases} \rho(F) \leq \frac{k}{n} & \text{if } n > 0 \\ \rho(F) \geq \frac{k}{n} & \text{if } n < 0. \end{cases}$$

Proof. Any  $F^u(x) \geq x+k$  then

$$F^u(x) \geq T^k(x)$$

$$F^u T^{-k}(x) \geq x$$

$$(F^u T^{-k})^p(x) \geq x$$

$$F^{up} T^{-kp}(x) \geq x$$

$$F^{up}(x) \geq T^{kp}(x)$$

$$\frac{F^{up}(x)}{up} \geq \frac{x+kp}{up}$$

$$\frac{F^{up}(x)-x}{up} \geq \frac{k}{u}$$

If  $u > 0$  then  $\frac{F^{up}(x)-x}{up} \rightarrow \rho(F)$  as  $p \rightarrow \infty$ .

If  $u > 0$  but  $F^u(x) \leq x+k$  then same argument

gives  $\rho(F) \leq \frac{k}{u}$ .

If  $u < 0$  then let  $z = F^u(x)$ .

$$F^u(x) \geq x+k$$

$$z \geq F^{-u}(z) + k$$

where  $-u > 0$ .

$$F^{-u}(z) \leq z - k$$

$$\rho(F) \leq \frac{-k}{-u} = \frac{k}{u}.$$

Lemma (with altered notation).

Let  $f$  be a homeomorphism with  $p(f) = p$  irrational. Let  $F$  be a lift of  $f$  with  $p(F) = p_0$ .

Let  $G(x) = x + p_0$  so  $G$  is a lift of  $R_p$ . Pick  $x_0 \in \mathbb{R}$ .

$$\text{Let } \Lambda_1 = \{ F^n(x_0) + m; m, n \in \mathbb{Z} \}$$

$$\Lambda_2 = \{ \underbrace{G^n(\circlearrowleft)}_{n p_0 + m} + m; m, n \in \mathbb{Z} \}$$

Define  $H: \Lambda_1 \rightarrow \Lambda_2$  by  $H(F^n(x_0) + m) = n p_0 + m$ .

Then  $H$  is <sup>bijective,</sup> strictly increasing and  $H(x+1) = H(x) + 1$

$$HF(x) = G(H(x)). (= H(x) + p_0).$$

Proof of Lemma. Consider the maps

$$L_1(m, n) = F^n(x_0) + m \quad L_1: \mathbb{Z}^2 \rightarrow \Lambda_1$$

$$L_2(m, n) = G^n(o) + m \quad L_2: \mathbb{Z}^2 \rightarrow \Lambda_2.$$

If  $L_1(m, n) = L_1(m', n')$  then  $F^n(x_0) + m = F^{n'}(x_0) + m'$  (\*)

so, reducing mod 1,  $f^n(x_0) = f^{n'}(x_0) \pmod{1}$ .

This gives  $f^{n-n'}(x_0) = x_0$  and  $n-n' = 0$  since  $f$

has no periodic points. <sup>from (\*)</sup> It follows that  $m = m'$ .

Same argument shows  $L_2$  is injective so

$H = L_2 \circ L_1^{-1}$  is injective.

Now take  $x_1, x_2 \in \Lambda_1$  with  $x_1 < x_2$ .

$$x_1 = F^{n_1}(x_0) + m_1 < F^{n_2}(x_0) + m_2 = x_2 \quad \text{for some } n_1, n_2, m_1, m_2.$$

Let  $y = F^{n_2}(x_0)$ . Then  $F^{-n_2}(y) = x_0$  so

$$F^{n_1 - n_2}(y) < y + m_2 - m_1 \quad (\text{recall } F, T \text{ commute})$$

If  $y$  were 0 then we could do something about  $p_0$  and hence  $G$ .

$$F^{n_1 - n_2}(Y) < Y + m_2 - m_1$$

$$\begin{array}{l} \swarrow \quad n_1 - n_2 > 0 \\ \rho(F) < \frac{m_2 - m_1}{n_1 - n_2} \\ \searrow \end{array} \quad \begin{array}{l} \swarrow \quad n_1 - n_2 < 0 \\ \rho(F) > \frac{m_2 - m_1}{n_1 - n_2} \\ \searrow \end{array}$$

$$(n_1 - n_2) \rho_0 < m_2 - m_1$$

$$n_1 \rho_0 + m_1 < n_2 \rho_0 + m_2.$$

5A

$$\begin{aligned} H(F(F^n(x_0) + m)) &= H(F^{n+1}(x_0) + m) = G^{n+1}(0) + m = \cancel{G} \cdot H \\ &= G(G^n(0) + m) = G(H(F^n(x_0) + m)) \end{aligned}$$

$$\begin{aligned} H((F^n(x_0) + m) + 1) &= H(F^n(x_0) + m + 1) = G^n(x_0) + m + 1 \\ &= H(F^n(x_0) + m) + 1. \end{aligned}$$

Thm. If  $f$  is minimal it is conjugate to a rotation.

6.

### Poincaré

Proof of theorem. Since  $f$  is minimal  $f$  has no periodic points. Consequently  $\text{per}(f)$  is irrational. Let  $F$  be a lift of  $f$ ,  $x_0 \in \mathbb{R}$  and

$$\Lambda_1 = \Lambda_{x_0} = \{F^n(x_0) + m\}$$

Let  $p_0 = p(f)$ .  $p_0 \in \mathbb{R}$ .

Let  $\Lambda_2 = \{np_0 + m\} = \{G^n(0) + m\}$  where  $G(x) = x + p_0$ .

*strictly monotone increasing*

By the Lemma we have an ~~orientation~~ *orientation* preserving map from  $\Lambda_1 \subset \mathbb{R} \xrightarrow{H} \Lambda_2 \subset \mathbb{R}$ .

We can extend  $H$  to a  $\tilde{H}: \mathbb{R} \rightarrow \mathbb{R}$  as follows.

If  $v_0 \in \mathbb{R} \setminus \Lambda_1$  let

$$v_0^- = \{v \in \Lambda_1 \mid v < v_0, v \in \Lambda_1\}$$
$$v_0^+ = \{v \in \Lambda_1 \mid v > v_0, v \in \Lambda_1\}$$

Then every element of  $H(v_0^-)$  is less than every element of  $H(v_0^+)$  so  $\sup(H(v_0^-)) \leq \inf(H(v_0^+))$ .

On the other hand we can extend  $H$  by sending  $v_0$  to any element of  $[\sup(H(v_0^-)), \inf(H(v_0^+))]$

On the other hand the union of  $H(v_0^-)$  and  $H(v_0^+)$  is  $H(\Lambda_1) = \Lambda_2$  so it is dense. This means  $\sup(H(v_0^-)) = \inf(H(v_0^+))$ .

This extension is strictly increasing and hence injective. This follows from the denseness of  $\mathbb{Q}$ . If  $\bar{H}(x) = \bar{H}(y)$  then choose  $\lambda_1, \lambda_2 \in \mathbb{Q}$  with  $x < \lambda_1 < \lambda_2 < y$ .

We have  $\bar{H}(x) \leq \lambda_1 < \lambda_2 \leq \bar{H}(y)$ .

An increasing function is continuous.  
(Check that the inverse image of an interval contains a non-trivial interval.)

Since  $H(x+1) = H(x) + 1$  and  $H \circ F = G \circ H$  we have

(\*)  $\bar{H}(x+1) = \bar{H}(x) + 1$  and  $\bar{H} \circ F = G \circ \bar{H}$ . An increasing function satisfying (\*) is a lift of a homeomorphism. Let  $h$  be that homeomorphism. Reducing mod 1 we get  $h \circ f = R_p \circ h$  so  $h$  is the conjugacy we are seeking.