

## Outer space and Automorphisms of free groups

### LECTURE 7 Change of time next week

Last time, showed how to compute  
 $H_*(\text{Out} F_n; \mathbb{Q}) = H_*(K_n / \text{Out} F_n; \mathbb{Q})$

using a chain complex derived from the  
cube complex structure of  $K_n$

Today: connection of  $H^*(K_n / \text{Out} F_n; \mathbb{Q})$  with  
Kantsevich's graph homology.

All homology will be with trivial coefficients  
in a field of char. 0, so we will omit the  
coefficients in the notation

$H_*(K_n/\text{cut } F_n)$  is the homology of the chain complex  $C_*$  with  $C_k = \bigoplus_{(G, \Phi)} \mathbb{Q}$

where •  $G$  connected,  $\pi_1 G \cong F_n$ , all vertices have valence  $\geq 3$

•  $\Phi$  is a forest in  $G$  with  $k_2$  edges  $e_1, \dots, e_k$

•  $(G, \Phi)$  has no orientation-reversing automorphisms


and • 
$$\partial(G, \Phi) = \sum_{i=1}^k (-1)^{i+1} (G, \Phi - e_i) + (-1)^i (G_{e_i}, \Phi_{e_i})$$

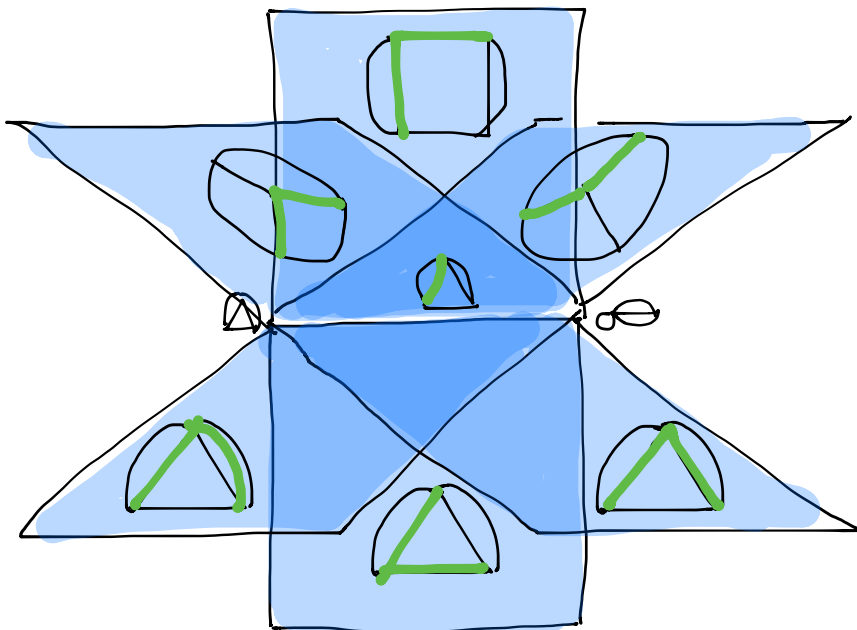
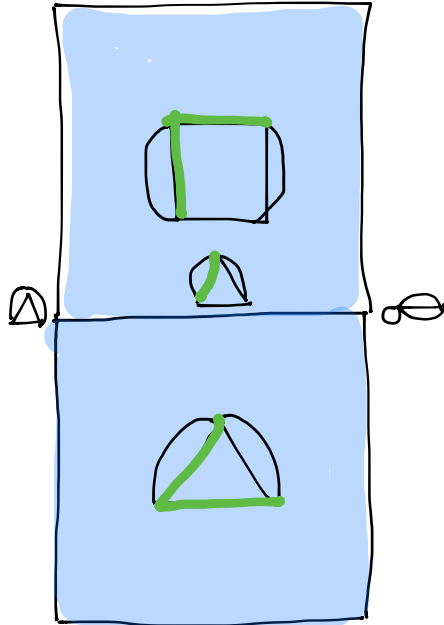
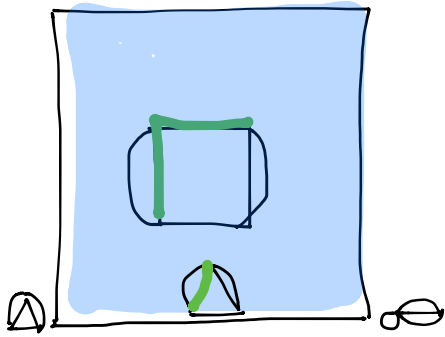
$$= \partial_R(G, \Phi) + \partial_C(G, \Phi)$$

$\partial_R$  "removes edges from  $\Phi$ "

$\partial_C$  "collapses edges of  $\Phi$ "

To make the connection with Kontsevich's graph homology, need to simplify our chain complex. And, turns out it's more convenient to use the cochain complex.

Coboundary of  $\sigma = \triangle$  



Cochain complex A cube  $(G, \Phi)$  is

a codimension 1 face of cubes

$$(G, \Phi \cup e) \text{ and } (G^\alpha, \Phi^\alpha)$$

where.  $e$  is any edge which can be added to  $\Phi$   
without forming a cycle

$\alpha$  blows up some vertex of  $G$  into 2  
vertices, and  $\Phi^e$  contains the new edge.

$$\begin{aligned} \delta(G, \Phi) &= \delta_R(G, \Phi) + \delta_C(G, \Phi) \\ &= \sum_e (G, \Phi \cup e) + \sum_\alpha (G^\alpha, \Phi^\alpha) \end{aligned}$$

where  $\Phi \cup e$  and  $\Phi^\alpha$  are oriented appropriately

In sphere system model,  $G \rightarrow \Delta_G \subset M_n$   
 $\Delta_G$  a simple sphere system, spheres  $\leftrightarrow$  edges of  $G$   
 $\Phi$  a forest  $\leftrightarrow$  sub-system  $\Delta_\Phi \subset \Delta_G$  (not simple)  
 $(G, \Phi) \leftrightarrow (\Delta_G, \Delta_\Phi)$

Then

$$(G^\alpha, \Phi^\alpha) \leftrightarrow (\Delta_G \cup \Delta_\alpha, \Delta_\Phi \cup \Delta_\alpha)$$

$$\text{so } \delta_c(G, \Phi) = \delta_c(\Delta_G, \Delta_\Phi)$$

$$= \sum_{\substack{\Delta_\alpha \text{ compatible} \\ \text{with } \Delta_G}} (\Delta_G \cup \Delta_\alpha, \Delta_\Phi \cup \Delta_\alpha)$$

We have

$$C_k = \bigoplus_{\substack{(G, \Phi) \\ e(\Phi) = k}} \mathbb{Q} = \bigoplus_{e(\Phi) = k} (G, \Phi) \quad [\text{Notation}]$$

We can decompose this further by # vertices of  $G$

$$C_k = \bigoplus_{v > k} C_{v,k}$$

$$\text{where } C_{v,k} = \bigoplus_{\substack{e\Phi = k \\ vG = v}} (G, \Phi)$$

Top-dimil cubes are

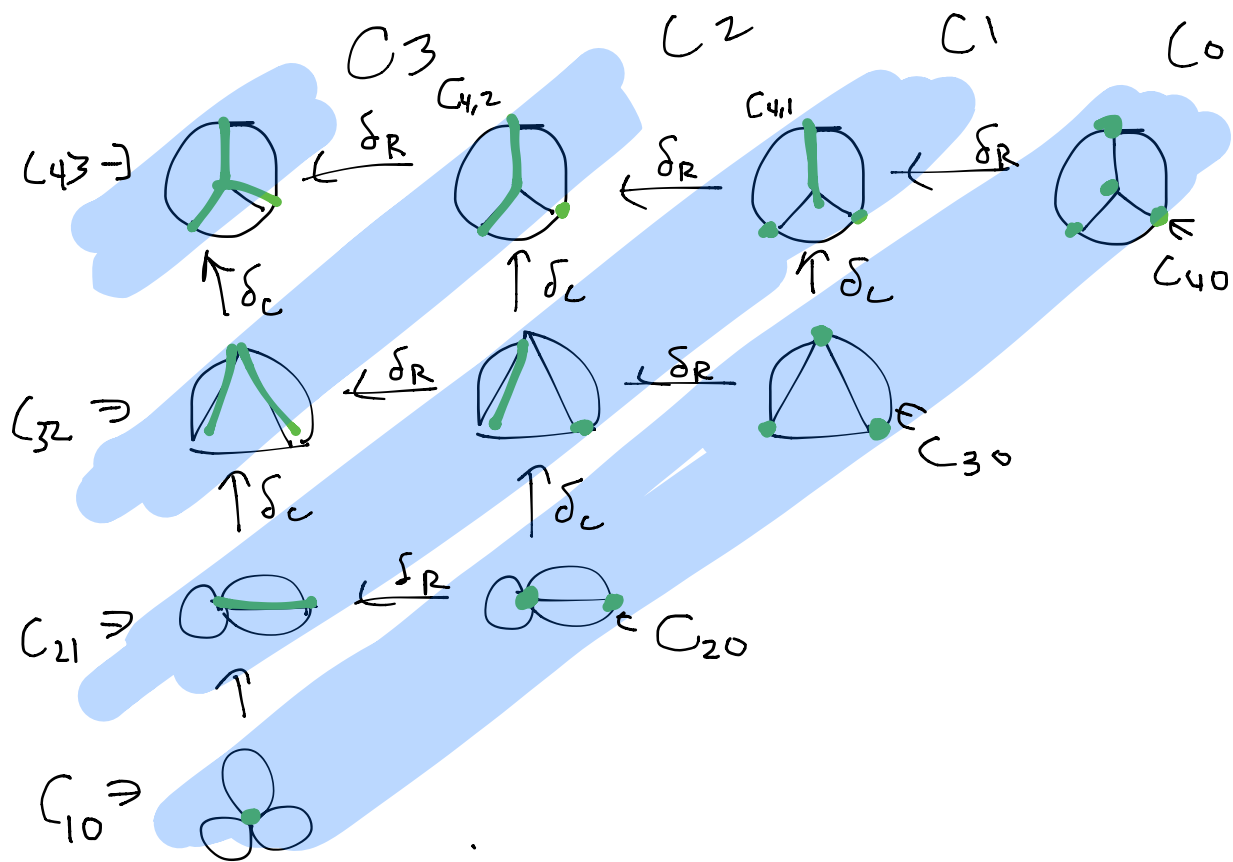
$$(G, T) = (\text{trivalent graph, maximal tree}) \\ (vG = 2n-2, eT = 2n-3)$$

$$\text{next are } v(G) = 2n-2, e\bar{\Phi} = 2n-4$$

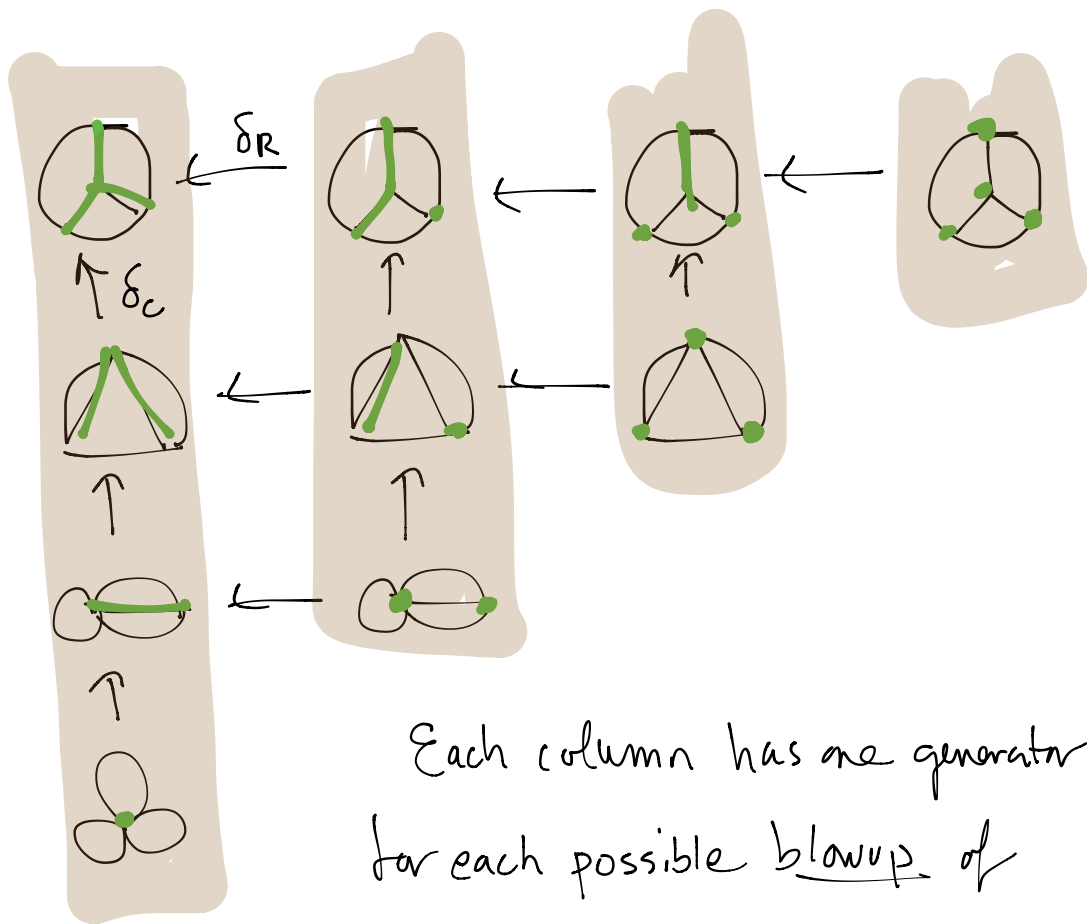
$$\text{and } vG = 2n-3, e\bar{\Phi} = 2n-4$$

Arrange the  $C_{v,k}$  in a grid

Draw a **sample**  $(G, \Phi)$  at each spot to keep this straight:



We can compute  $H^*(C_*)$  by first computing the vertical homology, then the horizontal  $H_*$  (this is spectral sequences, but don't tell anybody)



Each column has one generator for each possible blowup of the graphs  $G$  at the bottom

Different blowups may result in isomorphic graphs, e.g. if  $G$  has an automorphism

If these were marked graphs, then the complex of blowups is  $\mathbb{A}e_{>G}$  in  $K_n$ , which we know is  $\cong VS^{2n-3-r(G)}$



Since they are unmarked graphs, we need to quotient  $\mathbb{R}^k/G$  by its stabilizer, which is  $\cong \text{Aut}(G)$

$\mathbb{R}^k/G$  has no homology except in the top dimension

So same is true for  $\mathbb{R}^k/G/\text{Aut}(G) \subset \mathbb{R}^n/\text{Aut}(F_n)$

[pf uses equivariant  $H_*$  spectral sequence:

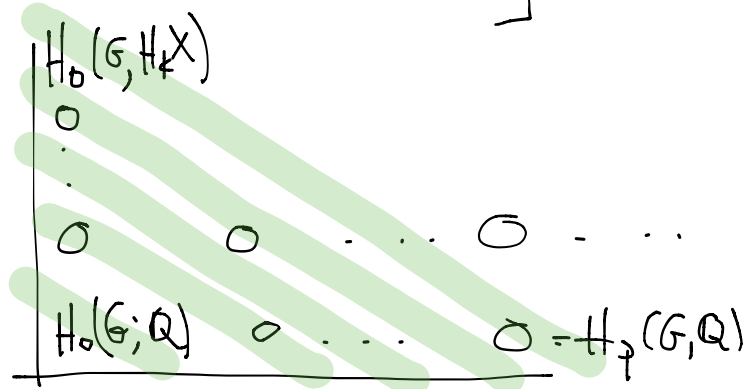
$X \cong VS^k$ ,  $G$  finite acting on  $X$  cellularly

$$E_{p,q}^2 = H_p(G; H_q(X)) \Rightarrow H_{p+q}(X/G)$$

Since  $H_q(X) = 0$  unless  $q = 0$  or  $k$  and

$H_p(G; \mathbb{Q}) = 0$  for  $p > 0$ , get  $H_{p+q}(X/G) = 0$  for  $0 < p+q < k$

(also  $H_{p+q}(X/G) = 0$  for  $p+q > k$ )



So after taking vertical  $H^*$ , co-chain complex becomes

$$0 \leftarrow \bigoplus_{e(T)=2n-2} (G, T) / \text{Im } \delta_c \xleftarrow{\delta_R} \bigoplus_{e(\Phi)=2n-3} (G, \Phi) / \text{Im } \delta_c \xleftarrow{\delta_R} \dots$$

Where  $G$  is always trivalent.


$$\leftarrow \dots \bigoplus_{e(\Phi)=0} (G, -)$$

Much smaller chain complex!

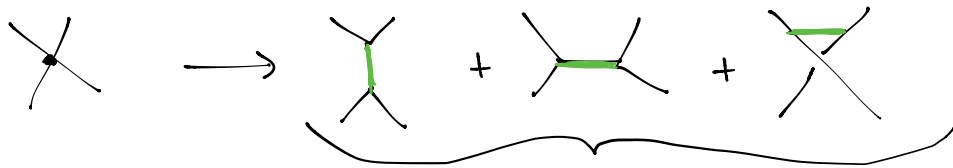
$$\delta_c : C_{2n-3, k-1} \rightarrow C_{2n-2, k}$$

sends  $(G, \Phi) \rightarrow \sum_{\alpha} (G^{\alpha}, \Phi^{\alpha})$

$G^{\alpha}$  trivalent  $\Rightarrow$

$G$  is trivalent except at one 4-valent vertex  $v$  

There are 3 ways of expanding this into two trivalent vertices. The new edge becomes part of the forest.



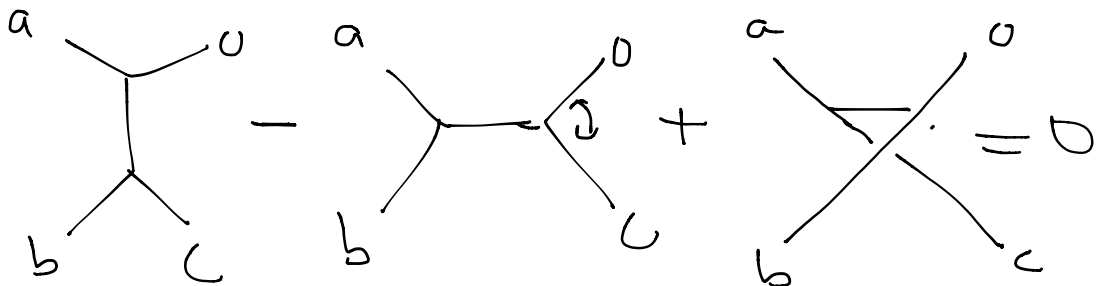
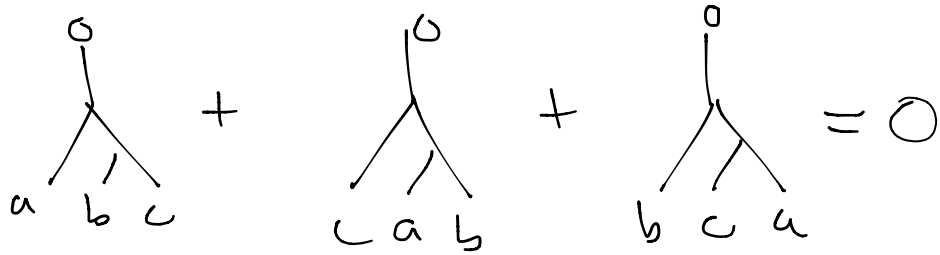
This is called an IHX-vector.

So our chain complex is

$$0 \leftarrow \frac{\bigoplus_{\substack{e\Phi=2n-3 \\ \mathbb{IHX}}} (G, \mathbb{I})}{\mathbb{IHX}} \leftarrow \frac{\bigoplus_{e\Phi=2n-4} (G, \mathbb{I}e)}{\mathbb{IHX}} \leftarrow \dots$$

This is the first hint that  $H^*(\text{Out}F_n)$  is related to Lie algebras: (actually the Lie operad)  
 Modding out by  $\mathbb{IHX}$  is an encoding of the Jacobi identity:

$$[a, [b, c]] + [c, [a, b]] + [b, [c, a]] = 0$$



## Kontsevich's theory

We now turn from something easy to understand (graphs) to something harder to understand (algebra!). Aim: convert algebras into graphs.

$V_d = \mathbb{R}^{2d}$  equipped with standard symplectic

form  $\langle v, w \rangle$ , ie

$\mathbb{R}^{2d}$  has basis  $B = \{p_1, \dots, p_d, q_1, \dots, q_d\}$

$\langle p_i, q_i \rangle = 1 = -\langle q_i, p_i \rangle$  all other  $\langle x, y \rangle = 0$

for  $x, y \in B$ .

$C_d =$  free commutative algebra on  $B$   
= polynomials in the  $p_i, q_i$  with real coeffs.

$A_d =$  free associative algebra on  $B$   
= polynomials in non-commuting variables

$p_i, q_i$

$L_d =$  free Lie algebra on  $B$  : generated by  
bracket expressions in the  $p_i, q_i$

(eg  $[p_1, p_2]$  or  $[[p_1, [q_2, p_1]], [q_2, q_4]]$  )

modulo antisymmetry  $[X, Y] = -[Y, X]$

Jacobi identity

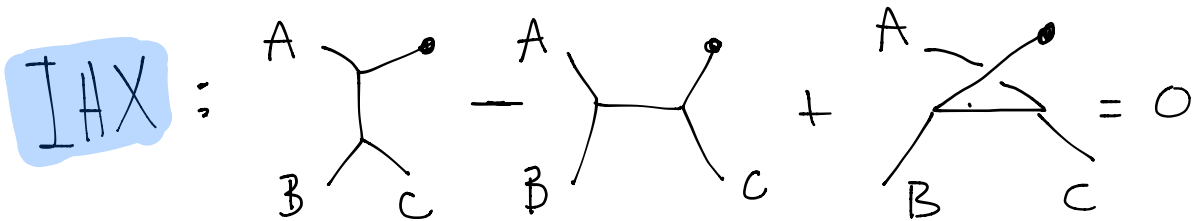
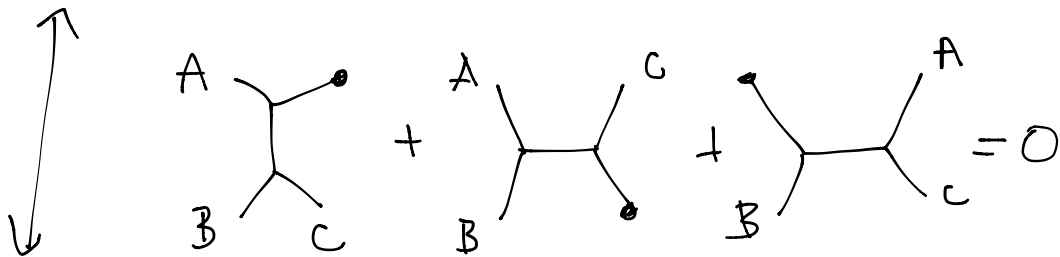
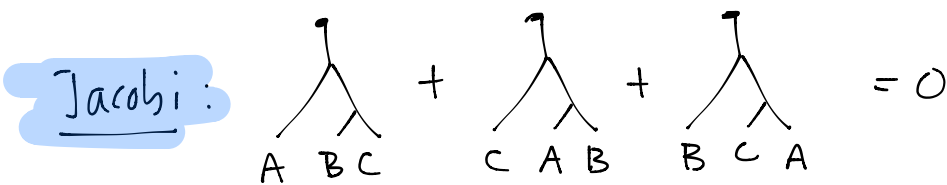
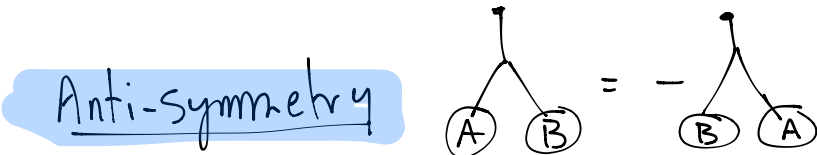
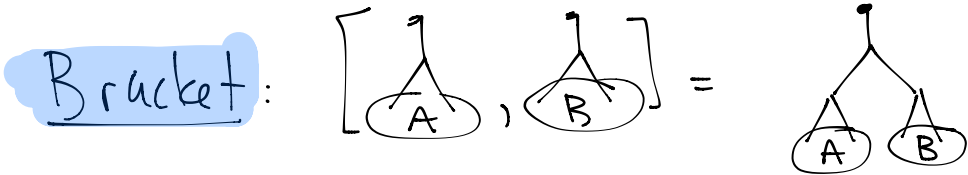
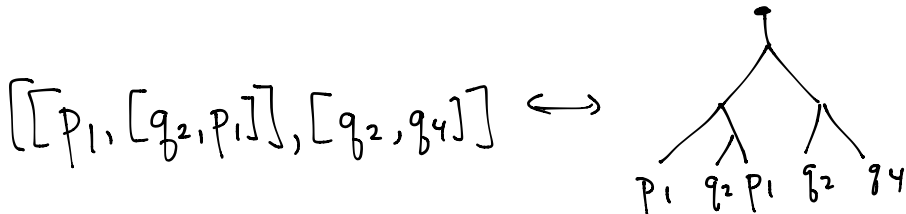
$$[A, [B, C]] + [C, [A, B]] + [B, [C, A]] = 0$$

Now turn this into algebras of labelled, rooted

graphs: Start in  $L_d$

Ld:

bracket expression  $\leftrightarrow$  rooted planar binary tree



# Multi-Linearity

$$\begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ c+x \quad y \quad z \quad w \end{array} = c \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ x \quad z \quad w \end{array} + \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ y \quad z \quad w \end{array}$$

$A_d$  generated by planar rooted labelled star-graphs:

$$\begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ p_1 \quad q_2 \quad p_1 \quad q_3 \end{array} \leftrightarrow \text{monomial } p_1 q_2 p_1 q_3$$

Product:  $\begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ a \quad b \quad c \end{array} \cdot \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ d \quad e \end{array} = \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ a \quad b \quad c \quad d \quad e \end{array}$

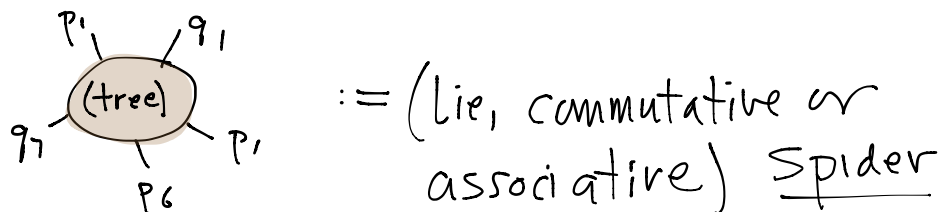
$C_d$ : generated by rooted trees (no planar embedding)

(so  $\begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ x \quad y \quad z \end{array} = \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ x \quad z \quad y \end{array} = \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ y \quad x \quad z \end{array} = \dots$ )

Product:  $\begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ x \quad y \quad z \end{array} \cdot \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ u \quad v \end{array} = \begin{array}{c} \bullet \\ | \\ \diagup \quad | \quad \diagdown \\ x \quad y \quad z \quad u \quad v \end{array}$

Let  $H_d = C_d, A_d \sim L_d$

Define  $\mathfrak{h}_d =$  Lie algebra based on  $H_d$   
 generated by (appropriate) trees with all leaf  
 vertices labelled (so there is no root)



Claim: A spider corresponds to a derivation

$$D: H_d \rightarrow H_d, \text{ i.e. } D(A * B) = DA * B + A * DB,$$

where  $*$  is product ( $H_d = A_d$  or  $C_d$ ) or bracket ( $H_d = L_d$ )

eg  $H_d = L_d$ ,  $D = \begin{array}{c} x \\ / \quad \backslash \\ y \quad z \end{array}$ ,  $T = \begin{array}{c} | \\ / \quad \backslash \\ a \quad b \quad c \\ / \quad \backslash \\ y \quad z \end{array} \in L_d$

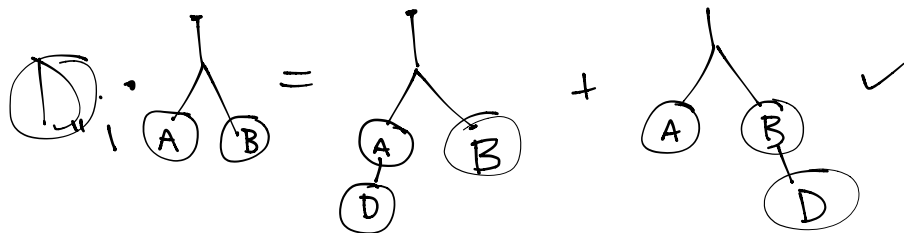
$$DT = \langle x, a \rangle \begin{array}{c} | \\ / \quad \backslash \\ b \quad c \\ / \quad \backslash \\ y \quad z \end{array} + \langle x, b \rangle \begin{array}{c} | \\ / \quad \backslash \\ a \quad c \\ / \quad \backslash \\ y \quad z \end{array} + \langle x, c \rangle \begin{array}{c} | \\ / \quad \backslash \\ a \quad b \\ / \quad \backslash \\ y \quad z \end{array}$$

$$+ \langle y, a \rangle \begin{array}{c} | \\ / \quad \backslash \\ z \quad c \\ / \quad \backslash \\ z \quad x \end{array} + \langle y, b \rangle \begin{array}{c} | \\ / \quad \backslash \\ z \quad c \\ / \quad \backslash \\ z \quad x \end{array} + \dots \text{ (9 terms in all) }$$



ie:  $\sum \langle x, a \rangle$  = Result of "hanging D off T"  
 over all ways of pairing a leg x  
 of D with a leg a of T

It's clear that D is a derivation:



Exercise: 
$$\begin{array}{c} p_1 \\ | \\ p_2 \quad q_1 \end{array} \cdot \left( \sum_{i=1}^d \begin{array}{c} \cdot \\ | \\ p_i \quad q_i \end{array} \right) = 0$$

More ambitious exercise: 
$$w = \sum_{i=1}^d \begin{array}{c} \cdot \\ | \\ p_i \quad q_i \end{array}$$

then  $Dw = 0$  for any  $D \in \mathfrak{h}_d$ .

Exercise: What does "hanging D onto T"  
 mean for  $H_d = A_d$  or  $C_d$ ?

Another definition of  $\mathfrak{h}_d$   
 = derivations of  $\mathbb{H}_d$  killing  $\omega$ ,  
 where  $\omega = \sum [p_i, q_i]$  if  $\mathbb{H}_d = \mathbb{L}_d$   
 $\omega = \sum p_i q_i - q_i p_i$  if  $\mathbb{H}_d = \mathbb{A}_d$   
 $\omega = \sum dp_i \wedge dq_i$  if  $\mathbb{H}_d = \mathbb{C}_d$

Derivations of an algebra form a Lie algebra  
 by defining  $[D, D'] = D \circ D' - D' \circ D$

We can describe the bracket using graphs  
 as follows:

Let  $\Delta_i = \begin{array}{c} x \\ \diagup \quad \diagdown \\ \text{---} \text{---} \text{---} \\ \diagdown \quad \diagup \\ u \quad z \end{array}$ ,  $\Delta'_i = \begin{array}{c} x' \\ \diagup \quad \diagdown \\ \text{---} \text{---} \text{---} \\ \diagdown \quad \diagup \\ z' \end{array}$  be spiders  
 in  $\mathbb{H}_d$ . Given a leg  $x \in \Delta_i$  and  $x' \in \Delta'_i$ ,  
 can mate  $\Delta_i$  and  $\Delta'_i$  by gluing  $x_i$  to  $x'_i$ ,  
 contracting the new edge if  $\mathbb{H} = \mathbb{A}$  or  $\mathbb{C}$ , and

multiplying by  $\langle x_i, x_i' \rangle$

Then  $[d_i, d_i']$  is the sum of all possible matings.

eg in  $L_d$ :

$$\left[ \begin{array}{c} q_1 \\ \diagdown \quad \diagup \\ p_1 \quad p_2 \end{array}, \begin{array}{c} q_1 \\ \diagdown \quad \diagup \\ p_3 \quad p_1 \end{array} \right] = - \begin{array}{c} q_1 \\ \diagdown \quad \diagup \\ p_3 \quad p_1 \\ \quad \quad \diagup \\ \quad \quad p_2 \end{array} + \begin{array}{c} q_1 \\ \diagdown \quad \diagup \\ p_3 \quad p_1 \\ \quad \quad \diagdown \\ \quad \quad p_2 \end{array}$$

(all other terms have coefficient 0)

$[A, B] = -[B, A]$  since each coefficient

$\langle x, x' \rangle$  becomes  $\langle x', x \rangle = -\langle x, x' \rangle$

Jacobi identity is also true, but requires more effort to verify

The natural embedding  $V_d \hookrightarrow V_{d+1}$  induces inclusions  $h_d \hookrightarrow h_{d+1}$  (we're just allowing more labels). Define  $h_\infty = \varinjlim h_d$

Kontsevich's Theorem:

$H_d = L_d$ . Then

" $H_* l_\infty$  computes  $H^*(\text{Out } F_n)$  for all  $n$ "

More precisely:

$$P H_* l_\infty \cong H^*(sp_\infty) \oplus \bigoplus_{n \geq 2} H^*(\text{Out } F_n)$$

$H_* l_\infty$  is a Hopf algebra,  
this is the "primitive part"

$l_\infty$  contains a copy  
of  $sp_\infty$ , corresp.  
to 2-legged  
spiders