

Elliptic Curves over general base schemes and Rigidification

- Today: ① define elliptic curves over general schemes  
 ② study  $[N]: E \rightarrow E/S$  &  $E[N] := \text{Ker}([N])$   
 ↳ and the special case:  $N$  invertible in  $S$   
 ③ Rigidification in moduli problems  
 ④  $\Gamma(N)$  &  $\Gamma_1(N)$  are rigidifications

Elliptic Curves

$S$ : scheme

smooth curve  $/S = \pi: C \rightarrow S$  morphism

smooth, separated, finite presentation and of relative dimension 1

N.B: smooth  $\Rightarrow$  flat

elliptic curve  $E/S =$  smooth curve with geometrically connected fibers of genus 1

with a chosen:  $e: S \rightarrow E$  (identity section)

Ex: 0)  $E/k$ : elliptic curves over fields

1)  $E: y^2 = x^3 + ax + b \subseteq \mathbb{P}^2_{\mathbb{Z}}$   $a, b \in \mathbb{Z}$

$\Delta = 4a^3 + 27b^2 \neq 0$

distinct prime-factors:  $P_1, \dots, P_N$  of  $\Delta$

$E$   
 $\downarrow$   
 $S = \text{Spec } \mathbb{Z} \setminus \{P_1, \dots, P_N\}$

2)  $E$  as above,  $p \nmid \Delta$  prime

$E_{\mathbb{Z}_p}$   $\quad \quad \quad \mathbb{Z}_p \twoheadrightarrow \mathbb{F}_p$   
 $\downarrow$   $\quad \quad \quad \downarrow$   
 $\text{Spec } (\mathbb{Z}_p) = \{0, \eta\}$   $\quad \quad \quad \mathbb{Q}_p$

3) Legendre-family:  $E: y^2 = x(x-1)(x-t) \subseteq \mathbb{P}^1_{\mathbb{C}} \times \mathbb{A}^1_t$

$\downarrow$   
 $\mathbb{A}^1 \setminus \{0, 1\}$

Thm:  $E/S$  elliptic curve  $\Rightarrow E$  is an  $S$ -group scheme with  $e =$  identity element  
 (" $E \rightarrow S, e$ ")

Sketch:

recall:  $G \rightarrow S$  is an  $S$ -group scheme  $\Leftrightarrow \exists$  morphisms:

$m: G \times G \rightarrow G$   
 $i: G \rightarrow G$   
 $e: S \rightarrow G$  } all satisfying group axioms

$h: \text{Sch}/S^{\text{opp}} \rightarrow [\text{Sch}/S^{\text{opp}}, \text{Set}]$   
 $\psi$   
 $x \mapsto \text{Hom}_S(-, x)$

Yoneda's Lemma:  $h$  is fully faithful

$h_{G/S}: \text{Sch}/S^{\text{opp}} \rightarrow \text{Set}$   
 $\swarrow$   $\uparrow$  forgetful  
 $E(x)$   $\quad \quad \quad \text{Grp}$

lemma:  $X/S$  is an  $S$ -group scheme  $\Leftrightarrow \exists$  a factorisation (\*) as above

$E/S$  elliptic curve

claim:  $E/S$  group scheme - enough to show:

$h \cong [\text{Sch}/S^{\text{opp}} \rightarrow \text{Grp}]$  some functor

$\rightarrow$  take:  $\text{Pic}: (T \rightarrow S) \rightarrow \{L \in \text{Pic}(E_T) : \deg L = 0\}$   
 $\forall T \in \mathcal{T}$

$(f_T: E_T \rightarrow T)$   $\quad \quad \quad \langle f_T^* L_0 : L_0 \in \text{Pic}(T) \rangle$

□

Def:  $\mathcal{M}: \text{Sch}/B^{\text{opp}} \rightarrow \underline{\text{Set}}$  "moduli problem"

$(S \rightarrow B) \mapsto \{ \begin{smallmatrix} X \\ \downarrow \\ S \end{smallmatrix} : \text{"objects"/}S \} / \text{iso.}$

fine moduli problem:  $\mathcal{M} \cong h_P$  ( $\mathcal{M}$  representable)

$P$ : fine moduli space

Slogan: "non-trivial automorphisms obstruct representability"

classical:  $E/\mathbb{C}: \# \text{Aut}_{\mathbb{C}}(E) = \begin{cases} 2 & d=0 \\ 4 & j=1728 \\ 6 & j=0 \end{cases}$

Assume  $\mathcal{M}$  representable:  $\mathcal{F}: h_P \xrightarrow{\sim} \mathcal{M}$

$\text{Hom}_B(P, P) \xrightarrow{\sim} \mathcal{M}(P/B)$

$\text{id}_P \mapsto u$  "universal-family"

non-trivial automorphisms  $\Rightarrow X \rightarrow S$  is trivial

$X_S$  fibers all iso.

two solutions: ① stacks

② Rigidity:  $\# \text{Aut}_S(E + \text{data}) = 1$

eg. data = 1)  $\Gamma(N)$  structure

2)  $\Gamma_1(N)$  structure

$E/S$  elliptic curve - is a group scheme

$\Rightarrow \forall N \in \mathbb{Z}_{\geq 1}: [N]: E \rightarrow E$  "multiplication by  $N$  map"

• group homomorphism

• Kernel:  $E[N]_S$  subscheme

$\hookrightarrow \forall s \in S: E[N]_s = N$ -torsion of  $E_s$

Properties: 1)  $[N]: E \rightarrow E/S$  is flat, finite and locally free

$\rightarrow$  thus its "rank" can be defined

$\text{rank}([N]) = N^2$

2) if  $N$  is invertible in  $S: E[N]$  is étale  $/S$

Thm (Rigidity of  $\Gamma(N)$ )  $E/S$  elliptic curve,  $N \geq 2$ ,  $S$  connected

$\varepsilon \in \text{Aut}_S(E)$  st:  $\varepsilon|_{E[N]} = \text{id}$

Then: 1)  $N=2 \Rightarrow \varepsilon = \pm \text{id}$

2)  $N \geq 3 \Rightarrow \varepsilon = \text{id}$

Thm (Rigidity of  $\Gamma_1(N)$ )  $E/S$  elliptic curve,  $N \geq 4$ ,  $S$  connected

$\varepsilon \in \text{Aut}_S(E)$ ,  $G \subseteq E$  finite, locally free of rank  $N$  st:

$\varepsilon|_G = \text{id}$

Then:  $\varepsilon = \text{id}$