

Recall: $S \subset \mathbb{N}$ is syndetic if $\exists r \in \mathbb{N}$ s.t. $S \cup (S-1) \cup \dots \cup (S-r) = \mathbb{N}$

$T \subset \mathbb{N}$ is thick if $\forall N \in \mathbb{N} \exists m \in \mathbb{N}$ s.t. $\{m, m+1, \dots, m+N\} \subset T$

Ex: If S is syndetic and T is thick, then $S \cap T \neq \emptyset$

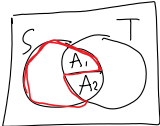
S is syndetic iff $\forall T \subset \mathbb{N}$ thick, $S \cap T \neq \emptyset$.

T is thick iff $\forall S$ syndetic $S \cap T \neq \emptyset$.

S is syndetic iff $\mathbb{N} \setminus S$ is not thick; T is thick iff $\mathbb{N} \setminus T$ is not syndetic.

Def: $A \subset \mathbb{N}$ is piecewise syndetic if $A = S \cap T$ for some syndetic S and thick T .

Lemma (Brown's lemma): If A is PWS and $A = A_1 \cup \dots \cup A_r$, then at least one of the A_i is PWS.

Pf:  Let $\tilde{S} = S \setminus A_2$. If \tilde{S} is syndetic, $A_1 = \tilde{S} \cap T$ is PWS
If $\tilde{T} = \mathbb{N} \setminus \tilde{S}$ is thick, $A_2 = \tilde{T} \cap S$ is PWS

Recall: A top. dyn. system is (X, T) where X is compact metric space and $T: X \rightarrow X$ is continuous. A system is minimal if there are no proper closed and T -invariant subsets of X .

Ex: (X, T) is minimal iff every $x \in X$ has a dense orbit. $\{x, Tx, T^2x, \dots\}$

Def: (X, T) is transitive if $\exists x \in X$ s.t. $\overline{\{x, Tx, T^2x, \dots\}} = X$.

Given a system (X, T) , a set $U \subset X$ and $x \in X$, denote $V(x, U) = \{n \in \mathbb{N} : T^n x \in U\}$

Lemma: A system (X, T) is minimal iff \forall open $U \neq \emptyset$ and $\forall x \in X$, $V(x, U)$ is syndetic.

Pf: If $V(x, U)$ is non-empty $\forall U, \forall x$, then every orbit is dense, hence (X, T) is minimal.

Conversely, if (X, T) is minimal and $U \subset X$ is open, $U \neq \emptyset$, then $Y = X \setminus \bigcup_{n=0}^{\infty} T^{-n} U$ is closed T -inv. and not X . Hence $Y = \emptyset$, so by compactness, $\exists r \in \mathbb{N}$ s.t. $X = \bigcup_{n=0}^r T^{-n} U$.

Let $S = V(x, U)$ for some $x \in X$. Let $m \in \mathbb{N}$. Then $T^m x \in T^{-n} U$ for some $n \in \{0, \dots, r\}$; therefore $T^{n+m} x \in U$, so $n+m \in S$. Therefore S is syndetic □

Ex: If (X, T) is transitive, then (X, T) minimal $\Leftrightarrow \forall U \subset X$ open, $\forall x \in U$, $V(x, U)$ is syndetic.

Def: Say $R \subset \mathbb{N}$ is a set of top. recurrence if \forall minimal (X, T) and $\forall U \subset X, U \neq \emptyset, \exists n \in R$

s.t. $U \cap T^{-n}U \neq \emptyset$.

Thm: Let $R \subset \mathbb{N}$. TFAE

- (1) R is a set of top. recurrence.
- (2) If $\mathbb{N} = C_1 \cup \dots \cup C_r$, $\exists C \in \{C_1, \dots, C_r\}$ s.t. $(C - C) \cap R \neq \emptyset$
- (3) For every top. dyn. system (X, T) , if $X = C_1 \cup \dots \cup C_r$ for open C_i , $\exists C \in \{C_1, \dots, C_r\}$ and $n \in R$ s.t. $C \cap T^{-n}C \neq \emptyset$
- (4) For every syndetic $S \subset \mathbb{N}$, $(S - S) \cap R \neq \emptyset$.
- (5) For every PWS $A \subset \mathbb{N}$, $(A - A) \cap R \neq \emptyset$.
- (6) For every PWS $A \subset \mathbb{N}$ $\exists n \in R$ s.t. $A \cap (A - n)$ is PWS.

Thm (Kriz): $\exists R$ of top. rec. that is not a set of recurrence.

Def: Call a pattern to a collection of finite subsets of \mathbb{N} . An element $C \in P$ is called a configuration.

A pattern P is shift invariant if $\forall C \in P \forall n \in \mathbb{N}, C + n \in P$

Ex: $P = \{ \{x, x+y, x+2y\} : x, y \in \mathbb{N} \}$ is a shift-invariant pattern. $P = \{ \{x, x+y\} : x, y \in \mathbb{N} \}$
 $P = \{ \{x, y, x+y\} : x, y \in \mathbb{N} \}$ is not shift-invariant.

A pattern P is ~~monochromatic~~ if whenever $\mathbb{N} = C_1 \cup \dots \cup C_r$, $\exists C \in P$ and $i \in \{1, \dots, r\}$ s.t. $C \subseteq C_i$

Thm: Let P be a shift-invariant pattern. TFAE.

- (1) P is monochromatic
- (2) \forall minimal (X, T) , $\forall U \subset X$ open, $U \neq \emptyset$, $\exists C \in P$ s.t. $\bigcap_{n \in C} T^{-n}U \neq \emptyset$.
- (3) \forall system (X, T) , if $X = C_1 \cup \dots \cup C_r$, C_i open, $\exists C \in P, \exists i \in \{1, \dots, r\}$ s.t. $\bigcap_{n \in C} T^{-n}C_i \neq \emptyset$.
- (4) \forall syndetic $S \subset \mathbb{N}$, $\exists C \in P$ s.t. $C \subseteq S$.
- (5) \forall PWS $A \subset \mathbb{N}$, $\exists C \in P$ s.t. $C \subseteq A \cap \bigcap_{i \in C} A - i$
- (6) \forall PWS $A \subset \mathbb{N}$, $\exists C \in P$ s.t. $\{n : n + C \subseteq A\}$ is PWS.

Remark: Let $R \subset \mathbb{N}$. Letting $P = \{ \{x, x+n\} : x \in \mathbb{N}, n \in R \}$ we recover the previous thm.

If $C_i \cap (C_i - n_1) \cap \dots \cap (C_i - n_k) \neq \emptyset$ and $\{n_1, \dots, n_k\} \in P$, then $C_i \supset A$ for some $A \in P$

(1) \Leftrightarrow (2) \Leftrightarrow (3) (2) \Rightarrow (4) (5) \Rightarrow (2) (6) \Rightarrow (5) (4) \Rightarrow (1)

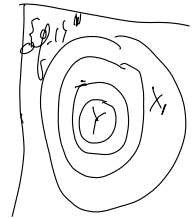
Lemma: Let (X, T) be a top. dyn. system. Let $x \in X$ with dense orbit. Let $U \subset X$ be open and suppose \exists minimal $Y \subset X$ s.t. $U \cap Y \neq \emptyset$. Then $V(x, U)$ is PWS.

Let P be a max pattern. Let $A \subset \mathbb{N}$ be PWS. Let $w = \mathbb{1}_A \in \{0, 1\}^{\mathbb{N}}$, let T be left-shift.

Let $X_1 = \{T^n \mathbb{1}_A : n \in \mathbb{N}\}$. Let $A = S \cap T$ for S syndetic and T thick. Let $m_N \in \mathbb{N}$ s.t.

$\{T^{m_N}, T^{m_N+1}, \dots, T^{m_N+N}\} \subset T \quad \forall N$. Let y_* be the limit of a subsequence

$\{T^{m_N} \mathbb{1}_A\}$. $y \in X_1$. Letting $\tilde{S} = \{n : y(n) = 1\}$; \tilde{S} is syndetic.



Exercise! Let Y be minimal ~~subsystem~~ subsystem of $\{T^n y : n \in \mathbb{N}\}$

claim: $(0, 0, 0, \dots) \notin \{T^n y : n \in \mathbb{N}\}$. (Exercise!)

Let $U = \{x \in X_1 : x_0 = 1\}$. Apply (1) to find $C \in P$ s.t. $W := \bigcap_{n \in C} T^{-n} U \neq \emptyset$.

$W \cap Y \neq \emptyset$ so we can apply lemma and get $\{n : T^n \mathbb{1}_A \in W\}$ is PWS.

If $m \in B$ then $T^m \mathbb{1}_A \in W$ so $\mathbb{1}_A \in U \quad \forall n \in C \Leftrightarrow \mathbb{1}_A(n+m) = 1 \quad \forall n \in C$
 $\Leftrightarrow m + C \subseteq A$. \square

Thm: If $\mathbb{N} = C_1 \cup \dots \cup C_r$, some $C \in \{C_1, \dots, C_r\}$ contains $\{x+y, xy\}$.

(Obs: (1) The pattern $\{x+y, xy : x, y \in \mathbb{N}\}$ is not shift-invariant.

(2) Not every set $A \subset \mathbb{N}$ with $\bar{d}(A) > 0$ contains $\{x+y, xy\}$.

(3) $\{x+y, xy\}$ involves both addition and multiplication \mathbb{N} .

Let $T_n : \mathbb{N} \rightarrow \mathbb{N}$
 $x \mapsto nx$
 $x \mapsto x+n$
 $D_m \circ T_n = T_{nm} \circ D_n$ (Distributivity)

(Obs: $A \supset \{x+y, xy\} \Leftrightarrow y \in \boxed{T_x^{-1} A \cap D_x^{-1} A}$

Thm (vdW): Let $A \subset \mathbb{N}$ be PWS. Then $\exists m, \forall k \in \mathbb{N} \exists n \in \mathbb{N}$ s.t.

$A \cap (A-n) \cap (A-2n) \cap \dots \cap (A-kn)$ is PWS

Ex: If A is PWS then $D_n A$ is PWS.

Pf: WLOG, C_1 is PWS.

$\exists n_1 \in \mathbb{N}$ s.t. $E = C_1 \cap C_1 - n_1$ is PWS.

If $n_1 E_1 \cap C_1 \neq \emptyset$, let $m \in E_1$ s.t.

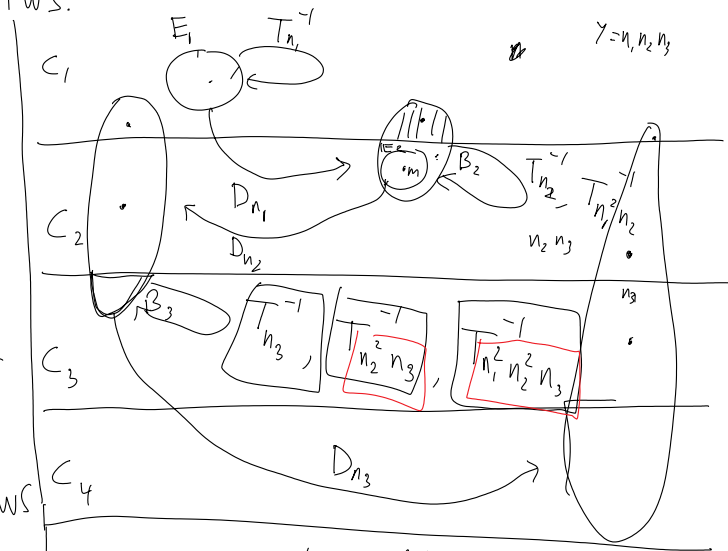
$m n_1 \in C_1$. Then $m + n_1 \in C_1$.

Otherwise WLOG $B_2 := n_1 E_1 \cap C_2$ is PWS. Using vdw, find $n_2 \in \mathbb{N}$ s.t.

$E_2 := B_2 \cap (B_2 - n_2) \cap (B_2 - n_1^2 n_2)$ is PWS.

If $n_2 E_2 \cap C_2 \neq \emptyset$, let $m \in E_2$ s.t.

$n_2 m \in C_2$. Then $n_2 + m \in C_2$



If $n_2 E_2 \cap C_1 \neq \emptyset$, let $m \in E_2$ s.t.

$n_2 m \in C_1$. Then $m + n_1^2 n_2 \in B_2$, hence

$$x + n n_2 = \frac{m + n_1^2 n_2}{n_1} \in E_1 \subset C_1. \text{ Let } x := \frac{m}{n_1}. \text{ Then } x \cdot (n, n_2) \in C_1 \text{ and } x + n_1 n_2 \in C_1$$

Thm: $\exists d: \mathcal{P}(\mathbb{Q}) \rightarrow [0, 1]$ s.t. $d(\emptyset) = 1$, d is sub-additive and

$$d(A - n) = d(A) \quad \forall A, \forall n \in \mathbb{Q} \text{ and } d(A/n) = d(A). \quad \frac{1}{n}$$

Thm: Let $A \subset \mathbb{Q}$ with $d(A) > 0$. Then

$$d(\{x: d(\{y: \exists x+y, xy \in A\}) > 0\}) > 0.$$

$$d(A) = \limsup_{N \rightarrow \infty} \frac{|A \cap F_N|}{|F_N|} \text{ where } F_N = \left\{ \frac{x}{y} : p_1 \dots p_N \mid y, y \mid p_1^N \dots p_N^N, x \mid p_1^{2N} \dots p_N^{2N} \right\}$$

$$\# (p_1 \dots p_N)^N \leq x \leq 4(p_1 \dots p_N)^N$$