

## Bounding the ideal class group

$$K(E[\rho])$$

Setting:  $E/K$  with CM by  $\mathcal{O}$

- Fix  $\mathfrak{a}$ , ideal  $\mathfrak{a}$  of  $K$  prime to  $6N_E$  (conductor of  $E$ )  
+1) prime  $\mathfrak{p}$  of  $K$  ———  $6N_E \mathfrak{a}$  above  $\mathfrak{p}$   
splits in  $K$

•  $F := K(E[\rho])$ ,  $\mu_F :=$  roots of unity

• Fix  $M = p^k$ , denote  $\mu_M := M^{\text{th}}$  roots of unity

$$F_M := F(\mu_M)$$

•  $A$ : ideal class group of  $F$

Main theorem: Let  $\chi : \text{Gal}(F/K) \rightarrow \mathbb{Z}_p$

irred. character. Then

$$\# A^\chi \leq \# \left( \mathcal{O}_F^\times / \langle \mu_F, \eta(1, \mathfrak{a}) \rangle^\chi \right)$$

LHS

RHS

where  $M^\chi := \left\{ m \in M \otimes_{\mathbb{Z}} \mathbb{Z}_p : \begin{array}{l} Gm = \chi(G)m \\ \forall G \in \text{Gal}(F/K) \end{array} \right\}$

Recall:  $\tau$  is square free ideal of  $\mathcal{O}$  coprime

(Previous talk)

to  $G N_E p \mathcal{O}$

$$\eta(n, \tau) = \Lambda_E \left( \frac{-\Omega}{\psi(p^n \tau)} \right)$$

$$E(\mathbb{C}) \cong \mathbb{F} / \underset{\mathcal{O} \cong \Omega}{L}$$

Hecke char. att. to E

$$\eta(n, \tau) \in K(E[p^n \tau])^\times$$

[ES Machine]

$K_n$  Euler system + character  $\chi$

$\Downarrow$   
bounding of  $A^\chi$  of  $K_1$

Cor: Suppose  $\eta(1, \mathcal{O})^\chi \in \mu_F^\chi ((\mathcal{O}_F^\times)^\chi)^p$

Then  $A^\chi = 0$

Because it relates to the vanishing of the Selmer gp

① RHS: Let  $\chi$  be a non-trivial character of  $\Delta := \text{Gal}(F/K)$ . Then:

$(\mathbb{Q}_F^\times / \mu_F)^\chi$  is a free module of rank 1 over  $\mathbb{Z}_p$

Sketch:  $K$  is tot. imag.  $\Rightarrow$  so is  $F$   
 $\Rightarrow F$  admits  $2 \cdot [F:K] = 2 \cdot |\text{Gal}(F/K)|$   
pairs of complex conjugate  $\leftrightarrow \mathbb{C}$   
no real embedding

Dirichlet  $\Rightarrow$  Unit  $\# \mathbb{Q}_F^\times / \mu_F = \mathbb{Z}^{|\text{Gal}(F/K)| - 1}$

Note:  $\# \mathbb{Q}[\text{Gal}(F/K)] = \mathbb{Q}^{|\text{Gal}(F/K)|}$   
 $\mathbb{Q}[\Delta] \simeq \mathbb{Q}^\Delta$

$$0 \rightarrow (\mathbb{Q}_F^\times / \mu_F) \otimes \mathbb{Q} \rightarrow \mathbb{Q}[\Delta] \rightarrow \mathbb{Q} \rightarrow 0$$

B/c  $\mathbb{Z}_p$  is flat  $\mathbb{Z}$ -mod  $\rightarrow$  tensor with  $\mathbb{Z}_p$  exact seq.

Taking  $\chi$ -eig:  $(\mathbb{Q} \otimes \mathbb{Z}_p)^\chi = 0$

Exercise:  $(\mathbb{Q}[\Delta] \otimes \mathbb{Z}_p)^X$  is rank 1

LHS: Idea is to construct a sequence of primes  $\mathfrak{q}$  in  $K$  and  $\mathbb{Q}/\mathfrak{q}$  of  $F$  for which the image of these primes generates  $A^X$

Main tool: Let  $\alpha \in \text{Hom}(A, \mathbb{Z}/M\mathbb{Z})^{\neq 0}$   
 $K \in \underline{F^x} / (F^x)^M$

Then there exists a prime  $\mathfrak{q} \in \underline{\mathbb{R}_{1,M}}$  of  $K$  and  $\mathbb{Q}$  of  $F$  above  $\mathfrak{q}$  s.t.  $\alpha|_{\mathbb{Q}} \neq 0$

1.  $\alpha([Q]) \neq 0$        $[Q]$ : class of  $Q$  in  $A$

2.  $\Rightarrow [K]_{\mathfrak{q}} = 0$

$\Leftarrow d \cdot \underline{\phi_{\mathfrak{q}}}(K) = 0 \Leftrightarrow K^d \in (F^x)^M \forall d \in \mathbb{Z}$

Recall:  $\odot$   $\mathcal{R} = \{ \text{square free ideals of } \mathcal{O} \text{ coprime to } G N_E \mathfrak{p} \mathcal{d} \}$

$\mathcal{R}_{\mathbb{N}, \mathbb{M}} = \{ r \in \mathcal{R} \text{ s.t. every prime } \mathfrak{q} \mid r \text{ satisfies}$

1.  $\mathfrak{q}$  splits in  $K_{\mathbb{N}}/K$
2.  $\mathbb{M} \mid (N_{\mathfrak{q}} - 1)$

$\odot$  Denote the group of ideals of  $F$

$$\mathcal{I} = \bigoplus_{\mathcal{Q}} \mathbb{Z} \mathcal{Q} \quad \mathcal{Q} : \text{prime of } F$$

If  $\mathfrak{q}$  prime in  $K$ :  $\mathcal{I}_{\mathfrak{q}} = \bigoplus_{\mathcal{Q} \mid \mathfrak{q}} \mathbb{Z} \mathcal{Q}$

Let  $x \in F$ ,  $(x)$ : ideal gen.

For some  $M = p^k$

$$[x]_{\mathfrak{q}} \in \mathcal{I}_{\mathfrak{q}} / M \mathcal{I}_{\mathfrak{q}}$$

Define  $\phi'_q: (\mathcal{O}_F/\mathfrak{q})^\times \rightarrow \underline{\underline{\mathbb{I}_q/\mathbb{M}\mathbb{I}_q}}$

as follows. For every  $\mathcal{Q} | \mathfrak{q}$ , let  $\gamma_{\mathcal{Q}}$

$\gamma_{\mathcal{Q}} \in (\mathcal{O}_F/\mathcal{Q})^\times$ : the generator

Then  $\alpha \in (\mathcal{O}_F/\mathfrak{q})^\times$ :  $\alpha \equiv \gamma_{\mathcal{Q}}^{a_{\mathcal{Q}}(\alpha)} \pmod{\mathcal{Q}}$

$$\phi'_q(\alpha) := \sum_{\mathcal{Q} | \mathfrak{q}} a_{\mathcal{Q}}(\alpha) \cdot \mathcal{Q}$$

Define  $j_q: \{K \in F^\times / (F^\times)^M : [K]_q = 0\}$

$\downarrow$   
 $\mathcal{O}_F^\times \ni z \quad (\mathcal{O}_F/\mathfrak{q})^\times / ((\mathcal{O}_F/\mathfrak{q})^\times)^M$   
 s.t.  $\text{ord}_{\mathcal{Q}}(z) = 0 \forall \mathcal{Q} | \mathfrak{q}$

$$[\phi_q := \phi'_q \circ j_q]$$

## Sketch of main tool:

Define  $\rho \in \text{Hom}(G_{F_M}, \mu_M)$

$$G \mapsto \begin{matrix} \text{"} \\ F(\mu_M) \\ (K^2/M)^{G-1} \end{matrix}$$

$\alpha \in \text{Hom}(A, \mathbb{Z}/M\mathbb{Z})$

Define  $H_\alpha = \{ G \in G_{F_M} : \alpha(G) = 0 \}$

$H_\rho = \{ G \in G_{F_M} : \rho(G) \text{ has order less than the order of } K \text{ in } F^\times / (F^\times)^M \}$

|| By Kummer theory:  $\exists \gamma \in G_{F_M}$  s.t.  
 $\gamma \notin H_\rho \cup H_\alpha$

Let  $L$  be a finite Galois ext. of  $F$  containing

$$\left. \begin{array}{l} F_M = F(\mu_M) \\ H : \text{Hilbert class field of } F \end{array} \right\}$$

s.t. both  $\alpha$  and  $\rho$  are trivial in the subgroup of  $G_L$

Chebotarev: choose a prime  $\mathcal{Q}'$  of  $L$   
 coprime to  $G \neq N_E$  s.t.  $\exists q$  is the prime  
 of  $K$  below  $\mathcal{Q}'$ ,  $[K]_q = 0$   
 $\left\{ \begin{array}{l} \gamma|_L = \text{Frob}_{\mathcal{Q}'} \end{array} \right.$

[ Choose  $\mathcal{Q}$  be the prime of  $F$  below  $\mathcal{Q}'$   
 $c$  is ideal class of  $\mathcal{Q}$  in  $A$ .

Main theorem: Let  $\chi$  is irred. character of  $A = \text{Gal}(F/\mathbb{Q})$

$$\# A^{\chi} \leq \# \left( \mathcal{O}_F^{\times} / \langle \mu_F, \eta(1, \mathcal{O}) \rangle \right)^{\chi}$$

Sketch: ①  $\chi$  is trivial,  $A^{\chi} = 0$

②  $\chi$  is non-trivial:  $\left( \mathcal{O}_F / \langle \mu_F, \eta(1, \mathcal{O}) \rangle \right)^{\chi}$   
 $\stackrel{=}{=} \mathbb{C}$   
 $\approx \mathbb{Z}_p / \underline{\underline{m}} \mathbb{Z}_p$

$m = p^k$  for some  $k \geq 0$ .



1. Use the main tool to construct

$$\begin{cases} \text{classes } c \in A & \{c_i\} \\ \underline{\underline{K}} \in F^x / (F^x)^M & \{k_i\} \end{cases} \text{ relates to Euler system}$$

$ES \leftrightarrow \underline{\underline{K}}$

2.  $\{c_i^x\}$  generate  $A^x$ . Let

$s_i =$  order of  $c_i^x$  in  $A^x / \langle c_1^x, \dots, c_{i-1}^x \rangle$

$$\underline{\underline{\# A^x}} = \prod_{i=1}^k s_i$$

3.  
=

$t_i =$  order of  $K^x$  in  $F^x / (F^x)^M$

$$\Rightarrow t_i \mid t_{i+1}$$

We choose  $M = p \cdot \# (O_F^x / \mathfrak{c})^x \cdot \# A^x$

$$\Rightarrow \underline{\underline{M/m}} \mid t_0 \mid t_i \quad \forall i$$

4.  $\text{ord of } c_i^{\mathbb{Q}}$   
 $S_i \mid \frac{t_i}{t_{i-1}} \quad \forall 0 < i \leq k$

"Factorization  
thm"

↑  
use ES  
last time

$t_k \mid M, \quad M \mid m t_0$

$\Rightarrow \prod_i S_i \mid \frac{t_k}{t_0} \leq M \mid t_0 \leq m$   
 Take product

We used ES in the construction of  $K_i$   
 (I didn't write down explicitly)  
 and in their properties in the last steps  
 (3,4)