



WACHSPRESS OBJECTS AND THE
RECONSTRUCTION OF CONVEX POLYTOPES
FROM PARTIAL DATA

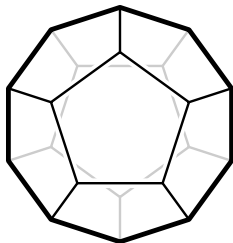
Martin Winter

University of Warwick

07. February, 2023

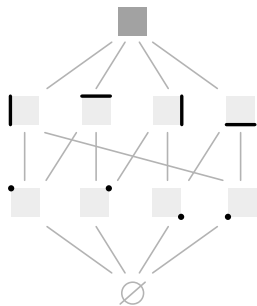
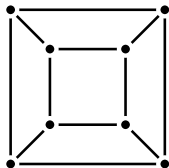
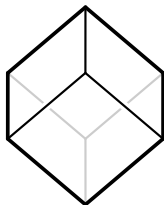
THE SETTING: CONVEX POLYTOPES

$$P = \text{conv}\{p_1, \dots, p_n\} \subset \mathbb{R}^d$$



- ▶ always convex
- ▶ general dimension $d \geq 2$
- ▶ general geometry & combinatorics (not only simple/simplicial/lattice/...)
- ▶ always of full dimension

COMBINATORICS OF POLYTOPES



edge-graph ... $G_P := \{ \text{vertices and edges of } P \}$

skeleton ... embedding $p : G_P \rightarrow \mathbb{R}^d$ of the edge-graph

face lattice ... $\mathcal{F}(P) := \{ \text{faces of } P \text{ ordered by inclusion} \}$
or combinatorial type

WACHSPRESS OBJECTS



WACHSPRESS OBJECTS

“A family of objects that appear as bridges between algebra, geometry and combinatorics”

- ▶ Wachspress coordinates
- ▶ Wachspress variety
- ▶ Wachspress ideal
- ▶ Wachspress map
- ▶ adjoint polynomial
- ▶ adjoint hypersurface
- ▶ Izmistiev matrix
- ▶ ...

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GENERALIZED BARYCENTRIC COORDINATES

$$\{(\alpha_1, \dots, \alpha_n) \in \mathbb{R}_{\geq 0}^n \mid \alpha_1 + \dots + \alpha_n = 1\}$$



Generalized barycentric coordinates (GBCs): $\alpha : P \rightarrow \Delta_n$ satisfy

$$\sum_i \alpha_i(x) p_i = x \quad (\text{linear precision})$$

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There are ...

- ▶ harmonic coordinates,
- ▶ mean value coordinates,
- ▶ ...
- ▶ **Wachspress coordinates** (WACHSPRESS 1975; WARREN, 1996)

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There are ...

- ▶ harmonic coordinates,
- ▶ mean value coordinates,
- ▶ ...
- ▶ **Wachspress coordinates** (WACHSPRESS 1975; WARREN, 1996)
... have many non-trivially equivalent definitions

THE MANY FACES OF WACHSPRESS COORDINATES

I. Unique rational GBCs of lowest possible degree (WARREN, 2003)

$$\alpha_i(x) = \frac{p_i(x)}{q(x)} \quad \text{where } q(x) = \sum_i p_i(x) \dots \text{ adjoint polynomial}$$

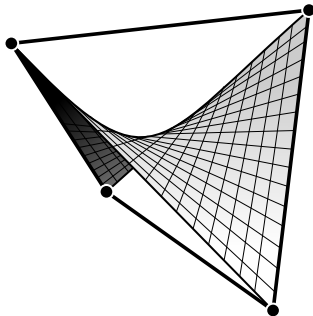
- ▶ there are not always polynomial GBCs
- ▶ degree = #facets - d

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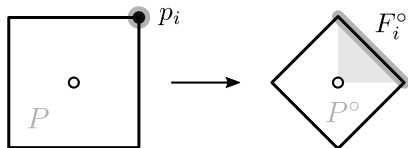
- ▶ there are not always polynomial GBCs
- ▶ degree = #facets – d
- ▶ **Wachspress variety**
 ... $V := \text{im}(\alpha) \subseteq \Delta_n$
- ▶ **Wachspress ideal** ... $I(V)$
 \cong Stanley-Reisner ideal



THE MANY FACES OF WACHSPRESS COORDINATES

II. Relative cone volumes (JU et al., 2005)

polar dual ... $P^\circ := \{x \in \mathbb{R}^d \mid \langle x, p_i \rangle \leq 1 \text{ for all } i \in V(G_P)\}$.



$$\alpha_i = \frac{\text{vol}(F_i^\circ)}{\|p_i\| \text{vol}(P^\circ)}$$

THE MANY FACES OF WACHSPRESS COORDINATES

III. From spectral embeddings of the edge-graph (W., 2023)

$$\begin{aligned} \theta \in \text{Spec}(A) &\implies u_1, \dots, u_d \in \text{Eig}_\theta(A) \\ &\implies \begin{bmatrix} | & & | \\ u_1 & \cdots & u_d \\ | & & | \end{bmatrix} = \begin{bmatrix} - & p_1 & - \\ & \vdots & \\ - & p_n & - \end{bmatrix} \in \mathbb{R}^{n \times d} \end{aligned}$$

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Colin de Verdière embedding

- ▶ A polytope skeleton is a ~~spectral embedding~~ of the edge-graph w.r.t. some weighted adjacency matrix M (IZMESTIEV, 2010)

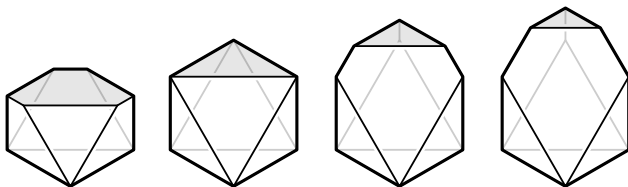
$$\alpha_i := \sum_j M_{ij}$$

THE MANY FACES OF WACHSPRESS COORDINATES

IV. Via a variation of volume

$$P^\circ(\mathbf{c}) := \{x \in \mathbb{R}^d \mid \langle x, p_i \rangle \leq c_i \text{ for all } i \in V(G_P)\}.$$

where $\mathbf{c} = (c_1, \dots, c_n) \in \mathbb{R}^n$.



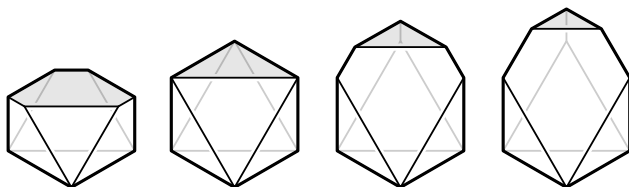
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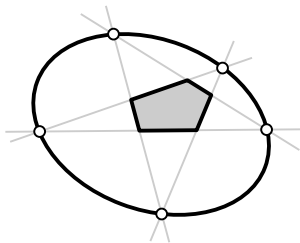
where $\mathbf{c} = (c_1, \dots, c_n) \in \mathbb{R}^n$. Expand $\text{vol}(P^\circ(\mathbf{c}))$ at $\mathbf{c} = \mathbf{1}$:

$$\text{vol}(P^\circ(\mathbf{c})) = \text{vol}(P^\circ) + \underbrace{\langle \tilde{\alpha}, \mathbf{c} - \mathbf{1} \rangle}_{\text{Wachspress coordinates}} + \frac{1}{2}(\mathbf{c} - \mathbf{1})^\top \underbrace{\tilde{M}}_{\text{Izmestiev matrix}}(\mathbf{c} - \mathbf{1}) + \dots$$



WACHSPRESS COORDINATES ACROSS DISCIPLINES

- ▶ **adjoint polynomial** q cuts out minimal degree surface that passes through “external non-faces”
- ▶ algebraic statistics
 - ▶ moment varieties of polytopes
 - ▶ Bayesian statistics
- ▶ intersection theory (computing Segre classes of monomial schemes)
- ▶ P with adjoint polynomial is a **positive geometry** (cf. the amplituhedron from theoretical physics)
- ▶ has also been defined on polycons and smooth convex bodies
- ▶ **Izmestiev matrix** has been used
 - ▶ to encode polytopal symmetries in colorings of the edge-graph
 - ▶ for progress on the Hirsch conjecture

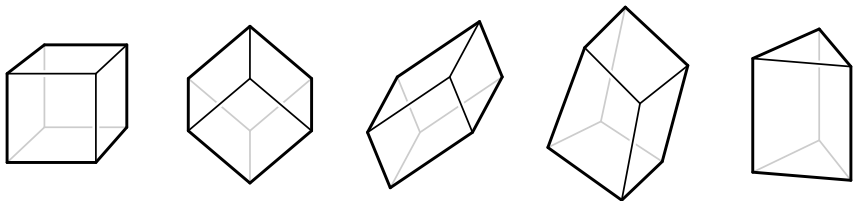


RECONSTRUCTION OF POLYTOPES FROM PARTIAL DATA



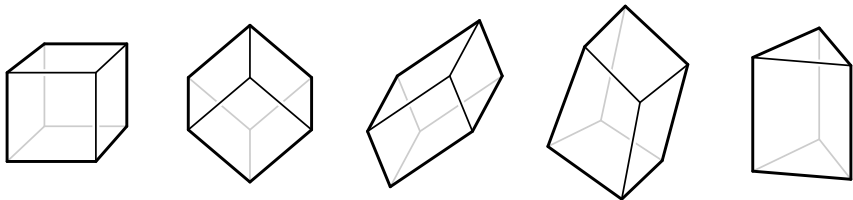
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“In how far is a polytope determined by partial combinatorial and geometric data, up to isometry, affine transformation or combinatorial equivalence?”



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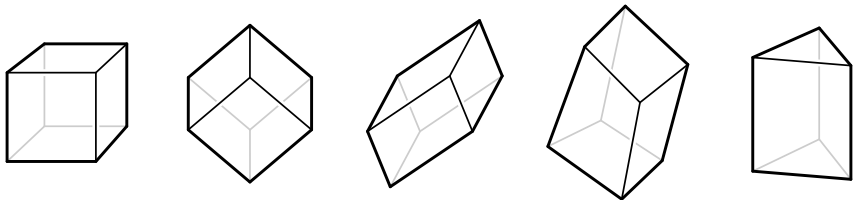
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- ▶ Does the edge-graph determine the combinatorics?

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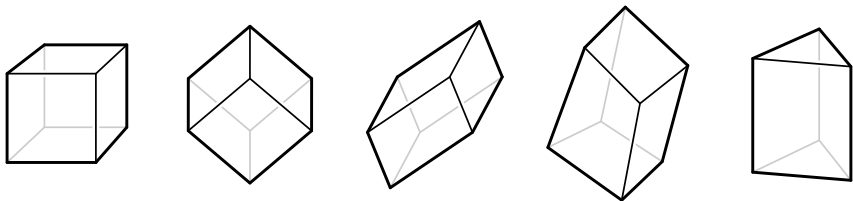
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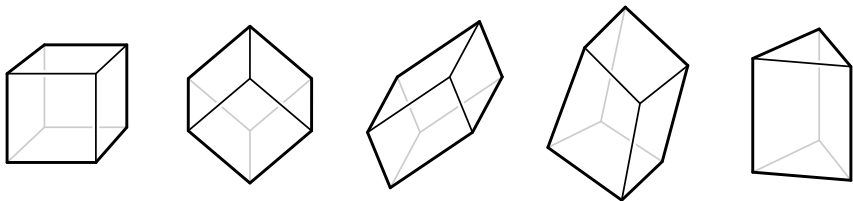
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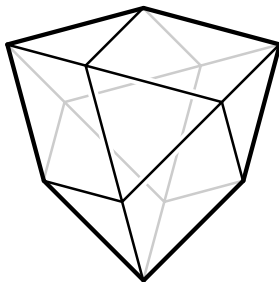
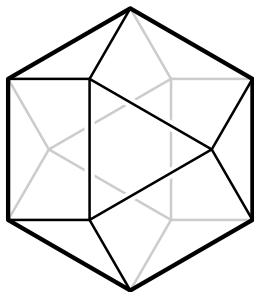
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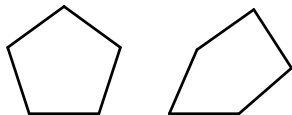
FLEXIBLE POLYTOPES



TWO OPPOSING EFFECTS ...

Simple polytopes:

- ▶ combinatorics can be reconstructed (BLIND & MANI; KALAI)
- ▶ geometry cannot be reconstructed



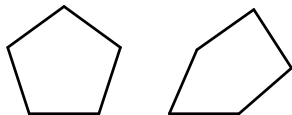
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... what additional data is needed to permit a reconstruction?

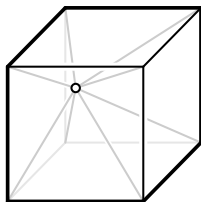
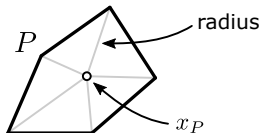
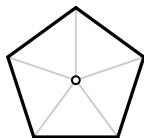
RECONSTRUCTION OF POINTED POLYTOPES



"Rigidity, Tensegrity and Reconstruction of Polytopes under Metric Constraints"
(arXiv:2302.14194, accepted at IMRN)

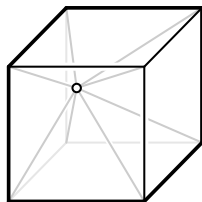
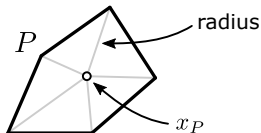
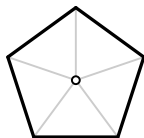
POINTED POLYTOPES

$:=$ polytope $P \subset \mathbb{R}^d$ + point $x_P \in \mathbb{R}^d$



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Conjecture. (W., 2023)

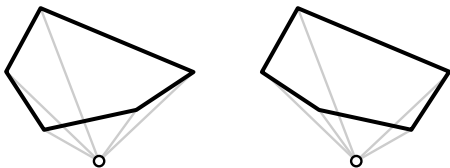
A pointed polytope P with $x_P \in \text{int}(P)$ is uniquely determined (up to isometry) by its edge-graph, edge lengths and radii.

implies e.g. reconstruction of matroids from base exchange graph

POINT IN THE INTERIOR IS NECESSARY ...

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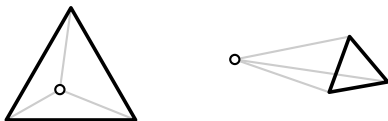
TENSEGRITY VERSION

Conjecture. (W., 2023)

If $P \subset \mathbb{R}^d$ and $Q \subset \mathbb{R}^e$ are pointed polytopes with the same edge-graph and

- (i) $x_Q \in \text{int}(Q)$
 - (ii) edges in Q are at most as long as in P ,
 - (iii) radii in Q are at least as large as in P ,
- then P and Q are isometric.

“A polytope cannot become larger if all its edges become shorter.”



CONJECTURE HOLDS IN SPECIAL CASES (W., 2023)

The conjecture holds in the following cases:

I. Q is a small perturbation of P

- ▶ one can replace Q by a graph embedding $q: G_P \rightarrow \mathbb{R}^d$
- ≅ locally rigid as a framework

II. P and Q are centrally symmetric

- ▶ one can replace Q by a centrally symmetric graph embedding $q: G_P \rightarrow \mathbb{R}^e$
- ≅ universally rigid as a centrally symmetric framework

III. P and Q are combinatorially equivalent

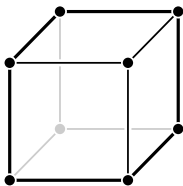
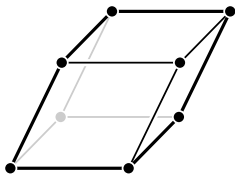
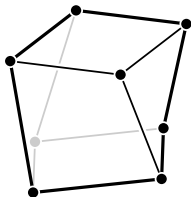
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 $Q \subset \mathbb{R}^e$

 $q: G_P \rightarrow \mathbb{R}^e$

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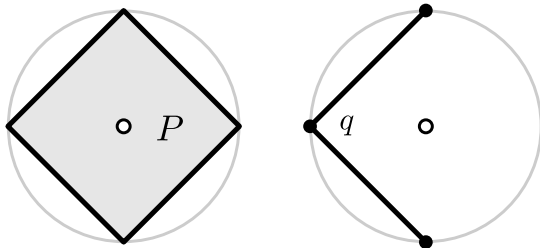
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GENERAL GRAPH EMBEDDING VERSION IS FALSE



WARMUP: SIMPLICES

$P, Q \subset \mathbb{R}^d$ simplices,

- (i) $0 \in \text{int}(Q)$,
- (ii) edges in Q are at most as long as in P .
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Proof. For $\alpha \in \Delta_n$ holds

$$\sum_i \alpha_i \|p_i\|^2 = \left\| \sum_i \alpha_i p_i \right\|^2 + \frac{1}{2} \sum_{i,j} \alpha_i \alpha_j \|p_i - p_j\|^2$$

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$\forall i \text{ (ii)}$

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$$\sum_i \alpha_i \|p_i\|^2 = \left\| \sum_i \alpha_i p_i \right\|^2 + \frac{1}{2} \sum_{i,j} \alpha_i \alpha_j \|p_i - p_j\|^2$$

|| (iii)
|| (i)
|| (ii)

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Therefore $P \simeq Q$. □

WARMUP: SIMPLICES

$P, Q \subset \mathbb{R}^d$ simplices,

- (i) $0 \in \text{int}(Q)$, $\implies 0 = \sum_i \alpha_i q_i \dots$ convex combination
- (ii) edges in Q are at most as long as in P .
- (iii) radii in Q are at least as large as in P .

Proof. For $\alpha \in \Delta_n$ holds

$$\sum_i \alpha_i \|p_i\|^2 = \left\| \sum_i \alpha_i p_i \right\|^2 + \frac{1}{2} \sum_{i,j} \alpha_i \alpha_j \|p_i - p_j\|^2$$

|| (iii)
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VI ??

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EXPANSION OF POLYTOPES

Fix $\alpha \in \Delta_n := \{(\alpha_1, \dots, \alpha_n) \in \mathbb{R}_{\geq 0}^n \mid \alpha_1 + \dots + \alpha_n = 1\}$

$$\alpha\text{-expansion:} \quad \|P\|_{\alpha}^2 := \frac{1}{2} \sum_{i,j} \alpha_i \alpha_j \|p_i - p_j\|^2$$

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“If edges shrink, then the expansion decreases, if α is chosen suitably.”

Key theorem (W., 2023)

If α are the Wachspress coordinates of some interior point of P , and edges in $q : G_P \rightarrow \mathbb{R}^e$ are not longer than in P , then

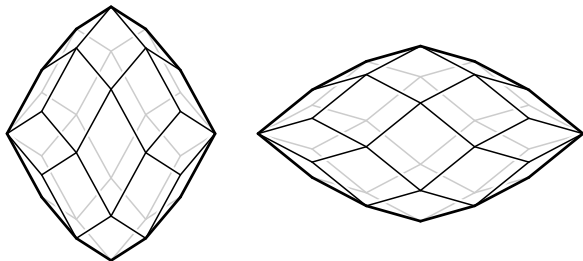
$$\|q\|_\alpha \leq \|P\|_\alpha,$$

with equivalence if and only if $\alpha \simeq_{\text{affine}} P$.

CONSEQUENCES

Corollary.

A pointed polytope is uniquely determined (up to affine transformations) by its edge-graph, edge lengths and Wachspress coordinates.



A polytope can be reconstructed in polynomial time (via semidefinite program).

ARE WE DONE ... ?

$$\sum_i \alpha_i \|p_i\|^2 = \left\| \sum_i \alpha_i p_i \right\|^2 + \|P\|_\alpha^2$$

\wedge | \vee | \vee |

$$\sum_i \alpha_i \|q_i\|^2 = \left\| \sum_i \alpha_i q_i \right\|^2 + \|Q\|_\alpha^2$$

ARE WE DONE ... ?

$$\begin{array}{rcc}
 \sum_i \alpha_i q_i \stackrel{?}{=} 0 & \wedge & \sum_i \alpha_i \|p_i\|^2 = \left\| \sum_i \alpha_i p_i \right\|^2 + \|P\|_\alpha^2 \\
 & & \text{VI} \qquad \qquad \qquad \text{VI} \qquad \qquad \qquad \text{VI} \\
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What is α ?

- ▶ convex coordinates of the special point in Q
... and at the same time ...
- ▶ Wachspress coordinates of *some* point in P

ARE WE DONE ... ?

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Can we have this?

THE WACHSPRESS MAP $\phi: P \rightarrow Q$

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The **Wachspress map** $\phi: P \rightarrow Q$ maps

$$x \in P \longmapsto \alpha(x) \in \Delta_n \longmapsto \phi(x) := \sum_i \alpha_i(x) q_i \in Q$$

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$$x \in P \longmapsto \alpha(x) \in \Delta_n \longmapsto \phi(x) := \sum_i \alpha_i(x) q_i \in Q$$

The remaining question: how to find $x \in \text{int}(P)$ with $\|x\| \geq \|\phi(x)\|$?

WE CAN HAVE IT IN SPECIAL CASES ...

Key lemma.

If $P \subset \mathbb{R}^d$ and $q : G_P \rightarrow \mathbb{R}^e$ satisfy

- (i) *there is $x \in \text{int}(P)$ with $\|x\| \geq \|\phi(x)\|$, (e.g. if $\phi(x) = 0$)*
 - (ii) *edges in q are at most as long as in P ,*
 - (iii) *radii in q are at least as large as in P ,*
- then q is isometric the skeleton of P .*

WE CAN HAVE IT IN SPECIAL CASES ...

Key lemma.

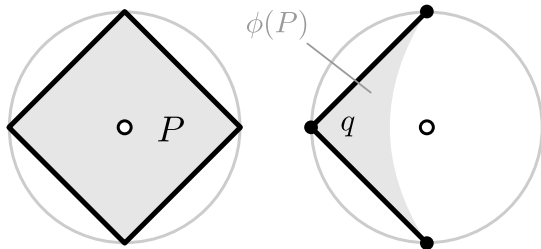
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 - (iii) radii in q are at least as large as in P ,
- then q is isometric the skeleton of P .

Resolved special cases:

- ▶ P and q centrally symmetric $(\phi(0) = 0)$
- ▶ q a small perturbation of P 's skeleton $(0 \in B_\epsilon(0) \subset P \rightarrow 0 \in \phi(B_\epsilon(0)))$
- ▶ P and Q combinatorially equivalent $(\phi : P \rightarrow Q \text{ is surjective})$

WHEN THE WACHSPRESS MAP CONDITION FAILS ...



USING WACHSPRESS COORDINATES AND IZMESTIEV MATRIX

RECALLING THE STATEMENT

Key theorem (W., 2023)

If α are the Wachspress coordinates of some interior point of P , and edges in $q : G_P \rightarrow \mathbb{R}^e$ are not longer than in P , then

$$\|q\|_\alpha \leq \|P\|_\alpha.$$

“The skeleton of P has the maximal α -expansion among all embeddings of G_P whose edges are not longer than in P .”

$$\begin{aligned} \max \quad & \|q\|_\alpha \\ \text{s.t.} \quad & \|q_i - q_j\| \leq \|p_i - p_j\|, \quad \text{for all } ij \in E \\ & q_1, \dots, q_n \in \mathbb{R}^n \end{aligned}$$

PROOF VIA SEMIDEFINITE PROGRAMMING

$$\begin{aligned} \max \quad & \|q\|_\alpha \\ \text{s.t.} \quad & \|q_i - q_j\| \leq \|p_i - p_j\|, \quad \text{for all } ij \in E \\ & q_1, \dots, q_n \in \mathbb{R}^n \end{aligned}$$

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\Downarrow by translation invariance

$$\begin{aligned} \max \quad & \sum_i \alpha_i \|q_i\|^2 \\ \text{s.t.} \quad & \sum_i \alpha_i q_i = 0 \\ & \|q_i - q_j\| \leq \|p_i - p_j\|, \quad \text{for all } ij \in E \\ & q_1, \dots, q_n \in \mathbb{R}^n \end{aligned}$$

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\Downarrow dual program

$$\begin{aligned} \min \quad & \sum_{ij \in E} w_{ij} \|p_i - p_j\|^2 \\ \text{s.t.} \quad & L_w - \text{diag}(\alpha) + \mu \alpha \alpha^\top \succeq 0 \\ & w \geq 0, \mu \text{ free} \end{aligned}$$

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IZMESTIEV'S THEOREM

Theorem. (IZMESTIEV, 2007)

The Izmistiev matrix satisfies

- (i) $M_{ij} > 0$ whenever $ij \in E$,
- (ii) $M_{ij} = 0$ whenever $i \neq j$ and $ij \notin E$,
- (iii) $\dim \ker(M) = d$,
- (iv) $MX_P = 0$, where $X_P^\top = (p_1, \dots, p_n) \in \mathbb{R}^{d \times n}$,
- (v) M has a single positive eigenvalue of multiplicity 1.

$$\begin{aligned}
 \sum_{ij \in E} M_{ij} \|p_i - p_j\|^2 &= \frac{1}{2} \sum_{i,j} M_{ij} \|p_i - p_j\|^2 \\
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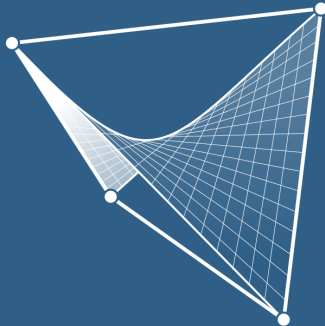
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Tack !



"Rigidity, Tensegrity and Reconstruction of Polytopes under Metric Constraints"
(arXiv:2302.14194, accepted at IMRN)