

# $\alpha$ -Farey and $\alpha$ -Lüroth maps - new types of phase transitions

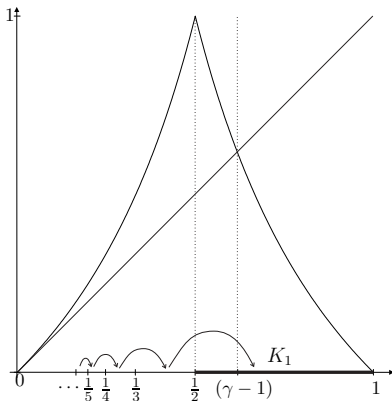
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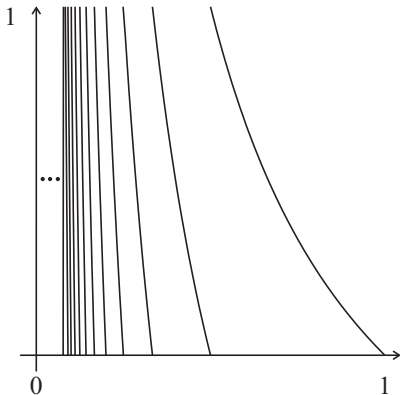
- The *Farey map*  $F : [0, 1] \rightarrow [0, 1]$  is given by

$$F(x) := \begin{cases} \frac{x}{1-x}, & x \in [0, \frac{1}{2}], \\ \frac{1}{x} - 1, & x \in (\frac{1}{2}, 1]. \end{cases}$$



- The *Gauss map*  $G : [0, 1] \setminus \mathbb{Q} \rightarrow [0, 1] \setminus \mathbb{Q}$  is given by

$$G(x) := \frac{1}{x} - \left[ \frac{1}{x} \right].$$



- $G$  is invariant with respect to the (finite) **Gauss measure**  
 $d\mu(x) := ((1+x)\log 2)^{-1} d\lambda(x)$ .
- $F$  is invariant with respect to the (infinite) measure  
 $dm(x) := 1/x \cdot d\lambda(x)$ .
- Fix  $A_1 := (1/2, 1]$ . For  $x \in [0, 1] \setminus \mathbb{Q}$  define the **jump time**

$$\varphi_{A_1}(x) := \inf \{n \in \mathbb{N}_0 : F^n(x) \in A_1\}$$

and let the **jump transformation** of the Farey map  $F$  with respect to  $A_1$  for  $x \in [0, 1] \setminus \mathbb{Q}$  be given by

$$F_{A_1}(x) := F^{\varphi_{A_1}(x)+1}(x)$$

Fact

$$G = F_{A_1}.$$

# Continued Fractions: Sum-level Result

- $n$ -th Sum-Level-Set:

$$\mathcal{C}_n := \left\{ x \in [a_1, \dots, a_k] : \sum_{i=1}^k a_i = n, \text{ for some } k \in \mathbb{N} \right\},$$

Theorem (K/Stratmann '10)

$$\lambda(\mathcal{C}_n) \sim \frac{\log 2}{\log n} \text{ and } \sum_{k=1}^n \lambda(\mathcal{C}_k) \sim \frac{n \log 2}{\log n}.$$

Proof.

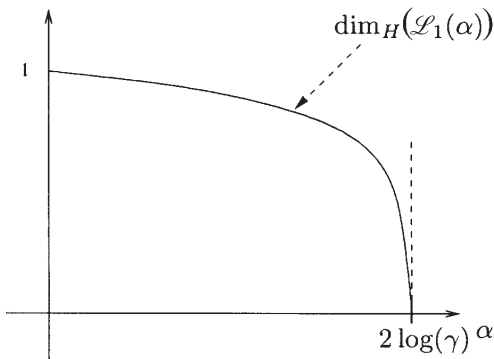
Observe  $F^{-n+1}([1/2, 1]) = \mathcal{C}_n$  and use **Infinite Ergodic Theory** for the transfer operator  $\widehat{F}$  of  $F$  on  $([0, 1], \mathcal{B}, x^{-1}d\lambda(x))$ .  $\square$

- $S : [0, 1] \rightarrow [0, 1]$  diff'able,  $x \in [0, 1]$ ,

$$\Lambda(S, x) := \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=0}^{n-1} \log |S'(S^k(x))|.$$

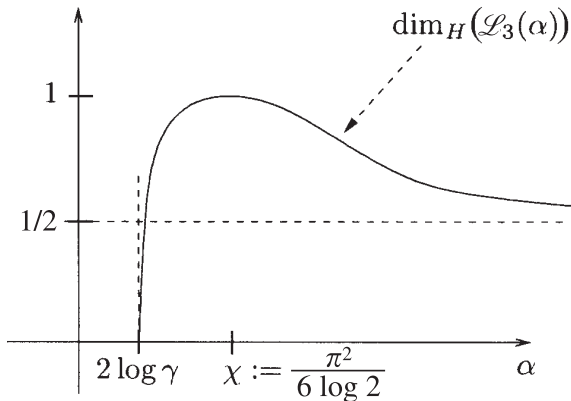
- Lyapunov spectra (K./Stratmann '07)

$$\mathcal{L}_1(\alpha) := \{x \in [0, 1] : \Lambda(F, x) = \alpha\}.$$

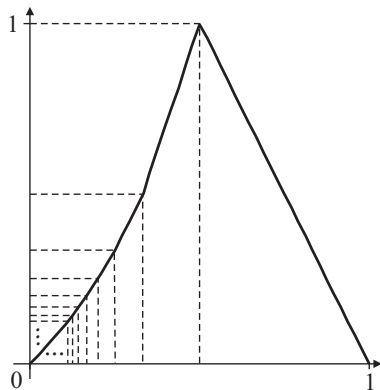
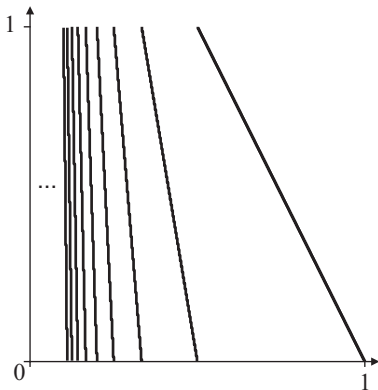


- Lyapunov spectrum for the Gauss map (Pollicott/Weiss '99, K./Stratmann '07, Fan/Liao/Wang/Wu '09)

$$\mathcal{L}_3(\alpha) := \{x \in [0, 1] : \Lambda(G, x) = s\}.$$



# Linearised versions: $\alpha$ -Lüroth and $\alpha$ -Farey maps



- $\alpha$ -Lüroth map

- $\alpha$ -Farey map

- J. Lüroth. Über eine eindeutige Entwicklung von Zahlen in eine unendliche Reihe. *Math. Ann.* **21**:411–423, 1883.



- countable partition  $\alpha := \{A_n : n \in \mathbb{N}\}$  of  $[0, 1]$  consisting of left open, right closed intervals; ordered from right to left, starting with  $A_1$ .
- $a_n := \lambda(A_n)$ ;  $t_n := \sum_{k=n}^{\infty} a_k$ .

- $\alpha$ -Lüroth map  $L_\alpha(x) := \begin{cases} (t_n - x)/a_n & \text{for } x \in A_n, n \in \mathbb{N}, \\ 0 & \text{for } x = 0. \end{cases}$

- $\alpha$ -Farey map

$$F_\alpha(x) := \begin{cases} (1 - x)/a_1 & \text{for } x \in A_1, \\ a_{n-1}(x - t_{n+1})/a_1 + t_n & \text{for } x \in A_n, n \geq 2, \\ 0 & \text{for } x = 0. \end{cases}$$

- $\lambda$  is invariant with respect to  $L_\alpha$ .
- $L_\alpha$  is the jump transformation of  $F_\alpha$  with respect to  $A_1$ .
- $\alpha$  is said to be of **finite type** if  $\sum_{n=1}^{\infty} t_n < \infty$
- $\alpha$  is said to be of **infinite type** if  $\sum_{n=1}^{\infty} t_n = \infty$
- $\alpha$  is called **expansive of exponent**  $\theta \geq 0$  if  $t_n = \psi(n)n^{-\theta}$ , for all  $n \in \mathbb{N}$  and some slowly varying function  $\psi$ . Then:

$$\lim_{n \rightarrow \infty} \frac{t_n}{t_{n+1}} = 1 \text{ and } F'_\alpha(0+) = 1$$

- $\alpha$  is said to be **expanding** if  $\lim_{n \rightarrow \infty} t_n/t_{n+1} = \rho > 1$ . Then:

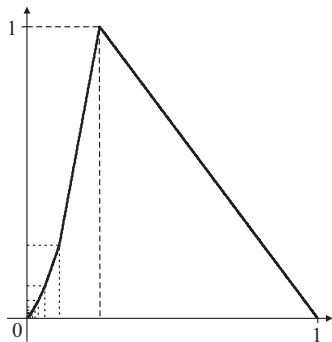
$$F'_\alpha(0+) = \rho.$$

- $\exists v_\alpha \ll \lambda$  **invariant** with respect to  $F_\alpha$  and density  $\sum_{n=1}^{\infty} t_n/a_n \cdot \mathbf{1}_{A_n}$ .
- $v_\alpha([0, 1]) = +\infty \iff \alpha$  of infinite type.
- $F_\alpha$  and the **tend map** are topologically conjugate with conjugating homeomorphism given by (**the  $\alpha$ -Minkowski-? function**)

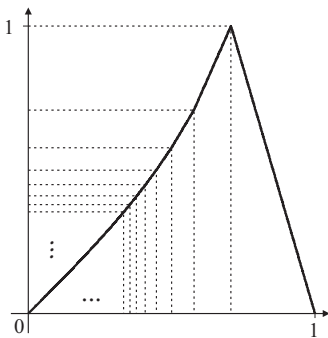
$$\theta_\alpha(x) := -2 \sum (-1)^k 2^{-\sum_{i=1}^k \ell_i}$$

for  $x = [\ell_1, \ell_2, \dots]_\alpha = \sum_{n=1}^{\infty} (-1)^{n-1} (\prod_{i < n} a_{\ell_i}) t_{\ell_n}$  ( **$\alpha$ -Lüroth Expansion**).

# Examples for different expansive $\alpha$



- $t_n = 1/n^2$  – finite type.



- $t_n = 1/\sqrt{n}$  – infinite type.

- $\alpha$ -sum-level sets

$$\mathcal{L}_n^{(\alpha)} := \left\{ x \in C_\alpha(\ell_1, \ell_2, \dots, \ell_k) : \sum_{i=1}^k \ell_i = n, \text{ for some } k \in \mathbb{N} \right\},$$

where

$$C_\alpha(\ell_1, \dots, \ell_k) := \{x \in [0, 1] : L_\alpha^{i-1}(x) \in A_{\ell_i}, \forall i = 1, \dots, k\}.$$

- Important fact:  $\mathcal{L}_n^{(\alpha)} = F_\alpha^{-(n-1)}(A_1)$ , for all  $n \in \mathbb{N}$ .

## Theorem (K./Munday/Stratmann '11)

- ① We have that  $\sum_{n=1}^{\infty} \lambda(\mathcal{L}_n^{(\alpha)})$  diverges, and that

$$\lim_{n \rightarrow \infty} \lambda(\mathcal{L}_n^{(\alpha)}) = \begin{cases} 0, & \text{if } \alpha \text{ is of infinite type;} \\ (\sum_{k=1}^{\infty} t_k)^{-1}, & \text{if } \alpha \text{ is of finite type.} \end{cases}$$

- ② Let  $\alpha$  be either expansive of exponent  $\theta \in [0, 1]$  ( $K_\alpha := \frac{1}{\Gamma(2-\theta)\Gamma(1+\theta)}, k_\alpha := \frac{1}{\Gamma(2-\theta)\Gamma(\theta)}$ ), or of finite type  $K_\alpha := k_\alpha := 1$ .

- (a) **Weak renewal law.**  $\sum_{k=1}^n \lambda(\mathcal{L}_k^{(\alpha)}) \sim K_\alpha \cdot n \cdot \left( \sum_{k=1}^n t_k \right)^{-1}$ .
- (b) **Strong renewal law.**  $\lambda(\mathcal{L}_n^{(\alpha)}) \sim k_\alpha \cdot \left( \sum_{k=1}^n t_k \right)^{-1}$ .

## Fact (Renewal Equation)

For each  $n \in \mathbb{N}$ , we have that

$$\lambda \left( \mathcal{L}_n^{(\alpha)} \right) = \sum_{m=1}^n a_m \lambda \left( \mathcal{L}_{n-m}^{(\alpha)} \right).$$

Proof.

Proved by induction using linearity. □

Proof of  $\sum_{n=0}^{\infty} \lambda(\mathcal{L}_n^{(\alpha)})$  diverges.

Define  $a(s) := \sum_{n=1}^{\infty} a_n s^n$  and  $\ell(s) := \sum_{m=0}^{\infty} \lambda \left( \mathcal{L}_m^{(\alpha)} \right) s^m$ . Then for  $s \in (0, 1)$  we have that  $\ell(s) - 1 = \ell(s)a(s)$ , and hence,  $\ell(s) = 1/(1 - a(s))$ . Since  $a(1) = 1$  we have  $\lim_{s \nearrow 1} \ell(s) = \infty$  □

## Proof Part (1).

Classical *Renewal Theorem* by Erdős, Pollard and Feller gives

$$\lim_{n \rightarrow \infty} \lambda(\mathcal{L}_n^{(\alpha)}) = \frac{1}{\sum_{m=1}^{\infty} m \cdot a_m} = \frac{1}{\sum_{k=1}^{\infty} t_k}.$$

(P. Erdős, H. Pollard, W. Feller. A property of power series with positive coefficients. *Bull. Amer. Math. Soc.* **55**:201-204, 1949) □

## Proof Part (2).

For the finite case consider part (1). For the expansive case apply a strong renewal theorems obtained in [**K. B. Erickson**. Strong renewal theorems with infinite mean. *Trans. Amer. Math. Soc.* **151**, 1970], [**A. Garsia, J. Lamperti**. A discrete renewal theorem with infinite mean. *Comment. Math. Helv.* **37**, 1963]. □



- $S : [0, 1] \rightarrow [0, 1]$  diff'able,  $x \in [0, 1]$ ,

$$\Lambda(S, x) := \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=0}^{n-1} \log |S'(S^k(x))|.$$

- $\alpha$ -Farey Lyapunov spectrum,  $s \in \mathbb{R}$ ,

$$\sigma_\alpha(s) := \dim_H(\{x \in [0, 1] : \Lambda(F_\alpha, x) = s\}).$$

- $\alpha$ -Farey free energy function  $v : \mathbb{R} \rightarrow \mathbb{R} \cup \{\infty\}$

$$v(u) := \inf \left\{ r \in \mathbb{R} : \sum_{n=1}^{\infty} a_n^u \exp(-rn) \leq 1 \right\}.$$

- We say that  $F_\alpha$  exhibits **no phase transition** if and only if  $v$  is diff'able everywhere.

## Theorem (K./Munday/Stratmann '11)

Let  $\alpha$  either expanding, or expansive and eventually decreasing. For  $s_- := \inf\{-(\log a_n)/n : n \in \mathbb{N}\}$  and  $s_+ := \sup\{-(\log a_n)/n : n \in \mathbb{N}\}$ , we have that  $\sigma_\alpha(s)$  vanishes outside the interval  $[s_-, s_+]$  and for each  $s \in (s_-, s_+)$ , we have

$$\sigma_\alpha(s) = \inf_{u \in \mathbb{R}} (u + s^{-1}v(u)).$$

- 1  $\alpha$  **expanding**:  $F_\alpha$  exhibits no phase transition. In particular,  $v$  is strictly decreasing and bijective.
- 2  $\alpha$  **expansive of exponent  $\theta$  and eventually decreasing**:  $F_\alpha$  exhibits no phase transition  $\iff \alpha$  is of infinite type. In particular,  $v \geq 0$  and  $v|_{[1, \infty)} = 0$ .

- $\alpha$ -Lüroth Lyapunov spectrum,  $s \in \mathbb{R}$

$$\tau_\alpha(s) := \dim_H(\{x \in \mathcal{U} : \Lambda(L_\alpha, x) = s\}).$$

- $\alpha$ -Lüroth pressure function  $p : \mathbb{R} \rightarrow \mathbb{R} \cup \{\infty\}$

$$p : u \mapsto \log \sum_{n=1}^{\infty} a_n^u.$$

- We say that  $L_\alpha$  exhibits **no phase transition** if and only if the pressure function  $p$  is differentiable everywhere (that is, the right and left derivatives of  $p$  coincide everywhere, with the convention that  $p'(u) = \infty$  if  $p(u) = \infty$ ).

## Theorem (K./Munday/Stratmann '11)

For  $t_- := \min\{-\log a_n : n \in \mathbb{N}\}$  we have that  $\tau_\alpha$  vanishes on  $(-\infty, t_-)$ , and for each  $s \in (t_-, \infty)$  we have

$$\tau_\alpha(s) = \inf_{u \in \mathbb{R}} (u + s^{-1} p(u)).$$

Moreover,  $\lim_{s \rightarrow \infty} \tau_\alpha(s) = t_\infty := \inf\{r > 0 : \sum_{k=1}^{\infty} a_n^r < \infty\} \leq 1$ .

- 1  $\alpha$  **expanding**:  $L_\alpha$  exhibits no phase transition and  $t_\infty = 0$ .
- 2  $\alpha$  **expansive of exponent  $\theta > 0$  and eventually decreasing**:  $t_\infty = 1/(1 + \theta)$ .

$$L_\alpha \text{ exhibits no phase trans.} \iff \sum_{n=1}^{\infty} \psi(n)^{1/(1+\theta)} \frac{\log n}{n} = \infty.$$

- 3  $\alpha$  **expansive of exponent  $\theta = 0$  and eventually decreasing**:  $t_\infty = 1$ .

$$L_\alpha \text{ exhibits no phase trans.} \iff \sum_{n=1}^{\infty} a_n \log(a_n) = \infty.$$

## Theorem (Munday '10)

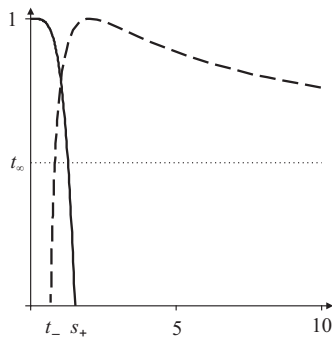
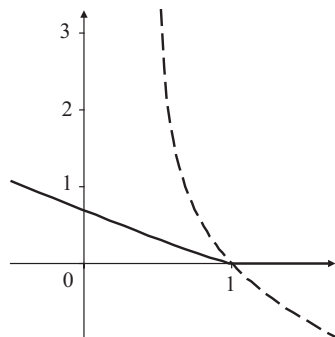
The critical value  $t_\infty$  is also equal to the Hausdorff dimension of the Good-type set  $G_\infty^{(\alpha)}$  associated to  $L_\alpha$ , given by

$$G_\infty^{(\alpha)} := \{[\ell_1, \ell_2, \dots]_\alpha : \lim_{n \rightarrow \infty} \ell_n = \infty\}.$$

- If  $L_\alpha$  exhibits a phase transition, that is  $\sum a_n^{t_\infty} < +\infty$  with finite right derivative  $t_0$  in  $t_\infty$ , then for  $t \in [t_0, +\infty)$ ,

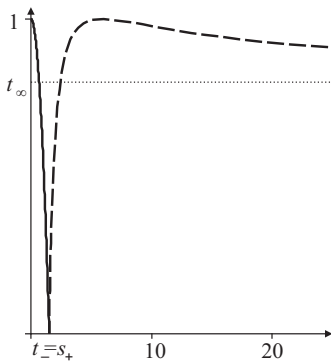
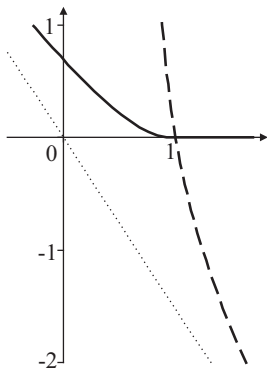
$$\tau_\alpha(t) = \frac{\log \sum_{n=1}^{\infty} a_n^{t_\infty}}{t} + t_\infty.$$

# Expansive Example : The classical alternating Lüroth system

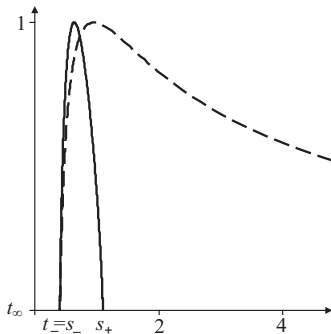
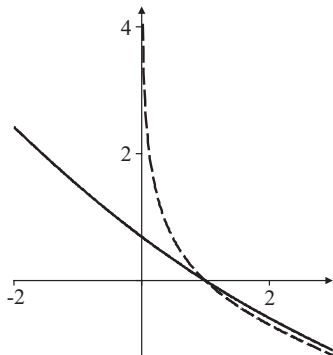


- For  $\alpha_H := \{(1/(n+1), 1/n], n \in \mathbb{N}\}$  The figure shows the  $\alpha_H$ -Farey free energy  $v$  (solid line), the  $\alpha_H$ -Lüroth pressure function  $p$  (dashed line), and the associated dimension graphs  $\sigma_{\alpha_H}$  and  $\tau_{\alpha_H}$ . Here,  $t_- = \log 2$ ,  $t_\infty = 1/2$  and  $s_+ = (\log 6)/2$ . **We have  $p(t_\infty) = \infty$ , no phase transition for the  $\alpha_H$ -Farey free energy function and the  $\alpha_H$ -Lüroth pressure function.**

# Expansive Example: $a_n := \zeta(5/4)^{-1} n^{-5/4}$



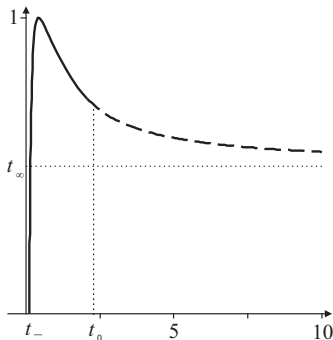
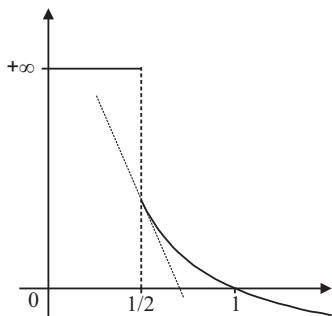
- **The Farey spectrum and the Lüroth spectrum intersect in a single point, for  $\alpha$  expansive.** The  $\alpha$ -Farey free energy  $v$  (solid line), the  $\alpha$ -Lüroth pressure function  $p$  (dashed line), and the associated dimension graphs for  $a_n := \zeta(5/4)^{-1} n^{-5/4}$ . Here,  $F_\alpha$  exhibits no phase transition.



- **The Farey spectrum is completely contained in the Lüroth spectrum, for  $\alpha$  expanding.** The  $\alpha$ -Farey free energy  $v$  (solid line), the  $\alpha$ -Lüroth pressure function  $p$  (dashed line), and the associated dimension graphs. The  $\alpha$ -Farey system is given in this situation by the tent map with slopes 3 and  $-3/2$ .

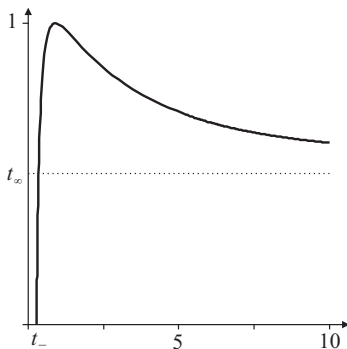
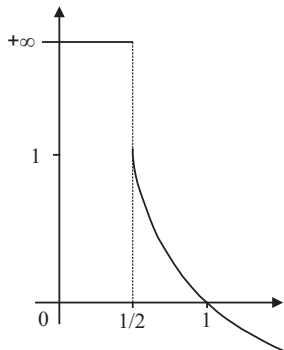


# Example for Lüroth Phase Transition $a_n := \frac{C}{n^2 \cdot (\log(n+5))^{12}}$



- **Finite critical value  $p(t_\infty) < \infty$  with phase transition for the  $\alpha$ -Lüroth pressure function and  $\alpha$  expansive.** The  $\alpha$ -Lüroth pressure function  $p$ , and the associated dimension graphs. In this case  $t_\infty = 1/2$  and  $p(1/2) < \infty$  and  $L_\alpha$  has a phase transition.

Examples: No Lüroth Phase Transition  $a_n := \frac{C}{n^2 \cdot (\log(n+5))^4}$



- **Finite critical value  $p(t_\infty) < \infty$  and no phase transition for the  $\alpha$ -Lüroth pressure function and  $\alpha$  expansive.** The  $\alpha$ -Lüroth pressure function  $p$ , and the associated dimension graphs for the  $\alpha$ -Lüroth system. In this case  $t_\infty = 1/2$  and  $p(1/2) < \infty$ , but  $L_\alpha$  exhibits no phase transition.

## Lemma

Let  $\alpha$  be a partition such that  $\lim_{n \rightarrow \infty} t_n/t_{n+1} = \rho \geq 1$  and such that  $\alpha$  is either expanding, or expansive of exponent  $\theta$  and eventually decreasing. Then:

- ①  $\lim_{n \rightarrow \infty} \frac{\log a_n}{n} = \lim_{n \rightarrow \infty} \frac{\log t_n}{n} = -\log \rho$ .  $\alpha$  expansive with  $\theta > 0$ , then  $a_n \sim \theta n^{-1} t_n$ .
- ② If  $\alpha$  expansive with  $\theta = 0$ , then we have  $t_\infty = 1$ .
- ③ If  $\alpha$  is expanding, or expansive with  $\theta > 0$ , then  $\lim_{n \rightarrow \infty} \frac{a_n}{a_{n+1}} = \rho$ .
- ④ There exists a sequence  $(\varepsilon_k)_{k \in \mathbb{N}}$ , with  $\lim_{k \rightarrow \infty} \varepsilon_k = 0$ , such that for all  $n \in \mathbb{N}$  and  $x \in \bigcup_{k \geq n} A_k$  we have that

$$\left| \frac{1}{n} \sum_{k=0}^{n-1} \log \left| F'_\alpha(F_\alpha^k(x)) \right| - \log \rho \right| < \varepsilon_n.$$

## Theorem (K./Jaerisch)

Consider the two potential functions  $\varphi, \psi : \mathcal{X} \rightarrow \mathbb{R}$  given for  $x \in A_n$ ,  $n \in \mathbb{N}$ , by  $\varphi(x) := \log a_n$  and  $\psi(x) := z_n$ , for some fixed sequence  $(z_n)_{n \in \mathbb{N}}$  of negative real numbers. For all  $s \in \mathbb{R}$  we then have that

$$\dim_H \left\{ x \in \mathcal{X} : \lim_{n \rightarrow \infty} \frac{\sum_{k=0}^{n-1} \psi(L_\alpha^k(x))}{\sum_{k=0}^{n-1} \varphi(L_\alpha^k(x))} = s \right\} \leq \max\{0, -t^*(-s)\}.$$

The function  $t : \mathbb{R} \rightarrow \mathbb{R} \cup \{\infty\}$  is given by

$$t(v) := \inf \left\{ u \in \mathbb{R} : \sum_{n=1}^{\infty} a_n^u \exp(vz_n) \leq 1 \right\}$$

and  $t^*$  is the Legendre transform of  $t$ .

## Theorem (K./Jaerisch)

*With*

$$r_- := \inf \{ -t^+(v) : v \in \text{Int}(\text{dom}(t)) \},$$

$$r_+ := \sup \{ -t^+(v) : v \in \text{Int}(\text{dom}(t)) \},$$

*we have for each  $s \in (r_-, r_+)$ ,*

$$\dim_H \left\{ x \in \mathcal{U} : \lim_{n \rightarrow \infty} \frac{\sum_{k=0}^{n-1} \psi(L_\alpha^k(x))}{\sum_{k=0}^{n-1} \varphi(L_\alpha^k(x))} = s \right\} = -t^*(-s).$$

*where  $t^+$  denotes the right derivative of  $t$ ,  $\text{Int}(A)$  denotes the interior of the set  $A$ , and  $\text{dom}(t) := \{v \in \mathbb{R} : t(v) < +\infty\}$ .*

- Set  $z_n := -n$ , then  $v : u \mapsto \inf \{r \in \mathbb{R} : \sum_{n=1}^{\infty} a_n^u \exp(-rn) \leq 1\}$  is the inverse of  $t$ .
- $s_- = 1/r_+$  and  $s_+ = 1/r_-$ .
- For  $s \in (s_-, s_+)$ , it follows that

$$\begin{aligned} \sigma_\alpha(s) &= -t^*(-1/s) = \inf_{v \in \mathbb{R}} (t(v) + s^{-1}v) \\ &= \inf_{u \in \mathbb{R}} \left( u + s^{-1} \log \sum_{n=1}^{\infty} a_n^u \right) \end{aligned}$$

and  $\sigma(s)$  vanishes outside of  $(s_-, s_+)$ .

# Phase Transition for the $\alpha$ -Farey Free Energy

- Consider  $Z(u, v) := \sum_{n=1}^{\infty} \exp\left(n\left(\frac{u \log a_n}{n} - v\right)\right)$ .
- $\alpha$  expanding  $\implies \forall u_0 \in \mathbb{R} \{Z(u_0, v) : v \in \mathbb{R}\} = (0, \infty) \implies \exists f(u_0)$  is unique solution of  $Z(u_0, f(u_0)) = 1$ . By the implicit function theorem there is no phase transition.
- $\alpha$  expansive
  - For  $u < 1$  argue as above
  - For  $u \geq 1$  we have  $\sum_{n=1}^{\infty} a_n^u e^{-wn} \begin{cases} < 1 & \text{for } w \geq 0 \\ = \infty & \text{for } w < 0 \end{cases} \implies v(u) = 0$
- Consider  $f'(u) = \frac{\sum_{n=1}^{\infty} a_n^u e^{-f(u)n} \log a_n}{\sum_{n=1}^{\infty} n a_n^u e^{-f(u)n}}$  for  $u \nearrow 1$ .
  - Infinite type: Denominator tends to  $\infty$ .
  - $\lim_{u \nearrow 1} f'(u) = \lim_{u \nearrow 1} \sum_{n=1}^{\infty} \frac{\log a_n}{n} \frac{n a_n e^{-f(u)n}}{\sum_{k=1}^{\infty} k a_k e^{-f(u)k}} = 0$ .

## Lemma

Let  $\alpha$  be a partition which is either expanding, or expansive of exponent  $\theta$  and eventually decreasing. With

$$\Pi(L_\alpha, x) := \lim_{n \rightarrow \infty} \left( \sum_{k=0}^{n-1} \log \left| L'_\alpha(L_\alpha^k(x)) \right| \right) / \left( \sum_{k=0}^{n-1} N(L_\alpha^k(x)) \right),$$

we then have for each  $s \geq 0$  that the sets

$$\{x \in \mathcal{U} : \Pi(L_\alpha, x) = s\} \text{ and } \{x \in \mathcal{U} : \Lambda(F_\alpha, x) = s\}$$

coincide up to a countable set of points.



- Set  $z_n := -1$ ,  $p : u \mapsto \log \sum_{n=1}^{\infty} a_n^u$  is the inverse of  $t$ .
- $t_- := 1/r_+ = \inf\{-\log a_n : n \in \mathbb{N}\}$  and  $t_+ := +\infty$
- For  $s \in (t_-, +\infty)$ , it follows that

$$\begin{aligned} \tau_\alpha(s) &= -t^*(-1/s) = \inf_{v \in \mathbb{R}} (t(v) + s^{-1}v) \\ &= \inf_{u \in \mathbb{R}} \left( u + s^{-1} \log \sum_{n=1}^{\infty} a_n^u \right) \end{aligned}$$

and  $\tau_\alpha(s)$  vanishes for  $s < t_-$ .

- For the right derivative of the pressure function  $p$  of  $L_\alpha$ , we have that





$$p'(u) = \frac{\sum_{n=1}^{\infty} a_n^u \log a_n}{\sum_{n=1}^{\infty} a_n^u}.$$





- Clearly,  $p$  is real-analytic on  $(t_\infty, \infty)$ .
- Hence, we have that  $L_\alpha$  exhibits no phase transition if and only if  $\lim_{u \searrow t_\infty} -p'(u) = +\infty$ .
- If  $\alpha$  is expanding, then there is no phase transition. This follows, since, by the technical Lemma, we have that  $p(u) < \infty$ , for all  $u > 0$ . In particular,  $t_\infty = 0$ . If  $\alpha$  is expansive with  $\theta = 0$ , we have by the Technical Lemma  $t_\infty = 1$ . Hence,  $\lim_{u \searrow t_\infty} p'(u) = \infty$  if and only if  $-\sum_{n=1}^{\infty} a_n \log(a_n) = \infty$ .

- If  $\alpha$  is expansive such that  $t_n = \psi(n)n^{-\theta}$ , then the Technical Lemma implies that there exists  $\psi_0$  such that  $\psi_0(n) \sim \theta\psi(n)$  and  $a_n = \psi_0(n)n^{-(1+\theta)}$ . Consequently, we have that  $t_\infty = 1/(1+\theta)$ . Hence, we now observe that

$$\lim_{u \searrow t_\infty} -p'(u) = t_\infty^{-1} \lim_{u \searrow t_\infty} \frac{\sum_{n=1}^{\infty} (n^{-1-\theta} \psi_0(n))^u \log \left( n(\psi_0(n))^{-\frac{1}{1+\theta}} \right)}{\sum_{n=1}^{\infty} (n^{-1-\theta} \psi_0(n))^u}.$$

- $\sum_{n=1}^{\infty} \psi(n)^{1/(1+\theta)} (\log n)/n < \infty \implies$  numerator and denominator both converge  $\implies \lim_{u \searrow t_\infty} -p'(u) < \infty \implies$  phase transition.
- $\sum_{n=1}^{\infty} \psi(n)^{1/(1+\theta)} (\log n)/n = \infty:$ 
  - $\sum_{n=1}^{\infty} n^{-1} \psi_0(n)^{1/(1+\theta)} < \infty \implies \lim_{u \searrow t_\infty} -p'(u) = \infty.$
  - $\sum_{n=1}^{\infty} n^{-1} \psi_0(n)^{1/(1+\theta)} = \infty \implies$   
 $\forall k \in \mathbb{N} : \lim_{u \searrow t_\infty} (k^{-(1+\theta)} \psi_0(k))^u / \sum_{n=1}^{\infty} (n^{-(1+\theta)} \psi_0(n))^u = 0$   
 $\implies \lim_{u \searrow t_\infty} -p'(u) = \infty.$
- $\implies$  no phase transition.

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