

# Abelian varieties over function fields and independence of $\ell$ -adic representations

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# Plan

- 1 **Definitions and Notation**
- 2 Results
- 3 Proof sketch of the corollary
- 4 Monodromies for abelian varieties
- 5 An application to arithmetic
- 6 Proofs

# Definitions and Notation

$\ell$  - an odd prime,  $\mathcal{L} = \{\ell : \text{odd primes}\}$

$K$  - a field (later on; finitely generated field)

$G_K = \text{Gal}(\bar{K}/K)$  - absolute Galois group

## Assume

for every  $\ell \in \mathcal{L}$  there is a representation (= cont. homomorphism)

$\eta_\ell : G_K \rightarrow \text{Gl}_n(\mathbf{Z}_\ell)$ , and  $n$  is independent of  $\ell$

Denote by:  $\eta : G_K \rightarrow \prod_{\ell \in \mathcal{L}} \text{Gl}_n(\mathbf{Z}_\ell)$  the map induced by  $\eta_\ell$ 's.

## Definition

- family  $(\eta_\ell)_{\ell \in \mathcal{L}}$  is independent (over  $K$ ) if  $\eta(G_K) = \prod_{\ell \in \mathcal{L}} \eta_\ell(G_K)$
- family  $(\eta_\ell)_{\ell \in \mathcal{L}}$  is almost independent if  $\eta(H) = \prod_{\ell \in \mathcal{L}} \eta_\ell(H)$  for an open subgroup  $H \subset G_K$ .

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**Define:**  $K_l = K(\eta_l) := \bar{K}^{\ker(\eta_l)}$ ,

**then** the family  $(\eta_l)_{l \in \mathcal{L}}$  is independent **iff** the family of fields  $(K(\eta_l))_{l \in \mathcal{L}}$  is  $K$ -linearly disjoint.

## EXAMPLES

Let  $K$  be a finitely gen. field over  $\mathbf{Q}$ , i.e., finite ext. of  $\mathbf{Q}(t_1, t_2, \dots, t_s)$ .

(1) Let

$$\epsilon_l : G_K \longrightarrow \mathbf{Z}_l^\times$$

be the cyclotomic character. Classically known that  $(\epsilon_l)_{l \in \mathcal{L}}$  is independent. Here  $K(\epsilon_l) = K(\mu_{l^\infty})$  is the cyclotomic extension.

(2) Let  $A/K$  be an abelian variety of dim.  $g$  and let

$$\rho_{l,A} : G_K \longrightarrow \mathrm{Gl}_{2g}(\mathbf{Z}_l) = \mathrm{Aut}(T_l(A))$$

be the Tate module representation. Here  $K(\rho_{l,A}) = K(A[l^\infty])$  is the field of  $l$ -division points.

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**Igusa (1959)**

If  $\text{trdeg } K > 0$  and  $g = 1$ , then  $(\rho_{\ell,A})_{\ell \in \mathcal{L}}$  is almost independent.

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If  $\text{trdeg } K = 0$  and  $\dim A = 1$ , then  $(\rho_{\ell,A})_{\ell \in \mathcal{L}}$  is almost independent.

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Same true (as for elliptic curves) for  $\dim A > 1$ .

**Question of Serre (1991)**

Is the family  $(\rho_{\ell,A})_{\ell \in \mathcal{L}}$  almost independent, for  $K$  finitely gen. over the rationals ?

**Gajda and Petersen (2011) YES**

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$K$  – a fin. gen. field over  $\mathbf{Q}$

$X/K$  – a separated scheme of finite type over  $K$

$\eta_{\ell, X}^{(q)} : G_K \longrightarrow \mathrm{Gl}_b(\mathbf{Q}_\ell) = \mathrm{Aut}(H_{\mathrm{et}}^q(X_{\bar{K}}, \mathbf{Q}_\ell))$  the associated Galois representation, where  $b$  is the  $q$ th Betti number.

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Is this still true if  $\mathrm{trdeg} K > 0$  ?

Relation with the Tate module - as Galois modules:

$$T_\ell(A) \otimes \mathbf{Q}_\ell = H_{\mathrm{et}}^1(\check{X}, \mathbf{Q}_\ell(1)).$$



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## Theorem A (G and Sebastian Petersen, to appear in Compositio)

If  $K$  is a finitely gen. field of char. zero and  $X$  is a separated scheme of finite type over  $K$ , then the family  $(\eta_{\ell, X}^{(q)})_{\ell \in \mathcal{L}}$  is **almost independent**.

Important ingredients of the proof (more details below):

- Theorem B, below - an extension of Serre's criterion for linear independence of the family  $(\eta_{\ell})_{\ell \in \mathcal{L}}$ . We use the classical paper by Katz and Lang on the  $\pi_1^{et}$ , for  $X$  smooth and proper
- for non smooth  $X$  we use alterations of de Jong - as in Katz and Laumon paper (1996).
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It follows (from Theorem A):

**Corollary** (G and S.Petersen)

*If  $A/K$  is an abelian variety over a fin. gen. field of zero char, then there exists a finite extension  $E/K$  such that the family of division fields  $(E(A[l^\infty]))_{l \in \mathcal{L}}$  is  $E$ -linearly disjoint.*

**Remark** (work in progress in positive char) a similar theorem holds over char.  $p > 0$ :

**Theorem** (G.Böckle, G.W., S.Petersen, 2012)

*For  $K$  a fin. gen. field of char.  $p > 0$ , then the family  $(\eta_{l,X}^{(q)})_{l \in \mathcal{L}}$  is almost independent over the field  $\bar{\mathbb{F}}_p K$ .*

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For  $E$ , any field extension of  $\mathbf{Q}$  we define **the constant field** of  $E$ :

$$\kappa_E := \{x \in E : x \text{ is algebraic over } \mathbf{Q}\}$$

For an algebraic extension  $E/K$  we have:

$$\begin{array}{ccccc}
 & & E & \xrightarrow{\quad} & \bar{\mathbf{Q}}E \\
 & & \downarrow & & \downarrow \\
 & & \kappa_E K & \xrightarrow{\quad} & \bar{\mathbf{Q}}K \\
 \kappa_E \xrightarrow{\quad} & & \downarrow & & \downarrow \\
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 \end{array}$$

## Denote

$K$  - the function field of an affine, normal  $\mathbf{Q}$ -variety  $S$

$S^{(E)}$  - the normalization of  $S$  in  $E$

## Call

- $E/K$  constant if  $\kappa_E K = E$
- $E/K$  geometric if  $\kappa_E = \kappa_K$
- $E/K$  unramified along  $S$  if the map  $S^{(E')} \rightarrow S$  is etale for every finite subextension  $E'/K$
- $K_{S, nr}$  - the maximal unramified along  $S$  ext. of  $K$
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Note that  $\pi_1^{et}(S) = Gal(K_{S, nr}/K)$

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**Facts** (EGA V)

For an abelian variety  $A/K$

- (replacing  $S$  by an affine open, if necessary)  $A$  extends to an abelian scheme  $\mathcal{A} \rightarrow S$  (i.e.,  $\mathcal{A}_\eta = A$ )
- $\mathcal{A}[n] \rightarrow S$  is a finite etale group scheme (since residue chars of  $S$  are 0)

Hence each

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Let  $S$  be a normal  $\mathbf{Q}$ -variety and let  $K = \mathbf{Q}(S)$ .

Consider a family of continuous representations

$$\eta_\ell : \pi_1^{\text{ét}}(S) \longrightarrow \text{Gl}_n(\mathbf{Z}_\ell),$$

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(\*) **Assume**

there is a point  $\hat{P} \in S_{nr}$  such that  $(\eta_\ell|_D)_{\ell \in \mathcal{L}}$  is almost independent for an open subgroup  $D \subset D(\hat{P})$ .

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- Theorem B **implies** Theorem A by the base change theorems in cohomology due to Katz and Laumon (1996) and by Serre and Illusie result (2010).
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# Plan

- 1 Definitions and Notation
- 2 Results
- 3 Proof sketch of the corollary
- 4 Monodromies for abelian varieties**
- 5 An application to arithmetic
- 6 Proofs



## Problem

Compute images of  $\rho_{\bar{\ell},A}$  and  $\rho_{\ell,A}$  in terms of linear algebraic groups

### Serre (1972)

If  $A/F$  is a non-CM elliptic curve (where  $F$  is a  $\neq$  field), then  $\text{Im } \rho_{\bar{\ell},A} = \text{GL}_2(\mathbb{F}_\ell)$  for almost all  $\ell$ .

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Less classical result:

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Let  $A/F$  be a principally polarized Abelian variety and  $\text{End } A = \mathbb{Z}$ . Assume that there is a prime of  $\mathcal{O}_F$  at which  $A$  has semistable reduction of toric dimension one. Then  $(\text{Im } \rho_{\ell, A}^-)' = Sp_{2g}(\mathbb{F}_\ell)$  for almost all  $\ell$ .

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## Definition

$A/K$  is of **Hall type** if:

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- (2) there is a discrete valuation  $v$  at  $K$  such that  $A$  has semistable reduction of toric dimension one at  $v$

## Recall:

Condition (2) means that there is an exact sequence of group schemes:

$$1 \longrightarrow T \longrightarrow \mathcal{N}_v^o \longrightarrow B \longrightarrow 0$$

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$f \in \mathbf{Z}[x]$  - a monic, square-free polynomial,  $\deg f = n \geq 5$

$C_f$  - smooth, projective curve; affine part  $y^2 = f(x)$

$A = \text{Jac}(C_f)$

Properties

- (a) Zarhin proved in 2007: If  $\text{Gal}(\text{Spl}(f)/\mathbf{Q}) = S_n$ , then  $\text{End } A = \mathbf{Z}$ .
- (b)  $A$  has semistable reduction of toric dim. one at a prime  $p$  :  
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# Results

## Theorem C (Arias-de-Reyna, G., to appear in JPAA)

Every abelian variety  $A/K$  of Hall type defined over a finitely generated field (of arbitrary characteristic) has **big monodromy**, i.e., the image  $Im \rho_{\bar{\ell}, A}$  contains  $Sp_{2g}(\mathbb{F}_{\ell})$ , for  $\ell \gg 0$ .

- Hall proved Theorem C for number fields in 2009.

**Proof** of Theorem C uses:

- $A[l]$  is a simple  $\mathbb{F}_l[Im \bar{\rho}_l]$ -module
- $Im \bar{\rho}_l$  contains a transvection, i.e., an unipotent endomorphism of  $\mathbb{F}_l^{2g}$  with  $\dim Eig(u, 1) = 2g - 1$
- a group theory result of Hall (**replacing** Lie algebras)
- induction over the transcendence deg. of  $K$
- a technically tricky specialization argument (if char = 0).

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- induction over the transcendence deg. of  $K$
- a technically tricky specialization argument (if char = 0).



# Results

**Theorem C** (Arias-de-Reyna, G., to appear in JPAA)

Every abelian variety  $A/K$  of Hall type defined over a finitely generated field (of arbitrary characteristic) has **big monodromy**, i.e., the image  $Im \rho_{\ell, A}$  contains  $Sp_{2g}(\mathbb{F}_\ell)$ , for  $\ell \gg 0$ .

- Hall proved Theorem C for number fields in 2009.

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- 1 Definitions and Notation
- 2 Results
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## Notation

$A/K$  an abelian variety over a field  $K$

$G_K^e := G_K \times G_K \times \cdots \times G_K$ , for  $e \geq 1$

$K(\sigma) := \bar{K}^{\langle \sigma \rangle}$ , for  $\sigma \in G_K^e$  the subgroup  $\langle \sigma \rangle \subset G_K$  is generated by coordinates of  $\sigma$ .

## Geyer-Jarden conjecture (1978)

- (a) For almost all  $\sigma \in G_K$ , the group  $A(K(\sigma))_{Tors}$  is infinite  
 - moreover  $A(K(\sigma))[l] \neq 0$  for infinitely many  $l$   
 (**almost** - in the sense of Haar measure on  $G_K$ )
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(a) and (b) **true** for elliptic curves

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(a) holds **true** for  $K$  a number field, over a finite extension  $L/K$ , i.e., there is  $L/K$  s.t.  $A(L(\sigma))_{\text{Tors}}$  is infinite for almost all  $\sigma \in G_L$ .  
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The **GJC** holds **true** for all abelian varieties with **big monodromy**.

In particular Theorem D (+ Theorem C + extension of Serre's theorem to fin. gen. fields) imply:

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The **GJC** is true:

- (1) for  $A/K$  of Hall type, for  $K$  fin. gen. (of arbitrary characteristic)
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Important proof ingredients of Theorem D:

- the classical lemma of Borel and Cantelli of measure theory
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Proof sketch of part (b) of **GJC**, for  $A$  as above:

### Claim

Let  $e \geq 2$  and  $A/K$  is an abelian variety over fin. gen. field with big monodromy. **Then** for almost all  $\sigma \in G_K^e$  there exists **only** finitely many primes  $l$  s.t.

$$A(K_{\text{sep}}(\sigma)[l]) \neq 0.$$

### Lemma of Borel-Cantelli

Let  $\{X_I\}_{I \in \mathcal{I}}$  be a sequence of measurable sets in a measure space  $(X, \mu)$  s.t.  $\mu(X) = 1$ .

**(b)** If  $\sum_{I \in \mathcal{I}} \mu(X_I) < \infty$ , **then** almost every  $x \in X$  (outside of a set of measure zero) belongs to at most finitely many of  $X_I$ 's.

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To prove Claim we take in (b) of Borel-Cantelli Lemma:

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 X_i &= \bigcup_{P \in A[\ell] - \{0\}} \{\sigma \in G_K^e : \sigma_i(P) = P, \text{ for all } 1 \leq i \leq e\} \\
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But:

$$G_l \supset Sp_{2g}(\mathbf{F}_l) \quad \text{for all } l > l_0 \quad (\text{big monodromy})$$

and:

$$s_l := |Sp_{2g}(\mathbf{F}_l)| = l^{g^2} \prod_{i=1}^g (l^{2i} - 1)$$

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*THANK YOU*

# an easy proof

## Proposition (Serre)

If  $A/K$  is a principally polarized Abelian variety over  $K$  of char. zero,  $g = 2, 6$ , or is an odd integer, and  $\text{End } A = \mathbb{Z}$ , then  $A$

$$(\text{Im } \bar{\rho}_l)' = \text{Sp}_{2g}(\mathbb{F}_l)$$

for almost all  $l$ .

- Proposition extends Serre's theorem of 1986 to finitely generated fields of zero characteristic
- A similar result for elliptic curves is true over  $\mathbb{F}_p(t)$  (proven by Igusa in the 50th).
- **Question** Does Igusa's theorem hold true in higher dimensions (say, for  $A$  over  $\mathbb{F}_p(t)$ ) ?

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Proof of **Proposition** (by induction on  $\text{trdeg}(K/\mathbf{Q})$ ):

$\text{trdeg}(K/\mathbf{Q}) = 0$  - the open image theorem of Serre.

Assume that  $\text{trdeg}(K/\mathbf{Q}) = d > 0$

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$A$  - extends to an abelian group scheme  $\mathcal{A} \rightarrow C$ .

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