



$\alpha - z$  relative Renyi entropies

---

**Nilanjana Datta**

*University of Cambridge*

**jointly with: Koenraad Audenaert**

*Royal Holloway & University of Ghent*

**[arXiv:1310.7178](https://arxiv.org/abs/1310.7178)**

## Quantum Relative Entropy

-- a fundamental quantity in Quantum Mechanics & Quantum Information Theory :

The quantum relative entropy of  $\rho$  w.r.t  $\sigma$ ,

$$\rho \geq 0, \text{Tr } \rho = 1; \quad \sigma \geq 0:$$

*(density matrix/state)*

$$D(\rho \parallel \sigma) := \text{Tr} (\rho \log \rho) - \text{Tr} (\rho \log \sigma)$$

$\log \equiv \log_2$

well-defined if  $\text{supp } \rho \subseteq \text{supp } \sigma$

### ■ Classical counterpart:

$$D(p \parallel q) := \sum_{x \in X} p_x \log \frac{p_x}{q_x};$$

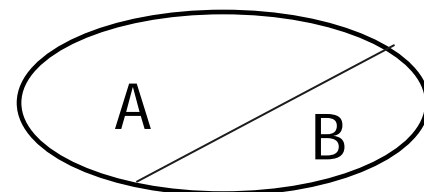
$$p = \{p_x\}_{x \in X}; \quad q = \{q_x\}_{x \in X}$$

- $D(\rho \parallel \sigma)$  acts as a **parent quantity** for *von Neumann entropy*:

$$S(\rho) := -\text{Tr}(\rho \log \rho) = -D(\rho \parallel I) \quad (\sigma = I)$$

- It also acts as a **parent quantity** for other entropies

- *Conditional entropy*

 $\rho_{AB}$ 


$$S(A|B)_\rho := S(\rho_{AB}) - S(\rho_B) = -D(\rho_{AB} \parallel I_A \otimes \rho_B)$$

$$\rho_B = \text{Tr}_A \rho_{AB}$$

- *Mutual information*

$$I(A:B)_\rho := S(\rho_A) + S(\rho_B) - S(\rho_{AB}) = D(\rho_{AB} \parallel \rho_A \otimes \rho_B)$$

## Some Properties of $D(\rho \parallel \sigma)$

“distance”

$$D(\rho \parallel \sigma) \geq 0 \quad \rho, \sigma \text{ states}$$
$$= 0 \text{ if \& only if } \rho = \sigma$$

- **Joint convexity:**

For two mixtures of states  $\rho = \sum_{i=1}^n p_i \rho_i$  &  $\sigma = \sum_{i=1}^n p_i \sigma_i$

$$D(\rho \parallel \sigma) \leq \sum_{i=1}^n p_i D(\rho_i \parallel \sigma_i)$$

- **Invariance** under  
joint unitaries

$$D(U \rho U^* \parallel U \sigma U^*) = D(\rho \parallel \sigma)$$

- **Data-processing inequality**  $\equiv$  Monotonicity under quantum operations

Quantum operation: any allowed physical process on a quantum-mechanical system

Most general description given by

a completely positive trace-preserving (CPTP) map ( $\Lambda$ )

- **Data-processing inequality (DPI)**

$$D(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D(\rho \parallel \sigma)$$

This is a **fundamental property** for any relative entropy

## Significance of the quantum relative entropy in Quantum Information Theory

It acts as a **parent quantity** for **optimal rates** of  
information-processing tasks e.g.

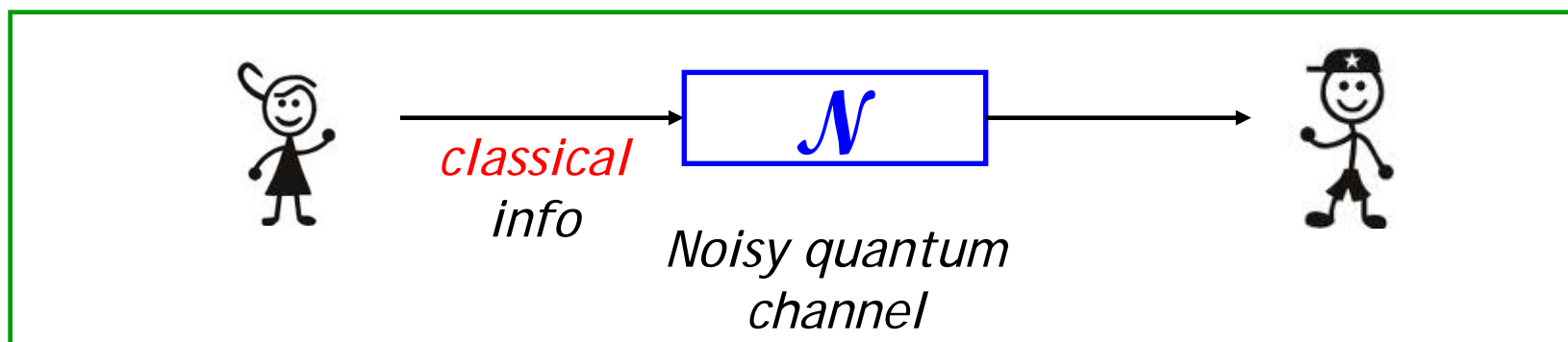
- **data compression**,
- **transmission of information** through a channel etc.

in the *“asymptotic memoryless setting”*

information sources & channels are assumed to be

- **memoryless**
- available for **infinite number of uses** (**asymptotic limit**)  
( $n \rightarrow \infty$ )

- E.g. Transmission of classical information



*Optimal rate (of classical information transmission):*

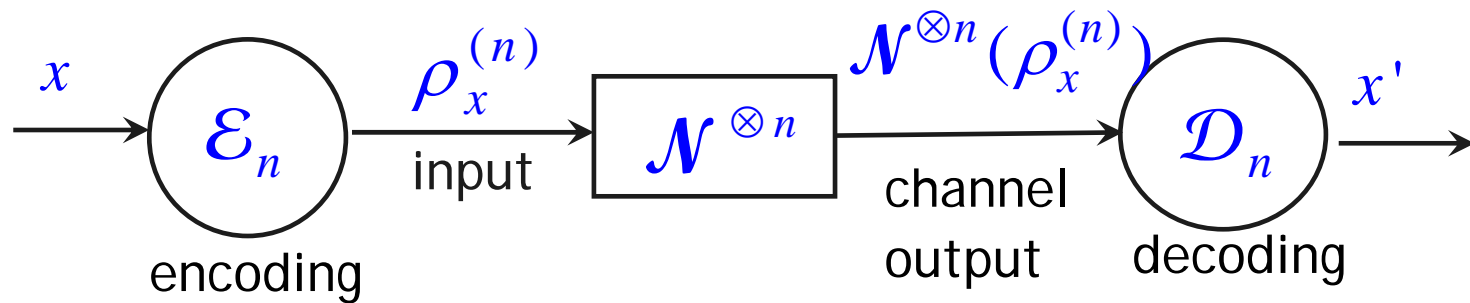
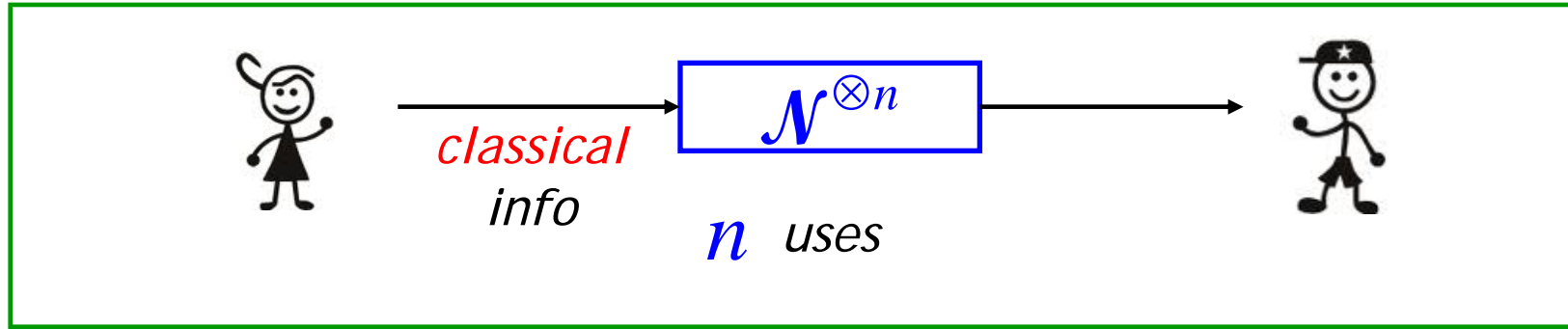
*classical capacity*

$C(\mathcal{N}) =$  maximum number of bits transmitted **per use** of  $\mathcal{N}$

*memoryless:* there is **no correlation** in the **noise** acting on successive inputs

$\mathcal{N}^{\otimes n}$  :  $n$  successive uses of the **channel**; **independent**

- To evaluate  $C(\mathcal{N})$ :



- One requires : **prob. of error**  $P_e^{(n)} \rightarrow 0$  as  $n \rightarrow \infty$

$C(\mathcal{N})$ : Optimal rate of **reliable** information transmission  
 -given in terms of a **mutual information**:

(obtainable from the **relative entropy**)



## Other important relative entropies

- (1)  $\alpha$  – relative Renyi entropies:  $\alpha$  – RRE
- (2) Max- and min-relative entropies

(1)  $\alpha$  – *relative Renyi entropies*:  $\alpha$  – RRE

$$D_{\alpha}(\rho \parallel \sigma) := \frac{1}{\alpha - 1} \log \left[ \text{Tr}(\rho^{\alpha} \sigma^{1-\alpha}) \right]$$

$$\lim_{\alpha \rightarrow 1} D_{\alpha}(\rho \parallel \sigma) = D(\rho \parallel \sigma)$$

\*

- *Also is of important operational significance,*

## (2) Max- and Min- relative entropies

- *Max-relative entropy [ND 2008]*

$$D_{\max}(\rho \parallel \sigma) := \inf \{ \gamma : \rho \leq 2^\gamma \sigma \}$$

- *Min-relative entropy [Renner et al 2012]*

$$D_{\min}(\rho \parallel \sigma) := -2 \log \|\sqrt{\rho} \sqrt{\sigma}\|_1$$

# Properties of the min-max relative entropies

- Positivity: If  $\rho, \sigma \in \mathcal{D}(\mathcal{H})$ ,

for  $*$  = **max, min**

$$D_*(\rho \parallel \sigma) \geq 0$$

just as  $D(\rho \parallel \sigma)$

- Data-processing inequality:

$$D_*(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_*(\rho \parallel \sigma)$$

for any CPTP map  $\Lambda$

- Invariance under joint unitaries:

$$D_*(U \rho U^\dagger \parallel U \sigma U^\dagger) = D_*(\rho \parallel \sigma)$$

for any unitary operator  $U$

- *Interestingly,*

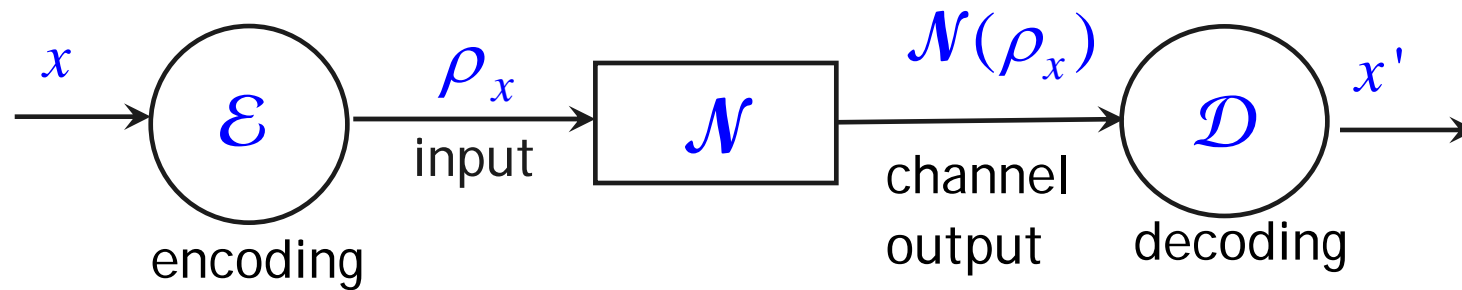
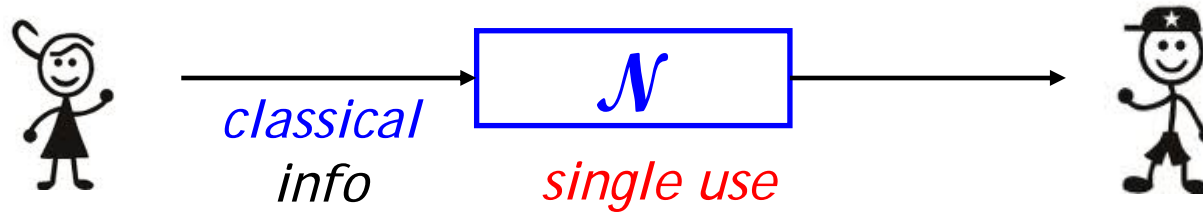
$$D_{\min}(\rho \parallel \sigma) \leq D(\rho \parallel \sigma) \leq D_{\max}(\rho \parallel \sigma) \quad *$$

Operational significance of the **Max- and Min- relative entropies** in:

*One-shot Information Theory* [Renner; ND]

~~*asymptotic, memoryless*~~

## One-shot information theory



*One-shot classical capacity* := max. number of bits that can be transmitted on a *single use*

$C^{(1)}(\mathcal{N})$  : given in terms of a mutual information obtained from the *max-relative entropy*

## In Summary.....

- there is a plethora of **different** entropic quantities which arise in **Quantum Information theory**
  - which are interesting both from the **mathematical** and **operational** points of view;

- hence it is desirable to have a  
**unifying mathematical framework**  
for the study of these different quantities.

- Recently, such a framework was **partially** provided:  
by a **non-commutative generalization** of the  **$\alpha$  – RRE**

*[Wilde et al; Muller-Lennert et al]*

$$\tilde{D}_\alpha(\rho \parallel \sigma) := \frac{1}{\alpha - 1} \log \text{Tr} \left[ \left( \sigma^{\frac{1-\alpha}{2\alpha}} \rho \sigma^{\frac{1-\alpha}{2\alpha}} \right)^\alpha \right]$$

$\alpha$  – Quantum Renyi Divergence  
(sandwiched Renyi entropy)

## $\alpha$ – Quantum Renyi Divergence

- Recently, such a framework was **partially** provided:  
by a **non-commutative generalization**  $\alpha$  – RRE

*[Wilde et al; Muller-Lennert et al]*

### $\alpha$ – QRD

$$\tilde{D}_\alpha(\rho \parallel \sigma) := \frac{1}{\alpha - 1} \log \text{Tr} \left[ \left( \sigma^{\frac{(1-\alpha)}{2\alpha}} \rho \sigma^{\frac{(1-\alpha)}{2\alpha}} \right)^\alpha \right]$$

**IF**  $[\rho, \sigma] = 0$  **THEN**

$$\text{Tr} \left[ \rho \sigma^{\frac{(1-\alpha)}{\alpha}} \right]^\alpha$$

$$\text{Tr}(\rho^\alpha \sigma^{1-\alpha})$$



## $\alpha$ – Quantum Renyi Divergence

- Recently, such a framework was **partially** provided:  
by a **non-commutative** generalization  $\alpha$  – RRE

*[Wilde et al; Muller-Lennert et al]*

### $\alpha$ – QRD

$$\tilde{D}_\alpha(\rho \parallel \sigma) := \frac{1}{\alpha - 1} \log \text{Tr} \left[ \sigma^{\frac{(1-\alpha)}{2\alpha}} \rho \sigma^{\frac{(1-\alpha)}{2\alpha}} \right]^\alpha$$

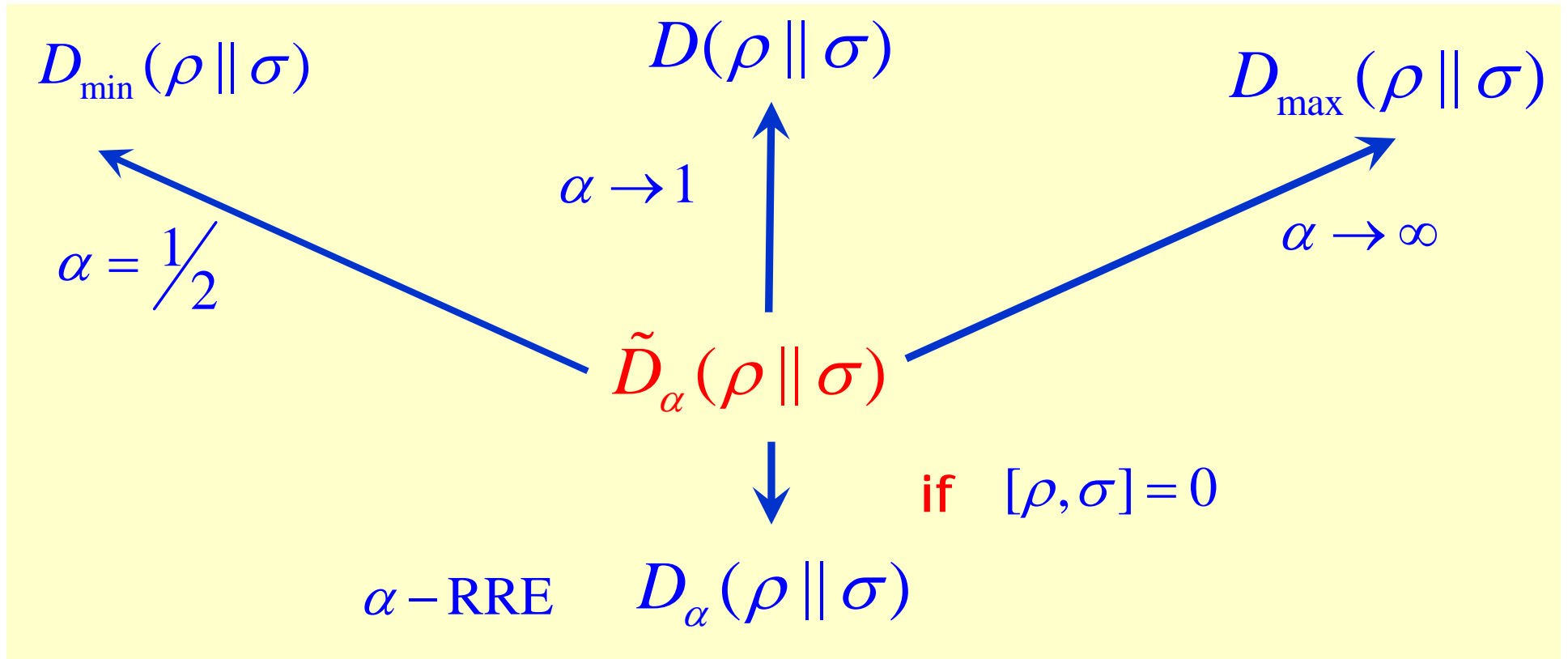
IF  $[\rho, \sigma] = 0$  THEN

$$\text{Tr} \left[ \rho \sigma^{\frac{(1-\alpha)}{\alpha}} \right]^\alpha$$

### $\alpha$ – RRE

$$D_\alpha(\rho \parallel \sigma) = \frac{1}{\alpha - 1} \log \text{Tr}(\rho^\alpha \sigma^{1-\alpha})$$

“super-parent”:



■ Properties of

$$\tilde{D}_\alpha(\rho \parallel \sigma)$$

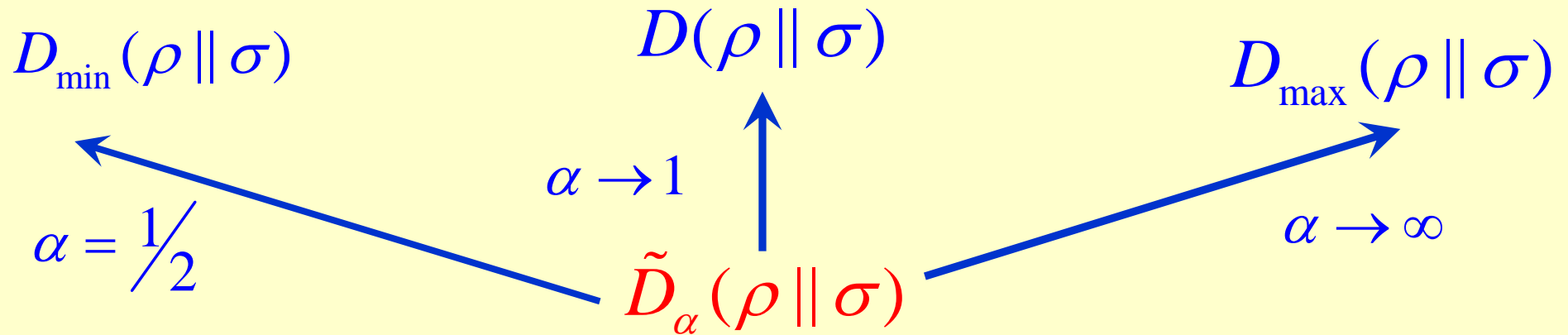


properties of

$$D_{\min}(\rho \parallel \sigma), D(\rho \parallel \sigma)$$

$$D_{\max}(\rho \parallel \sigma)$$

“super-parent”:



- Joint convexity of  $\tilde{D}_\alpha(\rho \parallel \sigma)$  for  $\frac{1}{2} \leq \alpha \leq 1$   
 [Frank & Lieb]  $\Rightarrow$  joint convexity of  $D_{\min}(\rho \parallel \sigma), D(\rho \parallel \sigma)$
- $\tilde{D}_\alpha(\rho \parallel \sigma)$  monotonically increasing in  $\alpha$   
 [Muller-Lennert et al]  $\Rightarrow D_{\min}(\rho \parallel \sigma) \leq D(\rho \parallel \sigma) \leq D_{\max}(\rho \parallel \sigma)$

$D_\alpha(\rho \parallel \sigma) \leq D_\beta(\rho \parallel \sigma)$   
 for  $\alpha \leq \beta$ .
- Data-processing inequality for  $\tilde{D}_\alpha(\rho \parallel \sigma)$  for  $\alpha \geq \frac{1}{2}$   
 [Frank & Lieb; Beigi]  $\Rightarrow$  DPI for  $D_{\min}(\rho \parallel \sigma), D(\rho \parallel \sigma), D_{\max}(\rho \parallel \sigma)$

## Limitations of the $\alpha$ -QRD

- The data-processing inequality is **not satisfied** for  $\alpha \in (0, \frac{1}{2})$
- The important family of  $\alpha$ -RRE can **only** be obtained from the  $\alpha$ -QRD in the special case of commuting operators



(Q) Can one define a **more general family of relative entropies** which overcomes these limitations ?

(A) Yes!

The more general family is a.....

- Two-parameter family of relative entropies

$$D_{\alpha,z}(\rho \parallel \sigma); \quad \alpha, z \in \mathbb{R}$$

$\alpha - z$  relative Renyi entropies:  $\alpha - z - \text{RRE}$

- They stem from quantum entropic functionals defined by

*Jaksic, Ogata, Pautrat and Pillet*

for the study of entropic fluctuations in non-equilibrium  
statistical mechanics

$\rho$ : reference state of a dynamical system

$\sigma \equiv \rho_t$ : state resulting from  $\rho$  due to time evolution under  
the action of a Hamiltonian for a time  $t$ . \*

**Definition:**
 $\forall \rho \in \mathcal{D}(\mathcal{H}); \sigma \in \mathcal{P}(\mathcal{H}): \text{supp } \rho \subseteq \text{supp } \sigma$ 

$$D_{\alpha,z}(\rho \parallel \sigma) = \frac{1}{\alpha - 1} \log f_{\alpha,z}(\rho \parallel \sigma)$$

 with the **trace functional**

$$f_{\alpha,z}(\rho \parallel \sigma) = \text{Tr} \left( \rho^{\frac{\alpha}{z}} \sigma^{\frac{(1-\alpha)}{z}} \right)^z$$

$$\alpha, z \in \mathbb{R}$$

Take limits for

$$\alpha \rightarrow 1; \quad z \rightarrow 0$$

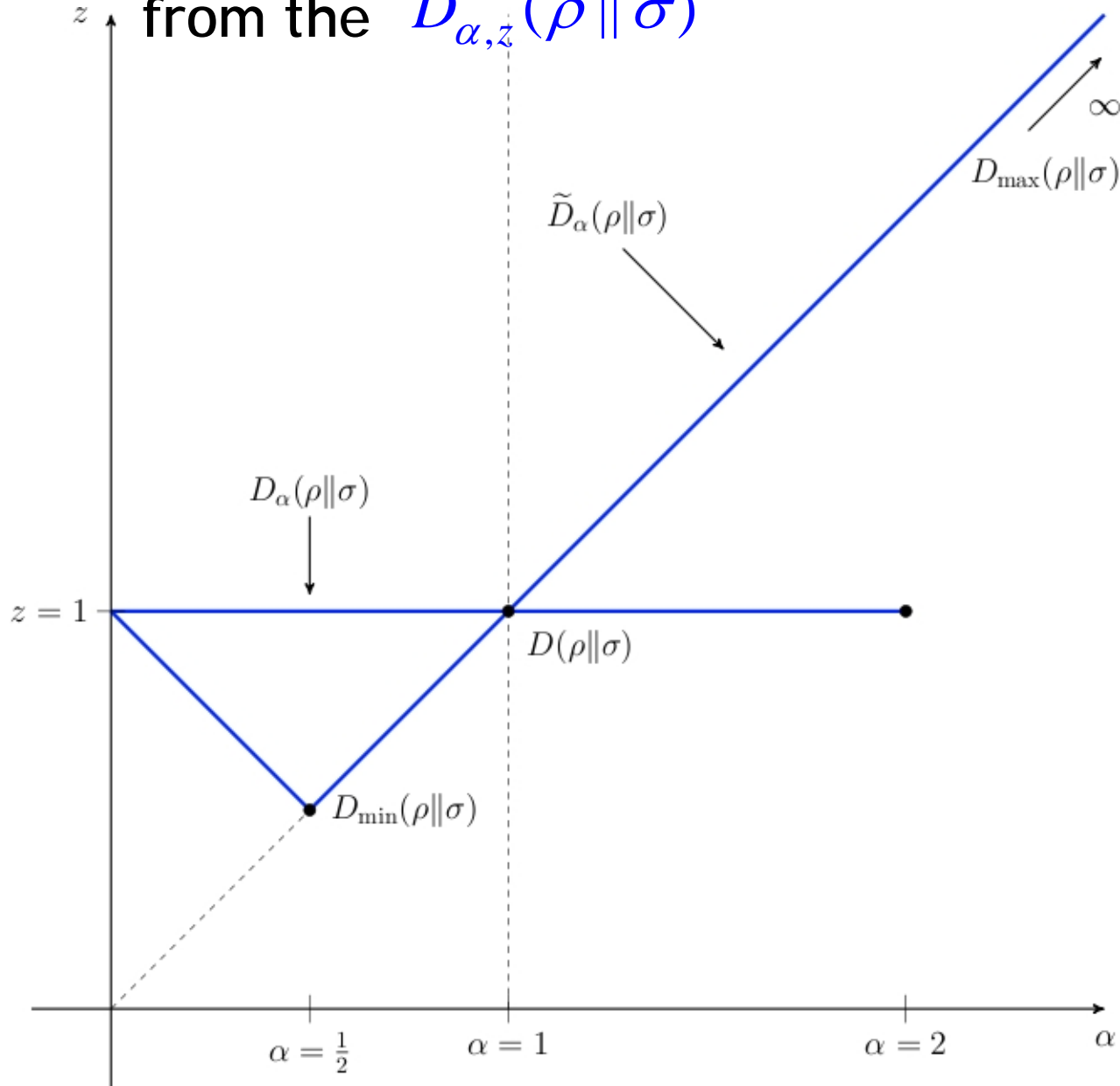
$$= \text{Tr} \left( \sigma^{\frac{(1-\alpha)}{2z}} \rho^{\frac{\alpha}{z}} \sigma^{\frac{(1-\alpha)}{2z}} \right)^z$$

$$= \text{Tr} \left( \rho^{\frac{\alpha}{2z}} \sigma^{\frac{(1-\alpha)}{z}} \rho^{\frac{\alpha}{2z}} \right)^z$$

\*

# Retrieving all other relative entropies

from the  $D_{\alpha,z}(\rho \parallel \sigma)$



## Quantum Renyi axioms for a relative entropy

- Unitary invariance :  $D_{\alpha,z}(\rho \parallel \sigma) = D_{\alpha,z}(U\rho U^\dagger \parallel U\sigma U^\dagger)$

- Tensor property:

$$D_{\alpha,z}(\rho \otimes \kappa \parallel \sigma \otimes \omega) = D_{\alpha,z}(\rho \parallel \sigma) + D_{\alpha,z}(\kappa \parallel \omega)$$

\*

- Order Axiom:  $\rho \geq \sigma \Rightarrow D_{\alpha,z}(\rho \parallel \sigma) \geq 0$

$$\forall z \geq |\alpha - 1| \quad \rho \leq \sigma \Rightarrow D_{\alpha,z}(\rho \parallel \sigma) \leq 0$$

etc.



## Order Axiom

$$\forall z \geq |\alpha - 1| \quad \rho \geq \sigma \Rightarrow D_{\alpha, z}(\rho \parallel \sigma) \geq 0$$

■ Proof:

Let  $0 < \alpha < 1$

$$D_{\alpha, z}(\rho \parallel \sigma) = \frac{1}{\alpha - 1} \log f_{\alpha, z}(\rho \parallel \sigma)$$

■ r.t.p.  $\rho \geq \sigma \Rightarrow \log f_{\alpha, z}(\rho \parallel \sigma) \leq 0$

$$\rho \geq \sigma \Rightarrow f_{\alpha, z}(\rho \parallel \sigma) \leq 1$$

r.t.p.

$$\rho \geq \sigma \Rightarrow f_{\alpha, z}(\rho \parallel \sigma) \leq f_{\alpha, z}(\rho \parallel \rho)$$

$$\because f_{\alpha, z}(\rho \parallel \rho) = 1$$

$0 < \alpha < 1$  r.t.p.  $\rho \geq \sigma \Rightarrow f_{\alpha,z}(\rho \parallel \sigma) \leq f_{\alpha,z}(\rho \parallel \rho)$  .....(a)

$$\text{Tr} \left[ \rho^{\frac{\alpha}{z}} \sigma^{\frac{(1-\alpha)}{z}} \right]^z \leq \text{Tr} \left[ \rho^{\frac{\alpha}{z}} \rho^{\frac{(1-\alpha)}{z}} \right]^z$$

$$\nu = \frac{(1-\alpha)}{z}$$

$$\text{Tr} \left[ \rho^{\frac{\alpha}{z}} \sigma^{\nu} \right]^z \leq \text{Tr} \left[ \rho^{\frac{\alpha}{z}} \rho^{\nu} \right]^z$$

For  $0 < \nu < 1$ ,  $x^{\nu}$  is operator monotone:  $\rho \geq \sigma \Rightarrow \rho^{\nu} \geq \sigma^{\nu}$

$$f_{\alpha,z}(\rho \parallel \rho) = \text{Tr} \left[ \rho^{\frac{\alpha}{z}} \rho^{\nu} \right]^z \geq \text{Tr} \left[ \rho^{\frac{\alpha}{z}} \sigma^{\nu} \right]^z = f_{\alpha,z}(\rho \parallel \sigma)$$

$\therefore$  (a) holds if  $0 < \nu < 1$ , i.e. if  $\frac{(1-\alpha)}{z} < 1$ , i.e.  $z > (1-\alpha)$

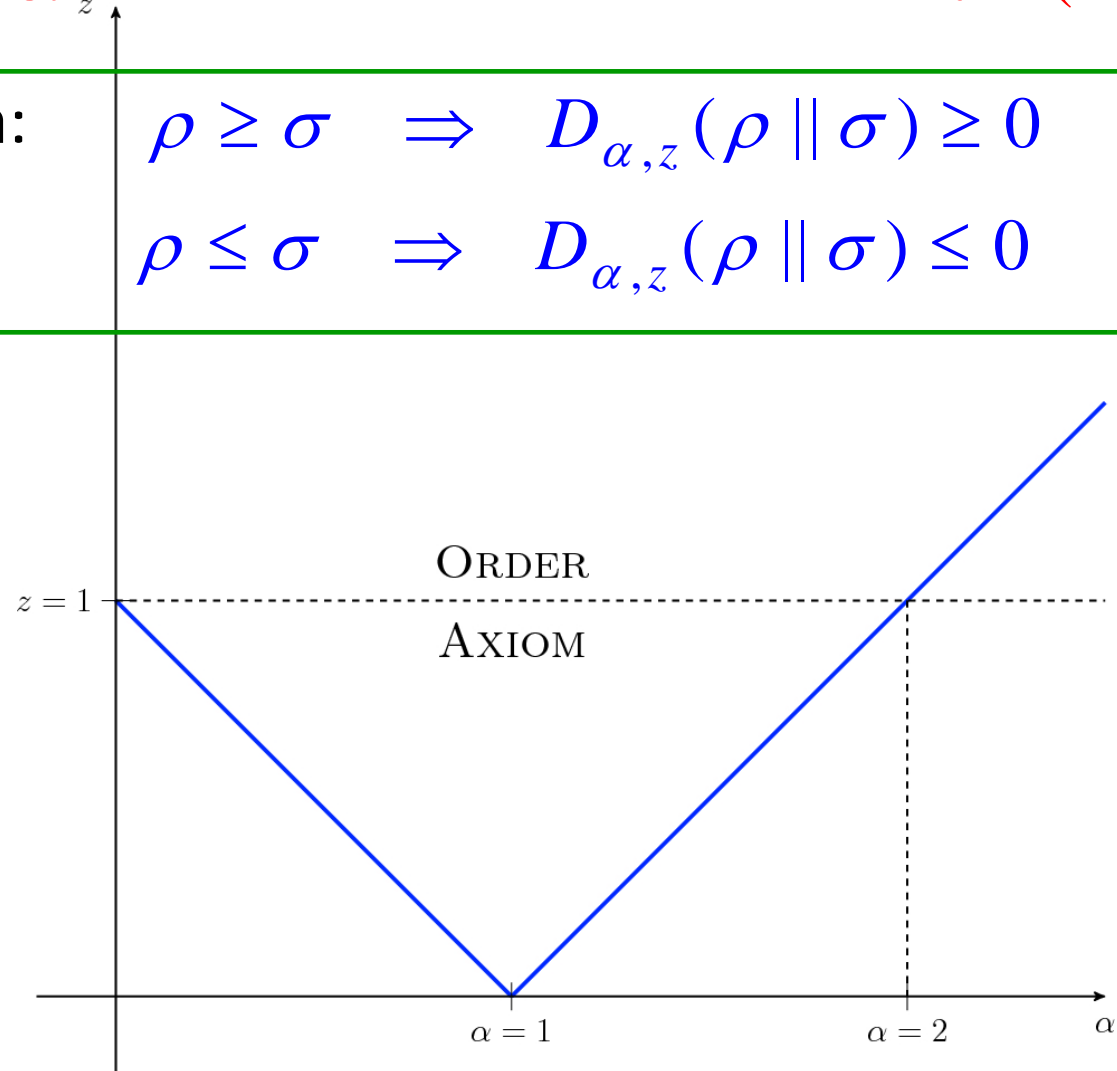
- For  $0 < \alpha < 1$  order axiom holds for  $z > (1 - \alpha)$
- Similarly, for  $\alpha > 1$  order axiom holds for  $z > (\alpha - 1)$

■  $\therefore$  Order Axiom:

$$\forall z \geq |\alpha - 1|$$

$$\rho \geq \sigma \Rightarrow D_{\alpha, z}(\rho \parallel \sigma) \geq 0$$

$$\rho \leq \sigma \Rightarrow D_{\alpha, z}(\rho \parallel \sigma) \leq 0$$



## *Data-processing inequality (DPI)*

$\forall \Lambda : \text{CPTP}$

$$D_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_{\alpha,z}(\rho \parallel \sigma)$$

(Q) For which **parameter ranges** does  $D_{\alpha,z}$  satisfy the **DPI** ?

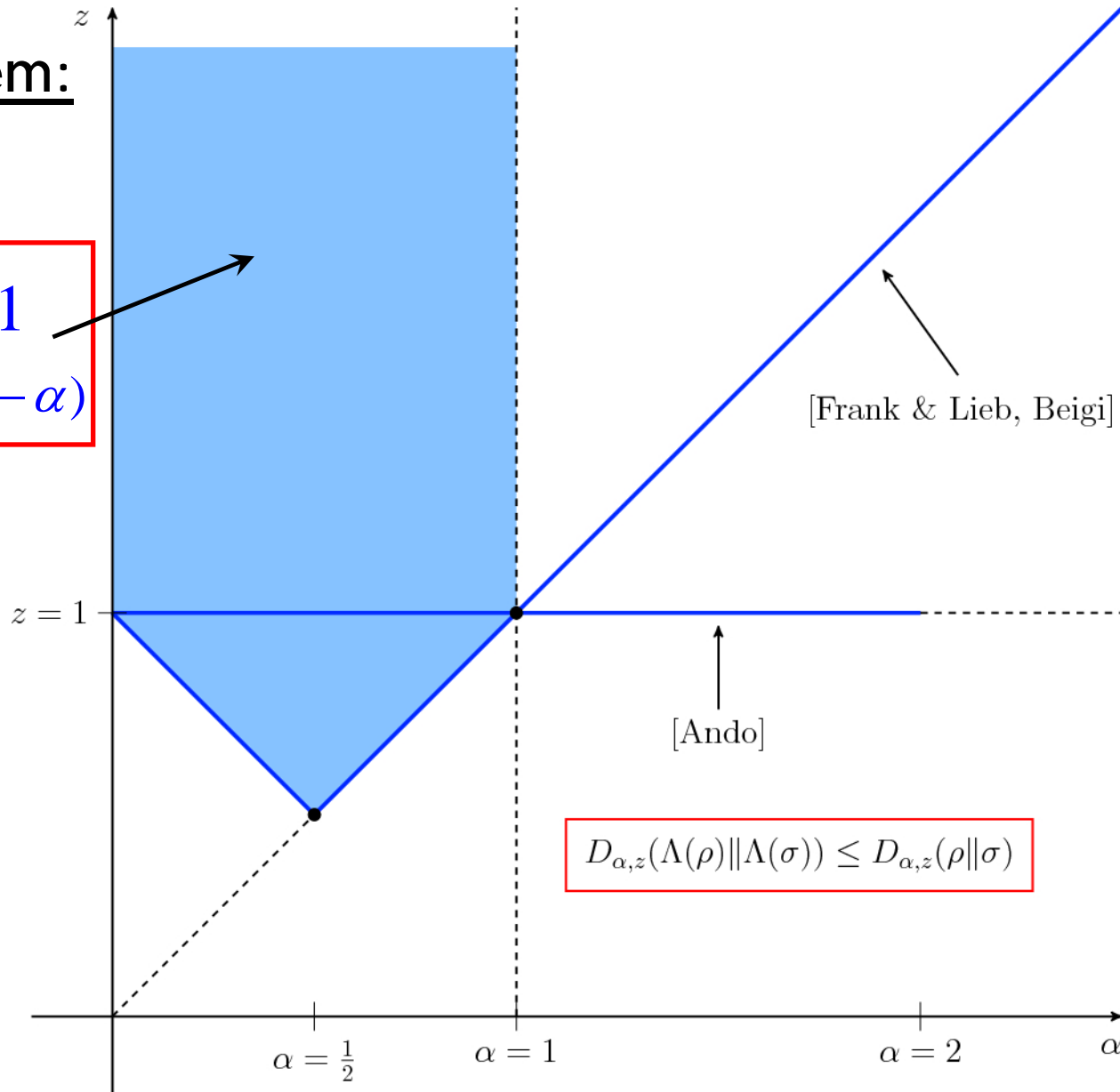
■ Data-processing inequality for the  $\alpha - z - \text{RRE}$

■ Theorem:

$$0 \leq \alpha < 1$$

$$z \geq \max(\alpha, 1 - \alpha)$$

[KA, ND],  
[Hiai]



## Data-processing inequality (DPI)

Proof of DPI in the blue region:

$$0 \leq \alpha < 1; \quad z \geq \max(\alpha, 1 - \alpha)$$

$$D_{\alpha, z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_{\alpha, z}(\rho \parallel \sigma)$$

[Frank & Lieb]:

- To prove DPI  
it suffices to  
prove that

$$f_{\alpha, z}(\rho \parallel \sigma)$$

(trace functional)

is *jointly concave*  
for  $0 \leq \alpha \leq 1$

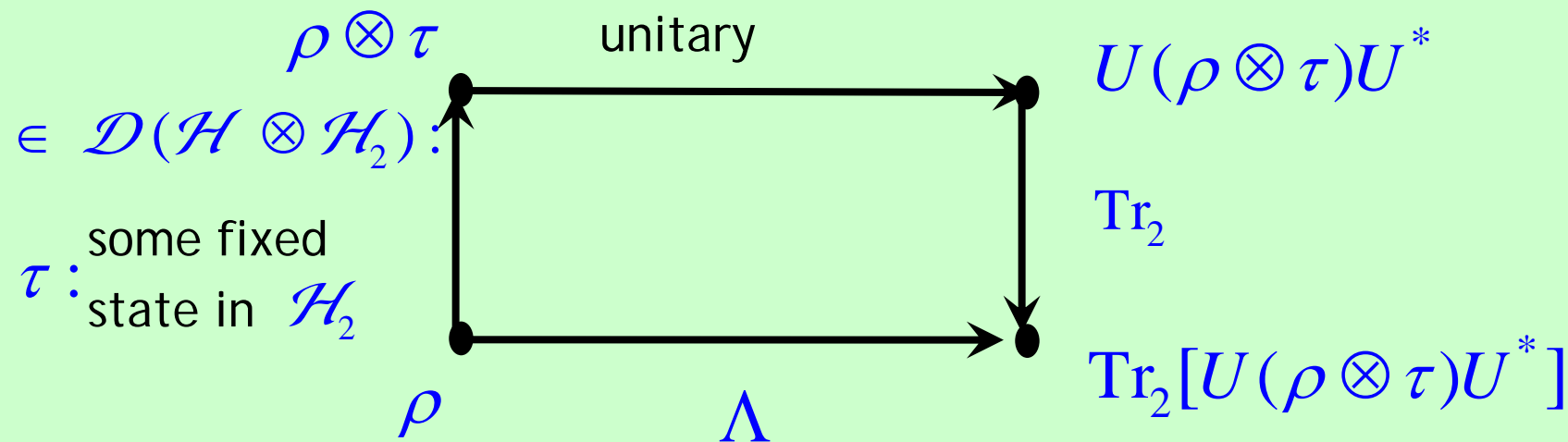
Joint concavity of  $f_{\alpha,z} \Rightarrow$  DPI for  $D_{\alpha,z}$  for  $0 \leq \alpha < 1$

■ **Proof**

$$\Lambda : \text{CPTP} \quad D_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_{\alpha,z}(\rho \parallel \sigma)$$

*Stinespring's Dilation Theorem:*

Action of  $\Lambda$  on a state  $\rho \in \mathcal{D}(\mathcal{H})$ :



$$\Lambda(\rho) = \text{Tr}_2[U(\rho \otimes \tau)U^*]$$

Joint concavity of  $f_{\alpha,z} \Rightarrow$  DPI for  $D_{\alpha,z}$  for  $0 \leq \alpha < 1$

**Proof:** contd.

$$\Lambda(\rho) = \text{Tr}_2 [U(\rho \otimes \tau)U^*]$$

■ Let

$du$ : normalized Haar measure on all unitaries on  $\mathcal{H}_2$

$$\int du uAu^* = (\text{Tr } A) \cdot \kappa$$

where  $\kappa = \frac{1}{N}$   $\rightarrow$   $\dim \mathcal{H}_2$

Set:

$$X = U(\rho \otimes \tau)U^* \in \mathcal{D}(\mathcal{H} \otimes \mathcal{H}_2)$$

$$\begin{aligned} \int du (I \otimes u)X(I \otimes u^*) &= (\text{Tr}_2 X) \otimes \kappa \\ &= \text{Tr}_2 [U(\rho \otimes \tau)U^*] \otimes \kappa \end{aligned}$$

*Integral representation*

$$= \Lambda(\rho) \otimes \kappa$$



Joint concavity of  $f_{\alpha,z} \Rightarrow$  DPI for  $D_{\alpha,z}$  for  $0 \leq \alpha < 1$

$$D_{\alpha,z}(\rho \parallel \sigma) = \frac{1}{\alpha-1} \log f_{\alpha,z}(\rho \parallel \sigma)$$

$$D_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_{\alpha,z}(\rho \parallel \sigma) \Leftrightarrow f_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \geq f_{\alpha,z}(\rho \parallel \sigma)$$

$$\begin{aligned} \Lambda(\rho) \otimes \kappa &= \int du (I \otimes u) U(\rho \otimes \tau) U^* (I \otimes u^*) \\ &= \int du V_u(\rho \otimes \tau) V_u^* \quad V_u = (I \otimes u) U \end{aligned}$$

Joint concavity of  $f_{\alpha,z} \Rightarrow$  DPI for  $D_{\alpha,z}$  for  $0 \leq \alpha < 1$

$$D_{\alpha,z}(\rho \parallel \sigma) = \frac{1}{\alpha-1} \log f_{\alpha,z}(\rho \parallel \sigma)$$

$$D_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_{\alpha,z}(\rho \parallel \sigma) \iff f_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \geq f_{\alpha,z}(\rho \parallel \sigma)$$

$$f_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) = f_{\alpha,z}(\Lambda(\rho) \otimes \kappa \parallel \Lambda(\sigma) \otimes \kappa) \quad \begin{array}{l} \text{Tensor} \\ \text{property} \end{array}$$

$$= f_{\alpha,z} \left( \int du V_u(\rho \otimes \tau) V_u^* \parallel \int du V_u(\sigma \otimes \tau) V_u^* \right)$$

*IF jointly concave*

$$\geq \int du f_{\alpha,z}(V_u(\rho \otimes \tau) V_u^* \parallel V_u(\sigma \otimes \tau) V_u^*)$$

$$= \int du f_{\alpha,z}(\rho \otimes \tau \parallel \sigma \otimes \tau)$$

*unitary invariance*

$$\Lambda(\rho) \otimes \kappa = \int du (I \otimes u) U(\rho \otimes \tau) U^* (I \otimes u^*)$$

$$= \int du V_u(\rho \otimes \tau) V_u^*$$

$$V_u = (I \otimes u) U$$

Joint concavity of  $f_{\alpha,z} \Rightarrow$  DPI for  $D_{\alpha,z}$  for  $0 \leq \alpha < 1$

$$D_{\alpha,z}(\rho \parallel \sigma) = \frac{1}{\alpha-1} \log f_{\alpha,z}(\rho \parallel \sigma)$$

$$D_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_{\alpha,z}(\rho \parallel \sigma) \iff f_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \geq f_{\alpha,z}(\rho \parallel \sigma)$$

$$f_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) = f_{\alpha,z}(\Lambda(\rho) \otimes \kappa \parallel \Lambda(\sigma) \otimes \kappa)$$

$$= f_{\alpha,z}\left(\int du V_u(\rho \otimes \tau) V_u^* \parallel \int du V_u(\sigma \otimes \tau) V_u^*\right)$$

*IF jointly concave*

$$\geq \int du f_{\alpha,z}(V_u(\rho \otimes \tau) V_u^* \parallel V_u(\sigma \otimes \tau) V_u^*)$$

$$= \int du f_{\alpha,z}(\rho \otimes \tau \parallel \sigma \otimes \tau)$$

*unitary invariance*

$$= f_{\alpha,z}(\rho \otimes \tau \parallel \sigma \otimes \tau)$$

*normalization of the Haar measure*

$$= f_{\alpha,z}(\rho \parallel \sigma)$$

*Tensor property*

$$\therefore f_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \geq f_{\alpha,z}(\rho \parallel \sigma)$$

\*

In fact: To prove DPI it suffices to prove that

$f_{\alpha,z}(A) \equiv f_{\alpha,z}(A, K) := \text{Tr}(A^{\frac{\alpha}{z}} K A^{\frac{1-\alpha}{z}} K^*)^z$  is concave in  $A$ .

$A \geq 0$ ,  $K$  fixed matrix

*[Carlen & Lieb]*

■ **Why?**

Because for  
the choice

$$K = \begin{pmatrix} 0 & I \\ 0 & 0 \end{pmatrix}; \quad A = \begin{pmatrix} \rho & 0 \\ 0 & \sigma \end{pmatrix}$$

$$f_{\alpha,z}(A) = f_{\alpha,z}(\rho \parallel \sigma)$$

■ Concavity of  
 $f_{\alpha,z}(A, K)$  in  $A$

$\Rightarrow$

Joint concavity of  
 $f_{\alpha,z}(\rho \parallel \sigma)$

■ So focus on  
proving concavity of

$$f_{\alpha,z}(A)$$

\*

- Concavity of

$$f_{\alpha,z}(A) := \text{Tr} \left[ A^{\frac{\alpha}{z}} K A^{\frac{1-\alpha}{z}} K^* \right]^z$$

for  $\alpha \in (0,1); z \geq \max\{\alpha, 1-\alpha\}$

- Set  $p = \frac{\alpha}{z}; q = \frac{1-\alpha}{z}; z = \frac{1}{p+q}$

$$f_{p,q}(A) = \text{Tr} \left[ A^p K A^q K^* \right]^{\frac{1}{p+q}}$$

- Concavity of  $f_{p,q}(A)$  for  $p, q \in (0,1)$

\*

## Key ingredients: **Pick functions**

*(holomorphic functions that map the upper half plane into itself)*

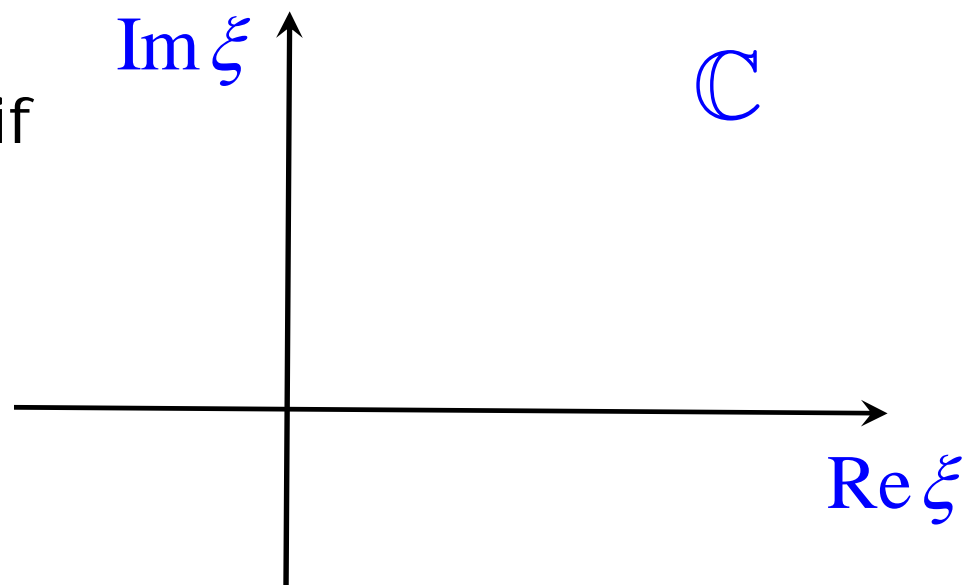
**Pick Functions:** Holomorphic functions defined on the upper-half plane:

$$I^+(\mathbb{C}) := \{\xi \in \mathbb{C} : \text{Im } \xi > 0\}$$

with their ranges in the closed upper half plane  $\{\xi \in \mathbb{C} : \text{Im } \xi \geq 0\}$

Then  $f(\xi)$  is a Pick function if

$$\text{Im } \xi > 0 \Rightarrow \text{Im } f(\xi) \geq 0.$$



*Also known as: **Herglotz functions** or **Nevanlinna functions***

## Example of a **Pick function**

- Let  $f(\xi) = \xi^p$ ;  $0 < p < 1$  defined on the cut plane

$$\xi^p = e^{p \log|\xi|} e^{ip \arg \xi} \quad \arg \xi \in (-\pi, +\pi)$$

$$\xi = |\xi| e^{i \arg \xi}$$

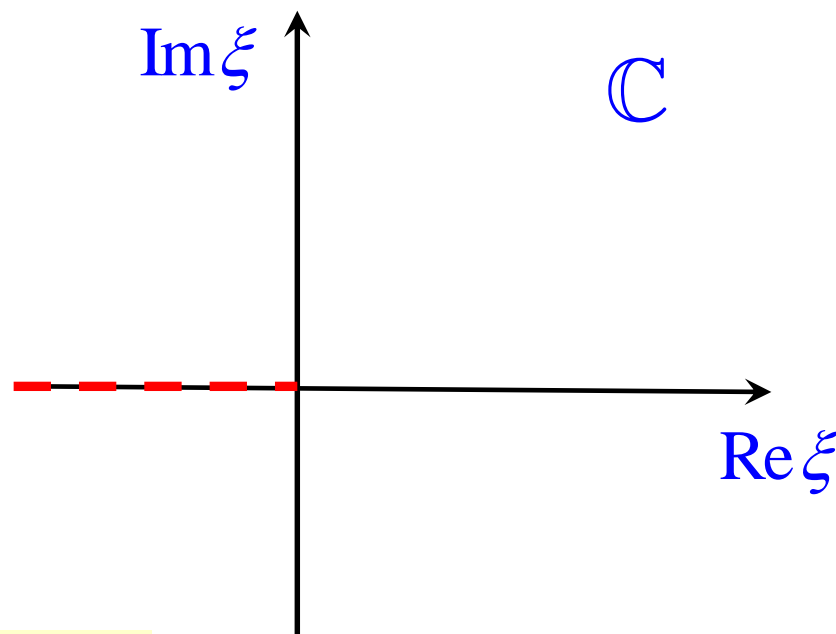
$$\operatorname{Im} \xi > 0 \iff \arg \xi \in (0, \pi)$$

$$\Rightarrow \arg \xi^p = p \arg \xi \in (0, \pi)$$

$$(\because 0 < p < 1)$$

$$\therefore \operatorname{Im} \xi^p \geq 0$$

Hence  $f(\xi) = \xi^p$  is a Pick function

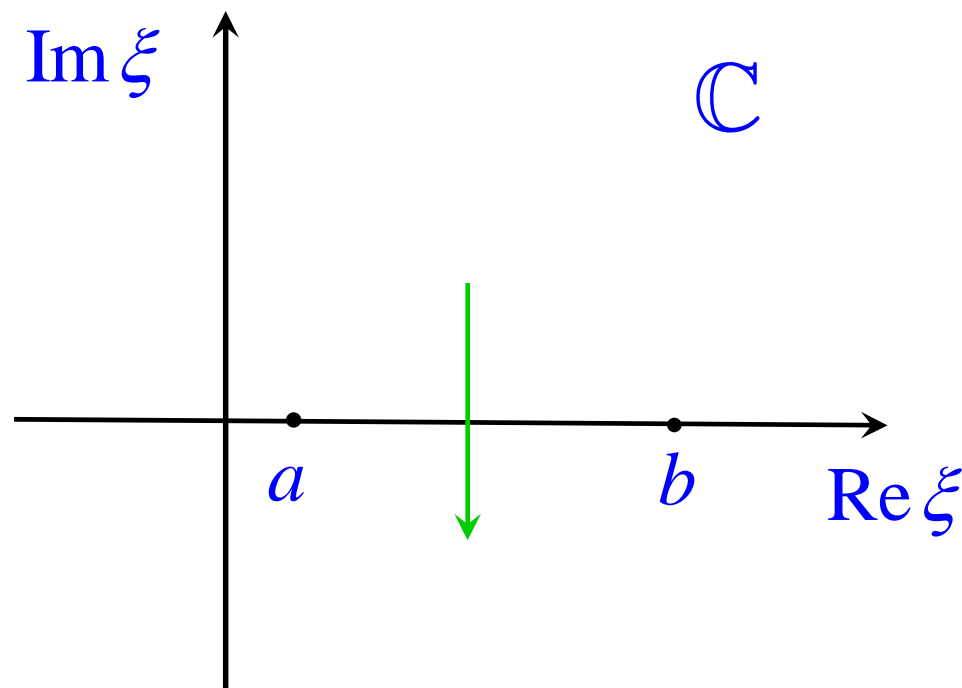


## Subclass of Pick functions $P(a,b)$

- Let  $(a,b)$  be an open interval on the real axis
- Then  $P(a,b)$ : the subclass of Pick functions which can be **analytically continued** across the interval  $(a,b)$  into the lower half plane such that the continuation is by **reflection w.r.t. the real axis**.  $f(\bar{\xi}) = \overline{f(\xi)}$

- Loewner's theorem:*

$P(a,b)$ : the class of functions that are **operator monotone** on  $(a,b)$





## Relevance of Pick functions for our proofs

- A Pick function  $f(\xi) \in P(a, b)$  has a unique canonical **integral representation** of the form

$$f(\xi) = \alpha\xi + \beta + \int_a^b \left( \frac{1}{t - \xi} - \frac{t}{t^2 + 1} \right) d\mu(t)$$

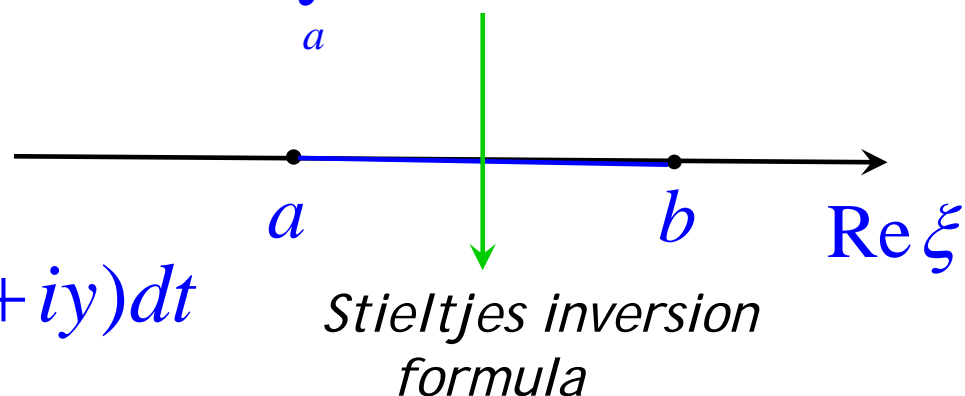
where  $\alpha \geq 0$ ;  $\beta \in \mathbb{R}$ ; and

$d\mu(t)$ : a positive Borel measure on the real  $t$ -axis for which

$$\alpha = \lim_{y \rightarrow \infty} \frac{f(iy)}{iy}; \quad \beta = \operatorname{Re} f(i);$$

$$\int_a^b (t^2 + 1)^{-1} d\mu(t) < \infty$$

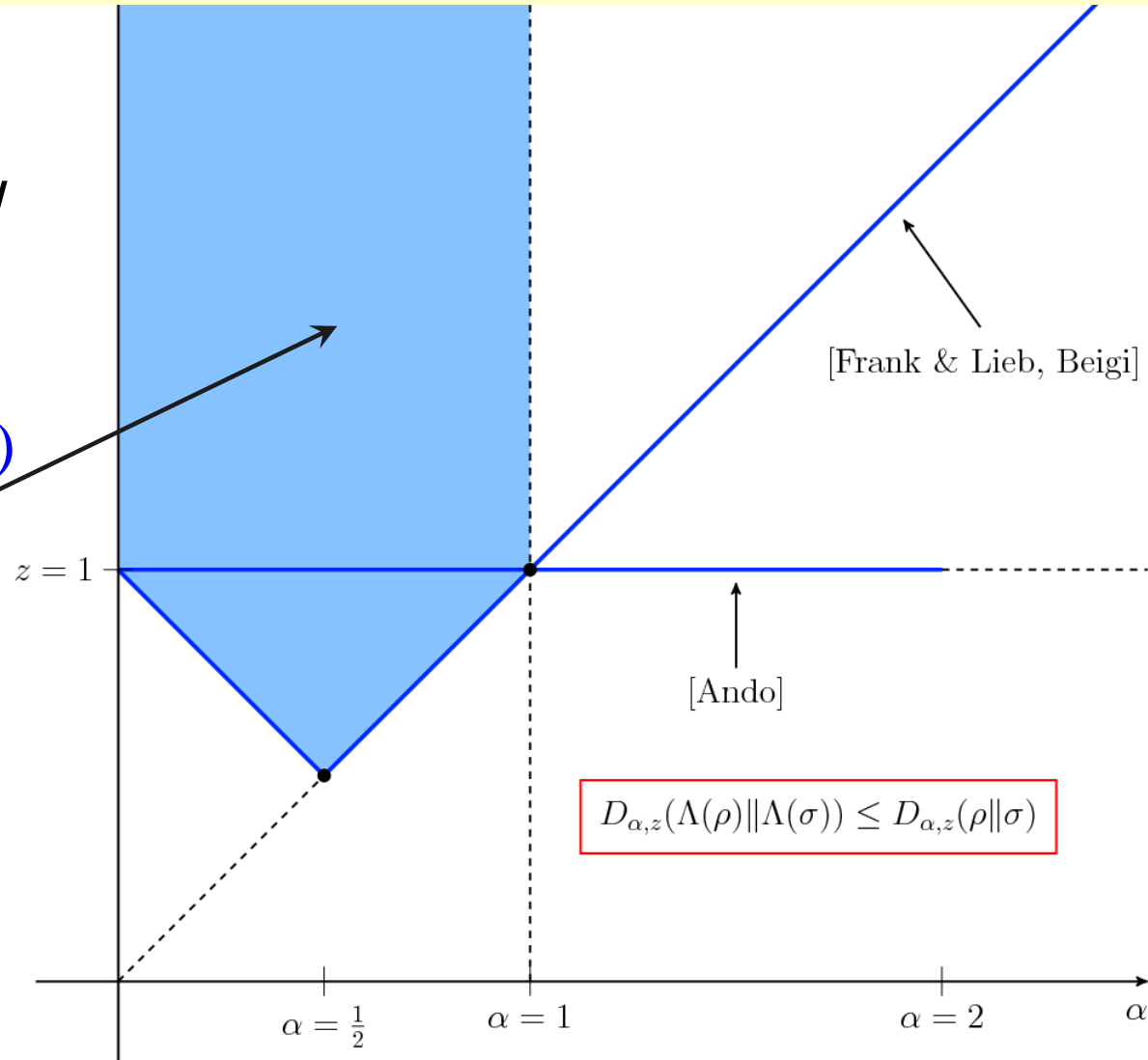
$$\mu(b) - \mu(a) = \lim_{y \rightarrow 0^+} \frac{1}{\pi} \int_a^b \operatorname{Im} f(t + iy) dt$$



# We want to prove

- Concavity of  $f_{p,q}(A)$  for  $p, q \in (0,1)$

This would imply **DPI** for  $D_{\alpha,z}(\rho \parallel \sigma)$  here



$$D_{\alpha,z}(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq D_{\alpha,z}(\rho \parallel \sigma)$$

- Concavity of  $f_{p,q}(A)$  for  $p, q \in (0,1)$

(Q) How do Pick functions enter into the proof?

- Consider 2 related functions:

$$A \geq 0$$

$$F(\xi) = f_{p,q}(Q + \xi R)$$

$$G(\xi) = f_{p,q}(\xi Q + R)$$

$$Q > 0, R = R^*$$

where: domain of  $f_{p,q}$  has been extended to complex matrices

- The 2 functions are related :

$$F(\xi) = f_{p,q}(Q + \xi R)$$

$$= \xi f_{p,q}\left(\frac{1}{\xi}Q + R\right) = \xi G\left(\frac{1}{\xi}\right)$$

$$f_{p,q}(A) = \text{Tr}\left[A^p K A^q K^*\right]^{\frac{1}{p+q}}$$

homogeneous  
of order 1

$$F(\xi) = \xi G\left(\frac{1}{\xi}\right)$$

$$f_{p,q}(A) \longrightarrow F(\xi) = f_{p,q}(Q + \xi R); \quad G(\xi) = f_{p,q}(\xi Q + R)$$

■ **Claim:** Concavity of  $f_{p,q}(A)$  for  $A \geq 0$

*amounts to proving* : Concavity of  $F(x)$ , for  $x \in \mathbb{R}$ ;

*(over the domain of  $F$ )*

Concavity of  $f_{p,q}(A)$  for  $A \geq 0$

$\Leftrightarrow$  Concavity of  $F(x)$ , for  $x \in \mathbb{R}$ ;

- Suppose  $A_1, A_2 \geq 0$  & for  $\forall x \in [0, 1]$

$$f_{p,q}(xA_1 + (1-x)A_2) \geq xf_{p,q}(A_1) + (1-x)f_{p,q}(A_2) \quad \text{concavity}$$

Set  $Q = A_2, R = A_1 - A_2; \quad \xi = x$

$$F(x) = f_{p,q}(Q + xR);$$

$$F(\xi) = f_{p,q}(Q + \xi R)$$

$$= f_{p,q}(A_2 + x(A_1 - A_2))$$

$$= f_{p,q}(xA_1 + (1-x)A_2) \geq xf_{p,q}(A_1) + (1-x)f_{p,q}(A_2)$$

$$[f_{p,q}(A_1) = F(1); f_{p,q}(A_2) = F(0)]$$

$$F(x) \geq xF(1) + (1-x)F(0)$$

$$f_{p,q}(A) \longrightarrow F(\xi) = f_{p,q}(Q + \xi R); \quad G(\xi) = f_{p,q}(\xi Q + R)$$

Concavity of  $F(x)$ , for  $x \in \mathbb{R}$ ; implies DPI

### Proof of concavity outline in 4 lines

- Prove that  $G(\xi)$  is a Pick function
- It hence has an integral representation
- This carries over to  $F(x)$ ;  $\because F(\xi) = \xi G\left(\frac{1}{\xi}\right)$
- Then proving concavity of  $F(x)$  amounts to proving concavity of the *integral's kernel*
  - which is straightforward!

To prove DPI for

$$0 \leq \alpha < 1; \quad z \geq \max(\alpha, 1 - \alpha)$$

Concavity of  $F(x)$ , for  $x \in \mathbb{R}$ ; (over the *domain* of  $F$ )

domain of holomorphy of  $F(\xi) = f_{p,q}(Q + \xi R)$ ;

$$\xi = x + iy, \quad x < 1/|c|; \quad c = \|Q\| / \lambda_{\min}(R)$$

$$F(x) = \alpha x + \beta + \int_{-c}^c \frac{x^2}{tx - 1} d\mu(t)$$

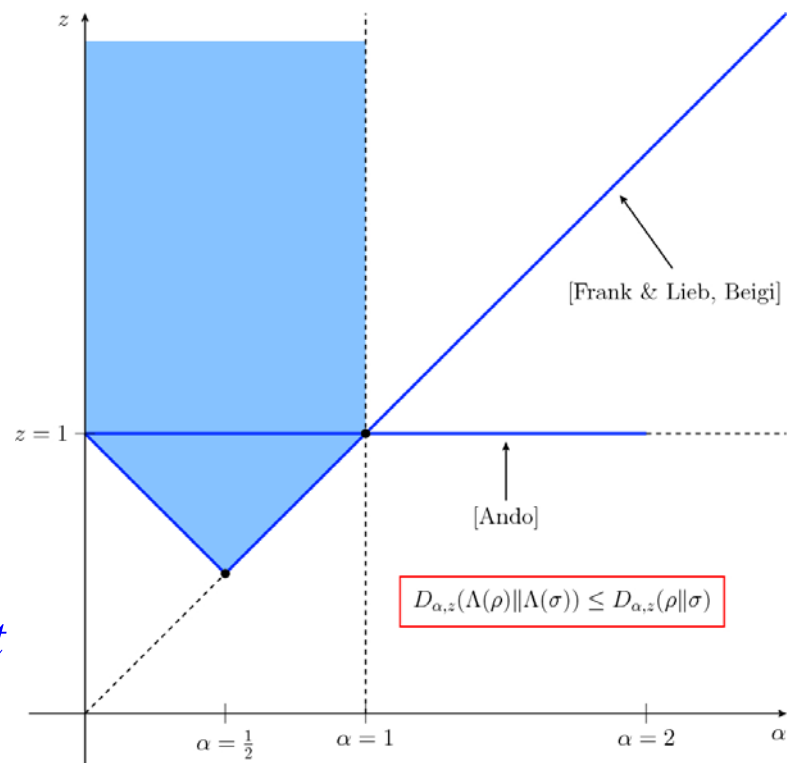
■ kernel

$$g(x) = \frac{x^2}{tx - 1}$$

$$g''(x) = \frac{2}{(tx - 1)^3} < 0$$

for  $x < 1/|c| < 1/t$

$$\Rightarrow F''(x) \leq 0 \Rightarrow F(x) \text{ concave}$$



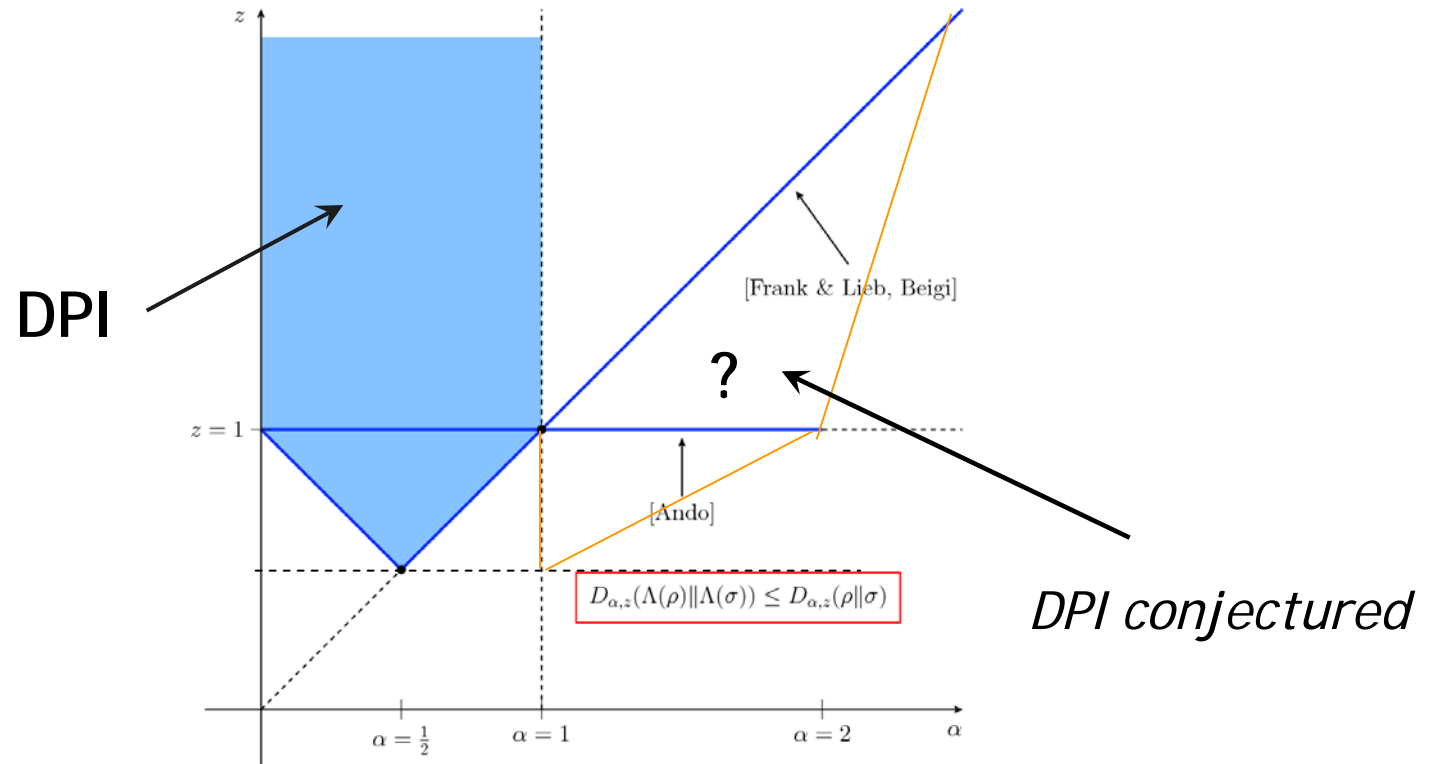
## SUMMARY

- Introduced a 2-parameter family of relative entropies,  
-- that unifies the study of all known relative entropies  
 $\alpha - z$  - relative Renyi entropies:  $\alpha - z$  - RRE

$$D_{\alpha,z}(\rho \parallel \sigma)$$

- These satisfies the quantum generalizations of Renyi's axioms for a relative entropy.
- Focus: For which parameter ranges does it satisfy the DPI ?
- Proved the DPI for  $0 \leq \alpha < 1; \quad z \geq \max(\alpha, 1 - \alpha)$  using the theory of Pick functions.





Thank You!

- Thanks to Koenraad Audenaert;
- & to Felix Leditzky for preparing the figures.

## Summary and conjectures regarding DPI

- Regions of *concavity* (blue) and conjectured *convexity* (orange) of the (reparametrized) trace functional

