

# On Ranks of Hyperbolic Extensions

jt with Sam Taylor

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Talk at: Geometry of Outer spaces and outer automorphism groups  
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Rank:  $rk(G) = \text{minimal cardinality of gen set of a group } G$

- basic, simple minded invariant
- difficult to calculate, even in nice cases!

(Baumslag-Miller-Shore '94) rank problem undecidable for hyperbolic groups

- $\exists$  families of  $f$ -pres grps  $Q$  st
- undecidable if  $Q$  is trivial
  - $rk(Q) \geq 3$  if nontrivial (eg  $Q = Q_0 * Q_1 * Q_2$ )

Contrast  
decision problems  
often easy for hyp  
grps  
(eg: word problem,  
conj problem,  
isomorphism problem)

Rips construction '82

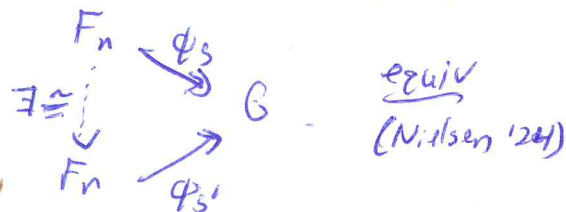
$$1 \rightarrow K_Q \rightarrow G_Q \rightarrow Q \rightarrow 1 \text{ st}$$

- $G_Q$  torsion free, hyp
- $rk(K_Q) \leq 2$

$G_Q = \text{pres for } Q + 2 \text{ generators}$   
and long random  
relators

Related to rank is notion of "Nielsen-Equivalence"

Def 2 gen sets  $S = \{x_1, \dots, x_n\}$  +  $S' = \{x'_1, \dots, x'_n\}$  of  $G$  are Nielsen-Equivalent if



can transform  $\{x_1, \dots, x_n\}$  into  $S$  via moves

- replace  $x_i \mapsto x_i^{-1}$
- swap  $x_i \leftrightarrow x_j$
- replaces  $x_i \mapsto x_i x_j$  some  $j \neq i$

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Today: Look at (rank + NE in) hyperbolic Group Extensions:

SBS:  $1 \rightarrow H \rightarrow G \rightarrow \Gamma \rightarrow 1$  with  $\Gamma, H, G$  int, hyperbolic

## ② Archetypes

(Thurston)  $\Sigma_g$  surf ~~g~~ genus  $g \geq 2$ ,  $f: \Sigma_g \rightarrow \Sigma_g$  pseudo-Anosov

~~Mapping class~~ mapping class  $M_f \hookrightarrow \Sigma_g$   
 $\downarrow$   
 $S'$

Thm  $1 \rightarrow \pi_1(\Sigma_g) \rightarrow \pi_1(M_f) \rightarrow \mathbb{Z} \rightarrow 1$  is hyp

"  
 $\pi_1(\Sigma_g) \not\cong \mathbb{Z}$

Thm (Scott '85)

$\text{rk}(\pi_1(M_f^m)) = 2g+1$  for all

suff large  $m$ .

(Brockman '00, Bestvina-Feighn '92)

If  $\phi \in \text{Out}(F_n)$  atoroidal (no pair ~~of~~  $\phi^k$  fixes any conj class)

$\Rightarrow$  Thm  $1 \rightarrow F_n \rightarrow F_n \rtimes_{\phi} \mathbb{Z} \rightarrow \mathbb{Z} \rightarrow 1$  is hyp.

what about ranks

Thm (O-Taylor)

1) Let  $f_1, \dots, f_k \in \text{Mod}(\Sigma_g)$  be indep  $\rho$ As ~~for~~  $\exists N$  st for  $m_1, \dots, m_k \geq N$ ,

$\Gamma = \langle f_1^{m_1}, \dots, f_k^{m_k} \rangle$  gives hyp extn

$1 \rightarrow \pi_1(\Sigma_g) \rightarrow E_{\Gamma} \rightarrow \Gamma \rightarrow 1$

$\hookrightarrow$  primng of  $\Gamma$  in  $\text{Mod}(\Sigma_g \setminus \{pts\})$

with  $\text{rk}(E_{\Gamma}) = 2g + \frac{1}{2} (= \text{rk}(\pi_1(\Sigma_g)) + \text{rk}(\Gamma))$

and any  $m$ -cont gen sets are Nielsen Equiv.

2) same for  $\phi_1, \dots, \phi_k \in \text{Out}(F_n)$  ~~independent~~ independent atoroidal iwip's

$\Gamma = \langle \phi_1^{m_1}, \dots, \phi_k^{m_k} \rangle$  in  $\text{Aut}(F_n)$ .

Rmk: hyperbolicity already known by Mosher '97 (1) + Bestvina-Feighn-Huclit '97

Goal was to generalize Scott, which we did, but discovered that our approach applied in more generality ~~regard~~ & relied on "Scott Swamp" property.

Def A hyp grp extension  $1 \rightarrow H \rightarrow G \rightarrow \Gamma \rightarrow 1$  has the Scott Swarup Property (SS) if every  $f$ -gen, mt index subgroup of  $H$  is quasi-convex in  $G$ .

Rmk  $f$ -index subgrps of  $H$  are never  $q$ -convex! ( $H \triangleleft G$ !)

Thm (Scott-Swarup) If  $f: \Sigma_g \rightarrow \Sigma_g \neq A$ , then  $1 \rightarrow \pi_1(\Sigma_g) \rightarrow \pi_1(M_f) \rightarrow \Gamma \rightarrow 1$  has (SS).

-very cool, but in fact a general phenomenon:

Thm Suppose  $\Gamma \leq \text{Mod}(\Sigma_g)$  has ~~orbit map~~ orbit map  $\Gamma \rightarrow \mathcal{C}(\Sigma_g) \approx \mathbb{R}^2$ -embeddng. Then

(Forb-Mosher, Hamenstädt, Kerz-Lenninger) ~~Ex~~  $1 \rightarrow \pi_1(\Sigma_g) \rightarrow E_\Gamma \rightarrow \Gamma \rightarrow 1$  is hyp extn

(D-Kerz-Lenninger '14) has (SS)

Thm (D-Taylor) Suppose  $\Gamma \leq \text{Out}(F_n)$  purely atoroidal & ~~has~~ orbit map

$\Gamma \hookrightarrow \mathcal{F}_n$  a  $\mathbb{R}^2$ -embeddng. Then

$1 \rightarrow F_n \rightarrow E_\Gamma \rightarrow \Gamma \rightarrow 1$  is hyp, and has (SS)

So: (SS) turns out to be quite common, & is key thing needed to study ranks: in general

Main Thm (D-Taylor) Let  $1 \rightarrow H \rightarrow G \rightarrow \Gamma \rightarrow 1$  be exact seq of mt hyp grps with (SS) &  $H$  torsion free.

$\forall r \exists N$  s.t. for any subgroup  $\Delta \leq \Gamma$  with

- $rk(\Delta) \leq r$
  - $\|s\|_\Gamma \geq N \forall s \in \Delta \setminus \{1\}$
- ↳ conjugacy length in  $\Gamma$

the subextension  $1 \rightarrow H \rightarrow G_\Delta \xrightarrow{\text{promise}} \Delta \rightarrow 1$  has

- $rk(G_\Delta) = rk(H) + rk(\Delta)$
- any minimal gen set of  $G_\Delta$  is N.E. to one in standard form

• Implies earlier result (can raise to powers)

• Eg: Pass to finite-index  $\Delta \leq \Gamma$

contains min gen set of  $H$

(4)

Rank: SS property is necessary

Ex (Brinkmann) ~~of the example~~

atoroidal  $\phi \in \text{Aut}(F)$ ,  $F = F_m \ast \langle a, b \rangle$  st

$$\phi(F_m) = F_m, \phi(a) = b, \phi(b) = w a v, \text{ some } w, v \in F_m$$

Thm.  $G_\phi = F \rtimes_\phi \mathbb{Z}$  is hyp, but does not have SS

$F_m$  not quasiconvex in  $F_m \rtimes_\phi \mathbb{Z}$ .  
 $\uparrow$  int index  
 $F$

hence not q-convex in  $G_\phi$ .

for all  $k$  odd,  $\phi^k(a) = w_k b v_k$   
 $\phi^k(b) = w'_k a v'_k$

$$\Rightarrow \text{rk}(G_{\phi^k}) \leq m+1 < \text{rank}(F) + 1$$

$\downarrow$  gen by  $F_m + a$

Here Thm fails for  $1 \rightarrow F \rightarrow G_\phi \rightarrow \mathbb{Z} \rightarrow 1$

Sketch of Proof:  $1 \rightarrow H \rightarrow G \rightarrow \Gamma \rightarrow 1$

use image gens in  $\Gamma$

$G$  S-hyp, word length  $\|\cdot\|$

Fix  $N \gg 0$  &  $\Delta \in \Gamma$ , st  $\forall \delta \in A \setminus \{1\}$   
 $\forall \gamma \in \Gamma$   
 $\|\gamma \delta \gamma^{-1}\|_N > N$   
 $\downarrow$   
Have to choose carefully, at end.

Lem  $H \cong F_n, n \geq 3$ , or  $H \cong \Pi_1(\Sigma_g), g \geq 2$

( $\hat{A}$ : JSJ decomp of  $H$ ; if minimal, preserved by  $\Gamma$  + find  $\gamma \in \Gamma$  fixes int-index subgroup of  $H$ )  
 $\downarrow$  by SS

know fin index subgroups of  $F_n$  &  $\Pi_1(\Sigma_g)$

$\Rightarrow$  Every subgroup  $U \leq H$  either quasiconvex or has  $\text{rk}(U) > \text{rk}(H)$

Notation: tuple  $Y = \{y_1, \dots, y_m\}$  in  $G$ .

$$l(Y) = m, \quad \|Y\| = \max_i \|y_i\|$$

$$c(Y) = \min_{g \in G} \|g Y g^{-1}\|$$

"conjugacy length" for the tuple

Lem 2 If tuple  $Y \subset G$  has

- $Y \subset G_\Delta$
- $C(Y) \leq N \rightarrow$  forces ~~generator~~ conjugate of  $Y$  into  $H$ , normal
- $l(Y) < rk(H) \rightarrow$  ensures quasi-convex

Then  $U = \langle Y \rangle \leq H$  &  $U$   $q$ -convex in  $G$ .

Lem 3 If  $U = \langle Y \rangle$  quasi-convex,  $C(Y) \leq r$ , then

some conjugate  $\hat{U}$  of  $U$  is  $A(r)$  quasi-convex,

hence  $d_{Haus}(\hat{U}, \mathcal{H}(\hat{U})) \leq B(r)$

"fat" convex hull of limit set  $\Lambda \hat{U} \subset \partial G$

point: finite list of  $q$ -convex subgroups that can be generated from hull radius  $r$ ,  
take  $A(r) =$  worst  $q$ -convexity constant for such groups

Let  $L =$  minimal length tuple with  $\langle L \rangle = G_\Delta$

know  $l(L) \leq rk(H) + rk(A) \leq rk(H) + r$ .

Induction Suppose  $M = (Y_1, \dots, Y_s; T)$  partitioned tuple Nielsen equiv.  $L$

- st
- $C(Y_i) \leq D \ll N$ , ~~quasi-convex~~
  - $\langle T \rangle \rightarrow \Delta$
  - $l(Y_i) < rk(H)$
- (base case:  $M = ( ; L)$ )

Thm (Kapovich-Weidman '05)  $\exists K = K(rk(H) + r)$  st.

If  $G_\Delta \neq \langle Y_1 \rangle * \dots * \langle Y_s \rangle * \langle T \rangle$  with  $\langle T \rangle$  free, then

$M$  equiv. to  $M' = (Y_1', \dots, Y_s'; T')$  with  $C(Y_i') \leq C(Y_i) +$   
either:

- 1) some  $i \neq j$ ,  $d_{Haus}(\mathcal{H}(\langle Y_i' \rangle), \mathcal{H}(\langle Y_j' \rangle)) \leq K$
- 2) some  $i$  and  $t \in T'$ ,  $d_{Haus}(\mathcal{H}(\langle Y_i' \rangle), t \cdot \mathcal{H}(\langle Y_i' \rangle)) \leq K$
- 3) some  $t \in T'$  has  $C(\langle t \rangle) \leq K$ .

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Lem

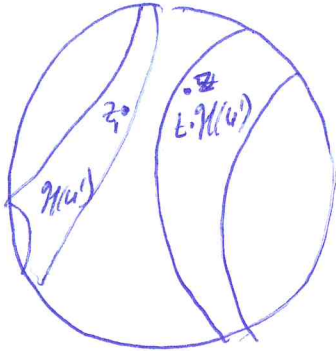
possibility (1)  $\Rightarrow (Y_i^1, Y_j^1)$  N.E to  $Y$  with  $\rho(Y) \leq D' = D'(D)$

possibility (2)  $\Rightarrow (Y_i^1, t)$  N.E to  $Z$  with  $\rho(Z) \leq D'$

Idea for  $Z$ : (1 similar)

$$u^1 = \langle Y_i^1 \rangle$$

$g \in G$  s.t.  $\|g Y_i^1 g^{-1}\| \leq D$  +  $\hat{u} = g \langle Y_i^1 \rangle g^{-1}$  close to  $\mathcal{H}(\hat{u}) = g \cdot \mathcal{H}(\langle Y_i^1 \rangle)$



$$z_1, z_2 \in \mathcal{H}(u^1), \quad d(z_1, z_2) \leq K$$

$w_1, w_2 \in u^1$  s.t.  $d(w_1, w_2) \leq K$

$$d(g w_1 g^{-1}, g z_1) \leq B(D)$$

$$\parallel \\ d(w_1 g^{-1}, z_1)$$

$$\Rightarrow d(w_1 g^{-1}, z_1) \leq 2B(D) + K$$

$\parallel$

$$\parallel g(w_1^{-1} \epsilon w_2) g^{-1} \parallel$$

~~strategy~~

This  $Z = (Y_i^1, w_1^{-1} \epsilon w_2)$  N.E to  $(Y_i^1, t)$  +  $\rho(Z) \leq 2B(D) + K$

get:  $M$  N.E to  $\tilde{M} = (\tilde{Y}_1, \dots, \tilde{Y}_s, \tilde{T})$  with  $\rho(\tilde{Y}_i) \leq \tilde{D}(D)$

$$\cdot \ell(\tilde{T}) < \ell(T) + \tilde{s} \leq s+1$$

$$\cdot \ell(\tilde{T}) = \ell(T) + \tilde{s} < S$$

New repeat repeat; after odd # iterations, must arrive at

$$M = (Y_i, T) \quad \text{where } \langle Y \rangle = H \text{ + } \langle T \rangle \text{ projects } A \quad \square$$