

Lower Semi-continuity of the fundamental group

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GONG SHOW lightning talk

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- University of Warwick, United Kingdom
- July 6th – 10th, 2026

Topology

Gromov-Hausdorff
Convergence

=

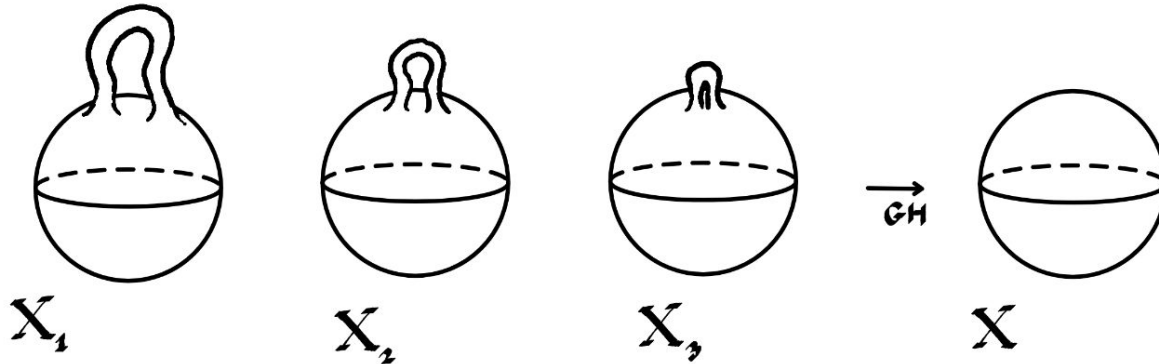
Convergence of
metric spaces

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= Convergence of
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e.g.



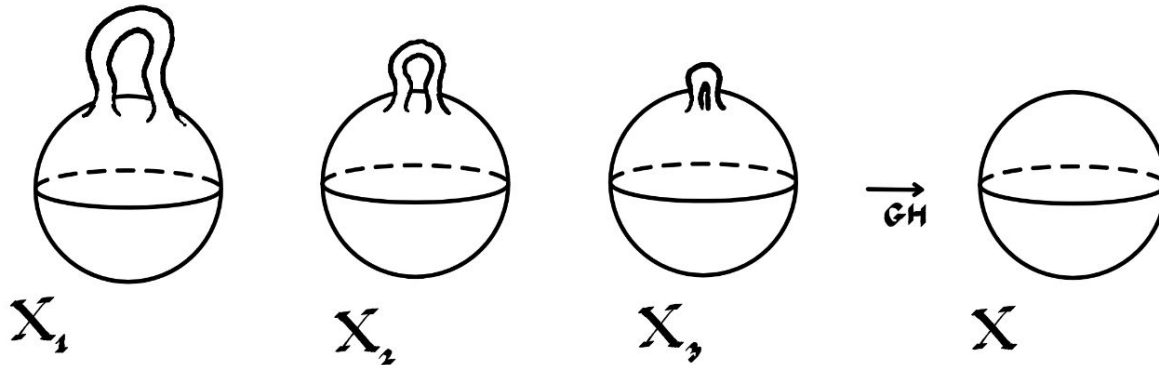
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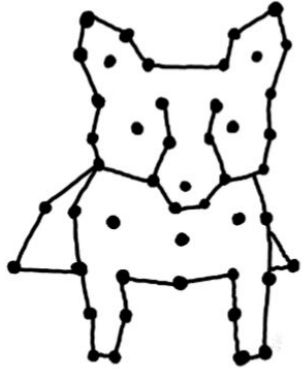


Question: How to relate the topology of the limit to that of the sequence?

Limitations

Limitations

- Topology can appear !



X_1



X_2

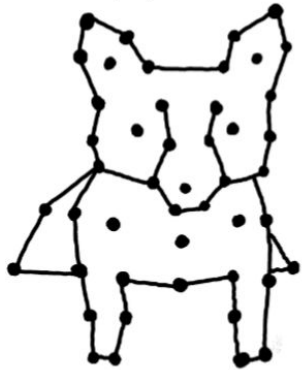
$\xrightarrow{GH}$



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$\xrightarrow{GH}$



X

- Topology can disappear !



X_1



X_2



X_3

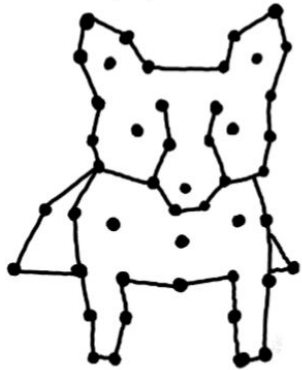
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$\xrightarrow{GH}$



X

"How can we fix this?"

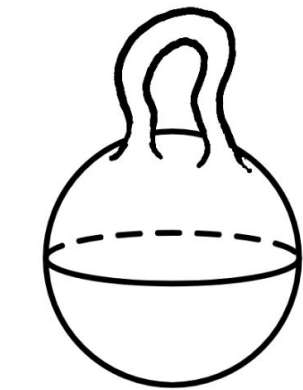
• **COMPACT SETTING** (circa 1985)

THEOREM (Lower semicontinuity of π_1 , Rakotonirainy)

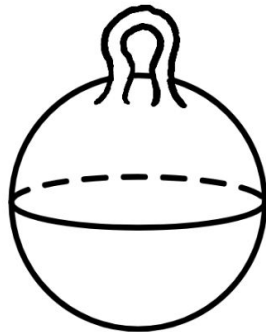
Suppose that $\{X_n\}_{n=1}^\infty$ is a sequence of compact, geodesic spaces, converging to a compact, geodesic, locally-contractible space, X .

Then, for large enough n , there are surjective group homomorphisms

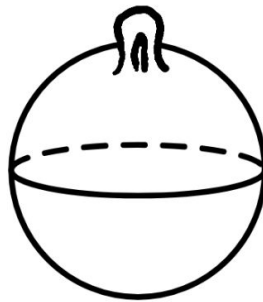
$$\pi_1(X_n) \rightarrow \pi_1(X).$$



X_1

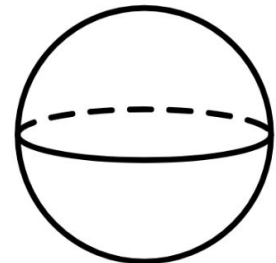


X_2



X_3

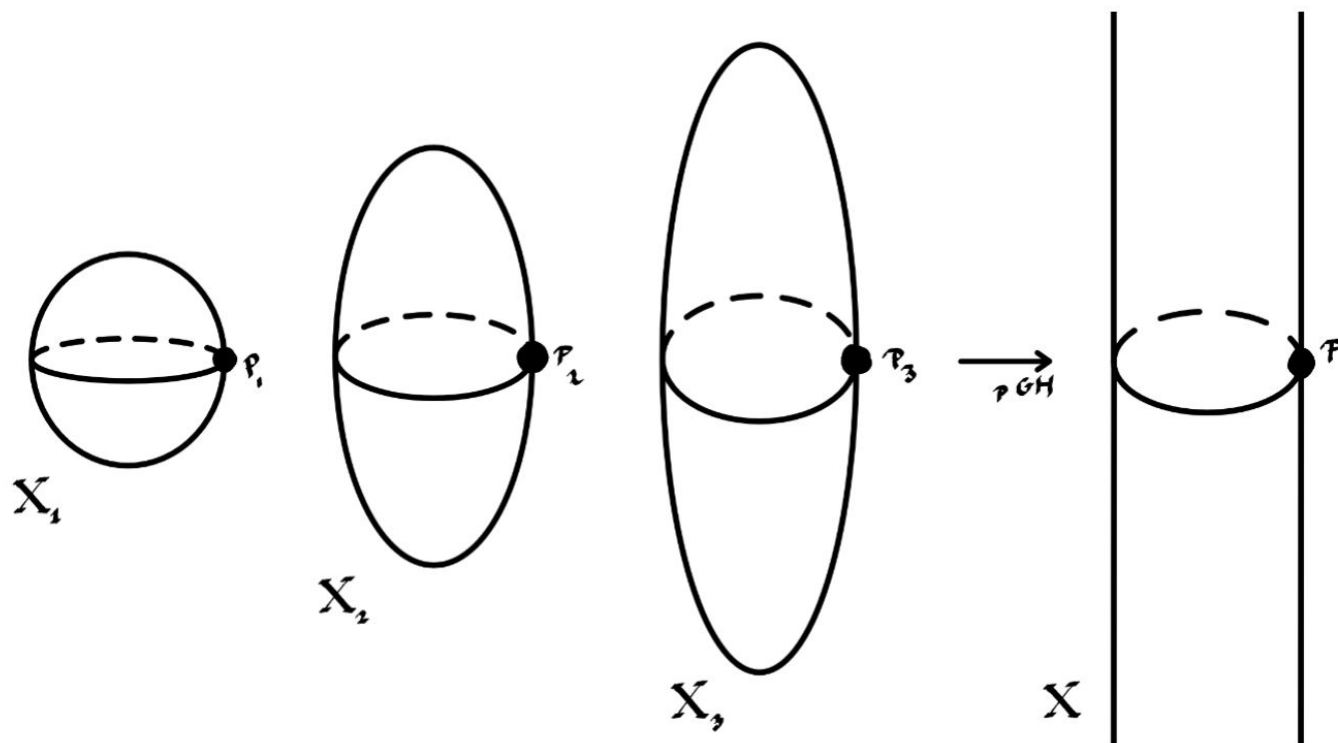
$\xrightarrow{GH}$



X

COROLLARY: Compact, locally-contractible limits of compact, geodesic simply-connected spaces are simply-connected.

non-compact
lower semi-continuity of π , ?



$$\pi_*(X_n) \cong \{0\} \not\rightarrow \mathbb{Z} \cong \pi_*(X_n)$$

Requiring symmetry?

- A metric space, X , is homogeneous if $\text{Iso}(X)$ acts transitively.

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CONJECTURE 0: Suppose that $\{(X_n, p_n)\}_{n=1}^{\infty}$ a sequence of proper, pointed, geodesic spaces, converging to a proper, pointed, geodesic space, (X, p) .

Then, if X_n are $\begin{cases} \text{simply-connected} \\ \text{homogeneous} \end{cases}$ and ,

then X is simply-connected.

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FALSE! There are homogeneous metrics on S^3 (rescaled Berger metrics) converging to $\mathbb{R}^2 \times S^1$.

Add DISCRETE Symmetry

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Then, if X_n are $\left\{ \begin{array}{l} \text{simply-connected and} \\ \exists G_n \leq_{\text{disc}} \text{Iso}(X_n) : \text{diam}(X_n/G_n) \rightarrow 0 \end{array} \right.$,

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- Known when X is locally-contractible.
- Interesting case: X an ∞ -dim.l group.

Outline

A. X is isometric to a nilpotent group, G .

- Theory of **approximate groups** [BGT].

$\xRightarrow{\text{WLOG}}$ $\{G_n \curvearrowright X_n\}$ are "almost nilpotent".

$\implies \lim G_n = G \curvearrowright X$ nilpotent, acting trans.

$\implies X \cong G$.

B. X is locally-contractable iff G is Lie.

- Theorem of **Gleason-Yamabe** (Hilbert 5).

$\implies G / \text{small compact}$ is Lie.

THANKS!

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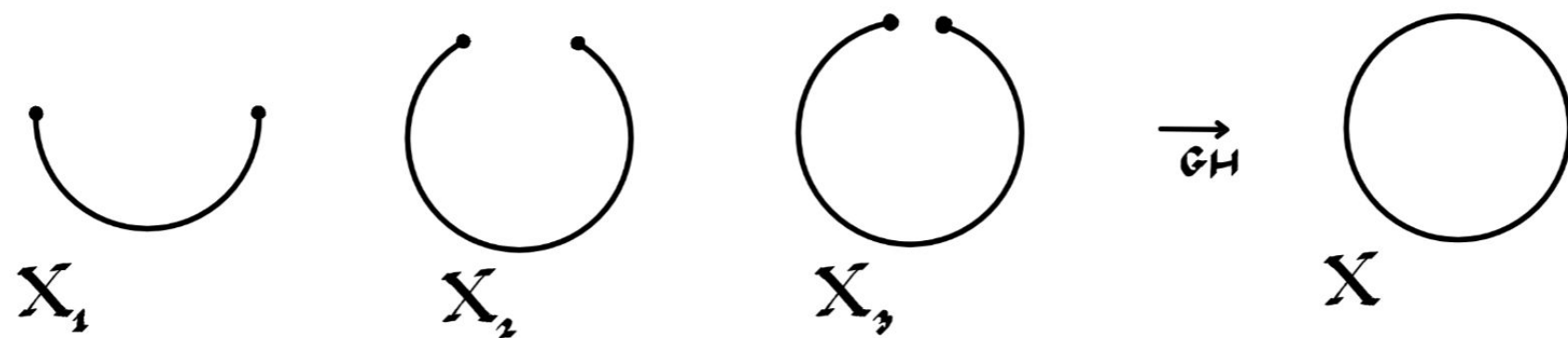


FIGURE 4: A sequence of semi-circles, converging to a circle.

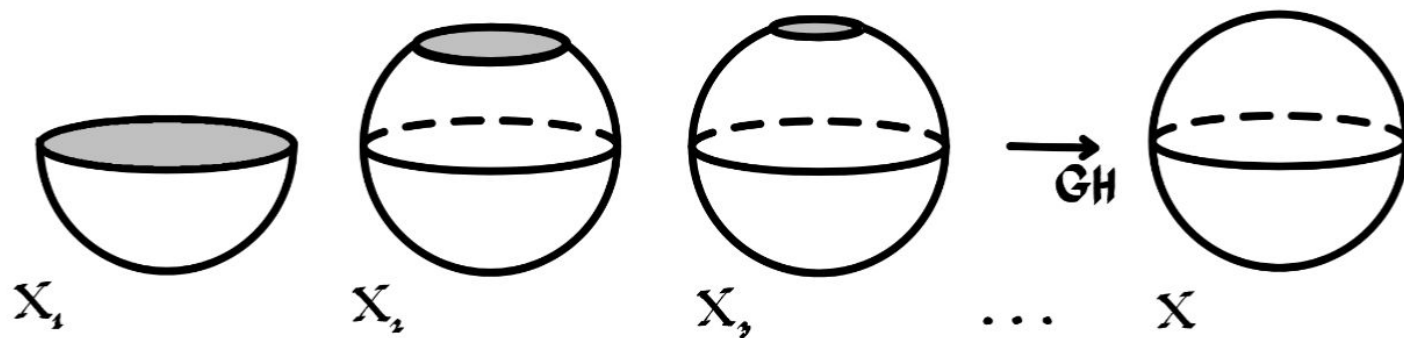


FIGURE 2: A sequence of spheres with smaller and smaller caps removed, converging to a sphere.

Example 0.0.0 (Griffiths twin cone) It might at first appear that it is not possible for a sequence of compact, geodesic, *simply connected* metric spaces to converge in the global Gromov-Hausdorff sense to a space which isn't even semi-locally-simply-connected. The Griffiths Twin Cone (defined as the twin cone over the earring space), however, is a non-semi-locally-simply-connected space, which is the limit of contractible (and hence simply-connected) spaces (see [BRA14], [BRA23], and [GRI54]).

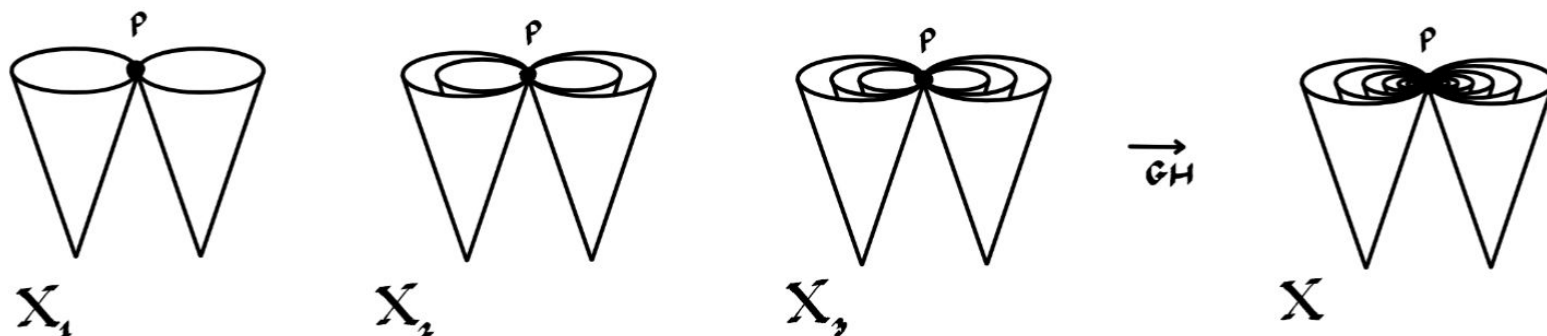
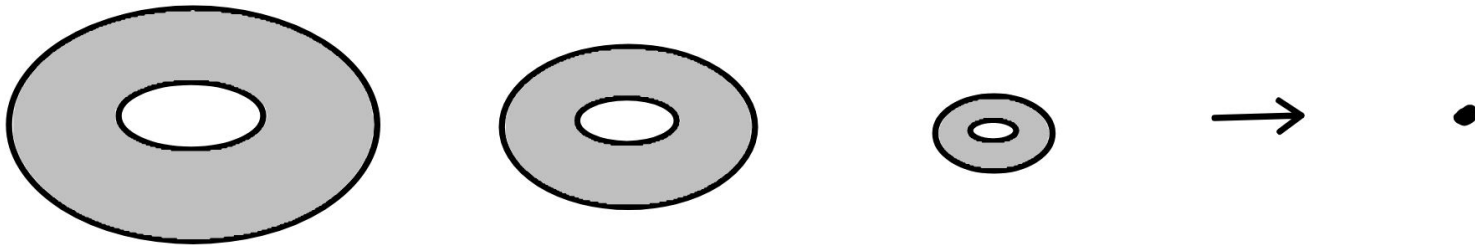
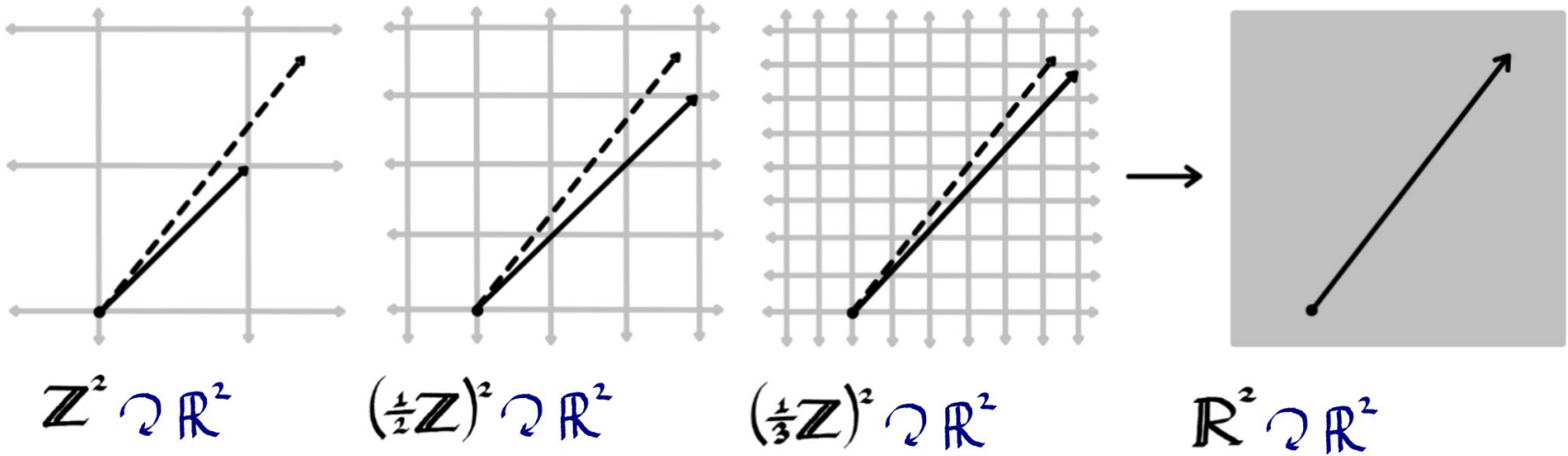


FIGURE 1: A sequence of partial double-cones, converging to the Griffiths twin cone.

DEFINITION: A sequence of proper, geodesic spaces is said to consist of **almost-homogeneous spaces** if, for each n , $\exists \Gamma_n \trianglelefteq \text{Iso}(X_n)$ discrete, with $\text{diam}(X_n / \Gamma_n) \rightarrow 0$.



Folktale

Perelman's finiteness theorem:

Fix $N \in \mathbb{N}$, $K \in \mathbb{R}$, $v, D > 0$. Up to homeomorphism, there are only **finitely-many** Riemannian manifolds satisfying:

$$\dim = N, \text{ sec} > K, \text{ diam} < D, \text{ vol} > v.$$

- By contradiction, a sequence $X_1, X_2, \dots, X_n, \dots$
- Gromov's theory of convergence of metric spaces; precompactness.
- Topological stability.



G. Perelman

THEOREM: (Zamora, 2024) Suppose that $(X_n, p_n) \xrightarrow{p_{GH}} (X, p)$ consists of almost-homogeneous spaces, $X: SLSC$.

Then, for large enough n , $\exists H_n \cong \pi_1(X)$ and surjective group homomorphisms

$$\pi_1(X_n) \rightarrow H_n .$$

Example 1.9. Let Y be $\mathbb{S}^1$ with its standard metric of length 2π and Z_n be $\mathbb{S}^3$ with the round (bi-invariant) metric of constant curvature $1/n^2$. Let X_n be the quotient $(Y \times Z_n)/\mathbb{S}^1$ where $\mathbb{S}^1$ acts on $Y \times Z_n$ as follows:

$$z(w, q) = (wz^{-1}, zq) : z, w \in \mathbb{S}^1, q \in \mathbb{S}^3.$$

Then X_n is isometric to $\mathbb{S}^3$ equipped with a re-scaled Berger metric. The sequence X_n consists of simply connected homogeneous spaces, but its pointed Gromov–Hausdorff limit is $\mathbb{S}^1 \times \mathbb{R}^2$, which is not simply connected.

Example 1.10. Define a “dot product” $\mathbb{R}^4 \times \mathbb{R}^4 \rightarrow \mathbb{R}^6$ in $\mathbb{R}^4$ as

$$(a \cdot b) := (a_1b_2, a_1b_3, a_1b_4, a_2b_3, a_2b_4, a_3b_4), \quad a, b \in \mathbb{R}^4.$$

With it, define a group structure on $\mathbb{R}^4 \times \mathbb{R}^6$ as

$$(a, x) \cdot (b, y) := (a + b, x + y + (a \cdot b)), \quad a, b \in \mathbb{R}^4, \quad x, y \in \mathbb{R}^6.$$

Let G be the above group equipped with a left invariant Riemannian metric. For each n , define the subgroups $K \leq K_n \triangleleft G_n \leq G \cong \mathbb{R}^4 \times \mathbb{R}^6$ as:

$$\begin{aligned} K &:= \{0\} \times \mathbb{Z}^6 \\ K_n &:= (n\mathbb{Z}^4) \times \mathbb{Z}^6 \\ G_n &:= \left(\frac{1}{n}\mathbb{Z}^4 \right) \times \left(\frac{1}{n^2}\mathbb{Z}^6 \right). \end{aligned}$$

The sequence $X_n := G/K_n$ converges in the pointed Gromov–Hausdorff sense to $X := G/K$. Since the sequence of finite groups G_n/K_n acts on X_n with $\text{diam}(X_n/(G_n/K_n)) \rightarrow 0$, the sequence X_n consists of almost homogeneous spaces. A direct computation shows that the abelianization of $\pi_1(X_n) = K_n$ is isomorphic to

$$\mathbb{Z}^4 \oplus (\mathbb{Z}/n^2\mathbb{Z})^6.$$

Then it is easy to see that $\pi_1(X) = K \cong \mathbb{Z}^6$ is not a quotient of $\pi_1(X_n)$ for any n .

DEFINITION 1.2.2 Let X be a proper metric space, and distinguish a point $p \in X$. Then, define $d_p : \text{Iso}(X) \times \text{Iso}(X) \rightarrow [0, +\infty]$ by

$$d_p(g, h) := \inf_{r>0} \left\{ \frac{1}{r} + \sup_{x \in B_r(p)} \{d(g(x), h(x))\} \right\} .$$

The function, d_p , endows $\text{Iso}(X)$ with many nice properties, as is outlined in the following theorem:

Proposition 1.F *Let X be a proper metric space, and distinguish a point $p \in X$. Then, the following hold:*

- (i) $(\text{Iso}(X), d_p)$ is a metric space.
- (ii) The metric d_p is left-invariant with respect to composition; that is,
$$\forall f, g, h \in \text{Iso}(X) : \quad d_p(g, h) = d_p(f \circ g, f \circ h) .$$
- (iii) The space $(\text{Iso}(X), d_p)$ is proper.
- (iv) The metric d_p induces the compact-open topology on $\text{Iso}(X)$ (or, equivalently, the topology of uniform convergence on compact sets).
- (v) Closed subgroups generate closed orbits; that is, for each closed subgroup $\Gamma \leq \text{Iso}(X)$, we have that, for each $x \in X$, the orbit $\Gamma \cdot x := \{y \in X \mid \exists f \in \Gamma : f(y) = x\}$ is a closed subset of X .

- There are other nice answers to our main question in more specific cases:
- X_n , d -dim, compact RM, $\text{vol}(X_n) \geq \varepsilon > 0$, $X_n \rightarrow_{G_n} X$.
- Cheeger, Colding: X RM
 $\text{Ric}(X_n) \geq k \iff X_n \overset{\text{smooth limit}}{\cong}_{\text{diff}} X$ eventually.
- Perelman:
 $\text{sec}(X_n) \geq \kappa \iff X_n \overset{\text{limit with singularities}}{\cong}_{\text{homeo}} X$ eventually.

Theorem 2.J (Wang, 2022) *Let $\{(M_i, g_i)\}_{i=1}^{\infty}$ be a sequence of compact, Riemannian n -manifolds, with $\text{Ric}(M_i) \geq -(n-1)$ for each i and $\text{diam}(M_i) \leq D$, for some fixed D . Suppose that $M_i \rightarrow_{GH} X$. Then, X is semi-locally-simply-connected.*

Theorem 2.K (Ferry-Okun, 1995) *Let M be a closed smooth manifold of dimension greater or equal to 3. Suppose that X is a compact, connected Riemannian manifold. Then, the following are equivalent:*

- (i) *There exists a sequence of Riemannian metrics, $\{g_n\}_{n=1}^{\infty}$, on M such that $(M, g_n) \rightarrow_{GH} X$.*
- (ii) *There exists a continuous function $f : M \rightarrow X$ inducing a surjective group homomorphism $f_* : \pi_1(M) \rightarrow \pi_1(X)$.*

In particular, any simply-connected Riemannian manifold, X , is approximated in the global Gromov-Hausdorff sense arbitrarily-well by “distortions” of $M = \mathbb{S}^3$ via the Riemannian metrics, g_n , in Theorem 2.K.