



# DOMAIN PROTOCOL

Airway Pressure Release Ventilation (APRV) vs conventional ventilation for patients with moderate to severe acute hypoxemic respiratory failure: The RELEASE trial

### To be read in conjunction with CoReCCT Master Protocol

CoReCCT (Confederation of Respiratory Critical Care Trials)

ISRCTN	ISRCTN17158033
Sponsor:	University of Warwick
Funding Body:	NIHR, HTA programme
Ethics Approval date:	Wales REC 2 (24/WA/0128) 08 July 2024
IRAS reference	335630
Version	2.0
Date:	13Jun2024
Stage:	Final - Approved

This protocol has regard for current HRA guidance and content.

Protocol Amendments:

Amendment No.	Date of Amendment	Date of Approval			







National Institute for Health and Care Research

### **CONTACT NAMES AND NUMBERS**

### Sponsor

Name of Sponsor: University of Warwick Sponsor contact: Carole Harris Address: Research and Impact Services, University House, University of Warwick, Gibbet Hill Road, Coventry, CV4 8UW Telephone: 02476 575 733 Email: sponsorship@warwick.ac.uk

### **Chief Investigator**

Name: Prof Luigi Camporota Address: Department of Critical Care, Guy's & St Thomas' NHS Foundation Trust, Westminster Bridge, SE1 7EH Telephone: 07960882183 Email: luigi.camporota@gstt.nhs.uk

### **Co-chief Investigator**

Name: Prof Danny McAuley Address: Wellcome-Wolfson Institute for Experimental Medicine, The Queen's University of Belfast, Belfast, UK Email: d.f.mcauley@qub.ac.uk

### **Co-investigators**

Name: Prof Louise Rose Address: King's College London, London, SE1 8WA Email: louise.rose@kcl.ac.uk

Name: Prof Gavin Perkins Address: WMS - Warwick Clinical Trials Unit, University of Warwick, Warwick, UK Email: g.d.perkins@warwick.ac.uk

Name: Prof Gary Mills Address: Sheffield Teaching Hospitals NHS Foundation Trust, Sheffield, UK Email: g.h.mills@sheffield.ac.uk

Name: Prof Manu Shankar-Hari Address: Institute for Regeneration and Repair, University of Edinburgh, Edinburgh, UK Email: manu.shankar-hari@kcl.ac.uk

### **Patient Investigators**

Name: Brian Williams Email: BrianWilliams@pgatourintl.co.uk

Name: Kai Hughes Email: kaihughes@hotmail.com

### Collaborators

Name: Prof Tamas Szakmany Address: Aneurin Bevan University Health Board, Newport, UK Email: tamas.szakmany@wales.nhs.uk

Name: Prof John Laffey Address: University of Galway, Galway, Ireland Email: john.laffey@nuigalway.ie

### Statisticians

Name: Prof Ranjit Lall Address: WMS - Warwick Clinical Trials Unit, University of Warwick, Warwick, UK Email: R.Lall@warwick.ac.uk

Name: Chen Ji Address: WMS - Warwick Clinical Trials Unit, University of Warwick, Warwick, UK Email: C.Ji.3@warwick.ac.uk

### **Health Economists**

Name: Rebecca Kandiyali Address: WMS - Warwick Clinical Trials Unit, University of Warwick, Warwick, UK Email: Rebecca.Kandiyali@warwick.ac.uk

Name: Mandana Zanganeh Address: WMS - Warwick Clinical Trials Unit, University of Warwick, Warwick, UK Email: Mandana.Zanganeh@warwick.ac.uk

### **Trial Steering Committee:**

The TSC members and contact details are listed in the CoReCCT Master Protocol as an overarching TSC for CoReCCT.

### **Data Monitoring Committee:**

The DMC members and contact details are listed in the CoReCCT Master Protocol as an overarching TSC for CoReCCT.

For general queries and supply of trial materials please contact the coordinating centre: Warwick Clinical Trials Unit (WCTU) Email: release@warwick.ac.uk

RELEASE Domain Protocol Version 2.0, 13Jun2024

### **TABLE OF CONTENTS**

### PAGE

CONTACT	NAMES AND NUMBERS	. 2
	TABLE OF CONTENTS	.4
TRIAL SUN	MMARY	. 7
	LIST OF ABBREVIATIONS/GLOSSARY	. 9
1.	BACKGROUND	11
1.1	Epidemiology and burden of the condition	11
1.2	Existing knowledge	11
1.3	Hypothesis	12
1.4	Need for a trial	12
1.5	Assessment and management of risk	12
2.	TRIAL DESIGN	13
2.1	Trial summary and flow diagram	13
2.2	Trial setting	15
2.3	Internal Pilot	15
2.4 2.4.1 2.4.2 2.4.3 2.4.4	Aims and objectives Primary objective Secondary objective Primary and secondary endpoints Cost-effectiveness Objective	16 16 16
2.5 2.5.1 2.5.2	Eligibility criteria Inclusion criteria Exclusion criteria	17 17
2.6	Participant identification / Screening	18
2.7	Informed consent	18
2.8 2.8.1	Randomisation Randomisation	
2.8.2	Post-randomisation withdrawals	19
2.9 2.9.1 2.9.2	Trial intervention Intervention arm (APRV protocol) Initial APRV Settings	19
2.9.2.1	Transition to APRV	
2.9.2.2	Challenges potentially encountered during transitioning to APRV	
2.9.2.3 2.9.2.4	Optimising and Titrating APRV	
2.9.2.5 2.9.3	Weaning APRV Control Arm	23

2.9.3.1	Weaning in the control arm	24
2.9.4	Both trial arms	
2.9.4.1	Refractory Hypoxaemia	
2.9.4.2	Other Clinical Management	
2.9.4.3 2.9.4.4	Crossover Site Staff Training	
2.9.4.4	Compliance/contamination	
	•	
2.10 2.10.1	Blinding Methods for blinding and measures to avoid bias	
2.11	Co-enrolment into other trials	26
3.	METHODS AND ASSESSMENTS	27
3.1	Schedule of delivery of intervention and data collection	27
3.2	Optional blood samples	28
3.3	Follow-up Procedures	28
4.	ADVERSE EVENT MANAGEMENT	28
4.1	Pre Specified Complications	28
4.2	Expected Events	29
5.	DATA MANAGEMENT	29
5.1	Data collection and management	29
5.2	Data Shared with Third Parties	29
5.3	Archiving	29
6.	STATISTICAL ANALYSIS	30
6.1	Power and sample size	30
6.2	Statistical analysis of efficacy and harms	30
6.2.1	Planned recruitment rate	
6.2.2	Statistical analysis plan	
6.2.3.1	Summary of baseline data and flow of patients	
6.2.3.2 6.2.3.3	Primary outcome analysis Secondary outcome analysis	
6.3	Subgroup analyses	
6.4	Interim analysis	
6.5	Health Economic Evaluation	
7.	TRIAL ORGANISATION AND OVERSIGHT	
7.1	Sponsor and governance arrangements	
7.2	Ethical approval	
7.3	Trial Registration	
7.4	Notification of serious breaches to GCP and/or trial protocol	
7.5	Indemnity	34

7.6	Trial timetable and milestones	34
7.7	Administration	34
7.8	Trial Management Group (TMG)	34
7.9	Trial Steering Committee (TSC)	34
7.10	Data Monitoring Committee (DMC)	34
7.11	Essential Documentation	35
7.12	Financial Support	35
7.13	Safeguarding Researchers and Research Participants	35
8.	MONITORING, AUDIT AND INSPECTION	35
8.1	Training	35
8.2	Data Quality	35
8.3	Visits to Sites	35
9.	PATIENT AND PUBLIC INVOLVEMENT (PPI)	36
10.	DISSEMINATION AND PUBLICATION	36
11.	REFERENCES	37

### LIST OF TABLES

# Table 1: Internal Pilot Recruitment Rates15Table 2: Progression Criteria15Table 3: Initial Settings21Table 4: Rationale for Selected Settings21Table 5: Evidence-based standard of care24Table 6: Project Milestones34

### LIST OF FIGURES

### PAGE

PAGE

Figure 1: Meta-analysis of ventilation duration (unpublished data)	. 11
Figure 2: Trial flow diagram	. 14
Figure 3: Transition to APRV	. 20
Figure 4: Optimising APRV	. 23
Figure 5: Weaning APRV	. 24
Figure 6: Recruitment and site set up projections	. 31

### **TRIAL SUMMARY**

Trial Title	Airway Pressure Release Ventilation (APRV) vs conventional ventilation for patients with moderate to severe acute hypoxemic respiratory failure: The RELEASE trial								
Internal ref. number (or short title)	RELEASE								
Clinical Phase	Phase III effectiveness & cost-effectiveness								
Purpose of research	To investigate, using a multi-centre, parallel group, pragmatic, randomised controlled trial design, the clinical and cost effectiveness of early APRV compared to conventional lung protective invasive mechanical ventilation (IMV) in patients with moderate-severe acute hypoxic respiratory failure (AHRF).								
Trial Design	Parallel group randomised controlled trial with internal pilot and cost effectiveness analysis								
Trial Participants	CCU patients with moderate to severe acute hypoxaemic respiratory failure (AHRF)								
Planned sample size	710								
Inclusion Criteria	<ol> <li>Age ≥ 18 years</li> <li>Receiving invasive mechanical ventilation</li> <li>Moderate to severe AHRF (PaO<sub>2</sub>/FiO<sub>2</sub> &lt;20kPa with Positive End Expiratory Pressure (PEEP) ≥5 cmH<sub>2</sub>O assessed at time of screening (or as documented in the medical record in the preceding 2 hours) for trial inclusion</li> <li>Expected to stay on invasive mechanical ventilation for &gt;48hrs</li> </ol>								
Exclusion Criteria	<ol> <li>Receiving IMV ≥ 60 hours at time of screening as will be unable to deliver early APRV</li> <li>Primary reason for invasive mechanical ventilation is one of the following:         <ul> <li>Asthma</li> <li>Severe COPD</li> <li>Pulmonary embolism (massive or sub-massive) (as cause of hypoxaemia is not primarily due to collapse of lung tissue)</li> <li>Existing neuromuscular disease such as Motor Neurone Disease, Guillain Barre or Myasthenia Gravis (as the cause of respiratory failure is not primarily lung-related)</li> </ul> </li> <li>Refractory shock (systolic blood pressure &lt; 90 mmHg, despite fluid administration and vasoactive drugs)</li> <li>Severe hypercapnic respiratory acidosis (pH &lt;7.20 on the arterial blood gas assessed for trial inclusion)</li> <li>Ongoing air leak (e.g. unresolved pneumothorax at time of screening)</li> </ol>								

	<ol> <li>Traumatic brain injury with uncontrolled intracranial hypertension</li> </ol>								
	7. Likely death or treatment withdrawal in next 24 hours								
	8. Home ventilation or home oxygen therapy prior to								
	admission								
Intervention	Airway Pressure Release Ventilation (APRV). APRV is a method								
	of IMV which uses longer inspiration times followed by a brief								
	expiration. The longer inspiration time enables alveolar								
	recruitment and oxygenation while the short expiration time								
	maintains lung volume. This reduces shear-stress damage to the								
	alveoli, whilst maintaining adequate ventilation.								
Control	Standard lung protective IMV								
Follow-up Duration	6 months								
	Objectives								
Primary Outcome	Duration of invasive mechanical ventilation (time from								
	randomisation to first successful unassisted breathing or death)								
Secondary Outcomes	1. All-cause mortality at 2 and 6 months								
	2. Time to first extubation								
	3. Reintubation								
	4. Use of non-invasive ventilation following extubation								
	5. CCU and hospital length of stay								
	6. Serious adverse events up to hospital discharge								
	7. Health related quality of life (EQ-5D-5L) at 2 and 6								
	months after randomisation								
	8. Acute health care use at 2 and 6 months after								
	randomisation								
	We will conduct a within-trial cost-utility analysis from an NHS								
	hospital care perspective								
Statistical methods	Intention to treat and per protocol analyses.								
	Cox proportional hazard regression model to estimate the treatment								
	difference reporting hazards ratios and their 95% confidence intervals								
	(CIs), using both unadjusted and adjusted estimates.								
	Mean difference with 95% CIs using linear regression.								

# LIST OF ABBREVIATIONS/GLOSSARY

AHRF	Acute Hypoxaemic Respiratory Failure
APRV	Airway Pressure Release Ventilation
ARDS	Acute Respiratory Distress Syndrome
ССЛ	Critical Care Unit (both ICU and HDU)
CI	Chief Investigator
Cls	Confidence Intervals
eCRF	electronic Case Report Form
DMC	Data Monitoring Committee
ECMO	Extracorporeal Membrane Oxygenation
HEAP	Health Economics Analysis Plan
HES	Hospital Episode Statistics
HDU	High Dependency Unit
HFNC	High flow nasal cannula
HrQoL	Health-related Quality of Life
ICF	Informed Consent Form
ICNARC	Intensive Care National Audit & Research Centre
ICU	Intensive Care Unit
IMV	Invasive Mechanical Ventilation
ISRCTN	International Standard Registered Clinical/soCial sTudy Number
NIV	Non-invasive ventilation
PaO <sub>2</sub> /FiO <sub>2</sub>	Ratio of partial pressure of oxygen in arterial blood (PaO <sub>2</sub> ) to the fraction of
	inspiratory oxygen concentration (FiO <sub>2</sub> )
PBW	Predicted body weight
PEEP	Positive-end expiratory pressure
P <sub>HIGH</sub>	Positive pressure applied during the inspiratory phase
PI	Principal Investigator
PIP	Peak inspiratory pressure
P <sub>LOW</sub>	Positive pressure applied during the expiratory phase
PPI	Public and Patient Involvement
QALY	Quality Adjusted Life Year
R&D	Research and Development
RASS	Richmond Agitation Sedation Scale
RCT	Randomised Controlled Trial

REC	Research Ethics Committee
RR	Respiratory rate
SAE	Serious Adverse Event
Тнібн	Time spent in the inspiratory phase
T <sub>LOW</sub>	Time spent in the expiratory phase
TMG	Trial Management Group
TSC	Trial Steering Committee
VE	Minute Ventilation
Vt	Tidal Volume
VILI	Ventilator Induced Lung Injury

### 1. BACKGROUND

### 1.1 Epidemiology and burden of the condition

Approximately 130,000 adults are admitted to critical care units (CCUs) in the UK each year, 40-45% of whom require invasive mechanical ventilation (IMV).[1] Acute hypoxaemic respiratory failure (AHRF) is the most common reason for IMV and is associated with serious morbidity and a mortality which remains high at ~40%.[2] Although lifesaving, IMV can cause additional lung injury (termed ventilator induced lung injury - VILI).[3]

To minimise ventilator induced lung injury, UK guidelines recommend using IMV with a low tidal volume and low inflation pressure, generally with a short time for inspiration (breathing in) and longer time for expiration (breathing out).[4] However, injured lungs inflate slowly and deflate quickly. Consequently, most injured lungs need more time (i.e., longer inspiration) so that they can achieve a more gradual and complete inflation. A shorter expiration prevents lung collapse and injury. Therefore, the currently recommended method of IMV may perpetuate VILI leading to more days on a ventilator and increased risk of death.

Airway Pressure Release Ventilation (APRV) is an innovative ventilatory strategy available on all CCU ventilators at no additional cost to the National Health Service (NHS). APRV may improve gas exchange and minimise VILI by reducing excessive lung stretch and preventing lung collapse. [5, 6]

### 1.2 Existing knowledge

APRV is one of three interventions not currently standard of care in the NHS (others are corticosteroids and non-invasive ventilation) with evidence of potential effectiveness which requires further study.[7] Four recent systematic reviews (totalling 10 studies, 519 participants) on APRV[8-11] suggest APRV reduces time spent on the ventilator and mortality. One review[9] (7 trials, 405 participants) found an increased number of ventilator free days at day 28 with APRV compared to conventional ventilation (mean difference 5.4 days). Three reviews [9, 10, 12] report a mortality benefit favouring APRV while two report improved gas exchange but no difference in mortality and ventilation free days[11, 13]. One subsequent trial (65 participants)[14] reported no difference in mortality or IMV duration, although median CCU length of stay was 5 days shorter in the APRV arm.

igure 1: Meta-a	analys	nalysis of ventilation duration (unpublished data)						Mean Difference IV, Random, 95% CI	
		APRV			Control			Mean Difference	•
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% Cl	-
Ibarra-Estrada 2022	9	5.925	45	10	5.185	45	19.9%	-1.00 [-3.30, 1.30]	•
Kucuk 2021	9.84	8.033	32	13.52	12.826	33	14.4%	-3.68 [-8.87, 1.51]	
Maxwell 2010	10.49	7.23	31	8	4.01	32	18.9%	2.49 [-0.41, 5.39]	
Ota 2009	17	17	17	23	20	40	7.2%	-6.00 [-16.18, 4.18]	
Putensen 2001	15	2	15	21	2	15	21.1%	-6.00 [-7.43, -4.57]	
Zhou Y 2017	8	6.67	71	15	11.11	67	18.5%	-7.00 [-10.08, -3.92]	-100 -50 0 50 10
Total (95% CI)			211			232	100.0%	-3.26 [-6.60, 0.09]	Favours [APRV] Favours [control]
Heterogeneity: Tau <sup>2</sup> =	13 23 0	$hi^2 = 37$	08 df	$= 5 (P \leq$	0.00001	$  ^{2} = 8$	7%		

Heterogeneity: Tau<sup>\*</sup> = 13.23; Chi<sup>\*</sup> = 37.08, df = 5 (P < 0.00001); I<sup>\*</sup> = 87% Test for overall effect: Z = 1.91 (P = 0.06)

To obtain data on IMV duration, we conducted a meta-analysis of the studies reporting IMV duration (Figure 1) and we found that APRV was associated with a mean reduction in IMV duration of 3.3 days (95% CI -6.6 to 0.1 days) (I<sup>2</sup>=87%). However, evidence certainty is low, due to methodological limitations and trial heterogeneity. Most of the trials are small and few primarily recruit patients with moderate AHRF early in the course of IMV. Furthermore, no study to-date has reported on cost-effectiveness of APRV.

### 1.3 Hypothesis

Our primary hypothesis is that adult CCU patients requiring IMV for moderate-severe AHRF will have a shorter duration of mechanical ventilation if ventilated with APRV compared to usual care.

### 1.4 Need for a trial

Many patients require IMV for AHRF which is associated with significant morbidity and mortality which may in part be attributable to the current way IMV is delivered in the NHS. Furthermore, significant NHS costs are incurred to care for these patients (average CCU cost is £1648/day,[15] with an average IMV duration of 6 days). Total costs increase with longer duration of IMV. Therefore, it is likely that optimisation of IMV could reduce lung damage, favour faster healing, thereby reducing IMV duration, mortality, and costs. In addition, survivors of AHRF experience reduced health-related quality of life[16] with many unable to return to previous levels of activity including work and education. This results in substantial costs to the NHS and to society. If APRV reduces the time spent receiving IMV this may shorten time to return to pre-CCU quality of life.

The RELEASE trial addresses a James Lind Alliance Priority Setting Partnership CCU top priority 'What is the best way of preventing lung damage of patients receiving respiratory support?'[17] A NIHR research priority setting exercise highlighted the need for robust UK data on the effect of APRV.[18] Finally, this proposed trial received the 2022 UK Intensive Care Society Research Prioritisation Exercise award (providing £50K pump priming funds) highlighting it as the trial given the highest priority for conduct by the UK CCU community. Our Patient, Public Involvement (PPI work also endorsed the study importance and helped us refine our research questions and the outcomes to be measured.

To inform this proposal, we queried the UK Severe Respiratory Failure Referral Database. Over the last two years 740/3650 (21%) patients were on APRV at referral for extracorporeal membrane oxygenation (ECMO). From Feb to April 2022, we surveyed CCU consultants referring these patients. Of 160 consultants representing 92 of the 128 UK hospitals making referrals to the Severe Respiratory Failure service, we found 108 (80%) used APRV for patients with AHRF, although it was mainly used as a rescue mode (73/108 consultants, 68%). In addition, 83% felt more evidence on APRV was needed and 75% would consider taking part in a trial further highlighting the equipoise in the clinical community [19].

### 1.5 Assessment and management of risk

While APRV is commonly used in the NHS, there is significant variation in its use likely due to the limited evidence for its clinical and cost effectiveness, or absence of harm. If effective, our group will work with clinicians, professional societies, and NICE to implement APRV more widely to improve patient outcomes and reduce NHS costs. If ineffective or harmful, our group will work to de-implement this intervention.

### 2. TRIAL DESIGN

### 2.1 Trial summary and flow diagram

We will conduct a multi-centre, randomised, allocation concealed, controlled, open label, pragmatic, parallel group clinical and cost effectiveness trial with an internal pilot. The internal pilot will run for 8 months in 20 sites (with staggered starts to facilitate site initiation visits and site support). The internal pilot will use identical processes as the main trial and will assess site set-up, screening, participant recruitment, protocol adherence, and cross over rates. Progression criteria are outlined below in section 2.3.1.2. All participants included in the internal pilot will be included in the final analyses.

### **PICO Summary**

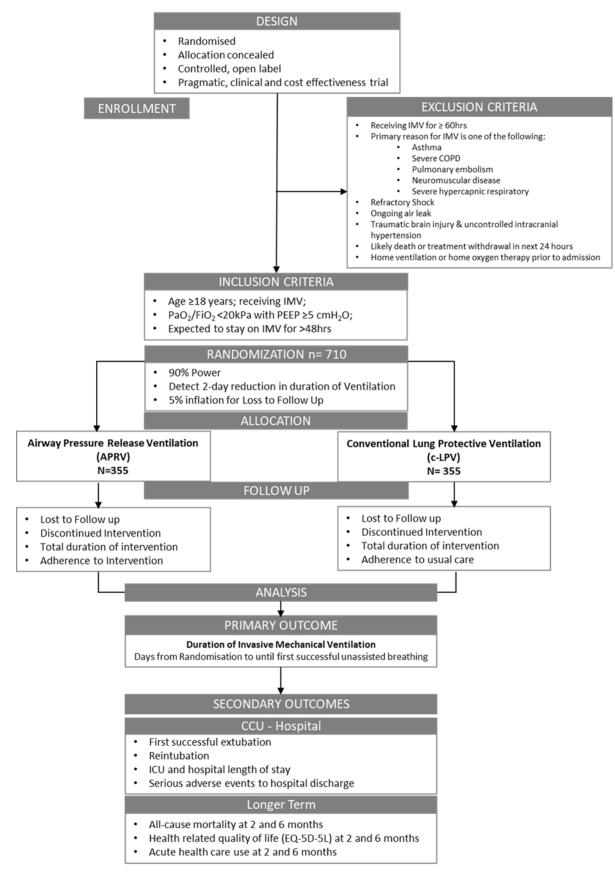
Population: Patients receiving invasive mechanical ventilation for moderate to severe AHRF

Intervention: Early APRV

Comparator: Standard conventional lung protective invasive mechanical ventilation (no APRV) .

<u>Outcome</u>: Duration of invasive mechanical ventilation from randomisation (primary clinical effectiveness), plus cost-utility at 6-months.

### Figure 2: Trial flow diagram



### 2.2 Trial setting

The RELEASE trial will be conducted in approximately 40 UK CCUs with a proven track record of participating in CCU research. The CCUs must provide evidence that they have access to the trial population, that consultants in the CCU have clinical equipoise for APRV in this clinical setting and agree to maintain trial allocation in patients randomised by their colleagues.

Staff must also demonstrate and document a willingness to comply with the protocol, standard operating procedures, the principles of GCP (Good Clinical Practice) and regulatory requirements and be prepared to participate in training. All new sites will be provided with education on APRV and mentoring on APRV during trial conduct from the research team.

### 2.3 Internal Pilot

The trial will include an internal pilot that will run for 8 months (months 8 to 15 from grant activation) with all participants recruited in the pilot included in final analyses. The pilot will take place in 20 representative sites with a staggered start. We will recruit 78 patients with a target of 0.6 participants /site for the first 5 months and subsequently 0.7/site for the remaining 3 months.

Trial Activity		Trial month (from grant activation)								
	8	9	10	11	12	13	14	15		
Site Activation	4	8	12	16	20	20	20	20		
Participant Recruitment	2	5	7	10	12	14	14	14		
Cumulative Participant Recruitment		7	14	24	36	50	64	78		

### **Table 1: Internal Pilot Recruitment Rates**

The internal pilot will establish our ability to recruit to target, protocol fidelity, crossover rates, and data collection completeness.

During the internal pilot, we will audit screening logs, recruitment rates, reasons for exclusion, protocol fidelity, and crossover rates. We will measure dataset completeness, including completeness of the primary outcome, which we anticipate should be >95% as this is routinely documented in the medical record of all ventilated patients.

### Table 2: Progression Criteria

	Red	Amber	Green
	Unable to	Review screening log &	Progress
	progress to	protocol; adjust protocol &	to main
	main trial	research processes;	trial
		explore additional sites	
% Threshold (patient recruitment	<50%	51-99%	100%
based on 0.7 patients/site/month)			
Recruitment rate/open site/month	<0.4	<0.6	0.7
Number of pilot sites opened	<10	10-19	20
Total number of participants recruited	<40	59-77	78
Total number of participants with crossover	>5%	4-5%	<4%

We will use a traffic light system to guide progression as recommended in best practice guidelines.[20]

<u>Green</u>: Progress to main trial with review of screening logs and protocol addressing any barriers to recruitment.

<u>Amber</u>: Progress to main trial with ongoing site set-up, review of screening logs and protocol deviations, and protocol amendment where necessary. <u>Red</u>: Unable to progress to main trial.

The Data Monitoring Committee (DMC), Trial Steering Committee (TSC), and HTA secretariat will review internal pilot data and make recommendations in terms of trial progression.

### 2.4 Aims and objectives

This is a non-commercial, UK, multi-centre, parallel group, pragmatic, randomised controlled trial that aims to determine the clinical and cost effectiveness of early APRV compared to conventional lung protective IMV in patients with moderate-severe AHRF.

### 2.4.1 Primary objective

To determine the effectiveness of APRV for reducing the duration of IMV compared to conventional lung protective ventilation.

### 2.4.2 Secondary objective

To determine the effect of APRV compared to conventional lung protective ventilation on the following:

- All-cause mortality at 2 and 6 months
- First extubation
- Reintubation
- Use of non-invasive ventilation following extubation
- CCU and hospital length of stay
- Serious adverse events up to hospital discharge
- Health related quality of life (EQ-5D-5L) at 2 and 6 months after randomisation
- Acute health care use at 2 and 6 months after randomisation
- Within-trial cost-utility analysis from an NHS hospital care perspective (see Section 6.5).

### 2.4.3 Primary and secondary endpoints

We have included as our trial outcomes, the core outcome set for trials of interventions intended to modify IMV duration developed by members of our group.[21]

Our primary outcome is duration of mechanical ventilation in days commencing at randomisation and discontinuing at first successful unassisted breathing or death.

Unassisted breathing is defined as remaining to breathe unassisted at 48 hours with no inspiratory support or extracorporeal lung support. Duration of assisted breathing includes time receiving extracorporeal lung support, invasive mechanical ventilation and non-invasive ventilation delivering volume or pressure support ventilation; **excludes** high-flow oxygen therapy and continuous positive airway pressure.

This definition was agreed through an international consensus process, involving clinician, researcher, patient and family representatives, and industry. This primary outcome was chosen with PPI input.

Secondary outcomes are listed in section 2.4.2.

### 2.4.4 Cost-effectiveness Objective

To estimate the cost-effectiveness of APRV compared to conventional lung protective ventilation.

### 2.5 Eligibility criteria

Our eligibility criteria will enrol a population clinically and pragmatically likely to benefit from the intervention. Our exclusion criteria are designed to ensure early use of APRV, inclusion of a population of critically ill patients with moderate to severe AHRF most likely to benefit, and exclusion of patients unlikely to benefit due to their underlying condition or at increased risk of a complication from the intervention.

Patients who meet all the following inclusion criteria and none of the exclusion criteria are eligible to participate in the trial.

### 2.5.1 Inclusion criteria

- 1. Age ≥ 18 years
- 2. Receiving invasive mechanical ventilation
- Moderate to severe AHRF (PaO2/FiO2 <20kPa with Positive End Expiratory Pressure (PEEP) ≥5 cmH2O) assessed at time of screening (or as documented in the medical record in the preceding 2 hours)
- 4. Expected to stay on invasive mechanical ventilation for >48hrs

### 2.5.2 Exclusion criteria

- 1. Receiving IMV ≥ 60 hours at time of screening as will be unable to deliver early APRV
- 2. Primary reason for invasive mechanical ventilation is one of the following:
  - a) Asthma
  - b) Severe COPD
  - c) Pulmonary embolism (massive or sub-massive) (as cause of hypoxaemia is not primarily due to collapse of lung tissue)
  - d) Existing neuromuscular disease such as Motor Neurone Disease, Guillain Barre or Myasthenia Gravis (as the cause of respiratory failure is not primarily lung-related)

- 3. Refractory shock (systolic blood pressure < 90 mmHg, despite fluid administration and vasoactive drugs)\*
- Severe hypercapnic respiratory acidosis (pH <7.20 on the arterial blood gas assessed for trial inclusion)\*
- 5. Ongoing air leak (e.g. unresolved pneumothorax at time of screening)\*
- 6. Traumatic brain injury with uncontrolled intracranial hypertension\*
- 7. Likely death or treatment withdrawal in next 24 hours
- 8. Home ventilation or home oxygen therapy prior to admission
- \*(patients can be recruited if this resolves and remain eligible).

### 2.6 Participant identification / Screening

All ventilated CCU patients will be screened daily for eligibility by the CCU nursing or medical staff. Each site will maintain a screening log which will include data on the numbers of patients potentially meeting eligibility criteria but not entered into the trial, those for which consent is given but are then not randomised, numbers not meeting inclusion criteria and reasons for non-enrolment. A fully anonymised patient-level minimal dataset (including age, sex, ethnicity, and reasons for nonenrolment) will be recorded to establish an unbiased trial population and for reporting according to the CONSORT statement [27,28].

### 2.7 Informed consent

It is the responsibility of the Principal Investigator (PI) (or designee) to ensure that written informed consent is obtained for each participant prior to entry into the trial. Consent may be obtained by the PI; or an appropriately trained member of the research team provided they are GCP trained, suitably qualified and experienced and have been delegated this duty by the Principal Investigator on the delegation log.

Patients will be unable to give informed consent because of sedation, infection, delirium and mechanical ventilation. Consent will therefore be obtained in line with the legal requirements for obtaining consent in patients without capacity and a personal or professional consultee will be approached.

For centres in Scotland, if there is a person willing and able to take on the responsibilities of Welfare Guardian/Nearest Relative, they will provide consent for inclusion. In cases where no Welfare Guardian/Nearest Relative is available it will not be legally possible to enrol the patient (specific to the Adults with Incapacity Act Scotland for non-CTIMP trials).

Further details on the consent process are detailed in section 4.2.3 of the CoReCCT master protocol.

Once a participant who initially lacks capacity, regains capacity, they will be informed about the trial and invited to consent to continue in the trial. There is no requirement to reaffirm consent in Scotland.

### 2.8 Randomisation

### 2.8.1 Randomisation

Randomisation will occur once eligibility has been confirmed and consent obtained. Participants will be randomised via randomly permuted blocks using an automated web-based system on a one-toone basis, stratified by site and prior enrolment into the Awake Prone Positioning and Protect Airways trials, using a computer-generated randomisation schedule managed by the Warwick CTU. We have selected a parallel group RCT design to minimise selection bias and ensure against accidental bias.

### 2.8.2 Post-randomisation withdrawals

Participants (or their legal representative) are free to withdraw or discontinue from the trial at any time, without having to give a reason. Withdrawing from the trial will not affect them or their care in any way. Where participants have given consent, the research team will keep information already collected prior to withdrawal, unless specified by the participant. Participants may also be asked for permission to collect further outcome data from their medical records or data linkage. Where participants regain capacity to consent and then choose to withdraw, participants will be asked if they are happy for data collected prior to withdrawal to be kept.

### 2.9 Trial intervention

### 2.9.1 Intervention arm (APRV protocol)

We will compare APRV to standard lung protective IMV. All commercially available ventilators used in the NHS can provide APRV. APRV is a method of IMV which uses longer inspiration times followed by a brief expiration. The longer inspiration time enables alveolar recruitment and oxygenation while the short expiration time maintains lung volume. This reduces shear-stress damage to the alveoli, whilst maintaining adequate ventilation.

APRV has four main control settings. Two of which determine the inspiratory cycle: inspiratory or high pressure ( $P_{high}$ ) and inspiratory time, or time at high pressure (Thigh). The inspiratory phase is also known as continuous positive airway pressure (CPAP) Phase. The other two control settings determine the expiratory cycle: expiratory or low pressure ( $P_{low}$ ) and expiratory or time at low pressure ( $T_{Low}$ ) which make up the expiratory or Release Phase.

In accordance with current clinical practice for APRV, expiratory time will be individualised for each patient based on their respiratory mechanical characteristics, which will vary during the course of the disease. Inflation pressures during inspiration will be adjusted to maintain tidal volumes within lung protection ranges.

### 2.9.2 Initial APRV Settings

### P<sub>high</sub> (inspiratory Pressure)

To transition a patient to APRV, a volume control mode, the  $P_{HIGH}$  will be set to equal to the plateau pressure ( $P_{PLAT}$ ), or to the peak inspiratory pressure (PIP) if transitioned from a pressure control or ventilation mode.

For patients who receive APRV immediately following intubation, set the  $P_{high}$  starting at 25 cmH<sub>2</sub>O. Then titrated upwards or downwards by 1-2 cmH<sub>2</sub>O increments or decrements aiming to values between 20 and 30 cmH<sub>2</sub>O, so to achieve minimum tidal volumes > 4-5 mL/Kg predicted body weight (PBW).

 $\mathsf{P}_{\mathsf{low}}$ 

The Set the  $P_{low}$  to 0 cmH<sub>2</sub>O.

 $\mathsf{T}_{\mathsf{low}}$ 

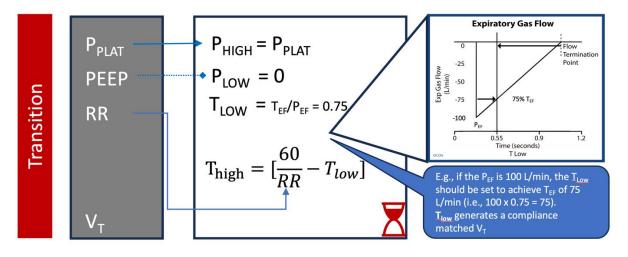
Use an initial  $T_{low}$  of 0.5 seconds for 1-3 breaths. Then using the ventilator "freeze waveform" function, it is possible to quantify the P<sub>EF</sub>. The  $T_{low}$  can then be adjusted so that the  $T_{EF}$  is 75% of the P<sub>EF</sub>. A  $T_{low}$  that is too long may decrease the end-expiratory pressure leading to derecruitment and atelectrauma. A  $T_{low}$  that is too brief may cause overinflation and volutrauma.

 $\mathsf{T}_{\mathsf{high}}$ 

Set the  $T_{HIGH}$  by dividing the respiratory rate by 60 and then subtracting the  $T_{LOW}$ . When transitioning to APRV, a  $T_{high} < 4$  seconds may be needed initially to maintain the respiratory rate (RR) and tidal volume (i.e., minute ventilation - VE) close to that of the conventional ventilation mode used before transition. This is because APRV may initially drop the VE resulting in hypercapnia if the  $T_{high}$  is set between 4-6 seconds and the lung has not yet recruited to sufficiently exchange CO<sub>2</sub>.

### 2.9.2.1 Transition to APRV

### Figure 3: Transition to APRV



### Table 3: Initial Settings

Adjustment to settings is based on minute ventilation (VE), slope angle, and arterial blood gases
---

	From Volume Control Mode	From Pressure Control Mode	From Dual Targeted Mode		
P <sub>High</sub> (cmH <sub>2</sub> O)*	Equal to <b>plateau</b> pressure	Equal to <b>peak</b> pressure	Equal to <b>peak</b> pressure		
P <sub>Low</sub> (cmH <sub>2</sub> O)	0	0	0		
Т <sub>ніgh</sub> (seconds)**	Set using the respiratory rate prior to APRV transitioning. using this formula: $T_{high} = (60/current rate) - T_{Low}$ i.e., rate 26; (60/26) = 2.3 - $T_{Low}$ of 0.5 = $T_{High}$ of 1.8 seconds				
$T_{Low}$ (seconds)*** set to $E_{FT}/E_{FP}$ of 75%. <u>NOTE</u> : These ranges are a <u>starting point</u> and may require adjustment to achieve $E_{FT}/E_{FP}$ of 75%.	0.4-0.6	0.4-0.6	0.4-0.6		

\*A P<sub>High</sub> >30 cmH2O may be required depending on body habitus and increased chest wall elastance.

\*\* When transitioning to APRV, Vt typically decreases to <6mL/kg resulting in a lower VE. In this case, increase the VE by decreasing the T<sub>High</sub> to achieve or exceed previous VE.

DO NOT increase VE by increasing the  $T_{Low}$  (unless EFT/EPF is >75, i.e., not optimised) as this will decrease alveolar stability. If Vt is <3mL/kg consider increasing  $P_{High}$  or a combination of increased  $P_{High}$  and decreased  $T_{High}$ . As the lung recruits (compliance improves), VE requirements decrease allowing the  $T_{High}$  to be increased.

\*\*\*These are ranges for initial  $T_{Low}$  settings. The  $T_{Low}$  setting that achieves EFT/EFP of 75% may be higher or lower than these initial suggested ranges.

Table 4: Rationale for	Selected Settings
------------------------	-------------------

Setting	Rationale
P <sub>High</sub>	Before transitioning to APRV assess intravascular status to determine preload dependency.
P <sub>Low</sub>	A P <sub>Low</sub> of 0 cmH <sub>2</sub> O will not result in alveolar instability and collapse if the T <sub>Low</sub> is set to terminate ( $E_{FT}$ ) at 75% of the expiratory flow peak ( $E_{FP}$ ) ( $E_{FP} \times 75\% = E_{FT}$ ).

	A $P_{Low} > 0$ cmH <sub>2</sub> O can increase PCO <sub>2</sub> and has a negative effect on secretion removal.
	The $T_{High}$ controls bulk rate (convective) and alveolar (diffusive) ventilation.
T <sub>High</sub>	Using a $T_{High}$ of 4-6 seconds is typical with elective APRV use. If used as a rescue strategy, a <b>shorter</b> $T_{High}$ is required to provide more bulk ventilation until the lung has recruited and stabilized.
	<b>NOTE:</b> If the Vt drops below 3 mL/kg, it may be necessary to <b>decrease</b> $T_{High}$ and <b>increase</b> $P_{High}$ to maintain or exceed pre-transition VE.
	The $T_{Low}$ is the duration of the release phase and controls the amount of airway pressure ( $P_{High}$ ) and lung volume released.
T <sub>Low</sub>	During the release phase, expiratory gas flow through the artificial airway creates a slope angle of deceleration. The resultant slope angle is analyzed as this reflects mechanical properties of the respiratory system. Although the release phase is <b>protocolized</b> to $E_{FT}/E_{FP}$ of 75%, the time to achieve 75% is <b>personalized</b> to the patient and the time course of their respiratory mechanics. The $E_{FT}/E_{FP}$ of 75% has been clinically and experimentally validated to optimize alveolar stability.

### 2.9.2.2 Challenges potentially encountered during transitioning to APRV

Hypotension

Transitioning from a conventional ventilation mode to APRV may result in transient hypotension. This may be due to unrecognized hypovolemia despite an acceptable blood pressure prior to transition. Ensuring optimal volume status and a starting from a lower P<sub>high</sub> with cautious P<sub>high</sub> up-titration is generally sufficient to blunt the magnitude and duration of hypotension.

### Sedation

Sedation or use of neuromuscular blockade (if being administered) does not need to be modified prior to or during transition to APRV. Once transitioned, optimise analgesia for pain control and sedate as necessary to achieve ser Richmond Agitation Sedation Scale (RASS) target (e.g., RASS -2 to 0).

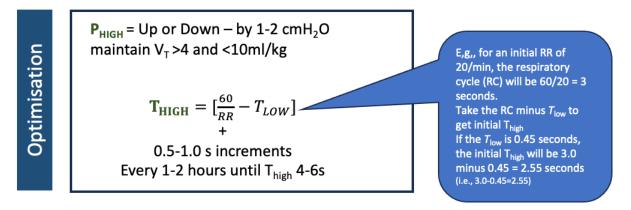
### 2.9.2.3 Optimising and Titrating APRV

The  $P_{high}$  requires titration over time in response to changes in lung volume and compliance. Titrate upwards or downwards by 1-2 cmH<sub>2</sub>O at a time to maintain V<sub>T</sub> > 4 mL/Kg PBW and <10 mL/Kg.

Optimize  $T_{High}$  by increasing by 0.5 to 2 seconds to maintain target PaCO<sub>2</sub>.

Titrate the fraction of inspired oxygen (FiO<sub>2</sub>) down to 40% while maintaining an oxygen saturation (SpO<sub>2</sub>) of 92-95%. If SpO<sub>2</sub>  $\leq$  90% despite FiO<sub>2</sub>  $\geq$  60%, consider increasing the P<sub>high</sub> in 2 cmH<sub>2</sub>O increments up to 35 cmH<sub>2</sub>O while monitoring haemodynamic status.

**S**pontaneous breathing on APRV can be introduced by weaning analgosedation when work of breathing is minimised (assess with P0.1 and f/VT).



### 2.9.2.4 Worsening Hypercapnia

If hypercapnia occurs on transition or during the course of APRV, ensure patency of the endotracheal tube and ventilator circuit, and review the ventilation flow curve and ensure no obstruction.

If these are not concerns you can:

- Increase P<sub>high</sub> in 2 cmH<sub>2</sub>O increments up to 35 cmH<sub>2</sub>O while monitoring haemodynamic status
- Reduce T<sub>high</sub> to increase the number of release breaths and therefore increase minute ventilation

### 2.9.2.5 Weaning APRV

Once a patient's clinical condition has improved, clinicians will follow a protocol to wean a patient using APRV that does not involve switching mode to pressure support ventilation, which is the weaning mode most commonly used as standard care.

Once improved (FiO<sub>2</sub>  $\leq$  40%, SpO<sub>2</sub> 92-95% and able to sustain spontaneously breathing at a comfortable rate (i.e., 10-30 bpm), APRV should be weaned as follows:

- 1. Progressively increase the inspiratory time ( $T_{high}$ ) by 0.5-1s steps until  $T_{High} > 6$  seconds; and wean the  $P_{HIGH}$  by in 2 cmH<sub>2</sub>O increments as tolerated to a  $P_{HIGH of}$  15 cmH<sub>2</sub>O.
  - a. Monitor for desaturation, increased work of breathing, or tachypnea
  - b. When the patient reaches a  $P_{HIGH of}$  10-12 cmH<sub>2</sub>O, patient can be transitioned to CPAP 10-12 cmH<sub>2</sub>O without pressure support (PS = 0 cmH<sub>2</sub>O) and undergo checks for extubation

Participants must continue to receive APRV until extubation to maximise the APRV "dose" received. Remember to optimise sedation to promote more spontaneous breathing.

60	P <sub>HIGH</sub> = Up or Down –Titrate by 1-2 cmH <sub>2</sub> O to maintain V <sub>T</sub> >4 and <10ml/kg	Monitor
Weaning	T <sub>HIGH</sub> + 0.5-1.0 s increments Every 1-2 hours until T <sub>high</sub> up to 30s Transition to CPAP Optimise Sedation to allow progressively more spontaneous breathing	<ul> <li>P0.1</li> <li>ΔP<sub>occ</sub></li> <li>RSBI</li> <li>Respiratory Pattern</li> </ul>

### 2.9.3 Control Arm

Those participants randomised to the control arm will receive current evidence-based best practice adhering to conventional lung protective mechanical ventilation for management of moderate to severe acute respiratory failure.

### Table 5: Evidence-based standard of care

All patients	PaO <sub>2</sub> /FiO <sub>2</sub> ratio<20 kPa
Tidal volume of <6 ml/kg ideal body weight	Prone positioning at least 12 hours per day
Conservative fluid management strategy	Consider ECMO

Faculty of Intensive Care Medicine; Intensive Care Society, and British Thoracic Society endorsed guideline for management of acute respiratory distress (ARDS).[4]

During site initiation visits, sites will be asked to confirm compliance with the evidence-based standard of care outlined above.

### 2.9.3.1 Weaning in the control arm

Weaning will be conducted according to the usual practices of the participating site (low level pressure support, CPAP or T-Piece) and may or may not include a spontaneous breathing trial. In general criteria for weaning comprise the following:

- o FiO₂ ≤0.40
- PEEP  $\leq 8 \text{ cmH}_2\text{O}$
- Patient has acceptable breathing efforts
- Systolic blood pressure > 90 mmHg without vasopressors

### 2.9.4 Both trial arms

### 2.9.4.1 Refractory Hypoxaemia

If the treating physician is concerned about hypoxaemia, measures such as prone positioning, continuous neuromuscular blockade infusion, inhaled pulmonary vasodilators, or referral for consideration of extracorporeal membrane oxygenation (ECMO) can be applied in either arm of the trial as per standard care in the UK. These interventions will be recorded in the CRF.

### 2.9.4.2 Other Clinical Management

Responsibility for all other management decisions remains the responsibility of the attending physicians and CCU team.

The intervention (APRV or control) will continue until one of the following criteria is met:

- 60 days after randomisation
- Successful unassisted breathing (at 48 hours with no further requirement for inspiratory support or extracorporeal lung support. See section 2.4.3 for full definition)
- Trial intervention-related serious adverse event
- Death or discontinuation of active treatment
- Withdrawal of consent

### 2.9.4.3 Crossover

Crossover from the control arm to APRV will not be allowed. This will be monitored during the trial. If any site despite re-training continues to experience crossover, the site will be closed to recruitment.

We will allow brief periods of conventional ventilation (maximum of 6 hours) for patients randomised to APRV for the purposes of:

- Transport out of the CCU for diagnostic or surgical procedures or other purposes
- Management of a new complication that would be considered an exclusion criterion such as a new air leak or cardiovascular instability

### 2.9.4.4 Site Staff Training

All sites will complete a training package prior to opening to recruitment. The training package will include set up, optimisation, and weaning of APRV; trouble shooting guides; and a review of standard of care approaches for the management of patients with moderate to severe AHRF.

### 2.9.5 Compliance/contamination

Each day, we will record the APRV settings for participants randomised to the intervention arm or ventilator settings for participants randomised to standard care. The statistical analysis plan will define adherence to the trial intervention.

### 2.10 Blinding

### 2.10.1 Methods for blinding and measures to avoid bias

Our trial is an open-label pragmatic design. This design means that patients, clinicians and outcome assessors are aware of treatment allocation. Although we considered blinding during trial design meetings, this is not feasible as we cannot blind clinical teams to ventilator settings. These and the patient response must be visible to guide clinical decision making and ensure patient safety. While lack of blinding can introduce bias, we have safeguards in place to mitigate against this risk as described below.

To mitigate against potential sources of bias with an open label design, we will:

- undertake source verification (from the electronic (or paper) medical record) to minimise the
  risk of reporting bias. The main clinical and resource utilisation outcomes of this trial (e.g.,
  ventilation duration, death, length of stay and adverse events) are recorded
  contemporaneously in the patient medical record by a member of the clinical team as part of
  routine documentation.
- use duration of ventilation as our primary outcome as this is objectively measured and documented in the medical record. Other secondary outcomes are objective; only health-related quality of life requires participant self-report.
- use a short duration of follow-up for the primary outcome (i.e., 48 hours to determine successful extubation) to minimise the risk of <u>attrition bias</u> with withdrawal rates typically < 5% resulting in minimal loss to follow-up. On the rare occasion that a patient or their representative chooses to withdraw, we will retain data collected up until that point and seek permission to continue to collect the main outcome data from their medical records. Our experience is that patients or their representatives normally are happy to proceed on this basis.</li>
- monitor usual care (lung protective ventilation) in the control arm over the duration of the trial to decrease the likelihood of <u>performance bias</u>. We will feedback monitoring data to sites monthly and provide additional training if required.
- collect measures of intervention fidelity over the duration of the trial and feedback monitoring data to sites monthly. If poor fidelity is found, we will provide additional training and support to sites and continue to monitor fidelity. Sites with ongoing issues with intervention fidelity will be closed to recruitment.

We have selected outcomes and measures with demonstrated validity and reliability recommended in the core outcome set for trials of interventions to modify mechanical ventilation duration developed by members of our team (McAuley and Rose).[20] Health-related quality of life will be collected by blinded assessors independent of the clinical team involved in delivering the intervention.

We have used the SPIRIT guidelines and checklist to inform the development of our protocol. We will register the trial and will make a full trial protocol publicly available. To ensure our trial reporting is accurate, comprehensive, and transparent, we will use the CONSORT- reporting guidelines to report out trial findings. We will document participant flow through the trial, including screening, baseline and follow up assessments using a CONSORT flow diagram. To avoid selective reporting, we will report all outcomes as outlined a priori in our trial protocol.

We will use Warwick standard operating procedures for trial conduct.

### 2.11 Co-enrolment into other trials

The RELEASE trial investigators will consider co-enrolment of RELEASE trial participants to other interventional trials outside of CoReCCT where there are no possible treatment interaction, and this does not conflict with the trial objectives. Co-enrolment will be permitted with non-interventional observational studies without the need for a co-enrolment agreement. Co-enrolment status will be collected using the eCRF.

# 3. METHODS AND ASSESSMENTS

Data collection will be restricted to variables required to define patient characteristics at enrolment, to monitor interventions received, to monitor adverse effects, to determine quality of life, and to capture the use of hospital healthcare resource. To ensure accurate, complete and reliable data are collected, the research team will provide training to site staff during investigator meetings and site initiation visits. The CTU will provide the PI and research staff with training on the protocol, CRF completion and trial procedures including standard operating procedures (SOPs).

### 3.1 Schedule of delivery of intervention and data collection

The following baseline, clinical, and outcome data will be collected by the local research delivery team from the electronic medical record. In brief the dataset will include:

	Baseline	Day 3	Day 7	Up to Day 10	Up to CCU discharge	Up to hospital discharge	Post-hospital discharge	
					alsonarge		2 months	6 months
Eligibility assessment	x							
Consultee agreement	x							
Baseline data collection	x							
Randomisation	Х							
Ventilator settings, sedation use, organ failure, use of rescue therapies				X				
Serious Adverse Events						x		
Primary outcome					x			
Mortality (secondary outcome)					x	x	х	x
HrQoL (secondary outcome)							х	x
Healthcare utilisation after dx							х	х
Optional blood samples	х	x	x					

### **3.2 Optional blood samples**

Optional consent may be provided for the collection of participant blood samples for use in future ethically approved research. Consent will be collected as per section 2.7 of the RELEASE domain protocol and section 4.2.3 of the CoReCCT master protocol.

20mL of blood will be taken at baseline, day 3 and day 7. Blood samples will be obtained for each trial arm. The baseline sample will be collected prior to intervention commencement (either pre- or post-randomisation). Where this is not possible, samples should not be obtained at any timepoint. Failure to collect optional blood samples is not considered non-compliant with the trial protocol.

The collected samples will be labelled with the participant's trial number and stored at -80°C at the hospital site. Samples will be transported in batches to the Queen's University Belfast, at a time of mutual convenience, and stored beyond completion of the study. This activity will be coordinated by the WCTU trial team. Any samples not used will be disposed of in accordance with local policy and applicable regulations.

### **3.3 Follow-up Procedures**

Follow-up questionnaires at 2 months and 6 months will capture health-related quality of life and healthcare resource use. Follow up time points and management of follow up questionnaires are aligned over all CoReCCT domains and managed by WCTU. Refer to section 6 of the CoReCCT Master Protocol for further details.

### 4. ADVERSE EVENT MANAGEMENT

In order to accurately assess and report SAEs relevant to RELEASE, the CoReCCT Master Protocol must be read in conjunction with section 4.1 below.

Section 7 of the CoReCCT Master Protocol describes the CoReCCT Safety Reporting Flowchart and provides details on these adverse event management topics:

- Definitions of SAEs
- Assessing and reporting SAEs
- Causality Assessment of SAEs
- Expectedness Assessment of Related SAEs
- Expedited Reporting of Related and Unexpected SAEs to REC

### 4.1 Pre Specified Complications

As per the CoReCCT Safety Reporting Flowchart, adverse events that 1) occur at sites between randomisation and hospital discharge and 2) are not present on the CoReCCT Exemption List, must be reviewed for their presence on the RELEASE Pre-Specified Complications List as given below.

Pre-Specified Complications List (that occur up to Day 10 post randomisation)

Barotrauma (including pneumothorax, pneumomediastinum, subcutaneous emphysema)

Hypotension requiring new vasopressors or increase in vasopressors of more than 0.2 microgram/kg/min

As per the CoReCCT Safety Reporting Flowchart, if the event is present on the Pre-Specified Complications List and occurred up to Day 10 post-randomisation, the event must be recorded on the appropriate CRF as an outcome and does not need to be reported on an SAE form. Pre specified complications which occur beyond Day 10 post-randomisation will not be recorded. If the event is not on the list, it must be assessed for seriousness and the remainder of the flowchart should be followed to determine next steps.

### 4.2 Expected Events

SAEs which are considered possibly related, probably related or definitely related to the study intervention will be assessed for expectedness by the Sponsor. This expectedness assessment may be supported by items such as, but not limited to associated domain working instructions and published literature.

### 5. DATA MANAGEMENT

Full details on data management are provided in sections 6 and 10 of the CoReCCT master protocol.

### 5.1 Data collection and management

Full details are listed in section 10 of the CoReCCT Master Protocol.

### 5.2 Data Shared with Third Parties

Full details are listed in section 12 of the CoReCCT Master Protocol.

### 5.3 Archiving

Full details are listed in section 13 of the CoReCCT Master Protocol.

### 6. STATISTICAL ANALYSIS

### 6.1 **Power and sample size**

The RELEASE trial will recruit a total of 710 (355 per arm) participants (using 90% power and 5% significance level).

The parameter estimates for this trial have been derived as follows:

(a) Effect size of 2-days reduction: Given our (Figure 1) and previous meta-analyses[8-11] showed a reduction of IMV duration with APRV of 3.3-5.4 days, an effect size of 2 days is conservative and can be realistically achieved.

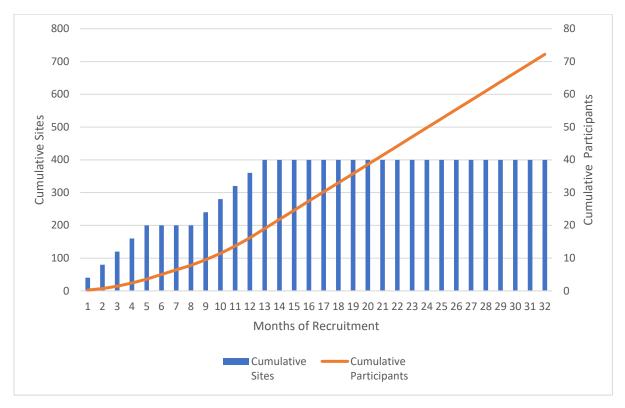
(b) Median duration of ventilation on the control arm: the duration of ventilation varies in the UK from 7 to 14 days in reported studies (i.e., 7 days- BREATHE trial [23]; 14.1 days -OSCAR trial[24]. National reporting from the Intensive Care National Audit & Research Centre (ICNARC) Case Mix Programme Database 2019 indicates a duration of 6 days. Taking our trial population and comparing this to that reported in previous studies, we anticipate that the duration of ventilation in the control arm would be approximately 9 days.

(c) Loss to follow-up: In the previous CCU studies, loss to follow-up ranges from 0% to 3% (1.1% -BREATHE trial [23]; 0% - OSCAR trial[24] 0.4% - HARP-2 trial[25]; 0.5% - HARP trial; 3% - REST trial[26]). We have assumed a worst-case scenario here and used 5% as our loss to follow-up rate.

### 6.2 Statistical analysis of efficacy and harms

### 6.2.1 Planned recruitment rate

We will conduct an 8-month internal pilot opening 20 sites over 5 months (4 sites/month). We estimate a conservative 0.7 patients/ICU/month recruitment rate based on previous clinical trials (0.6 patients/ICU/month in first 5 months of recruitment. A realistic staggered set-up of the remaining 20 sites will follow. Total recruitment duration including the internal pilot is 32 months.





### 6.2.2 Statistical analysis plan

A full and detailed Statistical Analysis Plan (SAP) will be agreed with the Data Monitoring Committee (DMC) prior to any analysis taking place. Data will be analysed and reported according to the CONSORT statement.

### 6.2.3.1 Summary of baseline data and flow of patients

Screening log data will be collected for each site on a regular basis, and this will be scrutinised by the trial team to assess patient recruitment.

At randomisation, patient demographic data will be recorded. This will include: age, sex, body mass index.

Continuous baseline data will be summarised with descriptive statistics, including number of observation (n), mean, standard deviation, median, interquartile range and number of missing data. Categorical baseline data will be summarised with frequency counts and percentages.

### 6.2.3.2 Primary outcome analysis

Primary outcome and the Estimand Framework

In addition to the objectives, interventions and the population already stated above, the following define the Estimand framework that will be used for the RELEASE trial, in line with the ICH E9 (R1) addendum on Estimand and sensitivity analyses in clinical trials.[23]

<u>Variable (outcome)</u>: Our main variable (outcome) of interest is ventilation duration, from the time of randomisation to successful extubation or death. 'Successful extubation' will be defined using the core outcome set[21] definition i.e., the time point a patient is free of ventilatory (invasive or non-invasive) support for >48 hours.

<u>Summary measure and the primary Estimand:</u> Ventilation duration will be determined as the time of successful extubation/death minus the time from the point of randomisation (in hours/mins). The statistical analysis for this outcome will be performed using the treatment policy strategy (i.e., intention-to -treat). Statistical summaries of ventilation duration will be made using median and interquartile range (IQR). We will use a Cox proportional hazard regression model to estimate the treatment difference reporting hazards ratios and their 95% confidence intervals (CIs), using both unadjusted and adjusted estimates. We will report the mean difference with 95% CIs using linear regression.

Intercurrent events (ICEs) and strategies for handling ICEs: post-randomisation events that may affect the interpretation of the primary outcome include: (a) crossover; (ii) non-adherence (including discontinuation of treatment); and (iii) death. Rates of crossover and non-adherence will be added using the principal stratum strategy. We will use the inverse probability weighted analysis method[24] to assess the treatment effect, having taken account of these events. The composite strategy will be used to assess the effect of death, with ventilation duration. We will use the Pocock's win-ratio method[25] and also assess the interaction of ventilation duration with mortality status, bearing in mind the interaction term may not be powered to detect differences.

### 6.2.3.3 Secondary outcome analysis

In general, continuous baseline and outcome data will be summarised with descriptive statistics, including n, mean, standard deviation, median, IQR and n of missing data. We will use mixed-effects linear regression models to estimate mean treatment differences (95% CI). Categorical baseline and outcome data will be summarised with frequency counts and percentages. We will use mixed-effects logistic regression models to estimate the difference in binary outcomes between treatment groups, with odds ratios and 95% CIs reported. Survival based outcomes will be analyzed using the Cox proportional hazards model with data displayed using the Kaplan-Meier plots.

### 6.3 Subgroup analyses

We will examine the following subgroups:

- baseline oxygenation status (moderate PaO<sub>2</sub>/FiO<sub>2</sub> <20 but >13kPa, and severe <13kPa)</li>
- Illness severity on admission
- previous site experience with APRV (APRV naïve vs previous APRV experience)

These subgroup analyses will be performed using intention to treat. We will use the primary outcome as the dependent variable and interaction with treatment and sub-group. We will use linear regression models to assess the subgroup effect, using interaction terms. As these analyses are posthoc analyses not powered for any effect size, emphasis will not be based on the statistical testing, rather the point estimates and 95% Cls.

### 6.4 Interim analysis

We will not carry out a formal interim analysis.

### 6.5 Health Economic Evaluation

We will conduct a prospectively planned within-trial cost-utility analysis with a six-month time horizon from an NHS hospital care perspective (primary economic analysis).

Costs will be analysed for the period from randomisation to 6 months post randomisation. Initial hospitalisation resources (randomisation to initial discharge) will be identified from clinical records using case report forms (CRFs) and potentially enriched using data obtained through linkage to routine datasets (e.g., Hospital Episode Statistics (HES), Intensive Care National Audit & Research Centre (ICNARC)). The data of interest here include information on critical care (e.g. CCU length of stay and organs supported), inpatient care (e.g. length of stay -where applicable including transfer to another unit for ongoing treatment- and reason for admission), and emergency care.

Post-discharge hospital resource use at 2- and 6-months post randomisation will be identified from data obtained from participant completed resource use questionnaires (RUQs) and if available enriched using data obtained through CRFs/linkage. These data will comprise of information on critical care, inpatient care, outpatient care, and emergency care.

Our approach with regard to resource utilisation is to focus on the relevant resource items for patients who are receiving IMV, recognising that their underlying reasons for admission and other care needs are complex and heterogeneous. This means we have selected a hospital care perspective as our primary analysis. Our experience in this field suggests that primary, other community and social care are not likely to be as relevant to the intervention given that economic evaluation is an incremental analysis. Additionally, our PPI consultation has repeatedly stressed the need to avoid the burden of questionnaire completion during recovery. However, to align with other studies in the CORRECT confederation, we propose to use a brief resource use questionnaire to allow us to collect post-discharge NHS Community and Social care resource use. These data will allow us to conduct a secondary analysis from an NHS and personal social services perspective.

Data on resource items usage will be converted into costs using up-to-date sources of NHS and PSS reference costs [ref].

Generic health-related quality of life will be assessed at 2- and 6-months using the EQ-5D-5L questionnaire. EQ-5D-5L scores will be converted to health status scores using the UK value set recommended by NICE guidance at the time of analysis.[26] We will calculate patient-level Quality adjusted life year (QALY) estimates using the trapezoidal rule using utilities generated via the EQ-5D-5L in surviving patients, with baseline utility (which cannot be self-reported be critically ill patients) estimated following a method used in other CCU studies conducted by our group.[27, 28]

Selected statistical methods will deal with skew, baseline imbalance, and sampling uncertainty as appropriate. Every effort will be made to minimise missingness. If missingness of patient-level costs or QALYs is  $\leq$  5%, the primary analysis will use complete case data.[29] If missingness exceeds 5%, mechanisms of missingness will be explored and multiple imputation methods will be applied to impute missing data.

We will use bootstrapped bivariate analyses of costs and QALYs to generate within trial incremental cost per QALY estimates and confidence intervals. Findings will be analysed and visualised as cost-effectiveness acceptability curves [30] and net monetary benefit approach which will show the probability that APRV is the optimal choice over a range of possible values of the ceiling ratio.

If findings are non-convergent at six months, we will explore the sensitivity of cost-effectiveness to extrapolation of costs and benefits beyond the trial time horizon, via a suitable decision model or parametric survival analysis model in a secondary analysis.

Details of the prospective plan and analysis will be described in the Health Economics Analysis Plan (HEAP) written by the trial health economists in line with guidance from Warwick CTU SOP 21. Reporting will follow the Consolidated Health Economic Evaluation Reporting Standards (CHEERS) statement.[31]

## 7. TRIAL ORGANISATION AND OVERSIGHT

### 7.1 Sponsor and governance arrangements

The University of Warwick will act as trial sponsor. Full details are listed in section 9.1 of the CoReCCT Master Protocol.

### 7.2 Ethical approval

Full details are listed in section 9 of the CoReCCT Master Protocol.

### 7.3 Trial Registration

We will prospectively register the trial with an appropriate trial registry.

### 7.4 Notification of serious breaches to GCP and/or trial protocol

Full details are listed in section 9.5 of the CoReCCT Master Protocol.

### 7.5 Indemnity

Full details are listed in section 9.6 of the CoReCCT Master Protocol.

### 7.6 Trial timetable and milestones

The total planned project duration is 52 months. A summary of key trial milestones is shown below.

### **Table 6: Project Milestones**

	Month	Recruitment
Set-up	1-7	N/A
Internal Pilot	8-15	78
Recruitment	16-39	710
Follow up	40-45	N/A
Analysis, reporting & dissemination	46-52	N/A

### 7.7 Administration

The trial co-ordination will be based at WCTU, University of Warwick. Full details are listed in section 9.7 of the CoReCCT Master Protocol.

### 7.8 Trial Management Group (TMG)

Full details are listed in section 9.9 of the CoReCCT Master Protocol.

### 7.9 Trial Steering Committee (TSC)

Full details are listed in section 9.11 of the CoReCCT Master Protocol.

### 7.10 Data Monitoring Committee (DMC)

Full details are listed in section 9.10 of the CoReCCT Master Protocol.

### 7.11 Essential Documentation

Full details are listed in section 9.14 of the CoReCCT Master Protocol.

### 7.12 Financial Support

The trial has been funded by a grant from the National Institute of Health and Care Research Health Technology Assessment programme (NIHR154501). Full details are listed in section 9.13 of the CoReCCT Master Protocol.

### 7.13 Safeguarding Researchers and Research Participants

Full details are listed in section 9.15 of the CoReCCT Master Protocol.

### 8. MONITORING, AUDIT AND INSPECTION

A Trial Monitoring Plan will be developed by the trial team and a member of the WCTU Quality Assurance team and approved by the domain chief investigator. A risk-based proportionate approach will be outlined in the monitoring plan to facilitate remote and off-site monitoring, except where onsite monitoring is deemed to be required. Further details on monitoring, audit an inspection is detailed in the CoReCCT master protocol.

### 8.1 Training

Principal investigators, research team members involved in approaching patients/ consultees for consent, and members of the WCTU team will be required to undergo GCP training. PIs will be required to provide a copy of their GCP certificate and a signed and dated CV to WCTU. Site staff listed on the delegation log should ensure their CVs and, where appropriate, evidence of GCP training is available to WCTU on request.

Training materials on trial procedures, including eligibility assessment and consent processes, will be developed by WCTU to standardise trial processes for site research staff. The training will take a modular approach, such that individuals will only need to undertake training relevant to their training role. Training may be delivered face-to-face (in-person or via video call) or through completion of the web-based training package. In-person training is required to be delivered by a member of WCTU staff or a member of the site team approved by the PI. Completion of training for individuals listed on the delegation log will be recorded in the site file.

WCTU staff that are new to the trial will follow a thorough induction plan developed by the Trial Manager.

### 8.2 Data Quality

Full details are listed in section 10.4 of the CoReCCT Master Protocol.

### 8.3 Visits to Sites

Full details are listed in section 10.1 of the CoReCCT Master Protocol.

## 9. PATIENT AND PUBLIC INVOLVEMENT (PPI)

Our two PPI co-applicants will advise on all trial aspects and will participate in TMG and PPI advisory group meetings.

We will continue to embed meaningful patient and public involvement throughout the project. We will convene a PPI group of approximately 6-members with a membership that reflects the diversity of people at risk of acute hypoxaemic respiratory failure. The PPI group will meet regularly throughout the trial to provide advice and support to the trial management group. PPI advisory group meetings will seek input on: final trial protocol, participant facing documents, ongoing trial awareness and dissemination activities.

We will identify at least two PPI members to become independent members of the Trial Steering Committee. This group will be responsible for the oversight of the trial and advising the Sponsor and Funder in accordance with the NIHR terms of reference for steering committees.

### 10. DISSEMINATION AND PUBLICATION

The trial investigators named in this document will have access to data and be involved in drafting of manuscripts, abstracts, press releases and any other publications arising from the trial. Authorship will be determined in accordance with the ICMJE guidelines and other contributors will be acknowledged.

Results will be reported as papers in peer reviewed journals and presentations at academic conferences. A lay results summary will be available via the trial website. Executive summaries will be sent to relevant professional societies and charities.

### 11. **REFERENCES**

- Intensive Care National Audit and Research Centre. Key statistics from the Case Mix Programme (UK adult, general critical care units). 1st April 2019 to 31st March 2020. 2020; Available from: <u>https://www.icnarc.org/DataServices/Attachments/Download/b2d96e40-4945-eb11-912d-00505601089b</u>.
- 2. Pham, T., et al., *Outcome of acute hypoxaemic respiratory failure: insights from the LUNG SAFE Study.* Eur Respir J, 2021. **57**: p. 2003317.
- 3. Dres, M., et al., *Critical illness-associated diaphragm weakness*. Intensive Care Medicine, 2017. **43**(10): p. 1441-1452.
- 4. Griffiths, M., et al., *Guidelines on the management of acute respiratory distress syndrome.* BMJ Open Respir Res, 2019. **6**: p. e000420.
- 5. Nieman, G., et al., *Prevention and treatment of acute lung injury with time-controlled adaptive ventilation: physiologically informed modification of airway pressure release ventilation.* Ann Intensive Care, 2020. **10**: p. 3.
- 6. Jain, S., et al., *The 30-year evolution of airway pressure release ventilation (APRV).* Intensive Care Med Exp, 2016. **4**: p. 11.
- 7. Saha, R., et al., *The impact of sample size misestimations on the interpretation of ARDS trials: systematic review and meta-analysis.* Chest, 2022: p. S0012-3692(22)01045-5.
- 8. Carsetti, A., et al., Airway pressure release ventilation during acute hypoxemic respiratory failure: a systematic review and meta-analysis of randomized controlled trials. Ann Intensive Care, 2019. **9**: p. 44.
- 9. Zhong, X., et al., Airway pressure release ventilation versus low tidal volume ventilation for patients with acute respiratory distress syndrome/acute lung injury: a meta-analysis of randomized clinical trials. Ann Transl Med, 2020. **8**: p. 1641.
- Chen, C., et al., Efficacy of airway pressure release ventilation for acute respiratory distress syndrome: a systematic review with meta-analysis. Ann Palliat Med, 2021. 10: p. 10349-10359.
- 11. Othman, F., et al., *The efficacy of airway pressure release ventilation in acute respiratory distress syndrome adult patients: A meta-analysis of clinical trials.* Ann Thorac Med, 2021. **16**: p. 245–252.
- 12. Lim, J. and E. Litton, *Airway pressure release ventilation in adult patients with acute hypoxemic respiratory failure: a systematic review and meta-analysis.* Crit Care Med, 2019. **47**: p. 1794-1799.
- 13. Roshdy, A., A. Elsayed, and A. Saleh, *Airway pressure release ventilation for acute respiratory failure due to coronavirus disease 2019: a systematic review and meta-analysis.* J Intensive Care Med, 2022: p. 8850666221109779.
- 14. Küçük, M., et al., The effect of preemptive airway pressure release ventilation on patients with high risk for acute respiratory distress syndrome: a randomized controlled trial. Braz J Anesthesiol, 2021: p. S0104-0014(21)00163-9.
- 15. NHS England. National Cost Collection: National Schedule of NHS costs Year 2018-19 - NHS
- *trust and NHS foundation trusts.* 2020 [cited 2021 Dec 30]; Available from: <u>https://www.england.nhs.uk/national-costcollection/</u>.

- 16. Loss, S., et al., *The reality of patients requiring prolonged mechanical ventilation: a multicenter study.* Rev Bras Ter Intensiva, 2015. **27**: p. 26–35.
- 17. Reay, H., N. Arulkumaran, and S.J. Brett, *Priorities for Future Intensive Care Research in the UK: Results of a James Lind Alliance Priority Setting Partnership.* Journal of the Intensive Care Society, 2014. **15**(4): p. 288-296.
- 18. Tatham, K., et al., *The National Institute for Health Research Critical Care Research Priority Setting Survey 2018.* J Intensive Care Soc, 2020. **21**: p. 198-20.
- 19. Rose, L., et al., *Airway pressure release ventilation: a survey of UK practice.* Br J Anaesth, 2022: p. S0007-0912(22)00588-8.
- 20. Avery, K., et al., Informing efficient randomised controlled trials: exploration of challenges in developing progression criteria for internal pilot studies. BMJ Open Respir Res, 2017. **7**: p. e013537.
- 21. Blackwood, B., et al., *A Core Outcome Set for Critical Care Ventilation Trials*. Crit Care Med, 2019. **47**(10): p. 1324-1331.
- 22. Gillies, K., et al., *Strategies to improveretention in randomised trials*. Cochrane Database of Systematic Reviews, 2021. **3**.
- 23. US Food a& Drug Administration. *E9(R1) statistical principles for clinical trials: addendum: estimands and sensitivity analysis in clinical trials*. 2020 [cited 2022 Dec 16th 2022]; Available from: <u>https://www.fda.gov/regulatory-information/search-fdaguidance-documents/e9r1-statistical-principles-clinical-trials-addendum-estimandsand-sensitivity-analysis-clinical.</u>
- 24. Weinstein, G. and B. Levin, *Effect of crossover on the statistical power of randomized studies*. Ann Thorac Surg, 1989. **48**: p. 490-5.
- 25. Pocock, S., et al., *The win ratio: a new approach to the analysis of composite endpoints in clinical trials based on clinical priorities.* Eur Heart J, 2012. **33**: p. 176-82.
- 26. NICE. *Position statement on use of the EQ-5D-5L value set for England* 2019; Available from: <u>https://www.nice.org.uk/about/what-we-do/our-programmes/nice-guidance/technology-appraisal-guidance/eq-5d-5l</u>.
- 27. Perkins, G.D., et al., *Protocolised non-invasive compared with invasive weaning from mechanical ventilation for adults in intensive care: the Breathe RCT.* Health Technol Assess, 2019. **23**(48): p. 1-114.
- 28. Agus, A., et al., Simvastatin for patients with acute respiratory distress syndrome: long-term outcomes and cost-effectiveness from a randomised controlled trial. Critical care (London, England), 2017. **21**(1): p. 108-108.
- 29. Jakobsen, J., et al., *When and how should multiple imputation be used for handling missing data in randomised clinical trials a practical guide with flowcharts.* BMC Med Res Methodol., 2017. **17**: p. 162.
- 30. Fenwick, E. and A. Briggs, *Cost-effectiveness acceptability curves in the dock: case not proven?* Med Decis Making, 2007. **27**(2): p. 93-5.
- 31. Husereau, D., et al., Consolidated Health Economic Evaluation Reporting Standards (CHEERS) - explanation and elaboration: a report of the ISPOR Health Economics Evaluation Publication Guidelines Good Reporting Practices Task Force. . . Value Health, 2013. **16**: p. 231-50.