

Lecture 8 - Cooper instability. Saddle-point action. Ginzburg-Landau functional.

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Last time:

$$S = S_0 + S_{\text{Coul}} + S_{\text{BCS}}$$

Used H-S with $\Delta \sim \psi_{\downarrow} \psi_{\uparrow}$ & $\bar{\Delta} \sim \bar{\psi}_{\uparrow} \bar{\psi}_{\downarrow}$
to get the action,

$$S = \frac{1}{v} \int \bar{\Delta}(v) \Delta(v) dv + \int \bar{\Psi} \hat{Y}^{-1} \Psi dx$$

$$\Psi = \begin{pmatrix} \psi_{\uparrow} \\ \psi_{\downarrow} \end{pmatrix} \quad \bar{\Psi} = (\bar{\psi}_{\downarrow} \quad \bar{\psi}_{\uparrow})$$

$$\hat{Y} = \begin{pmatrix} -i\varepsilon_n + \zeta_n & i\Delta \\ i\bar{\Delta} & -i\varepsilon_n - \zeta_n \end{pmatrix}$$

After sliced integration, we've got the effective action which has a BCS form with

$$\lambda_{\text{eff}} = \lambda_{\text{BCS}} - \frac{1}{v} \ln \frac{\varepsilon_F}{\omega_D} \rightarrow \lambda > 0 \text{ for } \varepsilon_F \lesssim \omega_D$$

describes effective attraction.

$$S_{\text{BCS}} = S_0 - \lambda \int \bar{\psi}_{\uparrow} \bar{\psi}_{\downarrow} \psi_{\downarrow} \psi_{\uparrow} dx$$

↓
positive

In contrast, Coulomb has the same form with $\lambda \rightarrow -U_0$

We again do H-S T but with the opposite sign in the quartic term, $U_0 \rightarrow -\lambda$,

and with energies $\ll \omega_D$.

Decoupling with Δ & $\bar{\Delta}$ behaving like bosons

$$e^{\lambda \int \bar{\Psi}_L \bar{\Psi}_R \Psi_R \Psi_L dx}$$

$$= \int \mathcal{D}\bar{\Delta} \mathcal{D}\Delta e^{-\int dx \left[\frac{1}{\lambda} |\Delta|^2 - \bar{\Delta} \Psi_L \Psi_R - \Delta \bar{\Psi}_L \bar{\Psi}_R \right]}$$

no imaginary i

The effective action quadratic in ψ :

$$S_{\text{eff}}(\Delta, \bar{\Delta}; \bar{\psi}, \psi) = \frac{1}{\lambda} \int dq \left[\bar{\Delta} \Delta + \bar{\psi} \mathcal{G}^{-1} \psi \right]$$

$$dq = d\underline{p} d\omega, \text{ and } |\omega| < \omega_D$$

$$\mathcal{G}^{-1} = \begin{bmatrix} -i\varepsilon_n + \underline{z}_q & -\Delta \\ -\bar{\Delta} & -i\varepsilon_n - \underline{z}_q \end{bmatrix}$$

$$\mathcal{G} = \frac{1}{\varepsilon_n^2 + \underline{z}_q^2 + |\Delta|^2} \begin{bmatrix} i\varepsilon_n + \underline{z}_q & -\Delta \\ -\bar{\Delta} & i\varepsilon_n - \underline{z}_q \end{bmatrix}$$

The analytic continuation to real frequency, $i\varepsilon_n \rightarrow \varepsilon$

$$\Rightarrow G = \frac{1}{\varepsilon^2 - (\underline{z}_q^2 + |\Delta|^2)} \begin{bmatrix} \varepsilon + \underline{z}_q & -\Delta \\ -\bar{\Delta} & \varepsilon - \underline{z}_q \end{bmatrix}$$

The poles of G give excitations; if we assume that $|\Delta|^2$ is just a number - not field:

a gap
in the
spectrum



As we have done for the Coulomb, we
integrate out Ψ by slices;

Then, we have the RG eqn as before, but
with the opposite sign, and integration between T & ω_D

$$\chi \lambda [T] = \frac{\chi \lambda}{1 - \chi \lambda \ln \frac{\omega_D}{T}} \quad \text{Cooper's instability}$$

$$\left[\text{Compare with } \chi U(\omega_D) = \frac{\chi U_0}{1 + \chi U_0 \ln \frac{E_F}{\omega_D}} \right]$$

Obviously, we have divergence at $\frac{\omega_D}{T} = \exp \frac{1}{\chi \lambda}$

The expansion to the lowest power of $\chi \lambda \ln$,
we assume that the small parameter was there.

Now we need to sum over most divergent terms
in all orders. (Gor'kov)

BCS \rightarrow used the variational approach.

From the QFT viewpoint, we simply started the expansion from the "wrong" initial point: keeping only S_0 in the integrals over action.

QFT: try to find the minimal action, and then expand in the fluctuations around the minimum.

Minimisation:

$$\frac{\delta S}{\delta \Delta} = 0 \quad \text{where we assume } \Delta = \underbrace{\Delta_0}_{\text{Optimum}} + \underbrace{\tilde{\Delta}(\omega, \varphi)}_{\text{fluctuations}}$$

$$\text{Here } S = \int d\varphi \left[\frac{\bar{\psi} \psi}{\lambda} + \bar{\psi} \hat{y}^{-1} \psi \right] = S_0 + S_{\psi\Delta}$$

$$\text{Variational derivatives: } \frac{\delta \Delta(x)}{\delta \Delta(x')} = \delta(x-x'); \quad \frac{\delta S}{\delta \Delta} = 0$$

or similar in φ -representation.

$$\frac{\delta S_0}{\delta \Delta} = \frac{\delta}{\delta \Delta} \left[\frac{1}{\lambda} \int dx \bar{\psi} \psi \right] = \frac{\bar{\psi}}{\lambda}$$

The $S_{\psi\Delta}$ can be integrated over $\bar{\psi} \psi$

$$\text{giving } \det \hat{y}^{-1} = e^{\text{Tr} \ln \hat{y}^{-1}} = e^{-\text{Tr} \ln \hat{y}} = e^{-S_{\text{int}}}$$

$$\frac{\delta S_{\text{int}}}{\delta \Delta} = - \frac{\delta}{\delta \Delta} \text{Tr} \ln \hat{y}^{-1} = - \text{Tr} \frac{\delta}{\delta \Delta} \ln \hat{y}^{-1}$$

$$= -\text{Tr} \hat{y} \frac{\delta}{\delta \Delta} \hat{y}^{-1} = +\text{Tr} \hat{y} \cdot \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$= \text{Tr} \hat{y}_{21}$$

Hence, combining the two:

$$\frac{\bar{\Delta}}{\lambda} = -\text{Tr} \hat{y}_{21} = \text{Tr} \frac{\bar{\Delta}}{\epsilon_n^2 + \zeta_q^2 + |\Delta|^2}$$

$$= \int dq \frac{\bar{\Delta}}{\epsilon_n^2 + \zeta_q^2 + |\Delta|^2} \quad \text{Here } \Delta \rightarrow \Delta_0$$

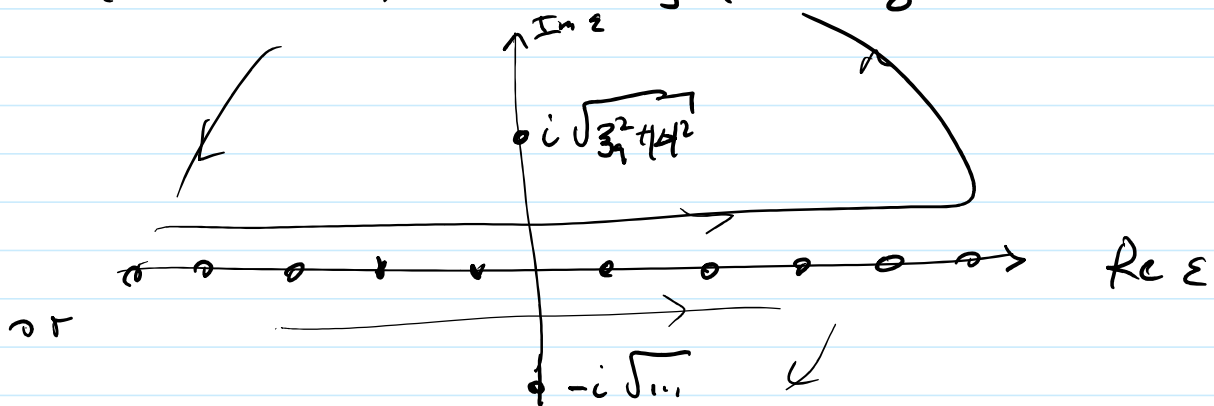
$$\Delta = \Delta_0 + \tilde{\Delta} \rightarrow \text{fluctuations.}$$

Thus we arrive at the self-consistency eqn:

$$\frac{1}{\lambda} = \frac{1}{\beta} \frac{1}{L^d} \sum_{\epsilon_n, q} \frac{1}{\epsilon_n^2 + \zeta_q^2 + |\Delta_0|^2}$$

Let's sum over $\epsilon_n = 2aT(n + \frac{1}{2})$

The sum goes to the integral on the complex plane of ϵ by introducing a counting function:



$$\prod \sum f(z_n) = \frac{1}{2} \frac{1}{2\pi i} \oint f(z) D(z)$$

$D(z)$ has poles at all $z_n = \pi(2n+1)$

and $\frac{D'(z_n)}{D(z_n)} = 1$

$$D(z) = \tan \frac{z}{2\tau}; \quad \text{when } z \rightarrow \pm i\infty, \quad \tan \frac{z}{2\tau} \rightarrow \tanh(\infty) \cdot i$$

$$\prod \sum \frac{1}{z_n^2 + \gamma^2 + \Delta_0^2} = \frac{1}{2\pi i} \oint \frac{1}{z^2 + (\gamma^2 + \Delta_0^2)} \tan \frac{z}{2\tau}$$

$$= \frac{1}{2\pi i} 2\pi i \frac{1}{i2\sqrt{\gamma^2 + \Delta_0^2}} \tan \frac{i\sqrt{\gamma^2 + \Delta_0^2}}{2\tau}$$

$$= \frac{1}{2\sqrt{\gamma^2 + \Delta_0^2}} \tanh \frac{\sqrt{\gamma^2 + \Delta_0^2}}{2\tau}$$

Substitute into self-cons eqn:

$$\frac{1}{\lambda} = \frac{1}{2} \int d\bar{q} \frac{\tanh \frac{\sqrt{\gamma^2 + \Delta_0^2}}{2\tau}}{\sqrt{\gamma^2 + \Delta_0^2}} =$$

$$= \frac{\nu}{2} \int_{-\omega_D}^{\omega_D} d\zeta \frac{\tanh \frac{\sqrt{\zeta^2 + \Delta_0^2}}{2\tau}}{\sqrt{\zeta^2 + \Delta_0^2}}$$

When $\tau \rightarrow 0$, $\tanh \rightarrow 1$, so that

$$\frac{1}{\lambda} = \nu \int_0^{\omega_D} \frac{d\zeta}{\sqrt{\zeta^2 + \Delta_0^2}} = \nu \sinh^{-1} \frac{\zeta}{\Delta_0} \Big|_0^{\omega_D}$$

$$\Rightarrow \sinh \frac{1}{\nu\lambda} = \frac{\omega_D}{|\Delta_0|}$$

$$\Rightarrow |\Delta|_0 = \frac{\omega_D}{\sinh \frac{1}{\nu\lambda}} \approx \omega_D e^{-\frac{1}{\nu\lambda}} \ll \omega_D.$$

If $T \gg \omega_D$,
 $\frac{1}{\nu\lambda} = \int_{-\omega_D}^{\omega_D} \frac{1}{2T} dz = \frac{\omega_D}{T} \rightarrow \omega_D \nu$
 \rightarrow no solution for large T .

Hence, there exists T_c where Δ_0 starts to deviate from D .

$$\Delta_0(T_c) = 0 \quad \text{and} \quad \Delta_0(T < T_c) \neq 0.$$

$$\begin{aligned} \frac{1}{\nu\lambda} &= \int_0^{\omega_D} dz \frac{\tanh \frac{z}{2T_c}}{z} = \int_0^{\frac{\omega_D}{2T_c}} du \frac{\tanh u}{u} \quad \frac{z}{2T_c} = u \\ &\sim \int_1^{\frac{\omega_D}{2T_c}} du \frac{\tanh u}{u} \sim \int_1^{\frac{\omega_D}{2T_c}} \frac{du}{u} = \ln \frac{\omega_D}{2T_c} \end{aligned}$$

$$\Rightarrow T_c = \frac{\omega_D}{2} e^{-\frac{1}{\nu\lambda}} \sim |\Delta_0|$$

The full effective functional should describe fluctuations, $\Delta = \Delta_0 + \Delta_{fl}$

Let's write $g^{-1}(x)$ as

$$\hat{g}^{-1} = \underbrace{\begin{bmatrix} -\partial_c + \frac{1}{3} & 0 \\ 0 & -\partial_c + \frac{1}{3} \end{bmatrix}}_{\hat{g}_0^{-1}} - \begin{bmatrix} 0 & \Delta \\ \Delta & 0 \end{bmatrix}$$

$\hat{\Delta}$

We expand this in small Δ as:

$$S - S_0 = -\text{Tr} \ln \hat{g}^{-1} = -\text{Tr} \ln \hat{g}_0^{-1} [1 - \hat{g}_0 \hat{\Delta}]$$

$$= -\underbrace{\text{Tr} \ln \hat{g}_0^{-1}}_{\text{irrelevant}} - \text{Tr} \ln (1 - \hat{g}_0 \hat{\Delta})$$

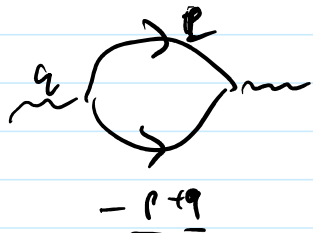
$$= +\text{Tr} \sum_n \frac{1}{n} (\hat{g}_0 \hat{\Delta})^n$$

In the lowest order,

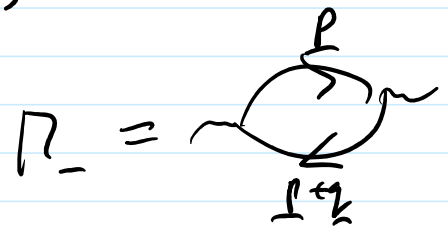
$\text{Tr} (\hat{g}_0 \hat{\Delta})^2 \rightarrow$ the lowest order in which this contributes

$$\Rightarrow \int d^d q \bar{\Delta}_q \Delta_q \Pi_+(q)$$

$$\Pi_+(q) = \sum_p G_0(p) G_0(-p+q)$$



Cooperon
propagation of e-e pair



polarization operator
(e-h pair)

Singular when $q \rightarrow 0$
the "sum" momentum

Singular when
 $q \rightarrow 0$
transferred
momentum

We have action $\sim \sum \bar{D}q \Gamma_q \Delta q$

$$\text{where } \Gamma_q = \left(\frac{1}{\lambda} - \Pi_+ \right)$$

When we expand the result of calculations of Π_+
in small q and go into the k -representation,
we end up with

$$S^0 = \int dx \left[\frac{q T_0}{2} |\Delta|^2 + \frac{c}{2} |\partial \Delta|^2 \right]$$

$$\text{where } q = a_0 (T - T_c)$$

We can add e - m field by the minimal
substitution,

$$\partial \rightarrow \partial - i e^* \underline{A} \quad (\text{Ginzburg-Landau}).$$

$e^* = 2e$ — the charge of the
Cooper pair.

The next term of the expansion gives

$$\sim |\Delta|^4, \text{ that stabilizes the action.}$$