

Classical (and later quantum) FT of phase transitions.

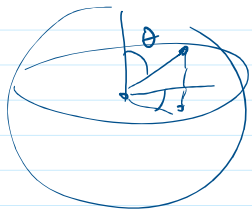
QFT representation for spin system:

$$|\sigma\rangle = a|\uparrow\rangle + b|\downarrow\rangle$$

In 3D,

$$|\sigma\rangle = e^{ib} \left( e^{-\frac{i\varphi}{2}} \cos \frac{\theta}{2} |\uparrow\rangle + e^{\frac{i\varphi}{2}} \sin \frac{\theta}{2} |\downarrow\rangle \right)$$

$b$  - global phase;  $\theta, \varphi$  - angles on the Bloch sphere.



Functional integral -  $\int \mathcal{D}\sigma = \int d\theta_i d\varphi_i$

$$Z = \int \mathcal{D}\sigma e^{-S}$$

$$S = \int_0^\beta d\tau \langle \bar{\sigma} | \partial_\tau + H(\sigma) | \sigma \rangle$$

After taking  $\partial_\tau$ ,

$$\langle \bar{\sigma} | \partial_\tau | \sigma \rangle = i \left( \dot{b} - \frac{1}{2} \cos \theta \dot{\varphi} \right)$$

all  $\dot{\theta}$  terms mutually cancel

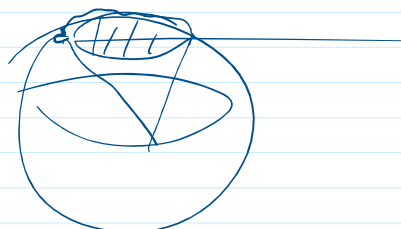
Fix the gauge by choosing  $b = \frac{\varphi}{2}$

$$\Rightarrow \langle \bar{\sigma} | \partial_\tau | \sigma \rangle = \frac{i}{2} (1 - \cos \theta) \dot{\varphi}$$

$$S = \underbrace{\int_0^\beta (1 - \cos \theta) \dot{\varphi} d\tau}_{\text{Berry's phase}} + \int_0^\beta H(\sigma) d\tau$$

$\int D\phi$  covers all paths on the Bloch sphere.

Berry's phase (geometric phase) is a solid angle subtended by a close path  $S(\tau)$ , with  $S(\beta) = S(0)$ .



Whatever is  $\hat{H}(\phi)$ , we might expect a phase transition when spins interact.

Assuming that there's a phase transition from a "disordered" to an "ordered" phase, where the ordered phase is characterized by some order parameter,  $\Phi$ .

The effective  $\phi$ - $\ell$  functional is written as

$$\mathcal{H} = \frac{1}{\tau_0^d} \int d^d x \left[ a \Phi^2 + \frac{1}{2} g \Phi^4 + c (\nabla \Phi)^2 \right] \equiv \frac{1}{\tau_0^d} \int d^d x H(\Phi)$$

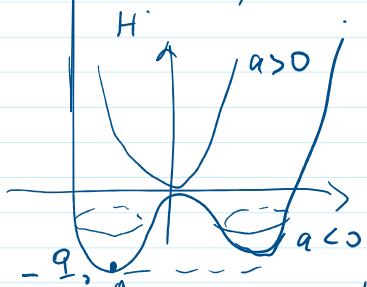
ultra-violet cut off: e.g. lattice spacing, etc

Landau functional

We've considered this  $\phi$ - $\ell$  for superconductivity, with a minimal substitution  $\nabla \rightarrow (\nabla - 2ie)$

Landau:  $a \equiv a_0 (T - T_c)$

When  $c=0$ , then



$$\frac{\delta \mathcal{H}}{\delta \Phi} = 0 \Rightarrow 2a\Phi + 2g\Phi^3 = 0$$

$$\Rightarrow \Phi = 0 \text{ or } \Phi = -\sqrt{-\frac{a}{g}}$$

for  $a < 0$

a new symmetry breaking minimum (degenerate for  $d \geq 2$ )

$c \neq 0$  introduces fluctuations around the minimum;

Do the fluctuations destroy PT?

Introduce  $\varphi(x) = \Phi(x) - \Phi_0$

Substituting  $\Phi = \Phi_0$  gives  $\mathcal{H}_{MF}$

$$\beta \mathcal{H} = \underbrace{\beta \mathcal{H}_0}_{\mathcal{H}(\varphi)} + \frac{\beta}{V_0} \int d^d x \left[ \bar{a} \varphi^2 + c (\nabla \varphi)^2 + \frac{1}{2} g \varphi^4 \right]$$

Origin of  $\bar{a}$ :  $a \Phi^2 = a (\Phi_0 + \varphi)^2 = \underbrace{a \Phi_0^2}_{\mathcal{H}(\varphi)} + a \varphi^2$

linear term doesn't contribute by symmetry

$$\frac{1}{2} g \Phi^4 = \frac{g}{2} (\varphi + \Phi_0)^4 = \frac{g}{2} \Phi_0^4 + 3g \varphi^2 \Phi_0^2$$

$$\bar{a} \varphi^2 = a \varphi^2 + 3g \varphi^2 \Phi_0^2 = \begin{cases} a \varphi^2, & T > T_c \\ -2a \varphi^2, & T < T_c \end{cases}$$

Fluctuations  $Z$ :

$$Z = \int \mathcal{D}\varphi e^{-\beta \mathcal{H}(\varphi)}$$

If  $\mathcal{H} - \mathcal{H}_0 \equiv \mathcal{H}(\varphi)$  is large, the fluctuations are suppressed.

Let's rescale the functional to estimate whether it's large or small;

$$\mathcal{H} = T_c \gamma F \quad \leftarrow \text{dimensionless}$$

Here  $F$  is  $\mathcal{H}$  rescaled in such a way that all the terms are of the same order:

rescaling:  $x = \lambda \tilde{x}$ ,  $\varphi = \xi \tilde{\varphi}$   
 $\uparrow$   $\uparrow$   
 dimensionless

all terms of the same order give

$$\lambda^d \bar{a} \xi^2 \sim g \lambda^d \xi^4 \sim c \lambda^{d-2} \xi^2 \sim \gamma \tau_c \tau_0^d$$

$$\xi^2 \sim \frac{\bar{a}}{g}, \quad \lambda^2 \sim \frac{c}{\bar{a}}$$

$$\begin{aligned} \gamma &\sim \frac{\lambda^d \bar{a}^2}{g \tau_c \tau_0^d} = \left(\frac{c}{\bar{a}}\right)^{\frac{d}{2}} \frac{\bar{a}^2}{g \tau_c \tau_0^d} \\ &= \frac{[a_0 |\tau|]^{2-\frac{d}{2}} c^{\frac{d}{2}}}{g \tau_c \tau_0^d} \\ &= \left(\frac{\lambda}{\tau_0}\right)^d \frac{a_0^2}{g \tau_c} |\tau|^{2-\frac{d}{2}} \end{aligned}$$

$\lambda$  plays the role of the "correlation length" at  $|\tau| \sim 1$ , where  $\tau \equiv \frac{T-T_c}{T_c}$

$$\lambda^2 = \frac{c}{a_0}; \quad \xi^2 = \frac{c}{g} \sim \frac{\lambda^2}{|\tau|} \quad \text{— correlation length.}$$

On rescaling,

$$\beta \mathcal{K} \rightarrow \gamma F = \gamma \int d^d \vec{x} \left[ \varphi^2 + (\partial_x \varphi)^2 + \frac{1}{2} \varphi^4 \right]$$

For  $\gamma \gg 1$ , fluctuations are irrelevant.

$$\gamma \sim \frac{a_0^2}{g \tau_c} |\tau|^{2-\frac{d}{2}} \gg 1 \quad \text{— Ginzburg-Landau criterion.}$$

For  $d \geq 4$ ,  $\gamma \rightarrow \infty$  when  $\tau \rightarrow 0$  — MFT is always applicable.

$d$  is called the upper critical dimension.

For clean superconductivity,  $d=3$ ,  $|\tau| \gtrsim 10^{-12}$  for BCS to work. (MF)

What is the low critical dimensionality? For  $d < d_{\text{low}}$

there's no PT at all.

Let's consider the XY spin model:

$$\mathcal{K}_{XY} = -J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j = -J S^2 \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j)$$

next neighbours only

All the above estimates are valid.

To see at what low dimensions PTs survive, we consider the correlation function of two spins.

$$K(\underline{R}_i - \underline{R}_j) = \langle \vec{S}_i \cdot \vec{S}_j \rangle; \quad \langle \dots \rangle = \frac{1}{Z} \text{Tr}(\dots)$$

On a lattice,  $\underline{R}_i = a \underline{i}$ ,  $\underline{i}$  - an integer value vector on the lattice.

Introduce "magnetic" field  $\underline{h}$  by adding

$$\mathcal{K}_h = \sum_i \underline{h}_i \cdot \vec{S}_i$$
$$Z_h = \text{Tr} e^{-\beta(\mathcal{K} + \mathcal{K}_h)} = \text{Tr} e^{-\beta(\mathcal{K}_0 - \sum_i \underline{h}_i \cdot \vec{S}_i)}$$

Overall magnetisation,

$$\underline{M} \equiv \langle \underline{S} \rangle = \frac{1}{Z} \text{Tr}(\underline{S} e^{-\beta \mathcal{K}})$$
$$= - \frac{\delta}{\delta \underline{h}_i} \left( \beta^{-1} \ln Z \right) = - \frac{\partial \mathcal{F}}{\partial h_i}$$

If  $\underline{M} \neq 0$  when  $\underline{h} = 0$ , there's a PT, i.e. spontaneous symmetry break down

$$S_h = S_{-h}, \quad \text{i.e. } \langle \underline{S}_i \rangle = -\langle \underline{S}_i \rangle = 0 \quad \text{if}$$

the symmetry is unbroken.

Math. meaning  $\Rightarrow$

$$\lim_{N \rightarrow \infty} \lim_{\hbar \rightarrow 0} \neq \lim_{\hbar \rightarrow 0} \lim_{N \rightarrow \infty}$$

the limit of interest.

In terms of  $\hbar$ , the correlation fun

$$K_{\alpha\beta} = -\frac{1}{\mathcal{P}} \frac{\delta^2 \mathcal{F}}{\delta h_\alpha(R_i) \delta h_\beta(R_j)}$$

$$\underline{h} = \{h_\alpha\} \equiv \{h_x, h_y, h_z\} \text{ etc}$$

In the high- $T$  limit, this is always exp suppressed.

Let's prove this.

$$K_{\alpha\beta}(\underline{R}_1 - \underline{R}_2) = \frac{s^2 \langle \cos(\theta_1 - \theta_2) \rangle}{Z} \quad \parallel \quad \langle \dots \rangle = \text{Tr}(\rho) = \int \mathcal{D}\theta_i \equiv \prod_i d\theta_i$$

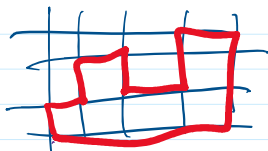
$$\Rightarrow \frac{s^2 \text{Pr}[\cos(\theta_1 - \theta_2) e^{\beta J s^2 \sum \cos(\theta_i - \theta_j)}]}{\text{Tr}[\text{Exp}(\beta J s^2 \sum \cos(\theta_i - \theta_j))]}$$

$$e^{\beta J s^2 \sum \dots} = \sum_m \frac{(\beta J s^2)^m}{m!} \left[ \sum_{ij} \cos(\theta_i - \theta_j) \right]^m$$

High- $T$  expansion;

$$Z \propto \left[ \sum_{ij} \cos(\theta_i - \theta_j) \right]^m \approx \frac{1}{2^m} \left[ \sum_{ij} \left( e^{i(\theta_i - \theta_j)} + e^{-i(\theta_i - \theta_j)} \right) \right]^m$$

It's vanishing due to oscillations, unless all exp are cancelled.



When the path is closed, all exp are cancelled.

In calculating  $\frac{\langle \cos(\theta_1 - \theta_2) \rangle}{Z}$   
All closed paths that don't involve  $\theta_1, \theta_2$  cancel between the numerator & denominator.

All nonzero contribution are from trajectories that starts on  $\theta_1$  and ends on  $\theta_2$ .

If points 1 & 2 are far apart, we need a rather high degree of expansion of exp to connect  $\theta_1$  and  $\theta_2$ , as only next neighbours contribute in each term.

$$K_{\beta}(ij) \sim \left(\frac{\beta J s^2}{2}\right)^{\frac{|R_i - R_j|}{a}} \equiv \left(\frac{\beta J s^2}{2}\right)^{m_{\min}}$$

$$K(ij) \sim e^{\frac{(R_i - R_j)}{a} \ln \frac{\beta J s^2}{2}} \equiv e^{-\frac{|R_i - R_j|}{\xi}}$$

$$\xi \equiv \frac{a}{\ln \frac{2}{\beta J s^2}} \rightarrow \infty \text{ when } \beta \rightarrow 0.$$

Hence, a PT exists if for  $T \rightarrow 0$

the dependence of  $K$  on  $|R_i - R_j|$  is non-exponential.

Let's consider low- $T$  case including quantum corrections.

$$\mathcal{K} = -J s^2 \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j) + \sum_j \frac{n_j^2}{2C} + \mathcal{K}_h$$

$$\text{with } n_j = i \frac{\partial}{\partial \theta_j}$$

This model describes, e.g., a set of small superconducting islands of capacity  $C$  each connected by tunneling junctions  $\bar{J}_j \sim J$

We assumed that the order parameter in each island was  $\Delta_j = \Delta_0 e^{i\theta_j}$ ;

direction,  $\theta_j$ , is random.

If all islands are correlated, there's superconductivity  
 If not - we have the insulator.

$\frac{h_j^2}{2C}$  is the charging energy, with  
 $h_j \propto$  to the # of electrons  
 on the island.  
 ( $2e=1$  units)

$$E_{\text{charging}} = \frac{q_i^2}{2C} = \frac{C U_j^2}{2} = \frac{C}{2} \dot{\theta}_j^2$$

Josephson relation

$$S[\{\theta_i\}] = \int_0^\beta dt \left\{ \sum_j \frac{C}{2} \dot{\theta}_j^2 - J \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j) \right\}$$

$$Z = \int \mathcal{D}\theta e^{-\beta S} \equiv \int \mathcal{D}\theta_i e^{-\beta S}$$

Assume that there's MF transition with  $\theta_0 \equiv \langle \theta \rangle \neq 0$   
 is the order parameter.

Introducing  $\phi_j = \theta_j - \theta_0 = \delta\theta_j \rightarrow$  fluctuation,

we have

$$S_{fl} = \int_0^\beta dt \left[ \frac{C}{2} \sum_j \dot{\phi}_j^2 + \frac{J}{2} \sum_{\langle ij \rangle} (\phi_i - \phi_j)^2 \right]$$

(here  $\cos$  was expanded to the lowest order  
 in  $(\phi_i - \phi_j)$ ).

Let's take Fourier-transform:

$$\phi_j = \frac{1}{\sqrt{\beta N}} \sum_{\omega_n, \mathbf{k}} e^{-i\omega_n t + i\mathbf{k} \cdot \mathbf{r}_j} \phi_j(i\omega_n, \mathbf{k})$$

In this form, using Parseval, we have

$$S_{fl} = \sum_{\omega_n, \mathbf{k}} \left[ \frac{C}{2} \omega_n^2 + J(1 - \cos(\mathbf{k} \cdot \mathbf{a})) \right] \theta(\mathbf{k}) \theta(-\mathbf{k})$$



$$k \equiv (\omega_n, \underline{k})$$

In the long-wave limit,  $1 - \cos\left(\frac{\underline{k} \cdot \underline{a}}{a}\right) \approx \frac{k^2 a^2}{2}$

$$\theta_j - \theta_e \sim \partial^2 \theta$$

Hence,  $S_{fl} \sim C \sum_{\omega_n, \underline{k}} \theta(\underline{k}) \underbrace{[\omega_n^2 + v^2 k^2]}_{\omega_0^{-1}} \theta(-\underline{k})$  collection of SHO

where  $\frac{J a^2 k^2}{C} \equiv v^2 k^2$

$$G_n \sim \frac{1}{\omega_n^2 + v^2 k^2}$$

Analytic continuation,  $\omega_n \rightarrow i\epsilon \omega$

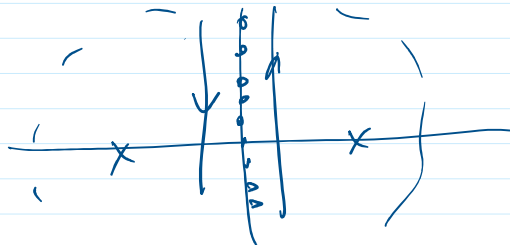
$$G(\omega_n, \underline{k}) \rightarrow G(\omega, \underline{k}) = \frac{1}{\omega^2 - v^2 k^2}$$

There are poles at  $\omega = \pm v k \rightarrow$  Goldstone modes,

(Always appear as a result of breaking of continuous symmetry)

Now we consider fluctuation contribution by  $\omega_n$  summation using

$$\beta^{-1} \sum_{\omega_n} f_n = \frac{1}{2\pi i} \oint f(z) \underbrace{\coth \frac{\beta z}{2}}_{\text{Counting from}}$$



$$z = \pm vk \equiv \pm \omega_k$$

$\rightarrow$  poles contributions.

Hence, after performing the  $z$ -integral,

$$\langle \psi_i^2 \rangle_{fl} = \frac{d}{2C} \int \frac{d^d k}{(2\pi)^d} \frac{\coth \beta \omega_k}{\omega_k}$$

$$(1) T \neq 0; k \rightarrow 0 \text{ and } \beta \omega_k \rightarrow 0, \\ \text{with } \beta \omega_k \rightarrow \frac{1}{\beta v_k}$$

$$\langle \varphi_j^2 \rangle_{T \neq 0} \sim \frac{g_0^d T}{2c v^2} \int_{\text{area of } d\text{-dim sphere}} \frac{k^{d-1} dk}{k^2}$$

When  $d \leq 2$ , it's divergent at  $k \rightarrow 0$

$\rightarrow$  IR divergence that destroys the MF solution.

Mermin-Wagner theorem - no long-range order for  $d \leq 2$ .

Although LRO is destroyed, PT exists as (we can show) that  $K(R_j - R_e)$  is non-exponential.

$$K_{ij} = \langle \cos(\theta_e - \theta_j) \rangle_{T \neq 0}$$

$$= \frac{1}{2} \left[ \langle e^{i(\varphi_e - \varphi_j)} \rangle + \text{c.c.} \right]$$

$$= \exp \left[ -\frac{1}{2} \langle (\varphi_e - \varphi_j)^2 \rangle \right] \text{ for } \\ \text{(ans) Gaussian average.}$$

$$\sim \frac{g^d}{2c} \int \frac{d^d k}{(2\pi)^d} \frac{1}{\omega_k} \coth \frac{\beta \omega_k}{2} [1 - \cos \underline{k} \cdot \underline{R}_{ij}]$$

It's convergent in the IR limit.

introducing IR cutoff,  $|k| > R_0^{-1}$ , we have

$$\langle \varphi_j^2 \rangle_{pe} \sim \frac{g_0^d T}{2T} \int_{R_0^{-1}}^{k_c} \frac{k^{d-1} dk}{\omega_k^2} \approx \frac{g_0^2 T}{c v^2}$$

$$\xrightarrow{d=2} \frac{g_0^d T}{c v^2} \ln k_c R_0$$

$$K_{ej} = e^{-\frac{1}{2} \langle (\varphi_e - \varphi_j)^2 \rangle} = \left[ (k_e - k_j) (k_c) \right]^{-\frac{T}{2\pi v}}$$

Power-law decay  $\equiv$  PT  $\equiv$  BKT phase transition.