

# Theoretical Models of neutrino parameters.

G.G.Ross, Warwick, October 2008



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Number of light neutrinos 3 ?

Masses + Mixing Angles 6 (15)

CP violating phases 3 (6)

# Theoretical **Models** of neutrino parameters.

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- $q \leftrightarrow l?$  See-saw with sequential domination
- Symmetry? GUT  
Family Abelian, Non-Abelian, Discrete, String?
- Tri-bi-maximal mixing Non-Abelian discrete symmetry

# Theoretical **Models** of neutrino parameters.

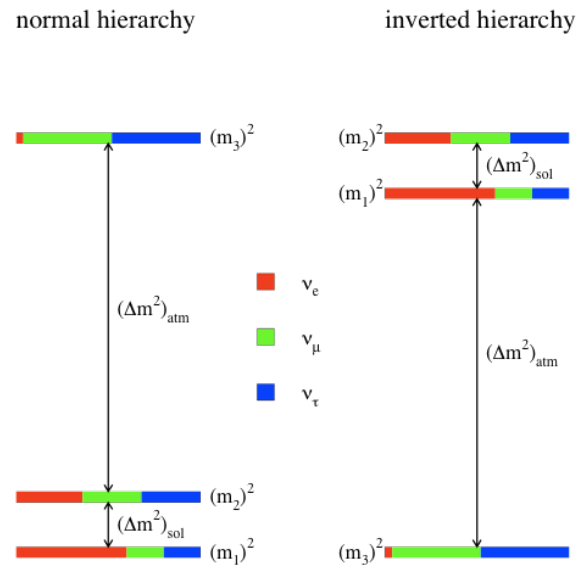
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- $q \leftrightarrow l$  See-saw with sequential domination
- Symmetry GUT  
Family Abelian, Non-Abelian, Discrete, String?
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$\Rightarrow$  parameter constraints

# DATA :

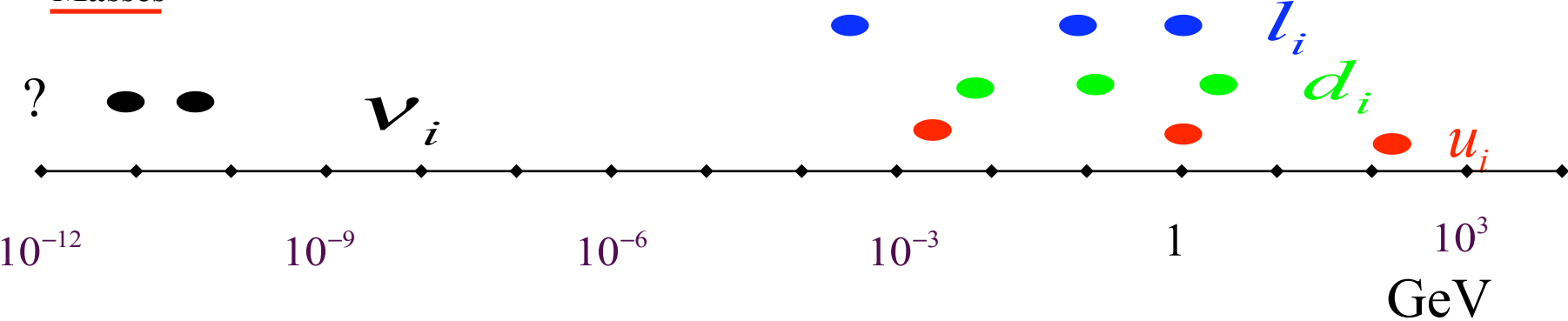
## 3 neutrino fit



| parameter                               | best fit | $2\sigma$    | $3\sigma$    | $4\sigma$    |
|-----------------------------------------|----------|--------------|--------------|--------------|
| $\Delta m_{21}^2 [10^{-5} \text{eV}^2]$ | 7.9      | 7.3–8.5      | 7.1–8.9      | 6.8–9.3      |
| $\Delta m_{31}^2 [10^{-3} \text{eV}^2]$ | 2.6      | 2.2–3.0      | 2.0–3.2      | 1.8–3.5      |
| $\sin^2 \theta_{12}$                    | 0.30     | 0.26–0.36    | 0.24–0.40    | 0.22–0.44    |
| $\sin^2 \theta_{23}$                    | 0.50     | 0.38–0.63    | 0.34–0.68    | 0.31–0.71    |
| $\sin^2 \theta_{13}$                    | 0.000    | $\leq 0.025$ | $\leq 0.040$ | $\leq 0.058$ |

DATA :

Masses



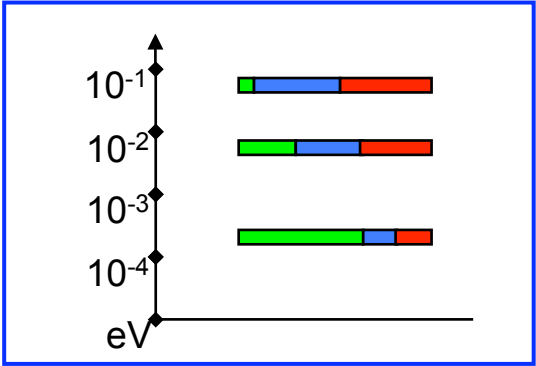
Mixing

Quarks

$$V_{CKM} \approx \begin{pmatrix} 1 & 0.218-0.224 & 0.002-0.005 \\ 0.218-0.224 & 1 & 0.032-0.048 \\ 0.004-0.015 & 0.03-0.048 & 1 \end{pmatrix}$$

Leptons

$$V_{MNS} = \begin{pmatrix} 0.79-0.88 & 0.48-0.61 & < 0.2 \\ 0.27-0.49 & 0.45-0.71 & 0.52-0.82 \\ 0.28-0.5 & 0.51-0.65 & 0.57-0.81 \end{pmatrix}$$



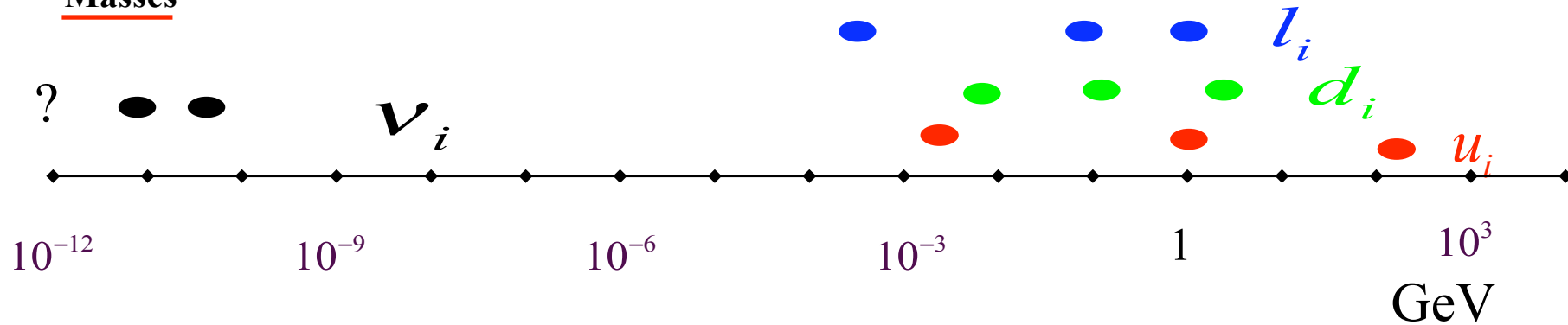
$$\approx \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & \sim 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

Bi-Tri Maximal Mixing ...

Harrison, Perkins, Scott

DATA :

### Masses



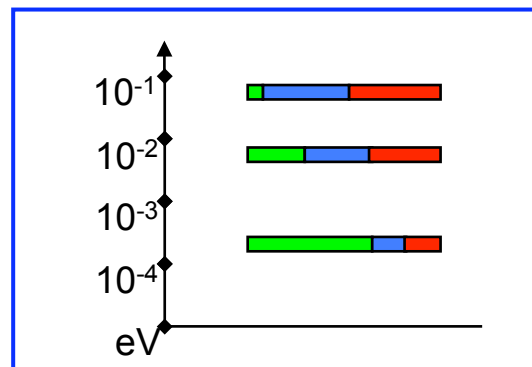
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Bi-Tri Maximal  
Mixing ...  
Discrete  
Non Abelian  
Structure?

DATA :

$$L_{Yukawa} = Y_{ij}^u \mathcal{Q}^i u^{c,j} H + Y_{ij}^d \mathcal{Q}^i d^{c,j} \overline{H}$$

$$M_{ij}^u = Y_{ij}^u \langle H^0 \rangle \quad M_{ij}^d = Y_{ij}^d \langle \overline{H}^0 \rangle$$

$$M^u = V_L^\dagger \frac{M_{Diag}^u}{V_R}$$

$$M^d = U_L^\dagger \frac{M_{Diag}^d}{U_R}$$

$$\underline{V_{CKM}} = V_L^\dagger U_L$$

The data for quarks is *consistent* with a very symmetric structure :

$$\frac{M^{d,u}}{m^{b,t}} \simeq \begin{pmatrix} \langle \epsilon^4 & \epsilon^3 & -\epsilon^3 \\ \epsilon^3 & \epsilon^2 & -\epsilon^2 \\ -\epsilon^3 & -\epsilon^2 & 1 \end{pmatrix} \quad \begin{array}{l} \epsilon^d = 0.15 \\ \epsilon^u = 0.05 \end{array}$$

$q \leftrightarrow l$  symmetry?

Charged leptons are consistent with a similar form

$$\frac{M^{d,l,u}}{m^{b,\tau,t}} \simeq \begin{pmatrix} < \varepsilon^4 & \varepsilon^3 & -\varepsilon^3 \\ \varepsilon^3 & a\varepsilon^2 & -a\varepsilon^2 \\ -\varepsilon^3 & -a\varepsilon^2 & 1 \end{pmatrix} \quad \begin{aligned} \varepsilon^d &= 0.15, & a^d &= 1 \\ \varepsilon^l &= 0.15, & a^l &= -3 \\ \varepsilon^u &= 0.05, & a^u &= 1 \end{aligned}$$

## Symmetry 1.

## GUT relations

*e.g.*  $SU(4) \subset SO(10)$

$$\psi_\alpha = \begin{pmatrix} d \\ d \\ d \\ l \end{pmatrix}$$

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## GUT relations

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$$\psi_\alpha = \begin{pmatrix} d \\ d \\ d \\ l \end{pmatrix}$$

$$\text{Det}(M^l) = \text{Det}(M^d)|_{M_X}$$

$$\frac{m_b}{m_\tau}(M_X) = 1$$

$$\frac{M^{d,l}}{m_3} = \begin{pmatrix} <\epsilon^4 & \epsilon^3 & -\epsilon^3 \\ \epsilon^3 & a\epsilon^2 & -a\epsilon^2 \\ -\epsilon^3 & -a\epsilon^2 & 1 \end{pmatrix}$$

$\epsilon^d = 0.15, a^d = 1$   
 $\epsilon^l = 0.15, a^l = -3$

# Symmetry 1.

## GUT relations

e.g.  $SU(4) \subset SO(10)$

$$\psi_\alpha = \begin{pmatrix} d \\ d \\ d \\ l \end{pmatrix}$$

$$\langle \Sigma_{45} \rangle$$

$\equiv$

$$\bar{\psi}^{-\alpha} \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & -3 \end{pmatrix} \psi_\alpha$$

$$\frac{m_s}{m_\mu}(M_X) = \frac{1}{3}$$

Georgi Jarlskog

$$\frac{M^{d,l}}{m_3} = \begin{pmatrix} <\epsilon^4 & \epsilon^3 & -\epsilon^3 \\ \epsilon^3 & a\epsilon^2 & -a\epsilon^2 \\ -\epsilon^3 & -a\epsilon^2 & 1 \end{pmatrix}$$

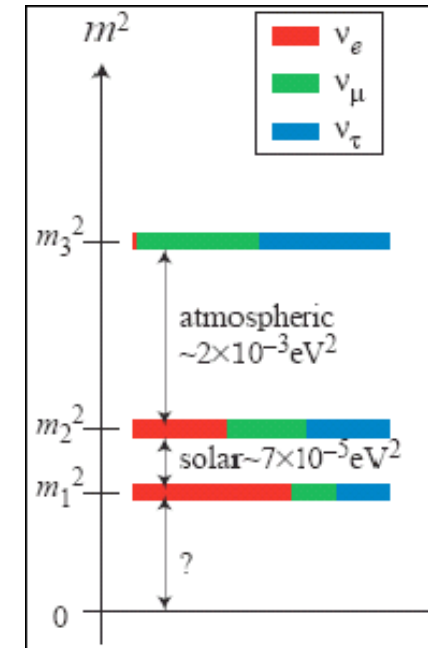
$$\epsilon^d = 0.15, \quad a^d = 1$$

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# Neutrinos ???

$$L_{eff}^{\nu} = m_3 \bar{\phi}_{23}^i \nu_i \bar{\phi}_{23}^j \nu_j + m_2 \bar{\phi}_{123}^i \nu_i \bar{\phi}_{123}^j \nu_j$$

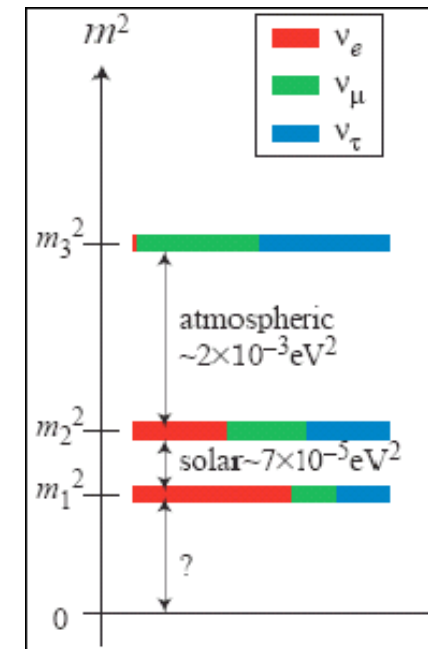
$$\langle \bar{\phi}_{23} \rangle^i = (0, 1, -1), \quad \langle \bar{\phi}_{123} \rangle^i = (1, 1, 1)$$



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Can one have a unified description of quark, charged lepton **and** neutrinos?

$$c.f. \quad L_{Dirac}^{q,l} = m_3 \bar{\phi}_3^i \psi_i \bar{\phi}_3^j \psi_j^c + \dots$$

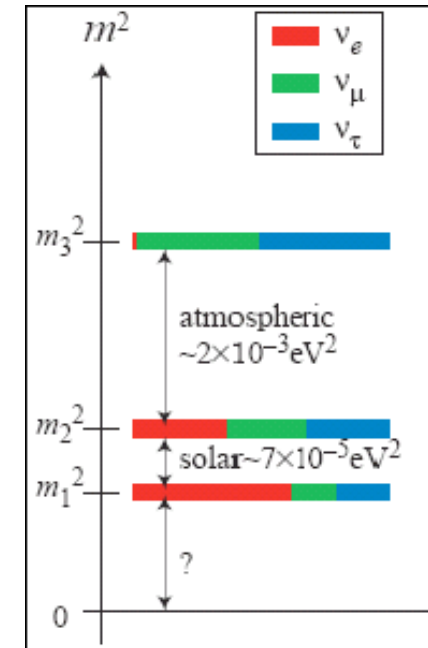
$$\langle \bar{\phi}_3 \rangle^i = (0, 0, 1) \quad ???$$

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$$\langle \bar{\phi}_{23} \rangle^i = (0, 1, -1), \quad \langle \bar{\phi}_{123} \rangle^i = (1, 1, 1)$$

See-Saw



Quarks, charged leptons, neutrinos **can** have similar Dirac mass :

$$L_{Dirac}^{q,l,\nu} = \alpha \psi_i \bar{\phi}_{23}^i \psi_j^c \bar{\phi}_{23}^j + \beta \left( \psi_i \bar{\phi}_{123}^i \psi_j^c \bar{\phi}_{23}^j + \psi_i \bar{\phi}_{23}^i \psi_j^c \bar{\phi}_{123}^j \right) + \gamma \psi_i \bar{\phi}_{123}^i \psi_j^c \bar{\phi}_{23}^j \Sigma_{45} \quad \alpha > \beta$$

$$\frac{M^{Dirac}}{m_3} = \begin{pmatrix} \langle \epsilon^4 & \epsilon^3 + \epsilon^4 & -\epsilon^3 + \epsilon^4 \\ \epsilon^3 + \epsilon^4 & a\epsilon^2 + \epsilon^3 & -a\epsilon^2 + \epsilon^3 \\ -\epsilon^3 + \epsilon^4 & -a\epsilon^2 + \epsilon^3 & 1 \end{pmatrix}$$

$$\epsilon^d = 0.15, \quad a^d = 1$$

$$\epsilon^l = 0.15, \quad a^e = -3$$

$$\epsilon^u = 0.05, \quad a^u = 1$$

$$\epsilon^v = 0.05, \quad a^v = 0$$

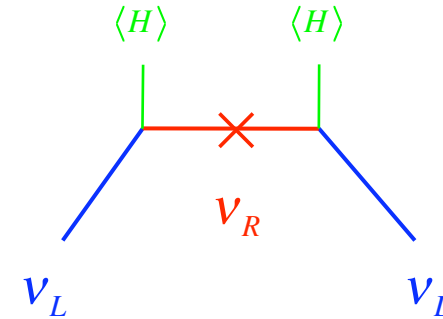
- “See-saw” with sequential domination

$$M_\nu = M_D^\nu M_M^{-1} M_D^{\nu T}$$

Minkowski  
Gell-Mann,  
Ramond,  
Slansky;  
Yanagida,  
King

$$M_M \approx \begin{pmatrix} M_1 & & \\ & M_2 & \\ & & M_3 \end{pmatrix}$$

$$M_1 < M_2 \ll M_3$$



$$L_{Dirac}^\nu = \alpha \psi_i \phi_{13}^{\bar{i}} \psi_j^c \phi_3^{\bar{j}} + \beta \left( \psi_i \phi_{123}^{\bar{i}} \psi_j^c \phi_{23}^{\bar{j}} + \psi_i \phi_{23}^{\bar{i}} \psi_j^c \phi_{123}^{\bar{j}} \right) \quad \alpha > \beta$$

$$L_{eff}^\nu = \frac{\beta^2}{M_1} \psi_i \phi_{123}^i \psi_j \phi_{123}^j + \frac{\beta^2}{M_2} \psi_i \phi_{23}^i \psi_j \phi_{23}^j + \frac{(\alpha + \beta)^2}{M_3} \psi_i \phi_3^i \psi_j \phi_3^j$$

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$$M_M \approx \begin{pmatrix} M_1 & & \\ & M_2 & \\ & & M_3 \end{pmatrix} \quad M_1 \ll M_2 \ll M_3$$



small

$$L_{Dirac}^\nu = \alpha \psi_i \bar{\phi}_{13}^i \psi_j^c \bar{\phi}_3^j + \beta \left( \psi_i \bar{\phi}_{123}^i \psi_j^c \bar{\phi}_{23}^j + \psi_i \bar{\phi}_{23}^i \psi_j^c \bar{\phi}_{123}^j \right) \quad \alpha > \beta$$

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## Symmetry 2.

## Family symmetry

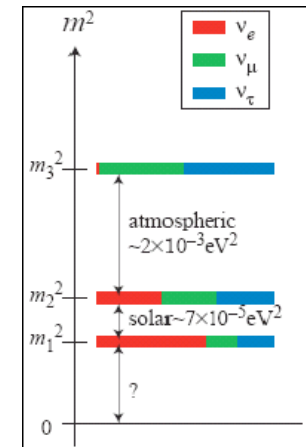
### Non-Abelian family symmetry

Promote  $\phi_i$  to fields transforming under  $SU(3)_{\text{family}}$

Vacuum alignment

$$\phi_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \phi_{23} = \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} \quad \phi_{123} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$\Rightarrow$  Discrete non Abelian symmetry



## List of models with discrete flavour symmetry

(incomplete, by symmetry)

$S_3$ : Pakvasa et al.(1978), Derman(1979), Ma(2000), Kubo et al.(2003), Chen et al.(2004), Grimus et al.(2005), Dermisek et al (2005), Mohapatra et al.(2006), Morisi(2006), Caravaglios et al.(2006), Haba et al(2006),...

$S_4$ : Pakvasa et al.(1979), Derman(1979), Lee et al.(1994), Mohapatra et al.(2004), Ma(2006), Hagedorn et al.(2006), Caravaglios et al.(2006), Lampe(2007), Sawanaka(2007), ...

$A_4$ : Wyler(1979), Ma et al.(2001), Babu et al.(2003), Altarelli et al.(2005-8), He et al.(2006), Bazzocchi, Morisi, et al.(2007/8), King et al.(2007),...

$D_4$ : Seidl(2003), Grimus et al.(2003/4), Kobayashi et al.(2005), ...

$D_5$ : Ma(2004), Hagedorn et al.(2006), ...

$D_n$ : Chen et al.(2005), Kajiyama et al.(2006), Frampton et al.(1995/6,2000), Frigerio et al (2005), Babu et al.(2005), Kubo(2005),...

$T'$ : Frampton et al.(1994,2007), Aranda et al.(1999,2000), Feruglio et al.(2007), Chen et al.(2007), ...

$\Delta_n$ : Kaplan et al.(1994), Schmaltz(1994), Chou et al.(1997), de Madeiros Varzielas et al(2006/7).

$T_7$ : Luhn et al.(2007).

## Symmetry 2.

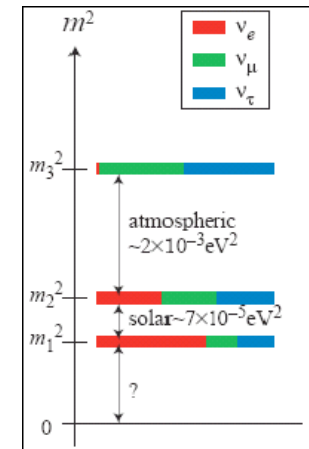
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$\Rightarrow$  Discrete non Abelian symmetry e.g.  $\Delta(27) \subset SU(3)_f$ ,  $\phi_i$  triplets

| $\phi_i$ | $Z_3 \phi_i$         | $Z'_3 \phi_i$                 |
|----------|----------------------|-------------------------------|
| $\phi_1$ | $\rightarrow \phi_2$ | $\rightarrow \phi_1$          |
| $\phi_2$ | $\rightarrow \phi_3$ | $\rightarrow \alpha \phi_2$   |
| $\phi_3$ | $\rightarrow \phi_1$ | $\rightarrow \alpha^2 \phi_3$ |

$$\Delta(27) \rightarrow \begin{cases} Z_3, & \langle \phi \rangle = (1,1,1) \quad \lambda > 0 \\ Z'_3, & \langle \phi \rangle = (0,0,1) \quad \lambda < 0 \end{cases}$$

$$V(\phi) = m^2 \phi^\dagger \phi + \dots + \lambda m^2 \phi^{i\dagger} \phi_i \phi^{i\dagger} \phi_i$$

# A complete model

$$\Delta(27) \otimes SO(10) \otimes G \quad (G = R \otimes U(1))$$

Varzielas, GGR

- $\psi_i^c, \psi_i \in (16, 3) \Rightarrow$  No mass while SU(3) unbroken

- Spontaneous symmetry breaking

$$\begin{array}{cccc} \bar{\phi}_3^i & \bar{\phi}_{23}^i & \bar{\phi}_{123}^i & H_{45} \\ (1, \bar{3}) & (1, \bar{3}) & (1, \bar{3}) & (45, 1) \end{array}$$

c.f. Georgi-Jarskog

$$\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \begin{pmatrix} 0 \\ -1 \\ 1 \end{pmatrix} \epsilon M \quad \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \epsilon^2 M \quad M$$

$$P_Y = \frac{1}{M^2} \bar{\phi}_3^i \psi_i \bar{\phi}_3^j \psi_j^c H + \frac{1}{M^3} \bar{\phi}_{23}^i \psi_i \bar{\phi}_{23}^j \psi_j^c H H_{45} + \frac{1}{M^2} \bar{\phi}_{23}^i \psi_i \bar{\phi}_{123}^j \psi_j^c H + \frac{1}{M^2} \bar{\phi}_{123}^i \psi_i \bar{\phi}_{23}^j \psi_j^c H$$

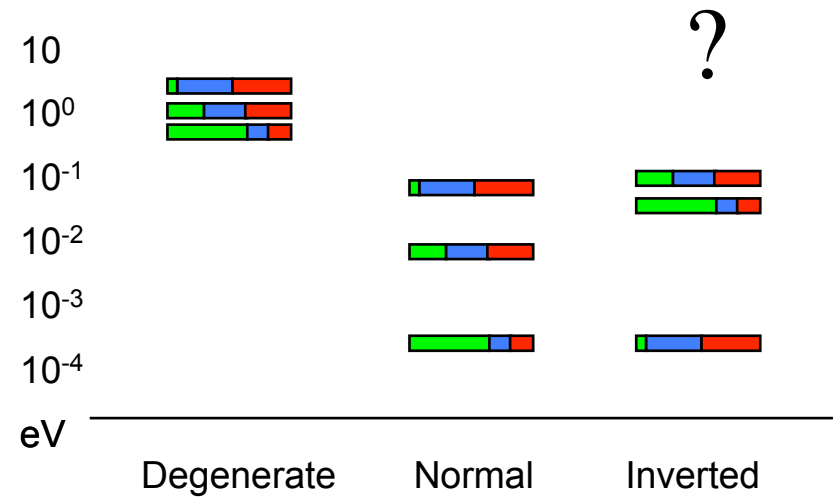
only terms allowed by G

## Neutrino Parameters

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- Tri-bi-maximal mixing

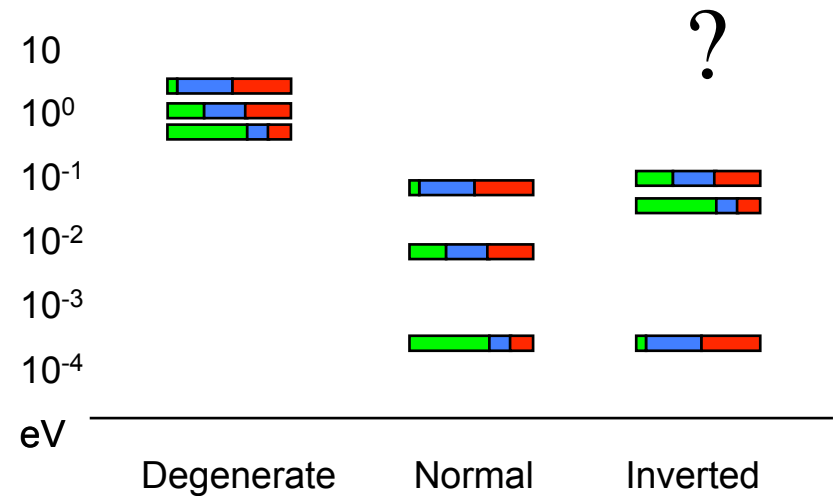
Degenerate and normal spectra favoured



# Neutrino Parameters

## ● Tri-bi-maximal mixing

Degenerate and normal spectra favoured



## ● Mixing angles

$$\sin^2 \theta_{12} \approx \frac{1}{3} \pm 0.03$$

$$\sin^2 \theta_{23} \approx \frac{1}{2} \pm 0.03$$

$$\sin \theta_{13} \approx \sqrt{\frac{m_e}{2m_\mu}} = 0.053 \pm 0.05 \quad (3 \pm 3^\circ)$$

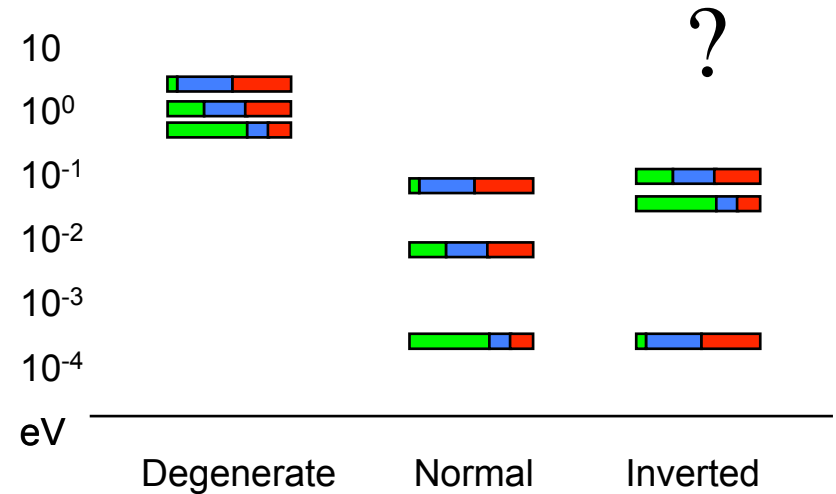
From charged lepton mixing

King; GGR, Varzielas

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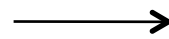


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$$\theta_{12} + \frac{1}{\sqrt{2}} \frac{\theta_c}{3} \cos(\delta - \pi) \approx 35.26 \pm 2^\circ$$

Antusch, King

From charged lepton mixing

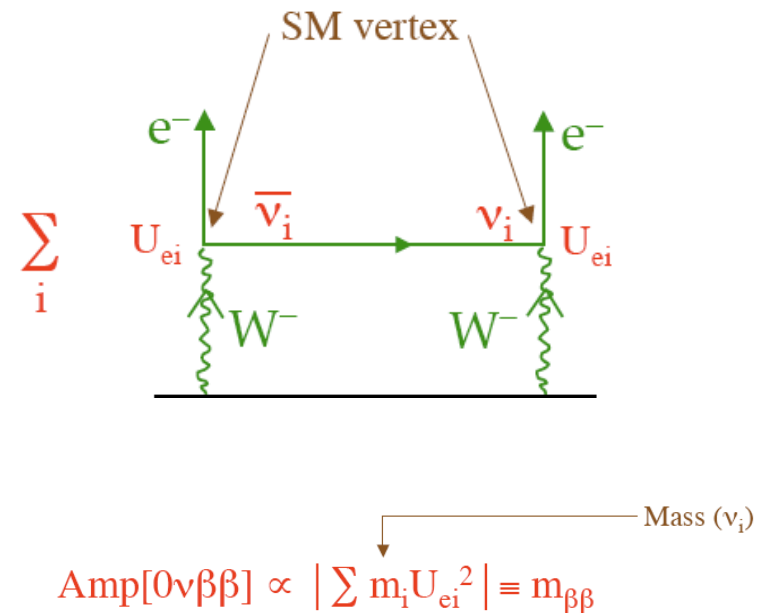
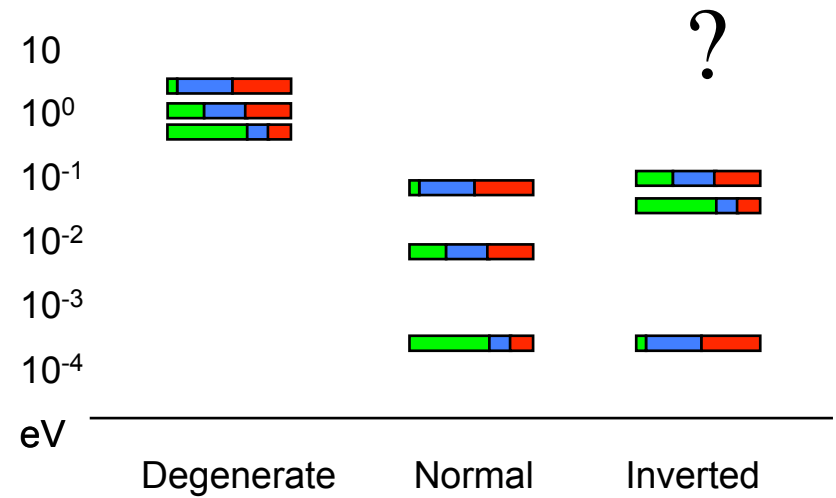
King; GGR, Varzielas

# Neutrino Parameters

- Tri-bi-maximal mixing

Degenerate and normal spectra possible

- Neutrinoless double  $\beta$  decay  
(3 light neutrinos)

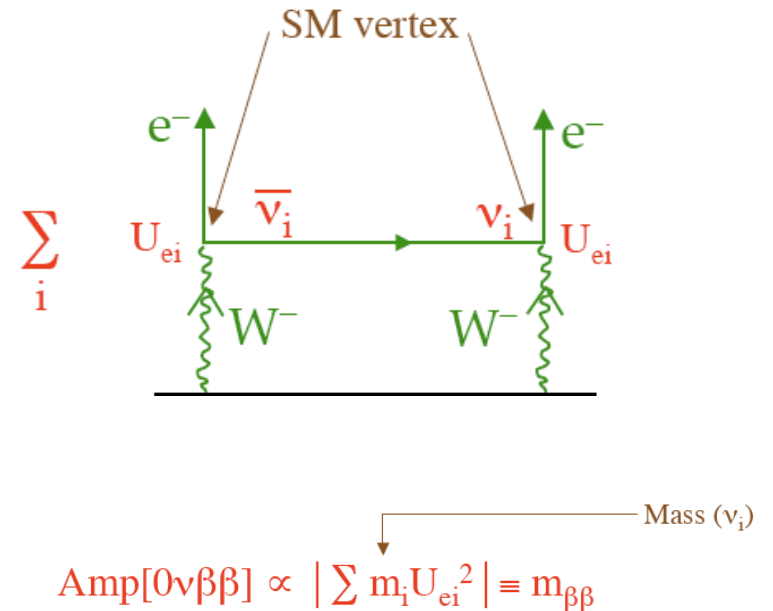
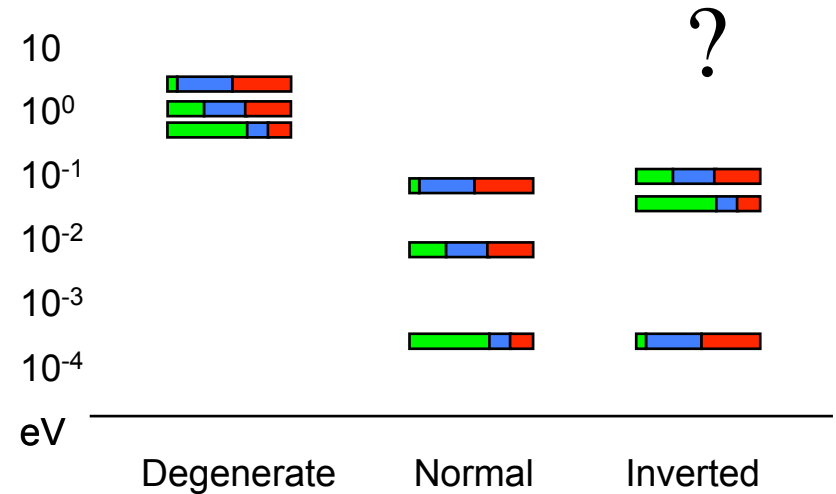
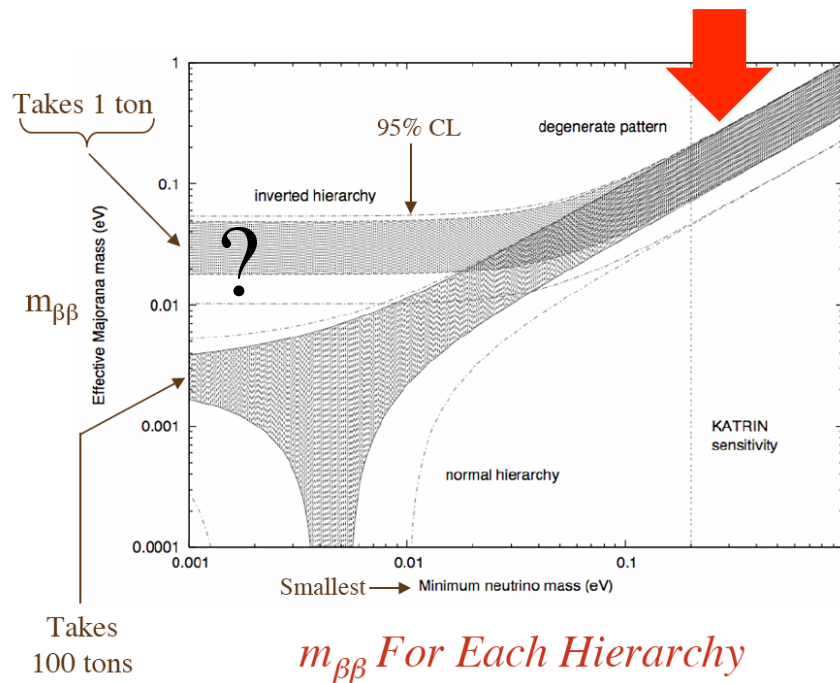


# Neutrino Parameters

- Tri-bi-maximal mixing

Degenerate and normal spectra possible

- Neutrinoless double  $\beta$  decay  
(3 light neutrinos)



See saw parameters

## See saw parameters

Masses + Mixing Angles    6 (15)

CP violating phases    3 (6)

$$\kappa = Y_\nu^T M^{-1} Y_\nu$$

Insufficient information

$$P = Y_\nu^\dagger Y_\nu$$

RGE for sleptons (SUSY)

In *principle* all parameters determined by neutrino structure and radiative corrections  
(assuming degenerate sleptons at GUT scale)

Davidson, Ibarra

See saw parameters

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Davidson, Ibarra

## Thermal Leptogenesis (Baryogenesis)

$$M_{\nu_R} > 10^9 \text{ GeV}, \quad T_{\text{Reheat}} > 10^{10} \text{ GeV} \quad (\text{hierarchical spectrum})$$

$$\cancel{CP} = \cancel{CP}(\delta, \phi', \phi_{i=1,2,3})$$

$\swarrow$   $\beta\beta$  phase  
 $\leftarrow$  see saw phases  
 $\nwarrow$   $\nu$  factory phase

See saw parameters

|                        |   |      |     |
|------------------------|---|------|-----|
| Masses + Mixing Angles | 6 | (15) | (8) |
| CP violating phases    | 3 | (6)  | (3) |

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Davidson, Ibarra

## Thermal Leptogenesis (Baryogenesis)

Fukugita, Yanagida

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$$\cancel{CP} = \cancel{CP}(\delta, \phi', \phi_{i=1,2,3})$$

Sequential see saw and symmetry (texture zero) simplifies structure...

## Sequential see saw and (1,1) texture zero

$$\frac{M^{Dirac}}{m_3} = \begin{pmatrix} < \varepsilon^4 & \varepsilon^3 + \varepsilon^4 & -\varepsilon^3 + \varepsilon^4 \\ \varepsilon^3 + \varepsilon^4 & a\varepsilon^2 + \varepsilon^3 & -a\varepsilon^2 + \varepsilon^3 \\ -\varepsilon^3 + \varepsilon^4 & -a\varepsilon^2 + \varepsilon^3 & 1 \end{pmatrix}$$

(1,1) texture zero



# Sequential see saw and (1,1) texture zero

$$\frac{M^{Dirac}}{m_3} = \begin{pmatrix} <\varepsilon^4 & \varepsilon^3 + \varepsilon^4 & -\varepsilon^3 + \varepsilon^4 \\ \varepsilon^3 + \varepsilon^4 & a\varepsilon^2 + \varepsilon^3 & -a\varepsilon^2 + \varepsilon^3 \\ -\varepsilon^3 + \varepsilon^4 & -a\varepsilon^2 + \varepsilon^3 & 1 \end{pmatrix}$$

(1,1) texture zero

Quark sector zero  $\Rightarrow |V_{us}| = \left| \sqrt{\frac{m_d}{m_s}} - e^{i\delta} \sqrt{\frac{m_u}{m_c}} \right|$

# Sequential see saw and (1,1) texture zero

Lepton sector zero  $\Rightarrow \sin^2 \theta_{23} \simeq \frac{1}{2}, \quad \sin \theta_{13} \simeq \sqrt{\frac{m_e}{2m_\mu}}$

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$$Y_B \propto \sin^2 \theta_{13} \sin(2\delta - \phi)$$

Abada et al

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- Other model implications

SUSY models: sparticles have related mass structure

$(m^2 \phi_i^\dagger \phi_i \dots$  degeneracy split by small fermion mass related terms)

*FCNC* :  $L_i, B_i$  violation – close to present bounds

$(\mu \rightarrow e\gamma, \text{mercury EDM within a factor of } 10 \text{ of present limits})$

