

A precision test of lepton universality in $K^+ \rightarrow l^+ \nu$ decays at CERN NA62

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Outline:

- 1) Introduction: purely leptonic meson decays
- 2) Overview of NA48/NA62 experiments at CERN
- 3) Analysis of NA62 dedicated $K^+ \rightarrow l^+ \nu$ sample (2007)
- 4) Competitors, comparison to world data
- 5) The future: NA62 phase II
- 6) Summary



Introduction: purely leptonic meson decays

Flavour physics in the LHC era

Searches for physics beyond the Standard Model

Energy Frontier (LHC)

Determine the energy scale of NP by direct production of NP particles

Rarity (High Intensity) Frontier

Determine the flavour structure of NP via virtual effects in precision observables: deviations from precise SM predictions in rare or forbidden processes.



A unique effort

CPV in B system

Universality tests
in B and K

Rare B and K
decays



A collective effort

LVF in
 μ and τ decays

Neutron EDM

$(g-2)_\mu$

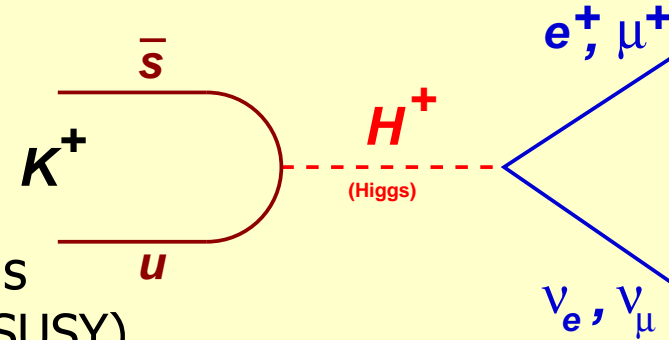
Improved CKM fits

Physics programme at the Rarity Frontier
is complementary to direct searches for new particles at the Energy Frontier

Leptonic meson decays: $P^+ \rightarrow l^+ \nu$

SM contribution is helicity suppressed:

$$\Gamma(P^+ \rightarrow l^+ \nu) = \frac{G_F^2 M_P M_l^2}{8\pi} \left(1 - \frac{M_l^2}{M_P^2}\right)^2 f_P^2 |V_{qq'}|^2$$



Sizeable tree level charged Higgs (H^\pm) contributions in **models with two Higgs doublets (2HDM)** including SUSY)

PRD48 (1993) 2342; Prog.Theor.Phys. 111 (2004) 295

(numerical examples for $M_H=500\text{GeV}/c^2$, $\tan\beta = 40$)

$\pi^+ \rightarrow l \nu$:	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_\pi/m_H)^2 m_d/(m_u+m_d) \tan^2\beta$	$\approx 2 \times 10^{-4}$
$K^+ \rightarrow l \nu$:	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_K/m_H)^2 \tan^2\beta$	$\approx 0.3\%$
$D_s^+ \rightarrow l \nu$:	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_{D_s}/m_H)^2 (m_s/m_c) \tan^2\beta$	$\approx 0.4\%$
$B^+ \rightarrow l \nu$:	$\Delta\Gamma/\Gamma_{\text{SM}} \approx -2(m_B/m_H)^2 \tan^2\beta$	$\approx 30\%$

$R = \text{Br}(K \rightarrow \mu \nu) / \text{Br}(K_{e3})$:
 $(\delta R/R)_{\text{exp}} = 1.0\%$,
 challenging by not hopeless

PRL100 (2008) 241802

$$f_{D_s}^{\text{(QCD)}} = (241 \pm 3) \text{MeV}$$

$$f_{D_s}^{\text{(exp)}} = (277 \pm 9) \text{MeV}$$

$\sim 4\sigma$ discrepancy + new data:
 PRD79 (2009) 052001

BaBar, Belle: $\text{Br}_{\text{exp}}(B \rightarrow \tau \nu) = (1.42 \pm 0.43) \times 10^{-4}$
 Standard Model: $\text{Br}_{\text{SM}}(B \rightarrow \tau \nu) = (1.33 \pm 0.23) \times 10^{-4}$

(SM uncertainties: $\delta f_B/f_B = 10\%$, $\delta |V_{ub}|^2/|V_{ub}|^2 = 13\%$)

$$\Delta\Gamma/\Gamma_{\text{SM}} = 1.07 \pm 0.37$$

(JHEP 0811 (2008) 42)

Obstructed by hadronic uncertainties

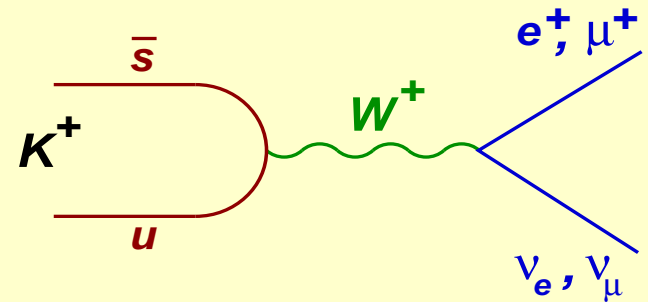
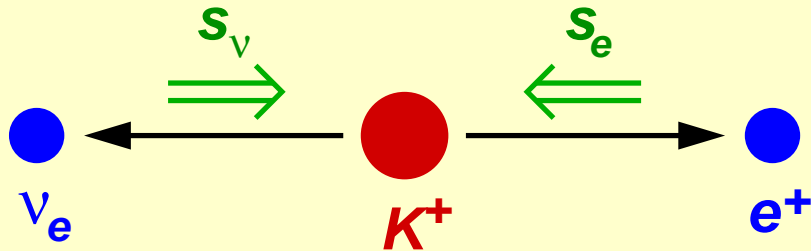
$R_K = K_{e2}/K_{\mu2}$ in the SM

Observable sensitive to lepton flavour violation and its SM expectation:

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \underbrace{\frac{m_e^2}{m_\mu^2} \cdot \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2}_{\text{Helicity suppression: } f \sim 10^{-5}} \cdot \underbrace{(1 + \delta R_K^{\text{rad.corr.}})}_{\text{Radiative correction (few \%)} \text{ due to } K^+ \rightarrow e^+ \nu \gamma \text{ (IB) process, by definition included into } R_K}$$

(similarly, R_π in the pion sector)

Helicity suppression: $f \sim 10^{-5}$



- **SM prediction:** excellent sub-permille accuracy due to cancellation of hadronic uncertainties.
- Measurements of R_K and R_π have long been considered as tests of lepton universality.
- **Recently understood:** helicity suppression of R_K might enhance sensitivity to non-SM effects to an experimentally accessible level.

$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

$$R_\pi^{\text{SM}} = (12.352 \pm 0.001) \times 10^{-5}$$

Phys. Lett. 99 (2007) 231801

$R_K = K_{e2}/K_{\mu2}$ beyond the SM

2HDM – tree level

(including SUSY)

K_{l2} can proceed via exchange of charged Higgs H^\pm instead of W^\pm

→ Does not affect the ratio R_K

2HDM – one-loop level

Dominant contribution to ΔR_K : H^\pm mediated LFV (rather than LFC) with emission of ν_τ

→ R_K enhancement can be experimentally accessible

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \left(\frac{m_K^4}{M_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right]$$

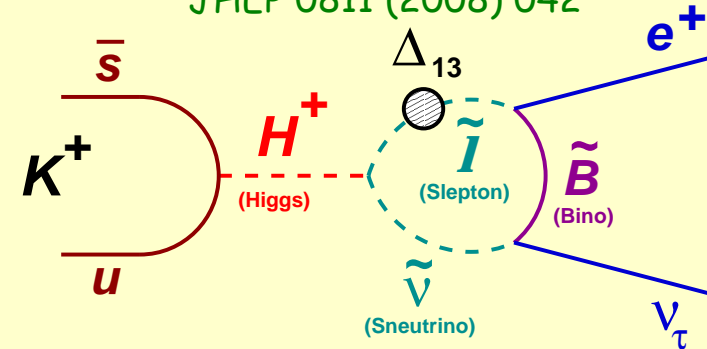
Up to $\sim 1\%$ effect in large (but not extreme) $\tan\beta$ regime with a massive H^\pm

Example:

($\Delta_{13} = 5 \times 10^{-4}$, $\tan\beta = 40$, $M_H = 500 \text{ GeV}/c^2$)

lead to $R_K^{\text{MSSM}} = R_K^{\text{SM}}(1 + 0.013)$.

PRD 74 (2006) 011701,
JHEP 0811 (2008) 042



Analogous SUSY effect in pion decay is suppressed by a factor $(M_\pi/M_K)^4 \approx 6 \times 10^{-3}$

(see also PRD76 (007) 095017)

Large effects in B decays due to $(M_B/M_K)^4 \sim 10^4$:

$B_{\mu\nu}/B_{\tau\nu} \rightarrow \sim 50\%$ enhancement;

$B_{e\nu}/B_{\tau\nu} \rightarrow$ enhanced by \sim one order of magnitude.

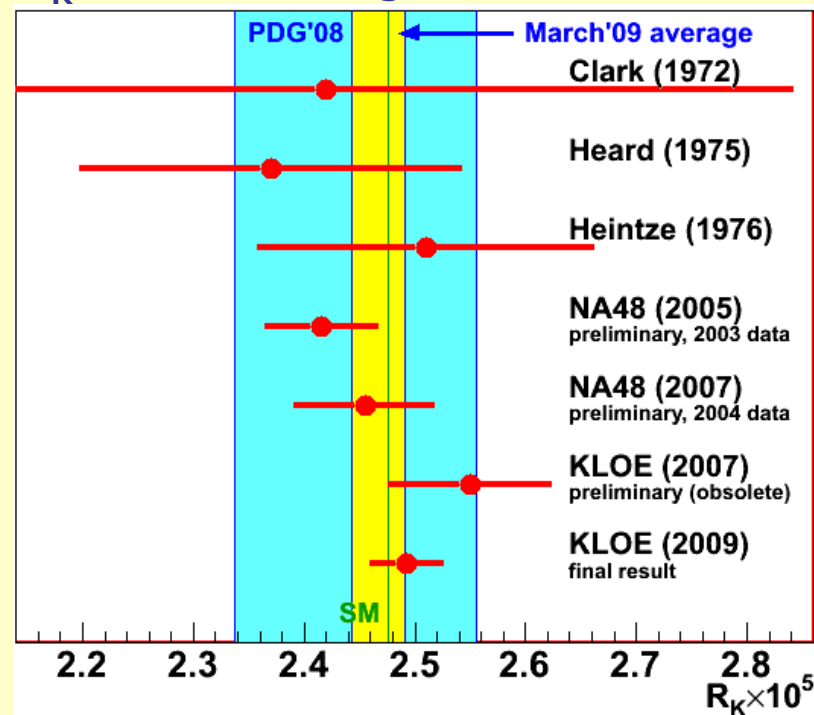
Out of reach: $\text{Br}^{\text{SM}}(B_{e\nu}) \sim 10^{-11}$

R_K & R_π : experimental status

Kaon experiments:

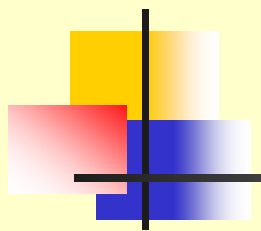
- PDG'08 average (1970s measurements):
 $R_K = (2.45 \pm 0.11) \times 10^{-5}$ ($\delta R_K / R_K = 4.5\%$)
- Recent improvement: KLOE (Frascati).
Data collected in 2001–2005,
13.8K K_{e2} candidates, 16% background.
 $R_K = (2.493 \pm 0.031) \times 10^{-5}$ ($\delta R_K / R_K = 1.3\%$)
(EPJ C64 (2009) 627)
- NA62 (phase I) goal:
dedicated data taking strategy,
 $\sim 150K$ K_{e2} candidates, $<10\%$ background,
 $\delta R_K / R_K < 0.5\%$: a stringent SM test.

R_K world average (March 2009)



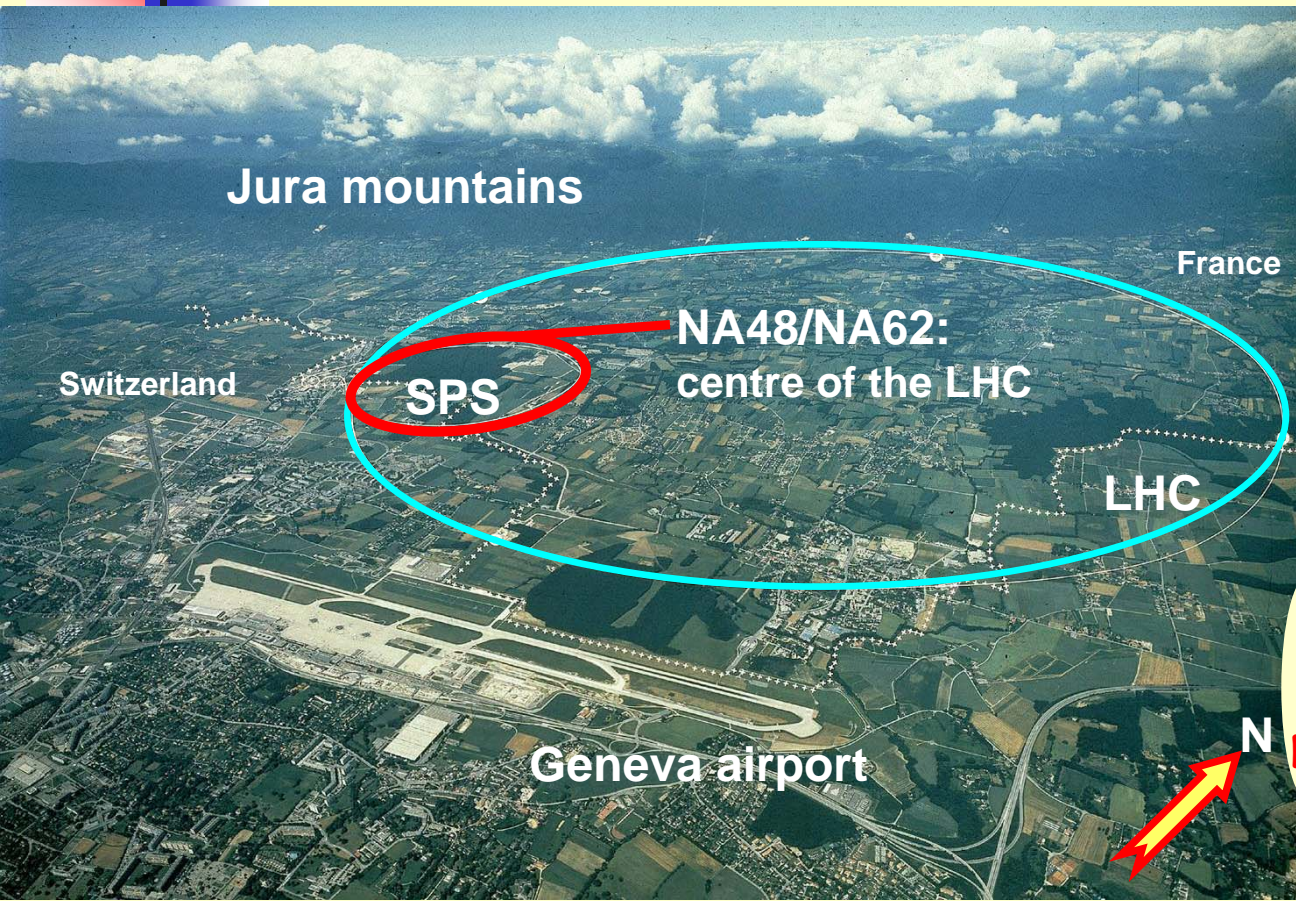
Pion experiments:

- PDG'08 average (1980s, 90s measurements):
 $R_\pi = (12.30 \pm 0.04) \times 10^{-5}$ ($\delta R_\pi / R_\pi = 0.3\%$)
- Current projects: PEN@PSI (stopped π) running (arXiv:0909.4358)
PIENU@TRIUMF (in-flight) proposed (T. Numao, PANIC'08 proceedings, p.874)
 $\delta R_\pi / R_\pi \sim 0.05\%$ foreseen (similar to SM precision)



NA48/NA62: kaon programme at CERN

CERN NA48/NA62



NA48
discovery
of direct
CPV

1997: ε'/ε : $K_L + K_S$

1998: $K_L + K_S$

1999: $K_L + K_S$ | K_S HI

2000: K_L only | K_S HI

2001: $K_L + K_S$ | K_S HI

NA48/1

2002: K_S /hyperons

NA48/2

2003: K^+ / K^-

2004: K^+ / K^-

NA62
(phase I)

2007: $K_{e2}^+ / K_{\mu2}^+$ | tests

2008: $K_{e2}^+ / K_{\mu2}^+$ | tests

NA62
(phase II)

2007–2012:
design & construction
2013–2015:
 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ data taking



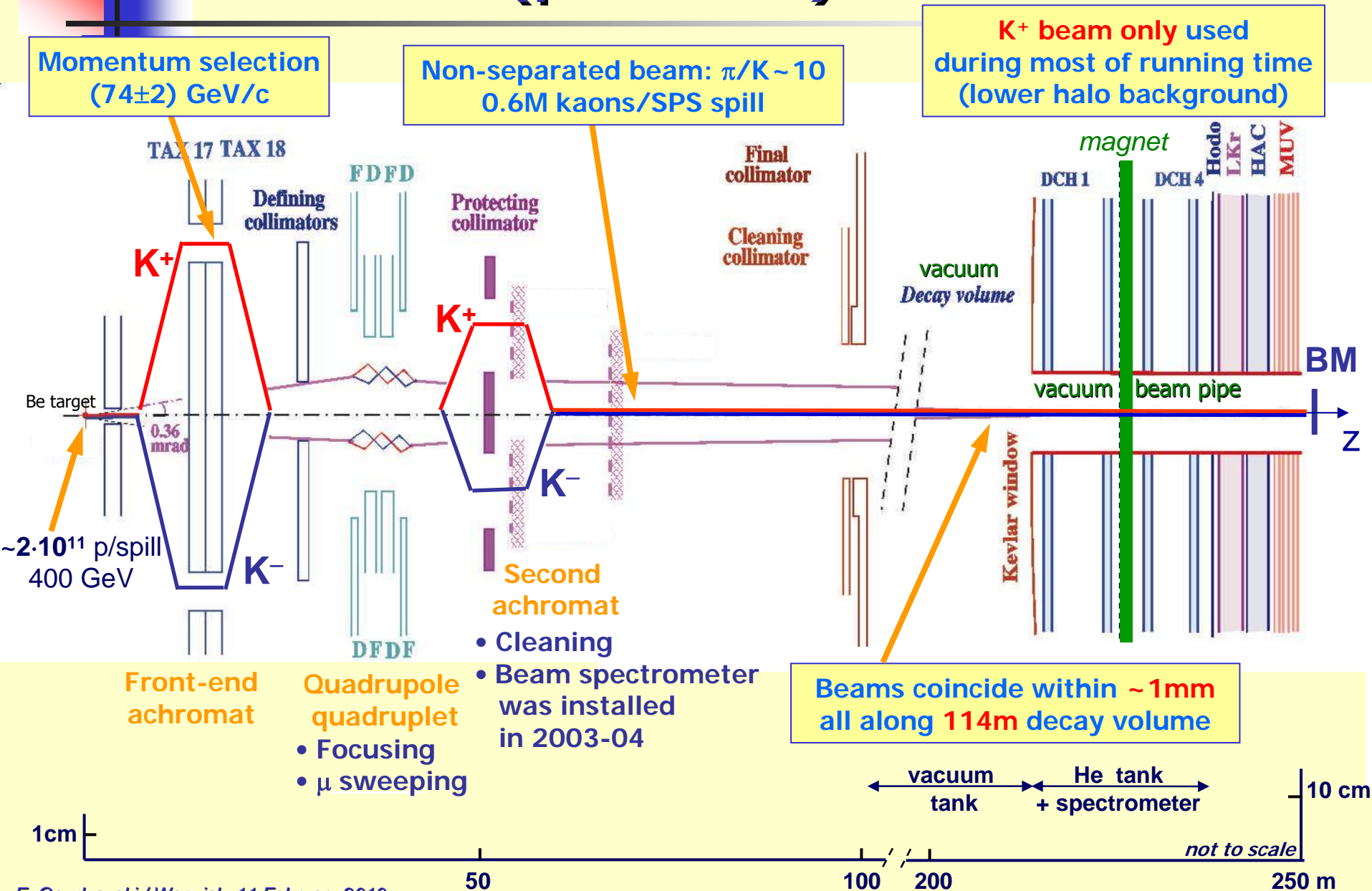
NA62 phase I: Bern ITP, Birmingham, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati, IHEP Protvino, INR Moscow, Louvain, Mainz, Merced, Naples, Perugia, Pisa, Rome I, Rome II, Saclay, San Luis Potosí, SLAC, Sofia, TRIUMF, Turin

NA48/NA62 K^\pm beam line

Kaon decays in flight: beamline+setup are ~700 feet long



NA62 (phase I) K^\pm beam line



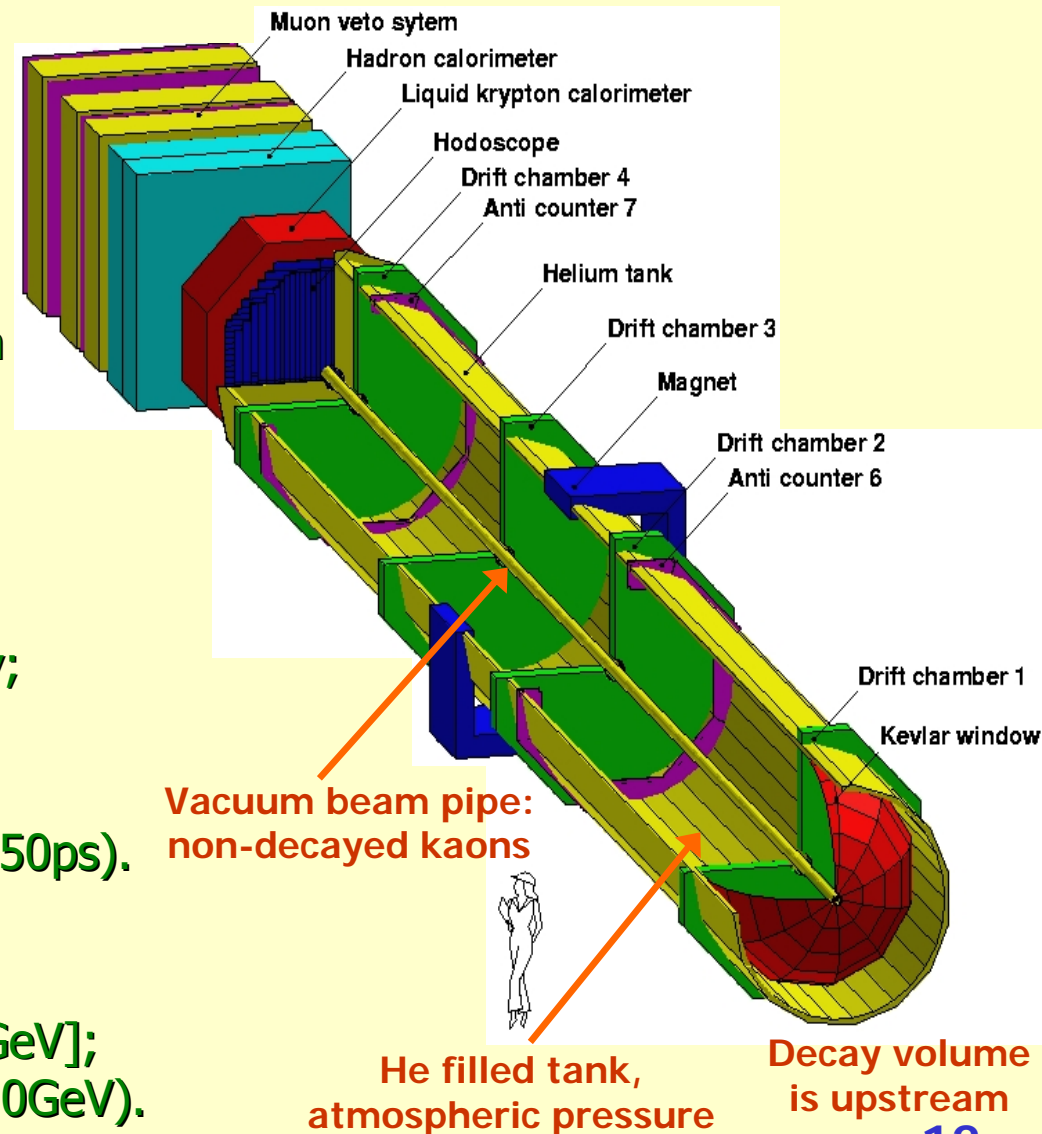
Data taking & detector: 2007/08

Data taking

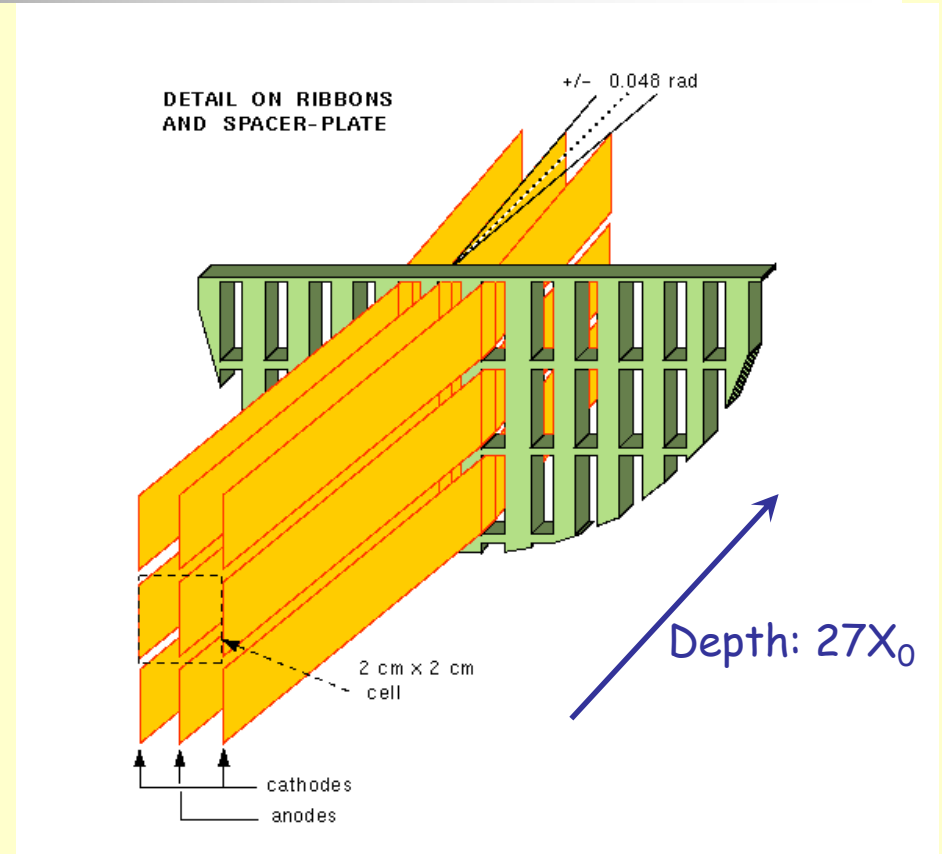
- Four months in 2007:
~400K SPS spills,
~300TB of raw data handled
- Two weeks in 2008:
dedicated data sets allowing reduction
of the systematic uncertainties.

Principal subdetectors for R_K :

- Magnetic spectrometer (4 DCHs):
4 views/DCH: redundancy \Rightarrow efficiency;
 $\Delta p/p = 0.47\% + 0.020\% \cdot p$ [GeV/c]
- Hodoscope
fast trigger, precise t measurement (150ps).
- Liquid Krypton EM calorimeter (LKr)
High granularity, quasi-homogenous;
 $\sigma_E/E = 3.2\%/E^{1/2} + 9\%/E + 0.42\%$ [GeV];
 $\sigma_x = \sigma_y = 0.42/E^{1/2} + 0.6\text{mm}$ (1.5mm@10GeV).



Electromagnetic LKr calorimeter



Transversal segmentation: 13,248 cells ($2 \times 2 \text{ cm}^2$),
no longitudinal segmentation.

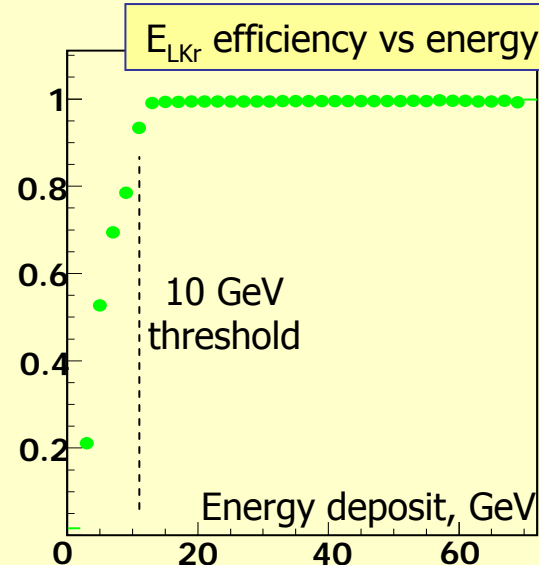
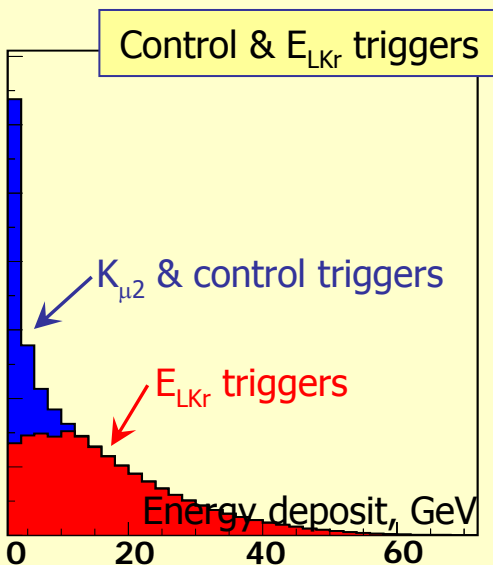
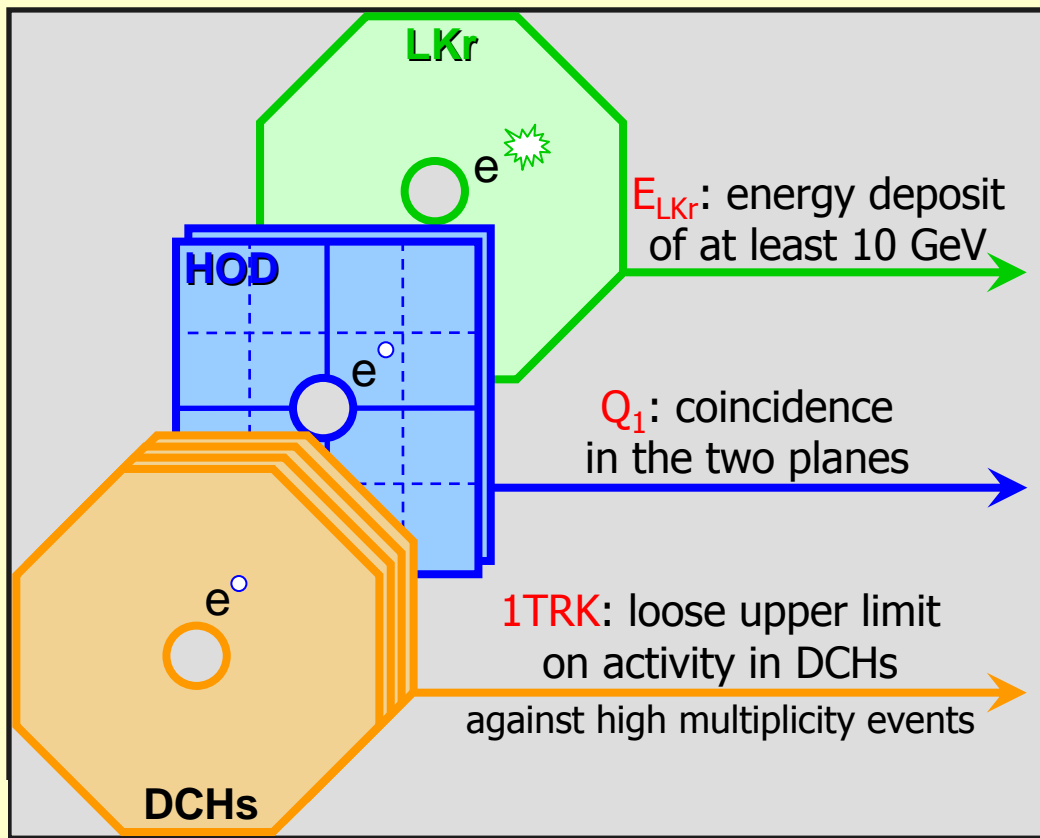
Essential for the present analysis:
(1) electron/muon identification
(2) photon veto.

Trigger logic

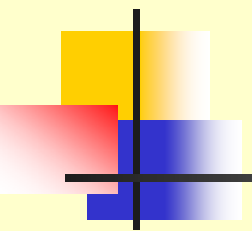
Minimum bias trigger in 2007:
(high efficiency, but low purity)

$K_{\mu 2}$ condition: $Q_1 \times 1\text{TRK}/D$,
downscaling (D) 50 to 150.
Purity $\sim 2\%$
(rate dominated by the beam halo).

K_{e2} condition: $Q_1 \times E_{\text{LKr}} \times 1\text{TRK}$.
Purity $\sim 10^{-5}$
(same order as R_K).



- Efficiencies of the trigger components are monitored using control triggers.
- Measured E_{LKr} inefficiency for electrons: $< 0.05\%$ for in the relevant momentum range.



Dedicated $K \rightarrow l\nu$ sample: analysis of 2007 run

Measurement strategy

(1) $K_{e2}/K_{\mu2}$ candidates are collected simultaneously:

- the result does not rely on kaon flux measurement;
- several systematic effects cancel at first order (e.g. reconstruction/trigger efficiencies, time-dependent effects).

(2) counting experiment, independently in 10 lepton momentum bins (owing to strong momentum dependence of backgrounds and event topology)

$$R_K = \frac{N(K_{e2}) - N_B(K_{e2})}{N(K_{\mu2}) - N_B(K_{\mu2})} \cdot \frac{A(K_{\mu2}) \times f_\mu \times \varepsilon(K_{\mu2})}{A(K_{e2}) \times f_e \times \varepsilon(K_{e2})} \cdot \frac{1}{f_{\text{LKr}}}$$

$N(K_{e2}), N(K_{\mu2})$: numbers of selected K_{l2} candidates;

$N_B(K_{e2}), N_B(K_{\mu2})$: numbers of background events; $\Rightarrow N_B(K_{e2})$: main source of systematic errors

$A(K_{e2}), A(K_{\mu2})$: MC geometric acceptances (no ID);

f_e, f_μ : directly measured particle ID efficiencies;

$\varepsilon(K_{e2})/\varepsilon(K_{\mu2}) > 99.9\%$: E_{LKr} trigger condition efficiency;

$f_{\text{LKr}} = 0.9980(3)$: global LKr readout efficiency.

(3) MC simulations used to a limited extent only:

- Geometrical part of the acceptance correction (not for particle ID);
- simulation of “catastrophic” bremsstrahlung by muons.

K_{e2} vs $K_{\mu2}$ selection

Large common part (topological similarity)

- one reconstructed track;
- geometrical acceptance cuts;
- K decay vertex: closest approach of track & nominal kaon axis;
- veto extra LKr energy deposition clusters;
- track momentum: $15\text{GeV}/c < p < 65\text{GeV}/c$.

Kinematic separation

missing mass

$$M_{miss}^2 = (P_K - P_l)^2$$

P_K : average measured with $K_{3\pi}$ decays

→ Sufficient $K_{e2}/K_{\mu2}$ separation at $p_{\text{track}} < 25\text{GeV}/c$

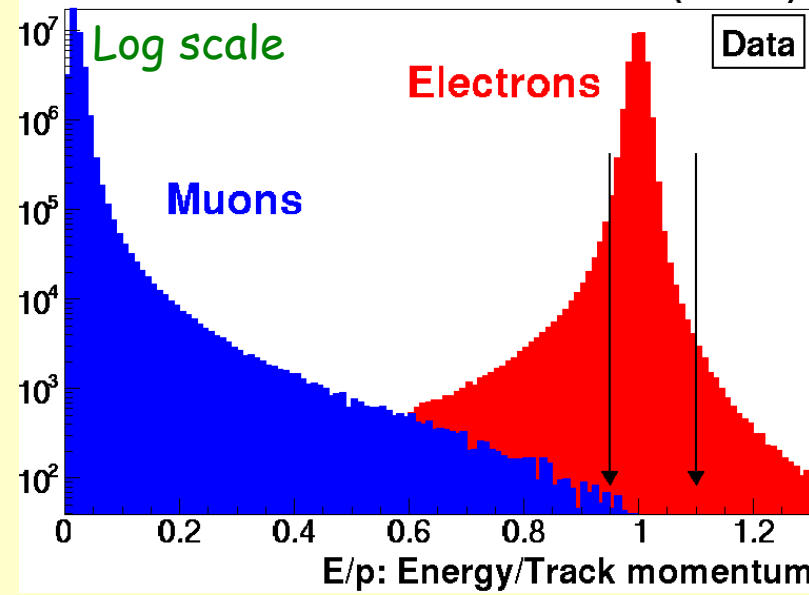
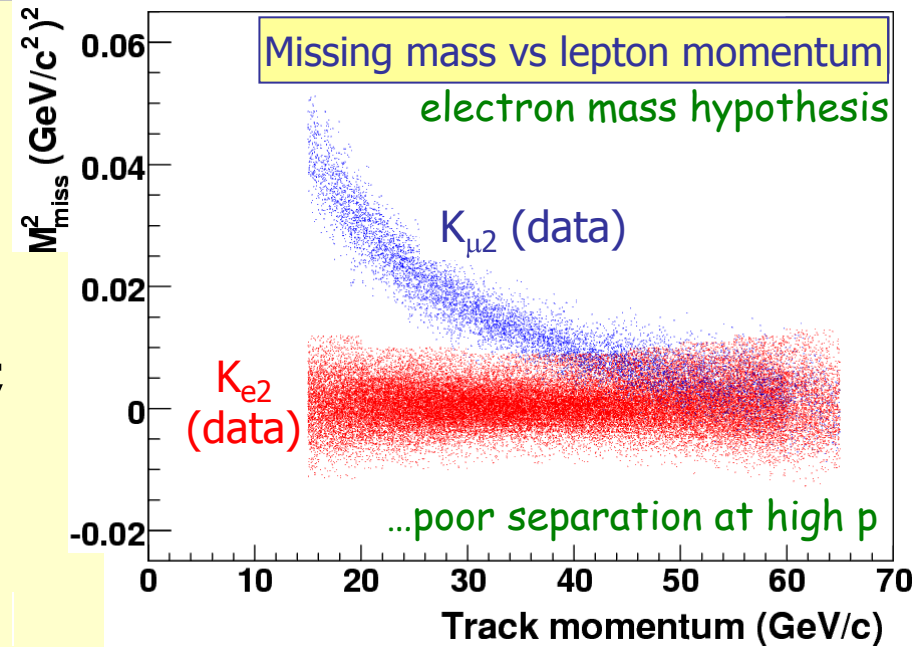
Separation by particle ID

E/p = (LKr energy deposit/track momentum).

$0.95 < E/p < 1.10$ for electrons,

$E/p < 0.85$ for muons.

→ Powerful μ^\pm suppression in e^\pm sample: $f \sim 10^6$



$K_{\mu 2}$ background in $K_{e 2}$ sample

Main background source

Muon “catastrophic” energy loss in LKr by emission of energetic bremsstrahlung photons.
 $P(\mu \rightarrow e) \sim 3 \times 10^{-6}$ (and momentum-dependent).

$$P(\mu \rightarrow e)/R_K \sim 10\%:$$

$K_{\mu 2}$ decays represent a major background

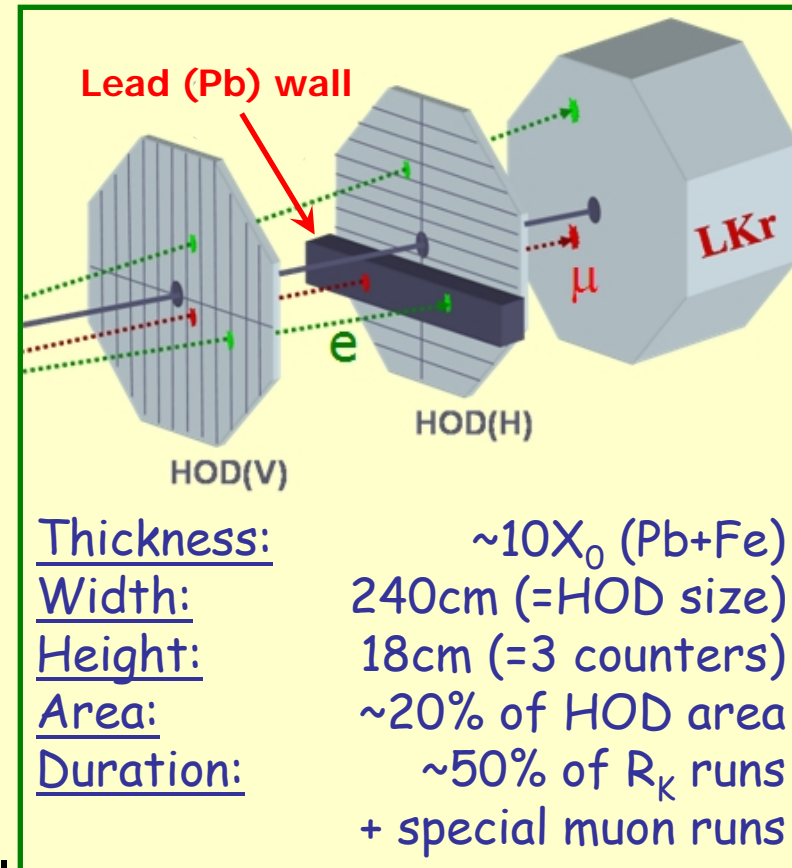
Theoretical bremsstrahlung cross-section

[Phys. Atom. Nucl. 60 (1997) 576]

must be validated in the region $(E_\gamma/E_\mu) > 0.9$
by a direct measurement of $P(\mu \rightarrow e)$
to $\sim 10^{-2}$ relative precision.

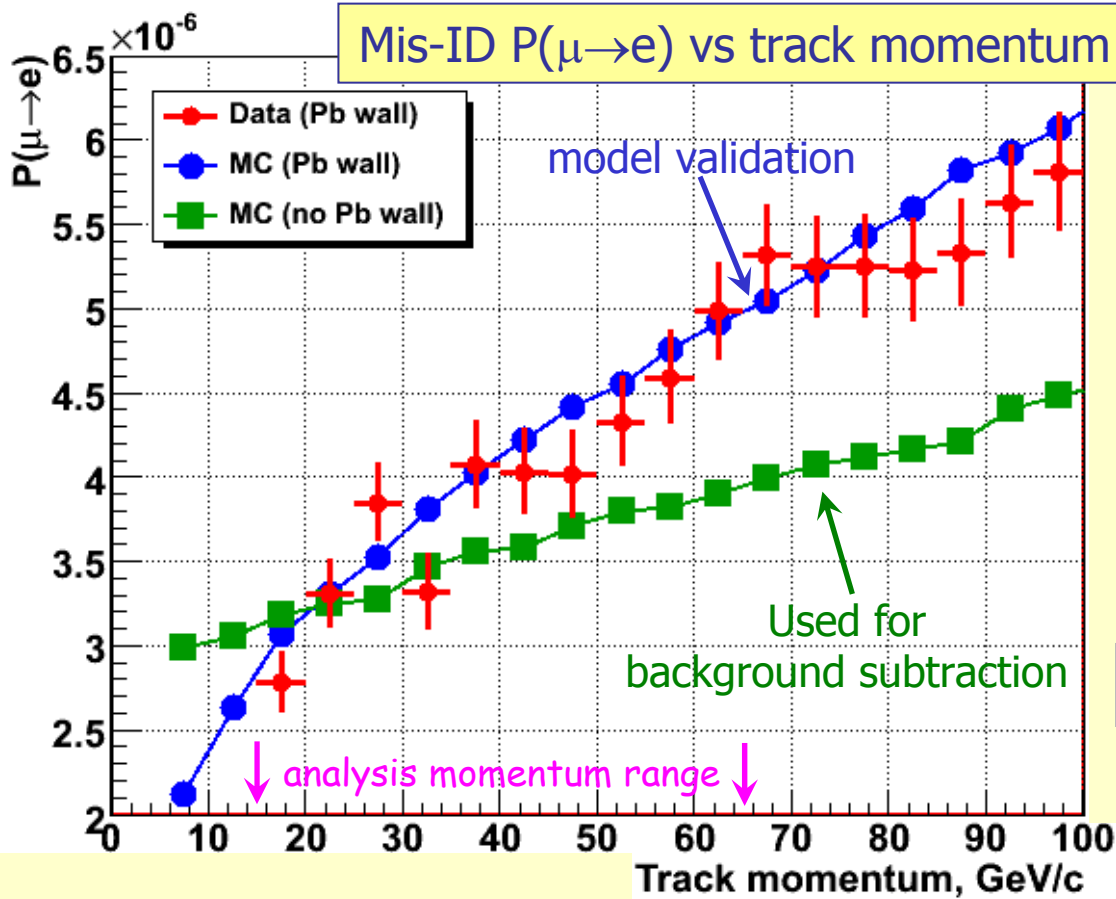
Obtaining pure muon samples

Electron contamination due to $\mu \rightarrow e$ decay: $\sim 10^{-4}$.
Pb wall ($\sim 10X_0$) placed between the HOD planes:
tracks traversing the wall and having $E/p > 0.95$
are sufficiently pure muon samples (electron contamination $< 10^{-7}$).



$K_{\mu 2}$ background (2)

$P(\mu \rightarrow e)$: measurement (2007 special muon run) vs Geant4-based simulation



[Cross-section model:
Phys. Atom. Nucl. 60 (1997) 576]

Excellent data/MC agreement
for the Pb wall installed

$P(\mu \rightarrow e)$ is modified by the Pb wall
via two competing mechanisms:

- 1) ionization losses in Pb (low p);
- 2) bremsstrahlung in Pb (high p).

→ a significant MC correction

Result: $B/(S+B) = (6.28 \pm 0.17)\%$

(uncertainty is due to
the limited size of the data sample
used to validate
the cross-section model)

Prospects:

- The 2008 special muon sample is twice as large as the 2007 one;
- Muons from regular $K_{\mu 2}$ decays from kaon runs with the Pb wall installed.

$K_{\mu 2}$ with $\mu \rightarrow e$ decay in flight

For NA62 conditions
(74 GeV/c beam, ~ 100 m decay volume),

$$N(K_{\mu 2}, \mu \rightarrow e \text{ decay})/N(K_{e2}) \sim 10$$

$K_{\mu 2} (\mu \rightarrow e)$ naïvely seems a huge background

Muons from $K_{\mu 2}$ decay are fully polarized:
Michel electron distribution

$$d^2\Gamma/dx d(\cos\Theta) \sim x^2[(3-2x) - \cos\Theta(1-2x)]$$

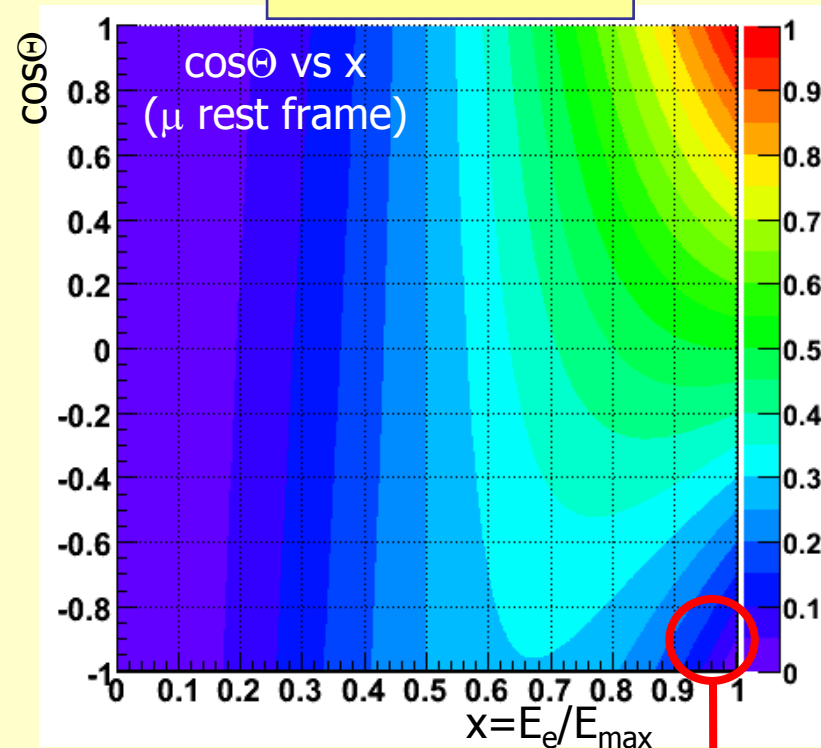
$$x = E_e/E_{\max} \approx 2E_e/M_\mu$$

Θ is the angle between \mathbf{p}_e and the muon spin
(all quantities are defined in muon rest frame).

$$\text{Result: } B/(S+B) = (0.23 \pm 0.01)\%$$

Important but not dominant background

Michel distribution

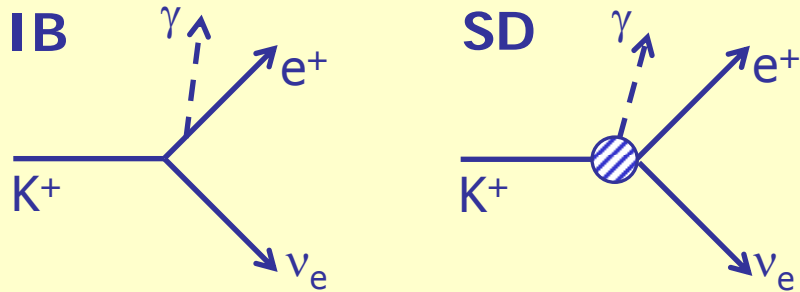


Only **energetic forward** electrons
(passing M_{miss} , E/p , vertex CDA cuts)
are selected as K_{e2} candidates:
(high x , low $\cos\Theta$).

They are **naturally suppressed**
by the muon polarisation

Radiative $K^+ \rightarrow e^+ \nu_e \gamma$ process

By definition, R_K is inclusive of the IB part of the radiative $K_{e2\gamma}$ process

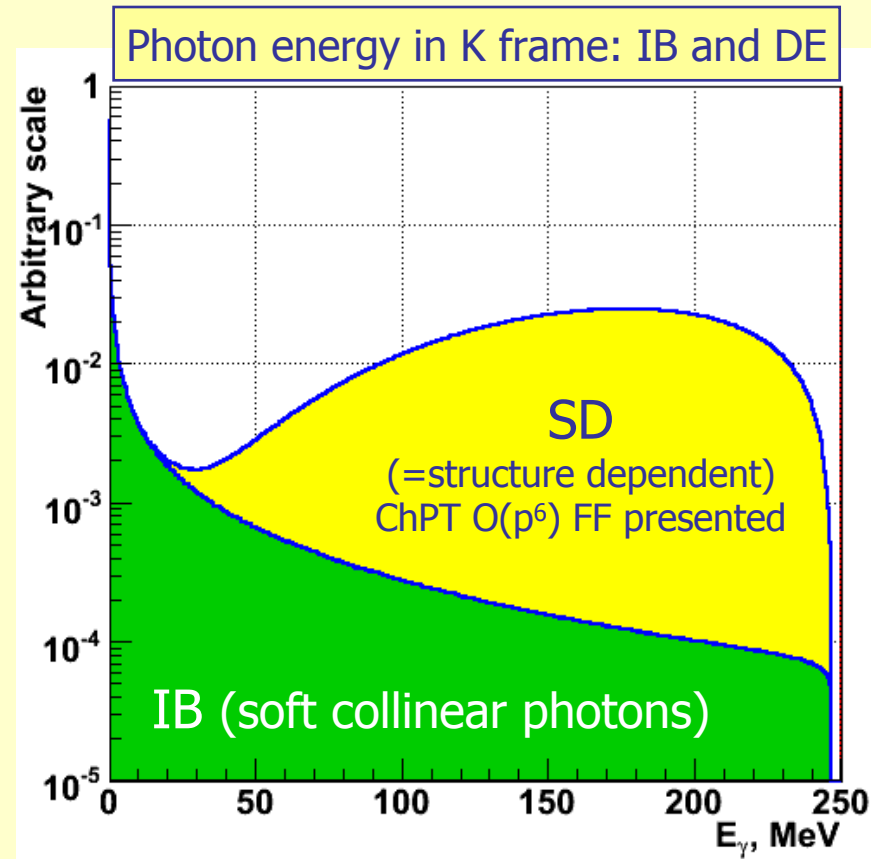


- The $K_{e2\gamma}$ (SD) process is a background.
- SD is not helicity suppressed, and its rate is similar to that of K_{e2} .
- Known to a limited precision of $\sim 15\%$.

(NB: a recent 4% precision measurement, EPJC64 (2009) 627, not used in present analysis)

Experiment: $BR = (1.52 \pm 0.23) \times 10^{-5}$
(average of 1970s measurements)

Theory: $BR = (1.38 - 1.53) \times 10^{-5}$ [PRD77 (2008) 014004]
(uncertainty due to a model-dependent form factor)



$K^+ \rightarrow e^+ \nu \gamma$ (SD) decay

Decay density:

$$\frac{d\Gamma(K \rightarrow e \nu \gamma)}{dx dy} = \underbrace{\rho_{IB}(x, y)}_{\text{helicity suppressed}} + \rho_{SD}(x, y) + \underbrace{\rho_{INT}(x, y)}_{\text{negligible}}$$

Kinematic variables
(kaon frame):

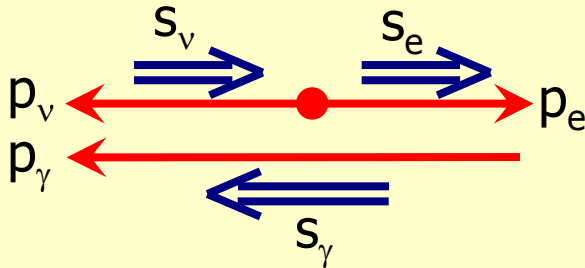
$$x = 2E_\gamma/M_K, \quad y = 2E_e/M_K$$

$$\rho_{SD}(x, y) = \frac{G_F^2 |V_{us}|^2 \alpha}{64\pi^2} M_K^5 \left((f_V + f_A)^2 f_{SD+}(x, y) + (f_V - f_A)^2 f_{SD-}(x, y) \right)$$

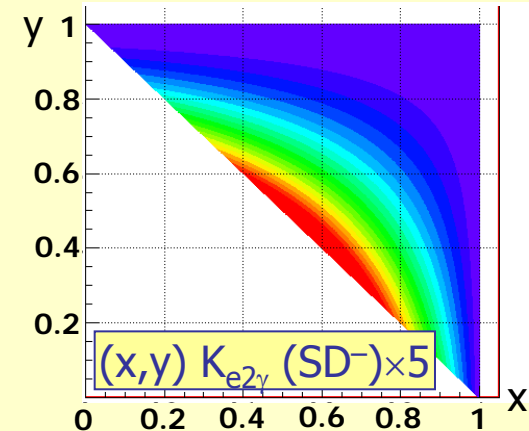
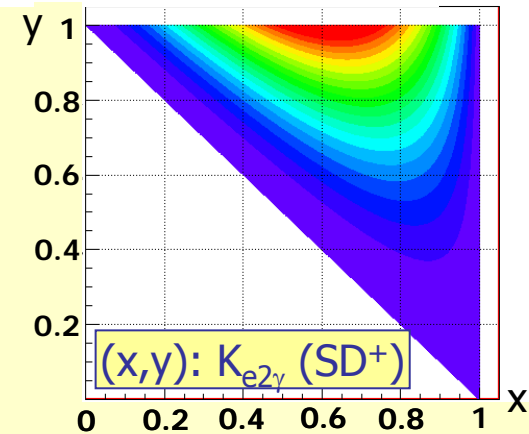
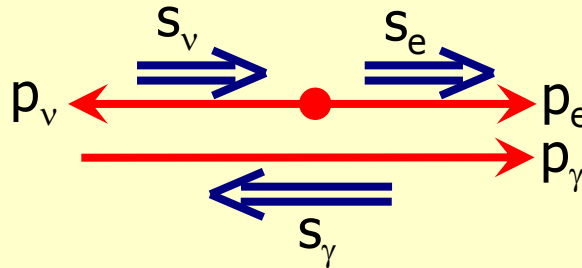
Two non-interfering contributions SD^+ and SD^- :
emission of photons with positive and negative helicity

$f_V(x), f_A(x)$: model-dependent effective
vector and axial couplings

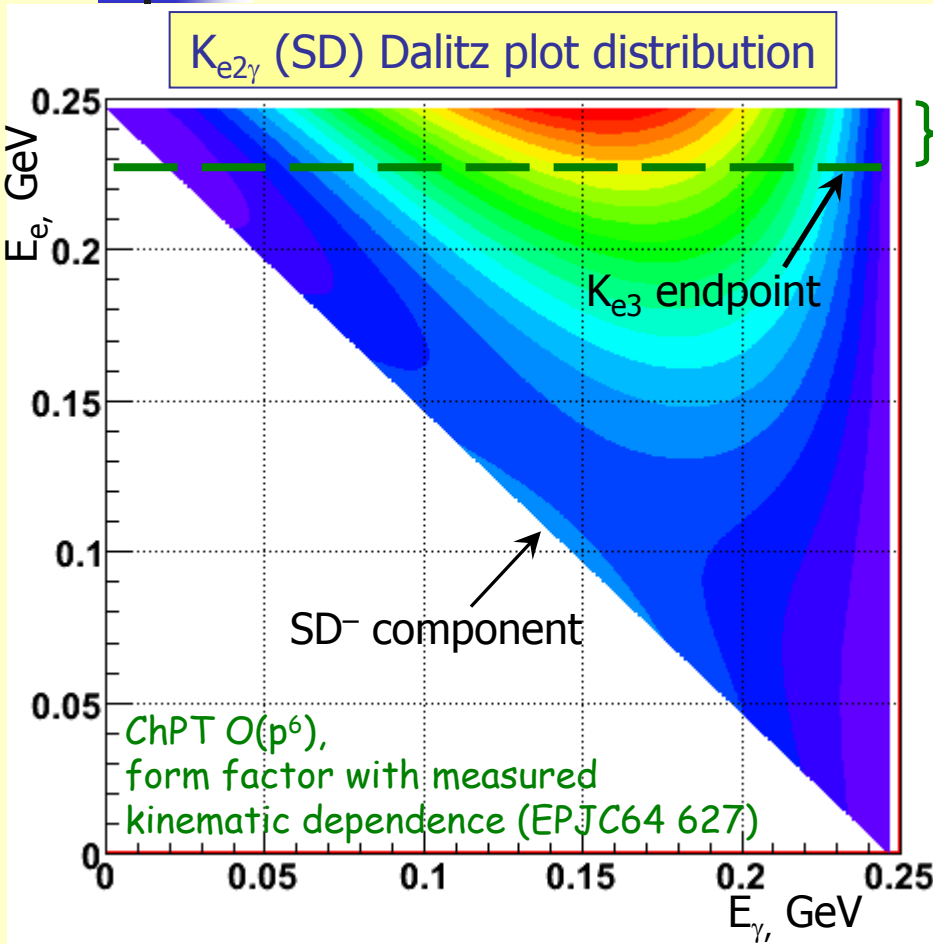
SD^+ : positive γ helicity



SD^- : negative γ helicity



$K^+ \rightarrow e^+ \nu \gamma$ (SD^+) background



Only energetic electrons ($E_e^* > 230 \text{ MeV}$) are compatible to K_{e2} kinematic ID and contribute to the background



This region of phase space is accessible for direct BR and form-factor measurement (being above the $E_e^* = 227 \text{ MeV}$ endpoint of the K_{e3} spectrum).

SD background contamination

$$B/(S+B) = (1.02 \pm 0.15)\%$$

(uncertainty due to PDG BR, to be improved by NA62 & KLOE)

$K_{e2\gamma}$ (SD^-) background is negligible, peaking at $E_e = E_{\max}/2 \approx 123 \text{ MeV}$

Beam halo background

Electrons produced by beam halo muons via $\mu \rightarrow e$ decay can be kinematically and geometrically compatible to genuine K_{e2} decays

Background measurement:

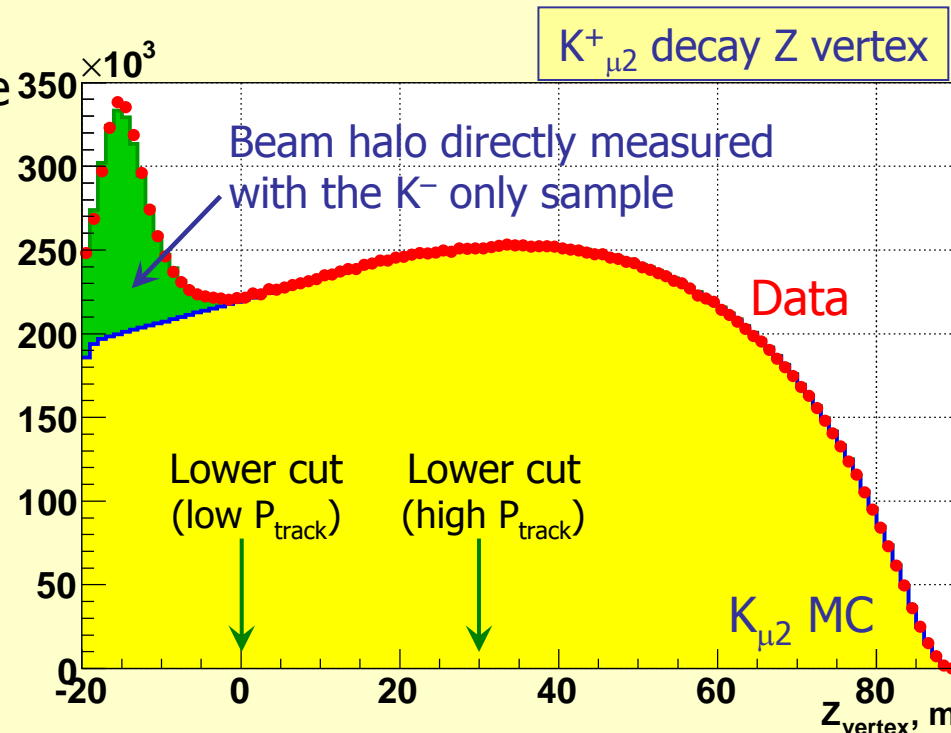
- Halo background much higher for K_{e2}^- ($\sim 20\%$) than for K_{e2}^+ ($\sim 1\%$).
- Halo background in the $K_{\mu 2}$ sample is considerably lower.
- $\sim 90\%$ of the data sample is K^+ only, $\sim 10\%$ is K^- only.
- K^+ halo component is measured directly with the K^- sample and vice versa.

The background is measured to sub-permille precision, and strongly depends on decay vertex position and track momentum.

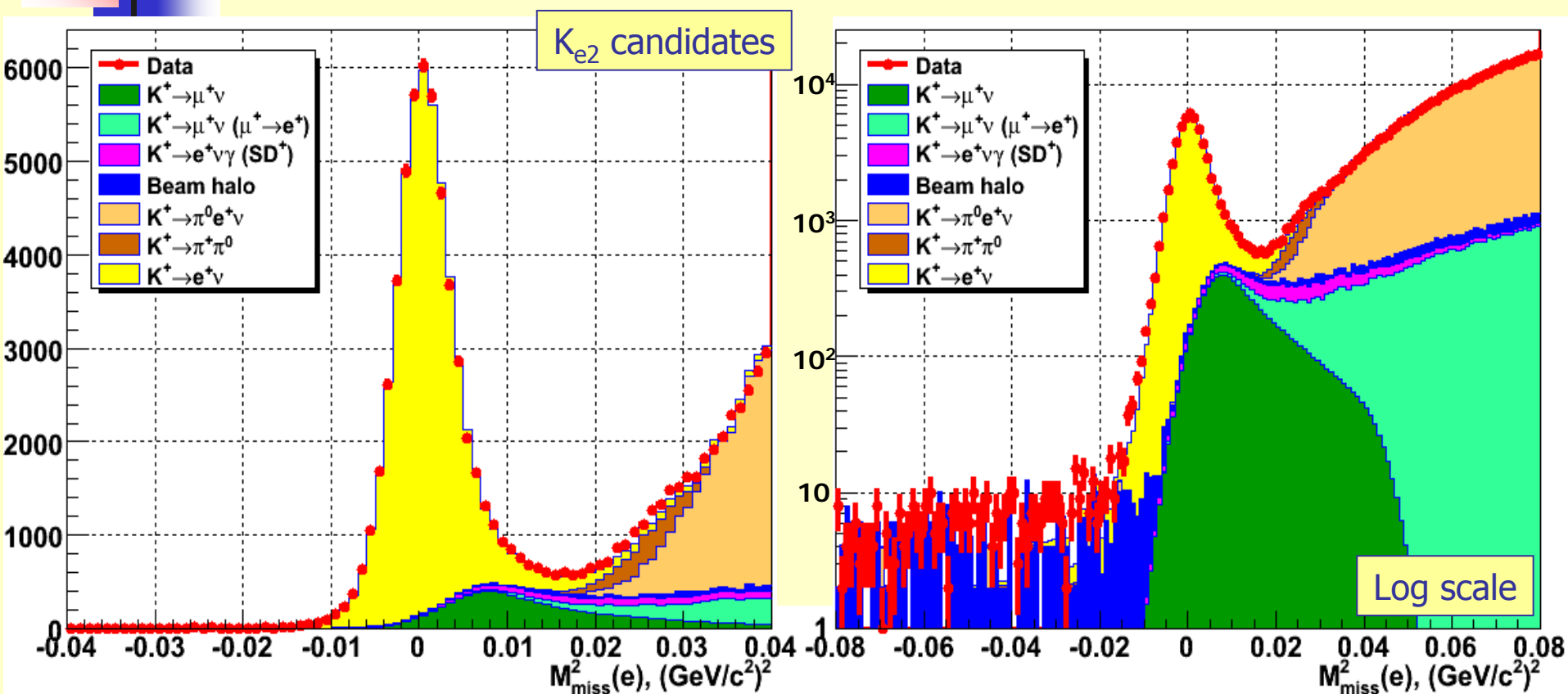
The selection criteria (esp. Z_{vertex}) are optimized to minimize the halo background.

$$B/(S+B) = (0.45 \pm 0.04)\%$$

Uncertainty is due to the limited size of the control sample.



K_{e2} : partial (40%) data set



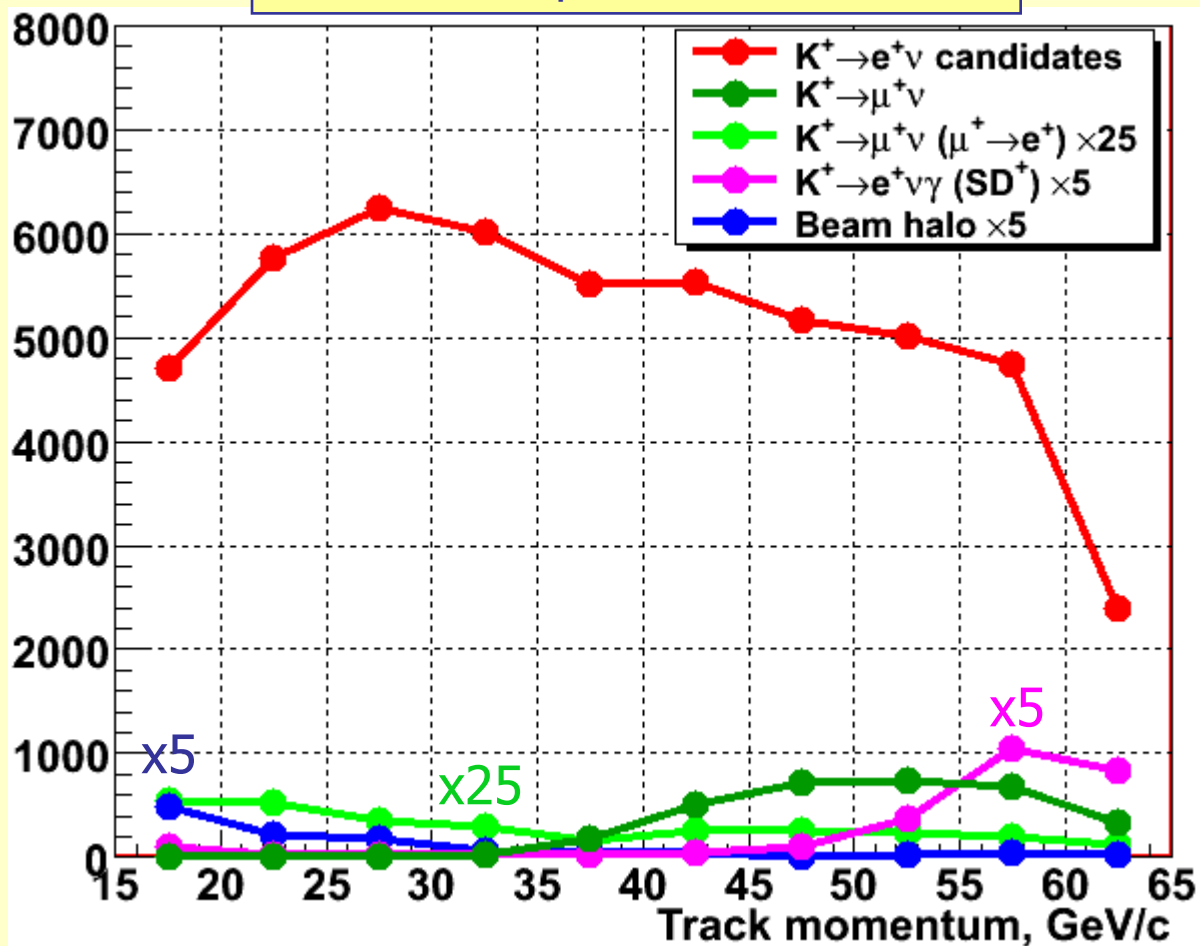
51,089 $K^+ \rightarrow e^+ \nu$ candidates,
99.2% electron ID efficiency,
 $B/(S+B) = (8.0 \pm 0.2)\%$

cf. KLOE: 13.8K candidates (K^+ and K^-),
~90% electron ID efficiency, 16% background

NA62 estimated total K_{e2} sample:
~120K K^+ & ~15K K^- candidates.
Proposal (CERN-SPSC-2006-033):
150K candidates

Backgrounds: summary

Statistics in lepton momentum bins



(selection criteria, e.g. Z_{vertex} and M_{miss}^2 , are optimised individually in each P_{track} bin)

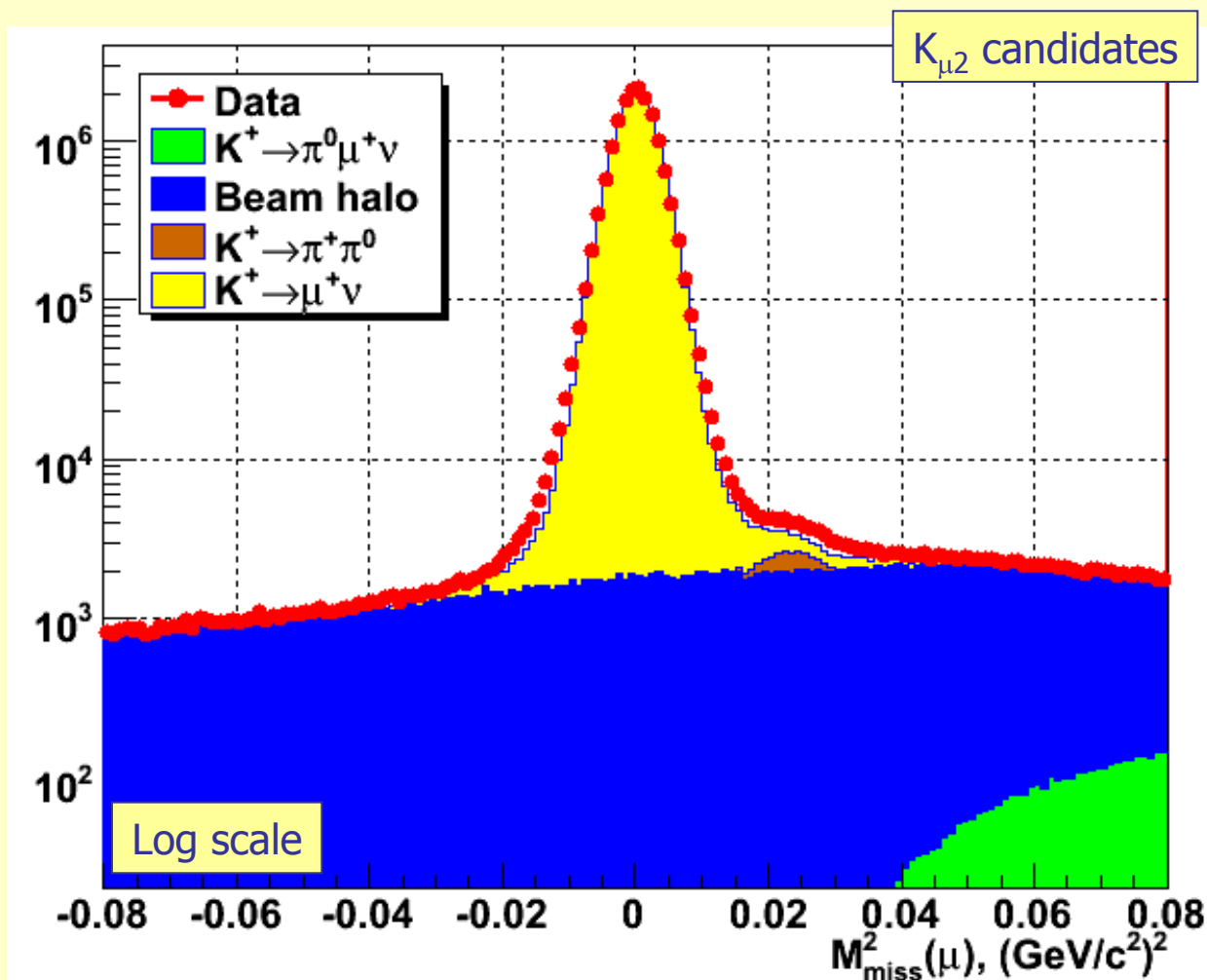
Backgrounds

Source	B/(S+B)
$K_{\mu 2}$	$(6.28 \pm 0.17)\%$
$K_{\mu 2} (\mu \rightarrow e)$	$(0.23 \pm 0.01)\%$
$K_{e 2 \gamma} (SD^+)$	$(1.02 \pm 0.15)\%$
Beam halo	$(0.45 \pm 0.04)\%$
$K_{e 3}$	0.03%
$K_{2 \pi}$	0.03%
Total	$(8.03 \pm 0.23)\%$

Record $K_{e 2}$ sample:
51,089 candidates
with low background
 $B/(S+B) = (8.0 \pm 0.2)\%$

Lepton momentum bins are differently affected by backgrounds and thus the systematic uncertainties.

$K_{\mu 2}$: partial (40%) data set



15.56M candidates
with low background
 $B/(S+B) = 0.25\%$

($K_{\mu 2}$ trigger was
pre-scaled by $D=150$)

The only significant
background source
is the beam halo.

Electron ID efficiency (f_e)

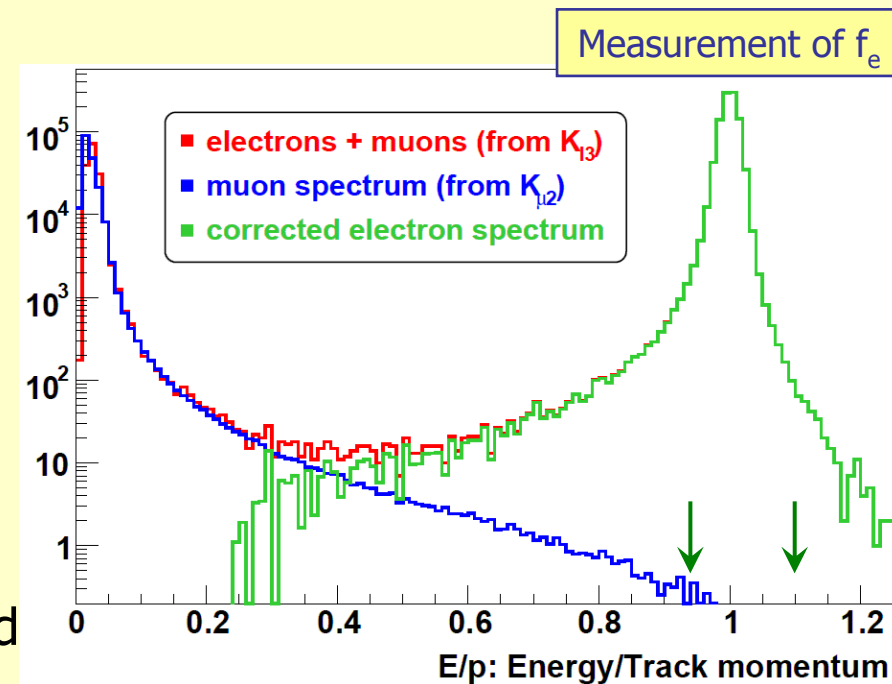
Measured directly with samples of pure electrons:

- $K^\pm \rightarrow \pi^0 e^\pm \nu$ from **main K^\pm data** taking (limited track momentum $p < 50 \text{ GeV}/c$);
- $K_L \rightarrow \pi^\pm e^\pm \nu$ from a **special 15h K_L run** (wider track momentum range, due to broad K_L momentum spectrum).

Measurement with $K^\pm \rightarrow \pi^0 e^\pm \nu$ decays:

- Selected event sample consists of $K^\pm \rightarrow \pi^0 e^\pm \nu$ and some $K^\pm \rightarrow \pi^0 \mu^\pm \nu$ events;
- To subtract the muon component, normalised muon E/p spectrum measured using the $K_{\mu 2}$ sample is used.

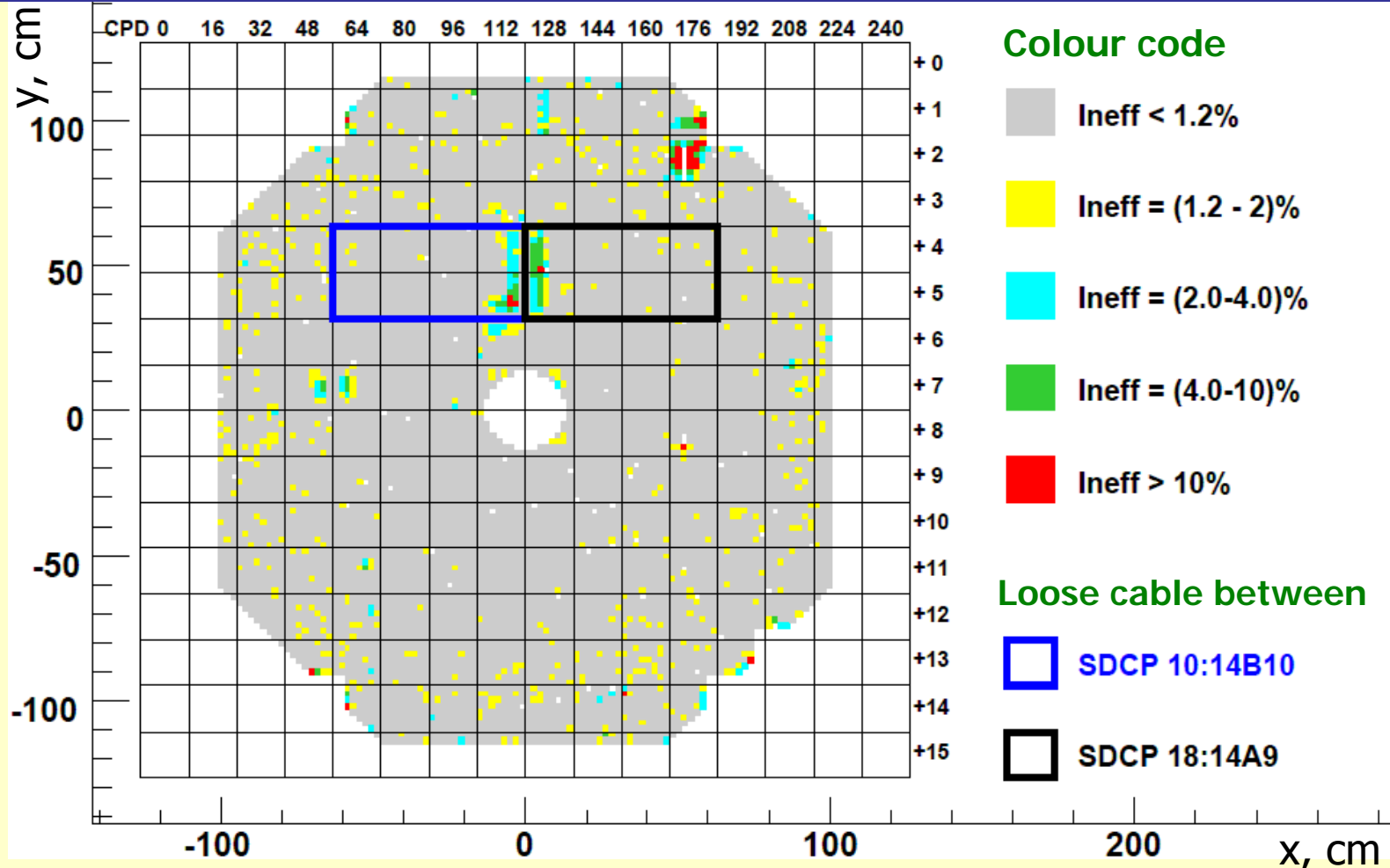
Measurement with $K_L \rightarrow \pi^\pm e^\pm \nu$ is more complicated: the pion component also contributes to the spectrum.



Excellent agreement between K^\pm and K_L methods.
Average $f_e = 99.15\%$, precision $< 0.1\%$, weak momentum dependence.

LKr inefficiency map

LKr efficiency is monitored vs time for every $2 \times 2 \text{ cm}^2$ cell within acceptance. A typical example of the inefficiency map is presented below.

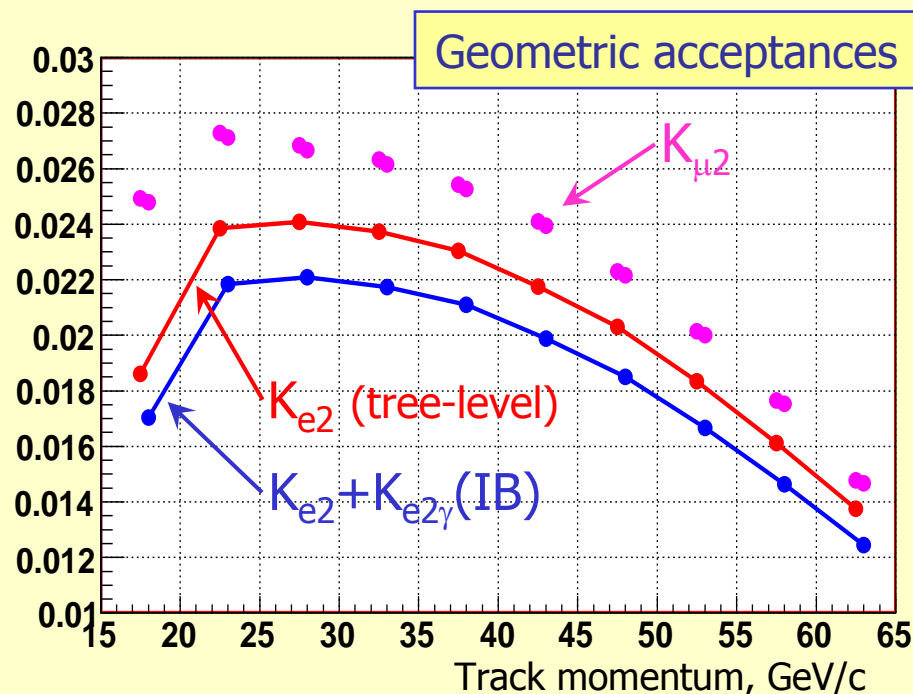


Higher inefficiency is at low momentum \rightarrow room for optimization

Other systematic effects

Geometric acceptance correction

- p_{track} -dependent, $A(K_{\mu 2})/A(K_{e 2}) \sim 1.3$;
- strongly affected by the radiative (IB) corrections to $K_{e 2}$;
IB process simulated according to
V. Cirigliano and I. Rosell,
Phys. Lett. 99 (2007) 231801
- conservative systematic uncertainty for prelim. result: $\delta R_K/R_K = 0.3\%$, due to approximations used in IB simulation.



Trigger efficiency correction

- E_{LKr} efficiency directly affects R_K ;
- monitored with control trigger samples;
- conservative systematic uncertainty for preliminary result: $\delta R_K/R_K = 0.3\%$ (due to dead time generated by accidentals).

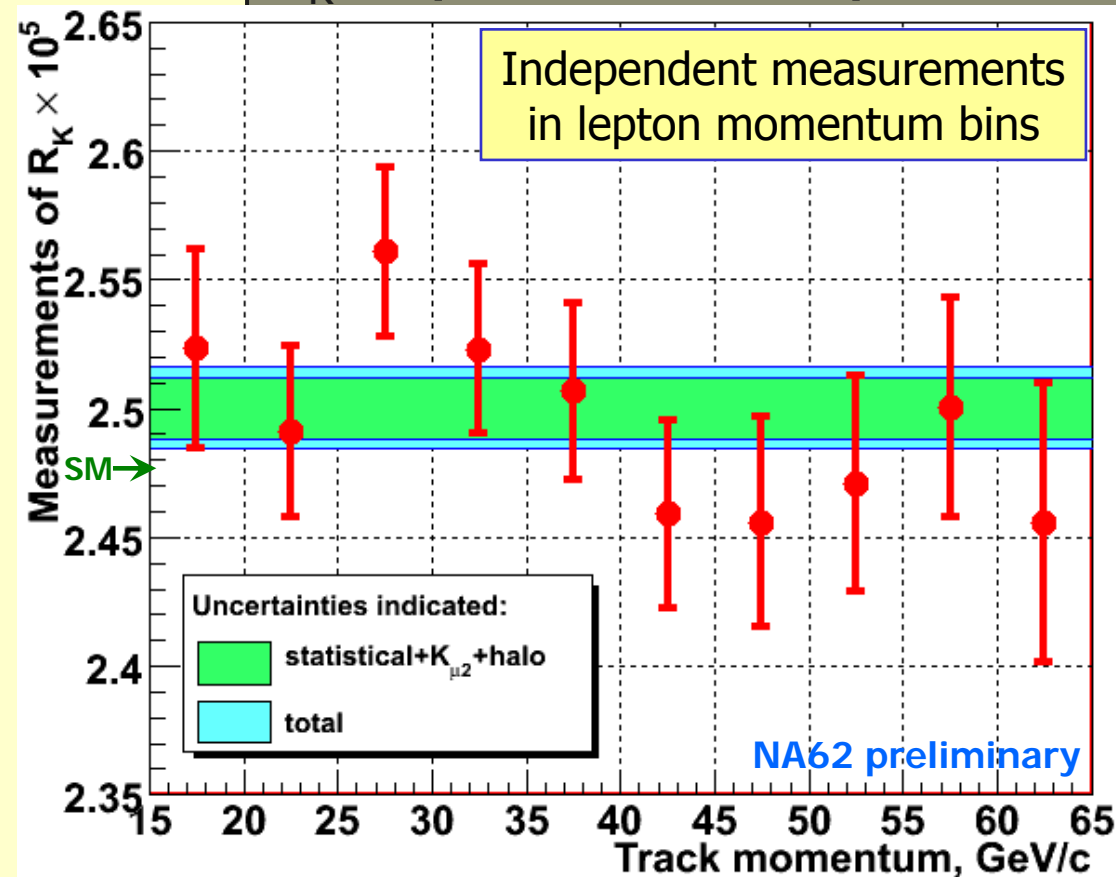
Global LKr efficiency

- Also affects the result directly;
- $f_{\text{LKr}} = (99.80 \pm 0.03)\%$ is measured directly using a parallel ('spy') calorimeter readout.

Preliminary result (40% data set)

$$R_K = (2.500 \pm 0.012_{\text{stat}} \pm 0.011_{\text{syst}}) \times 10^{-5} \\ = (2.500 \pm 0.016) \times 10^{-5}$$

(arXiv:0908.3858)

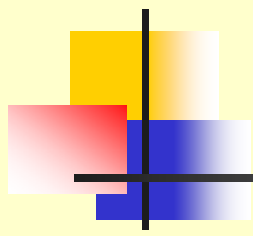


Uncertainties

Source	$\delta R_K \times 10^5$
Statistical	0.012
$K_{\mu 2}$	0.004
Beam halo	0.001
$K_{e 2 \gamma}$ (SD ⁺)	0.004
Electron ID	0.001
IB simulation	0.007
Acceptance	0.002
Trigger timing	0.007
Total	0.016

(0.64% precision)

The whole 2007 sample will allow statistical uncertainty $\sim 0.3\%$, total uncertainty of 0.4–0.5%. 31



Competitors, comparison to world data

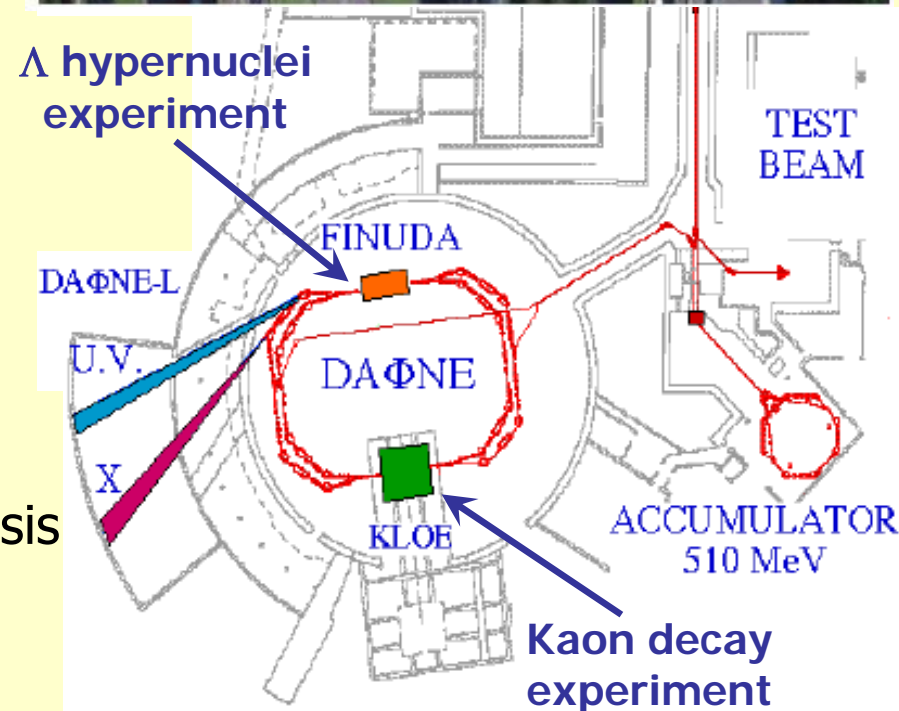
KLOE K_{e2} analysis: decays at rest

DAΦNE: an e^+e^- collider at LNF Frascati

- CM energy $\sim m_\phi = 1019.4$ MeV;
- $BR(\phi \rightarrow K^+K^-) = 49.2\%$;
- ϕ production cross-section $\sigma_\phi = 1.3 \mu\text{b}$;
- Data sample (2001–05): 2.5 fb^{-1} .

$K_{e2}/K_{\mu 2}$ separation technique
in comparison to NA62:

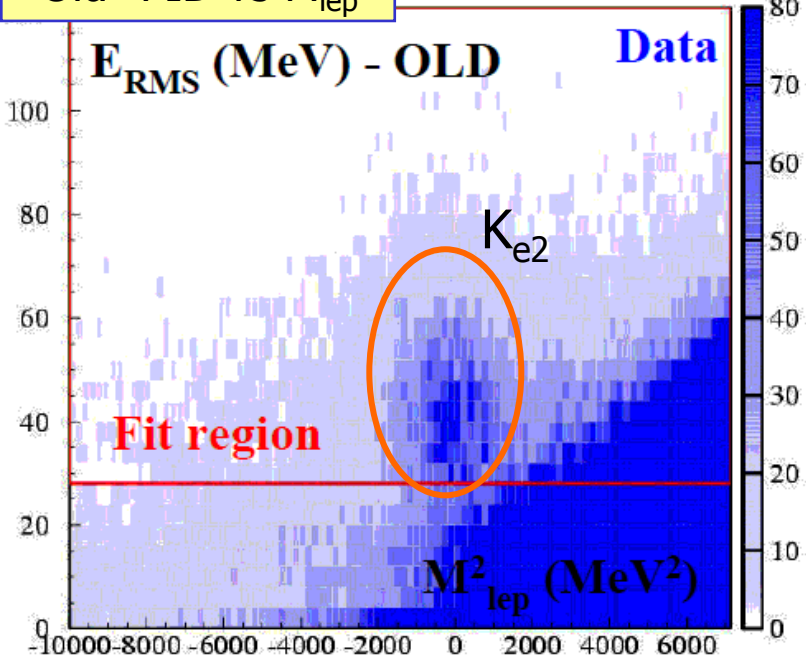
- Kinematics: by M_{lep}^2
(equivalent to M_{miss}^2 in NA62);
- PID: initially based on longitudinal
distribution of EMC energy deposition,
then replaced by a neural network analysis
(vs E/p for NA62).



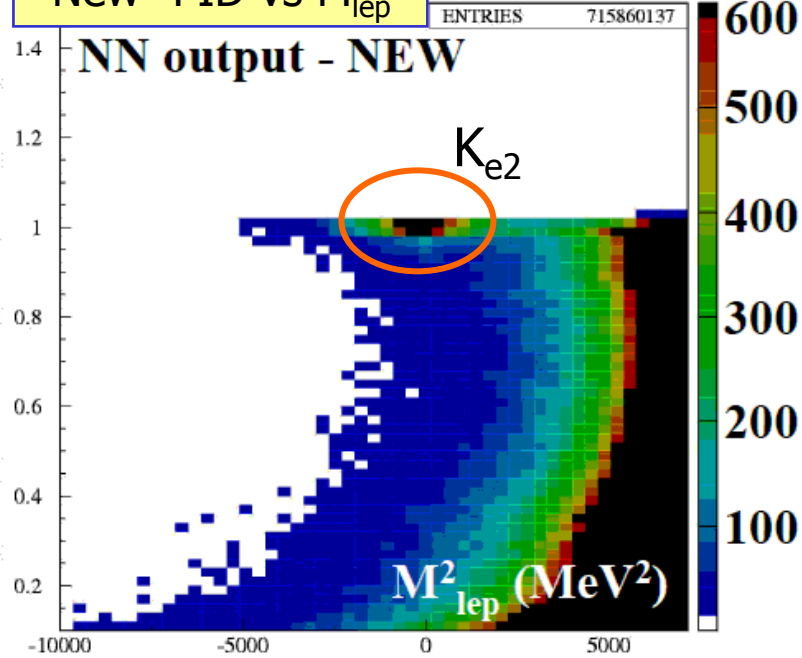
KLOE: R_K uncertainties

(arXiv:0907.3594)

"Old" PID vs M_{lep}^2



"New" PID vs M_{lep}^2

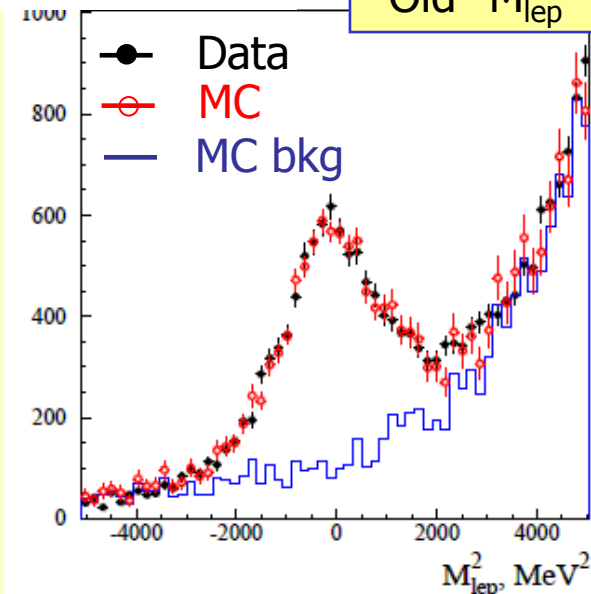


(plots from
B. Sciascia,
ICHEP 2008)

"Old" PID: 8.1K candidates, $\sim 30\%$ background.
"New" PID: 13.8K candidates, 16% background.

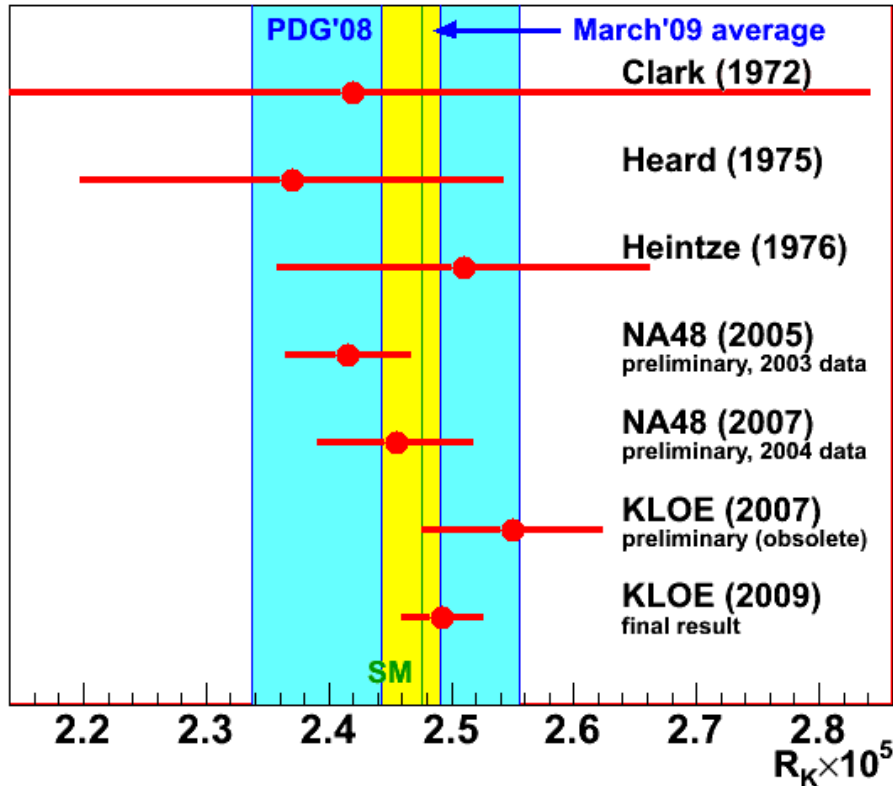
Uncertainties	$\delta R_K / R_K$ (%)
Statistical	1.0
$K_{\mu 2}$ subtraction	0.3
$K_{e2\gamma}$ (SD ⁺)	0.2
Reconstruction efficiency	0.6
Trigger efficiency	0.4
Total	1.3

"Old" M_{lep}^2

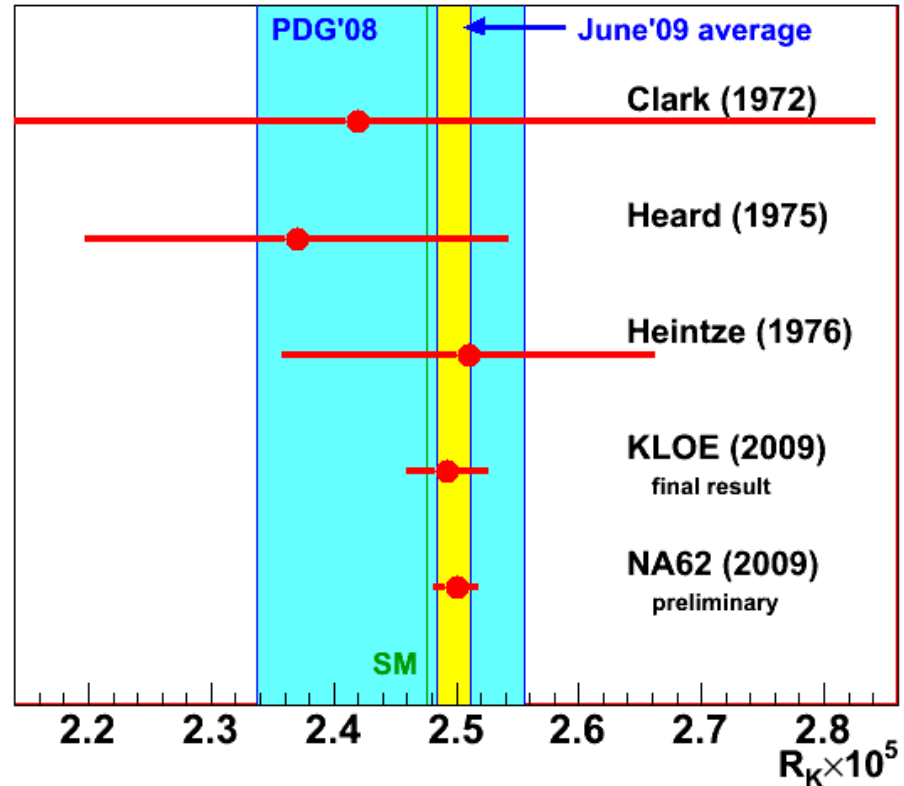


Updated world average

March 2009



June 2009



World average	$\delta R_K \times 10^5$	Precision
March 2009	2.467 ± 0.024	0.97%
June 2009	2.498 ± 0.014	0.56%

⇒ Last 5 years have seen active experimental development!

(NA48/2 preliminary results are excluded from the June 2009 fit: they are superseded by NA62)

R_K : sensitivity to new physics

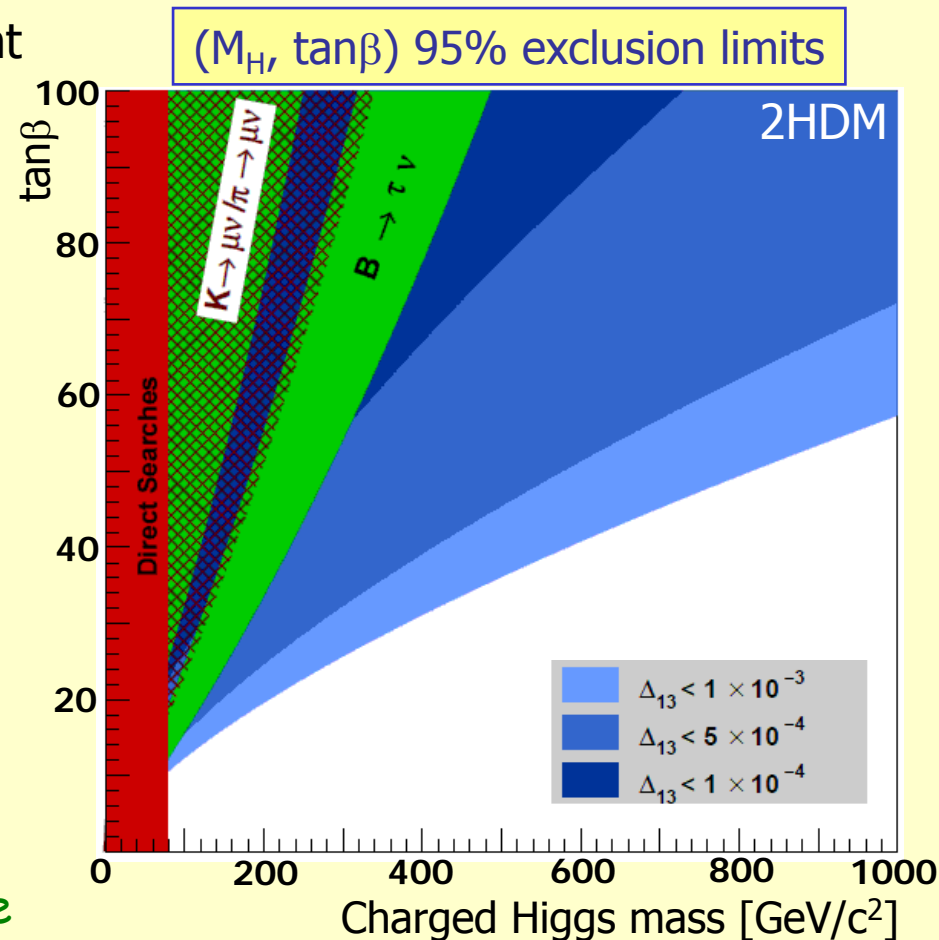
R_K measurements are currently in agreement with the SM expectation at $\sim 1.5\sigma$.

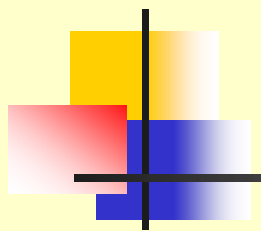
Any significant enhancement with respect to the SM value would be an evidence of new physics.

Exclusion limits at 95% CL derived from the new R_K world average are presented.

For non-tiny values of the LFV slepton mixing Δ_{13} , sensitivity to H^\pm in $R_K = K_{e2}/K_{\mu 2}$ is better than in $B \rightarrow \tau \nu$

"Maybe NA62 will find the first evidence for a charged Higgs exchange?"
-- John Ellis (arXiv:0901.1120)





Future CERN programme: NA62 phase II

NA62 phase II: $K_{\pi\nu\nu}$

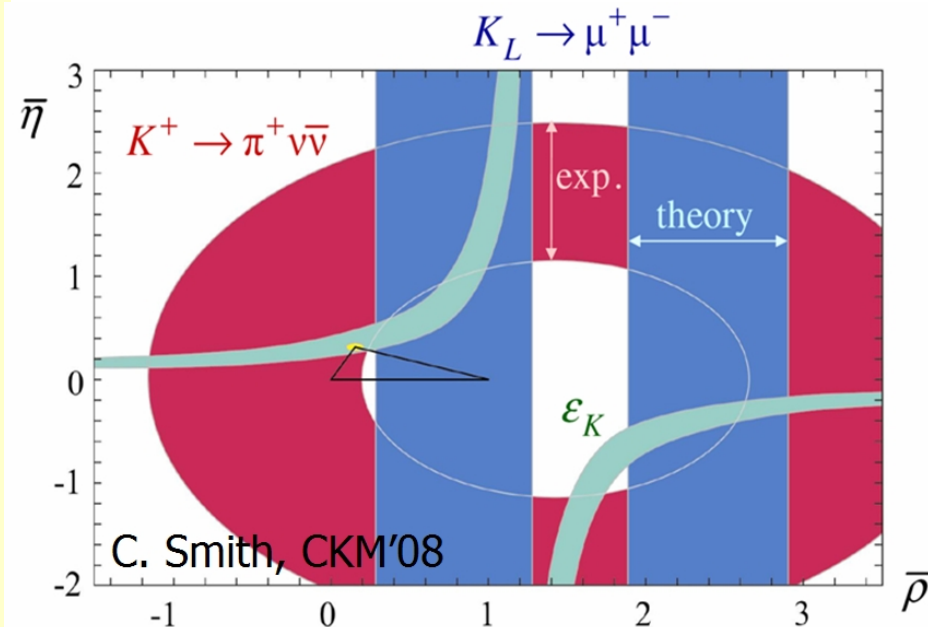
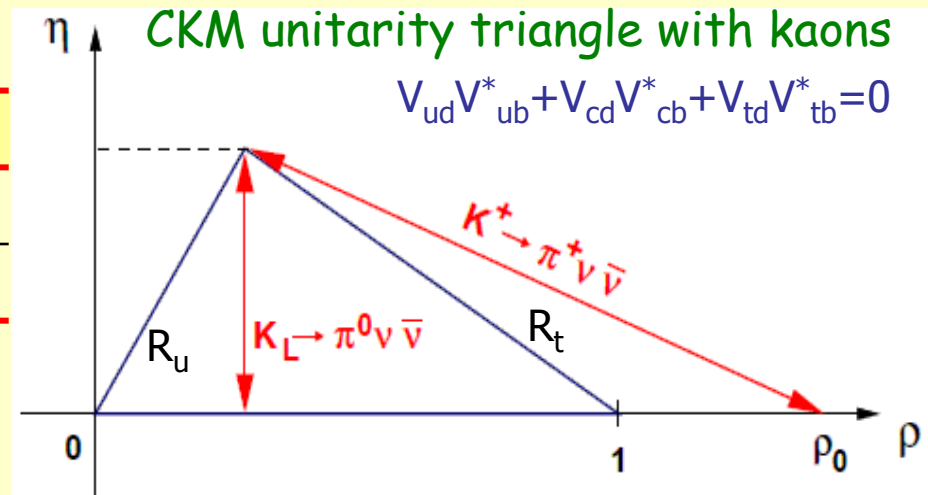
$K \rightarrow \pi \nu \nu$: theoretically clean, sensitive to NP, almost unexplored

Branching ratio $\times 10^{10}$

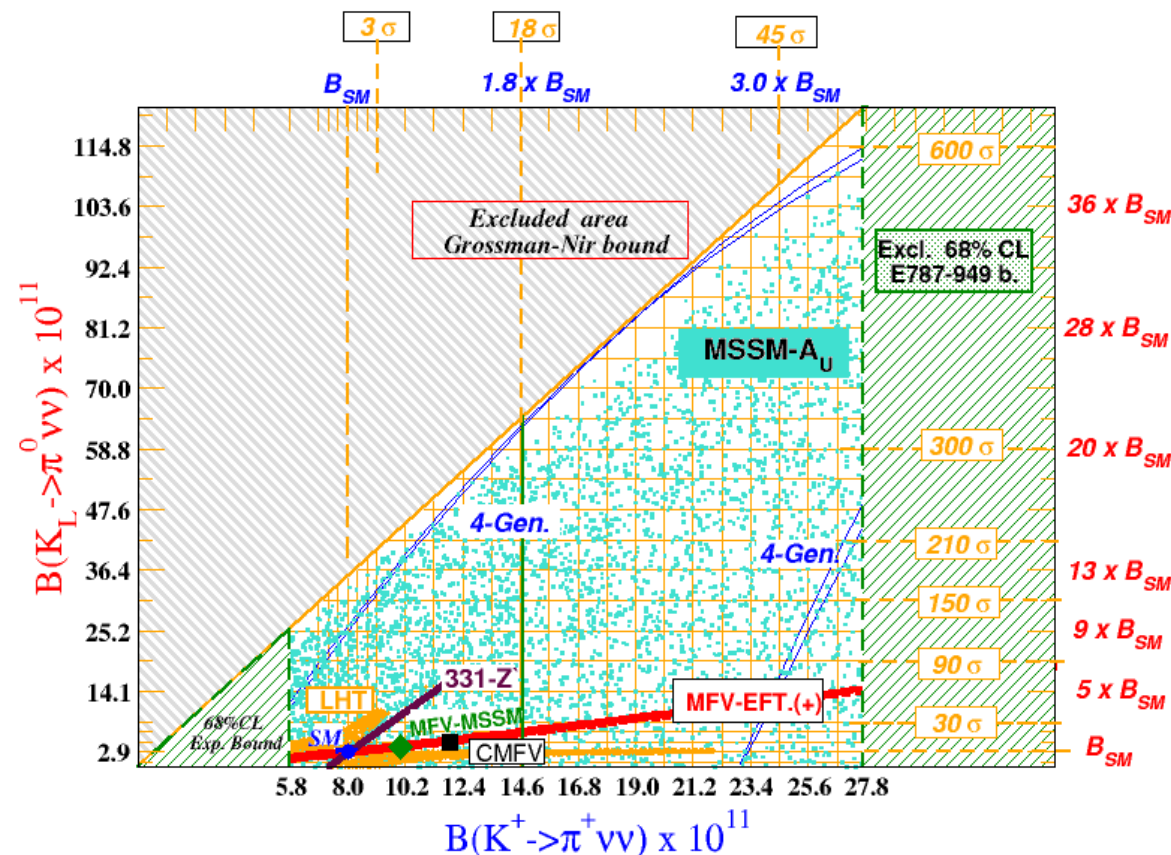
	Theory (SM)	Experiment
$K^+ \rightarrow \pi^+ \nu \nu (\gamma)$	0.82 ± 0.08	$1.73^{+1.15}_{-1.05}$
$K_L \rightarrow \pi^0 \nu \nu$	0.28 ± 0.04	< 670 (90% CL)

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \nu) \sim |V_{ts}^* V_{td}|^2$$

- Ultra-rare FCNC processes, proceed via penguin and loop diagrams only.
- Hadronic matrix element extracted from precise $K \rightarrow \pi e \nu$ measurements.
- Exceptional SM precision not matched by any other loop-induced meson decay.
- Uncertainties mainly come from charm contributions.



Sensitivity of new physics



BR($K^+ \rightarrow \pi^+ \nu \nu$) $\times 10^{10}$: selected models

SM	0.82 ± 0.08
MFV (hep-ph/0310208)	1.91
EEWP (NPB697 (2004) 133, hep-ph/0402112)	0.75 ± 0.21
EDSQ (PRD70 (2004) 093003, hep-ph/0407021)	up to 1.5
MSSM (NPB713 (2005) 103, hep-ph/0408142)	up to 4.0

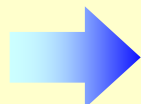
- Large variations in predictions for new physics.
- Need a **10% precision** measurement to provide a **stringent SM test**.

The NA62 collaboration aims to measure $O(100)$ $K^+ \rightarrow \pi^+ \nu \nu$ candidates with $\sim 10\%$ background in 2-3 years of data taking

NA62 guidance principles

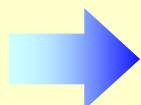
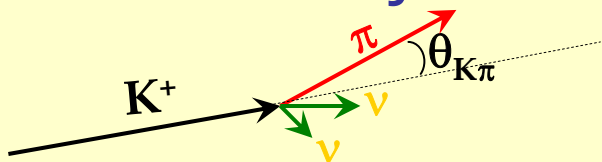
O(100) $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ events, $\sim 10\%$ background @BR(SM) = 8×10^{-11}

N(K decays) $\sim 10^{13}$
Acceptance = 10%



- Kaon decay in flight technique;
- 400 GeV proton beam from SPS;
- Unseparated high energy K^+ beam ($P_K = 75$ GeV/c);

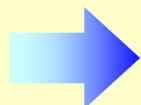
Kinematical rejection



- Kaon momentum: beam tracker;
- Pion momentum: spectrometer;

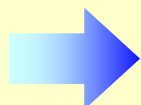
Single track signature: $m_{\text{miss}}^2 = (P_K - P_\pi)^2$

Particle ID and veto
in addition to kinematical rejection



- Charged track veto: spectrometer;
- Photon veto: calorimeters;
- Beam kaon identification: CEDAR;
- $\pi/\mu/e$ separation: RICH;

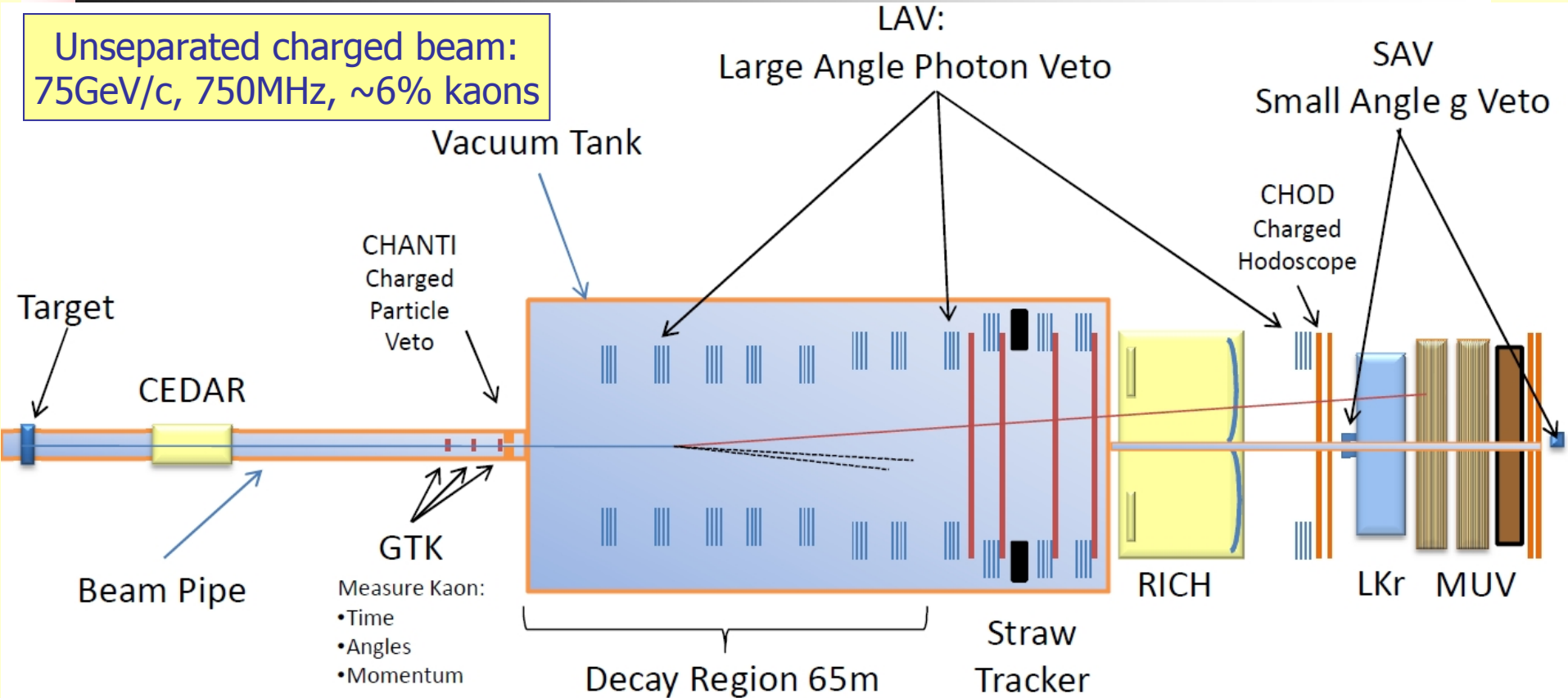
Budget limitations



- Use of existing NA48 infrastructure: beam line, LKr calorimeter, ...

NA62 experiment (phase II)

Unseparated charged beam:
75GeV/c, 750MHz, ~6% kaons

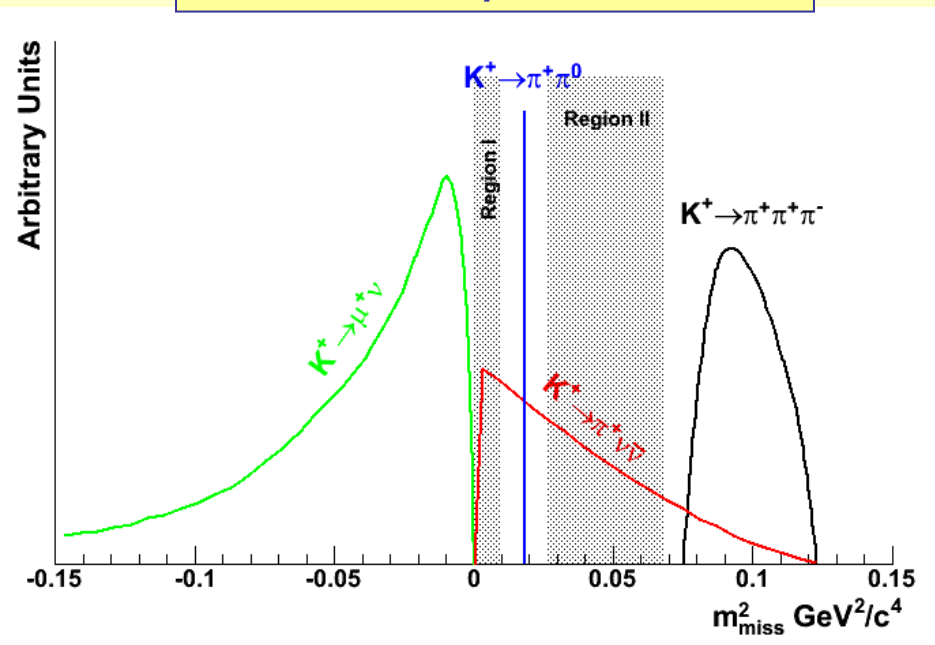


- Record K^+ decay SES of $\sim 10^{-12}$;
- Hermetic veto & redundant measurements;
- R&D finishing, subdetector construction has started.

- Approved by the CERN research board in December 2008.
- Reviewed by PPAP in July 2009.
- SoI submitted to PPAN in November 2009;
signed by **Birmingham, Bristol, Glasgow, Liverpool.**

Kinematics and backgrounds

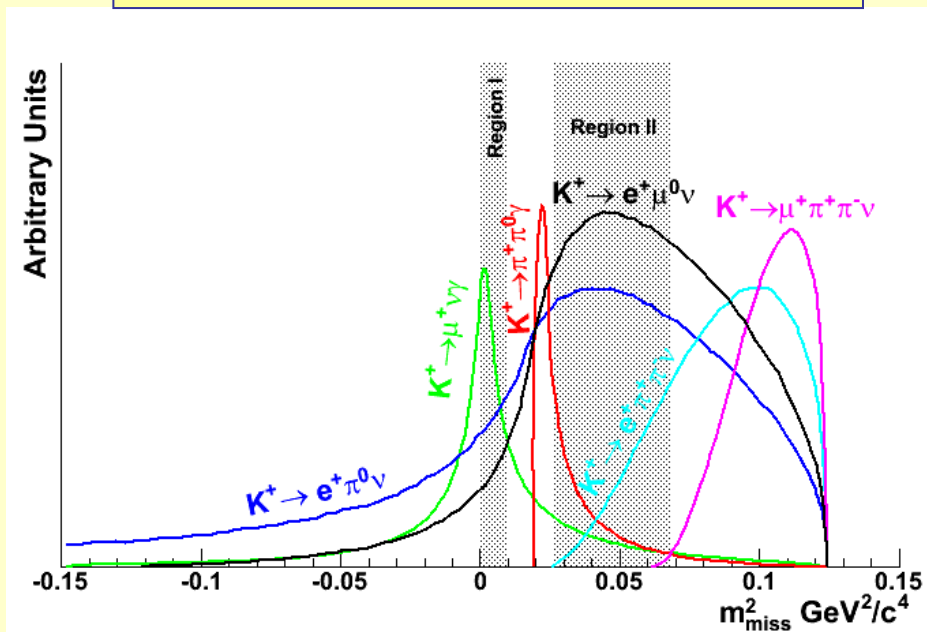
Kinematically constrained



92% of total background

- ▶ Allows us to define a signal region
- ▶ $K^+ \rightarrow \pi^+ \pi^0$ forces us to split it into two parts (Region I and Region II)

NOT kinematically constrained



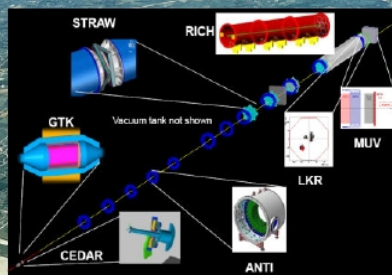
8% of total background

- ▶ Span across the signal region
- ▶ Rejection relies on vetoes/PID

NA62 (phase II) programme

The First NA62 Physics Handbook

2009



Several other physics goals are:

- Lepton Flavour Violation: measurement of R_K to $\sim 0.1\%$ precision;
- Searches for $\sim 100\text{keV}$ neutrinos, light sgoldstinos, possibly other new particles;
- Low-energy QCD: pion scattering lengths from final-state rescattering in $K_{3\pi}$ and K_{e4} decays;
- ChPT tests with rare kaon/pion decays.

1st Physics Handbook meeting:
CERN, 10-11 December 2009

[http://indico.cern.ch/
conferenceDisplay.py?confId=65927](http://indico.cern.ch/conferenceDisplay.py?confId=65927)

- Due to the suppression of the K_{e2} decay in the SM, the measurement of R_K is well-suited for a **stringent SM test**.
- $P^+ \rightarrow l^+ \nu$: active developments of experiment and theory.
After recent precise R_K measurements, the R_K world average has a **0.6% precision** (and compatible with the SM prediction).
Timely result: direct searches for new physics at the LHC are approaching.
- NA62 is a key player: the 2007/08 data taking was **optimised for R_K measurement**, and increased the world K_{e2} sample by an order of magnitude. Excellent $K_{e2}/K_{\mu 2}$ separation (**>99%** electron ID efficiency and $\sim 10^6$ μ suppression) leads to a low $\sim 8\%$ background.
- NA62 phase II: stringent SM test by measurement of the ultra rare decay $K^+ \rightarrow \pi^\pm \nu \nu$ with **10%** precision, R_K measurement with **$\sim 0.1\%$** precision, and much more.