Particle Identification

Graduate Student Lecture Part 1

Warwick Week



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Outline

Lecture 1 :

> Introduction

- Main techniques for Particle Identification (PID)
- Cherenkov Detectors
- Main principles
- Photodetectors
- Example of large Cherenkov Detector in HEP

Lecture 2 :

- Detectors using Energy Loss (dE/dx) from ionization and atomic excitation
- Time of Flight (TOF) Detectors
- Transition Radiation Detectors (TRD)
- More Examples of PID systems
 - Astroparticle Physics
- Not Covered : PID using Calorimeters
- Focus on principles used in the detection methods.

Introduction

- Particle Identification is a crucial part of several experiments in Particle Physics.
 Identify Pions, Kaons, Protons, electrons, muons, tau etc.
- Tracking+Magnet : Measure the direction and momentum of charged particles
- Calorimeter: Measure the energy deposited in an Electromagnetic or Hadronic shower created by the particles.
- PID: (a) Use information from Tracking and Calorimeters alone.
 - (b) Detection of muon tracks in the downstream most 'tracking' detectors.(c) Use additional information from 'Particle Identification' detectors .



- 'PID' detector: Reduction of combinatorial backgrounds
- More examples and other uses of PID detectors later in the lecture.

Calorimeters and tracking detectors covered in other lectures.

Cherenkov Detectors

- Cherenkov Radiation: General Ideas
- Brief History of the development of Cherenkov detectors
- Classification of Cherenkov detectors
- Photo detectors to detect Cherenkov Radiation
- Examples of large Cherenkov Detector systems

Basics of Cherenkov Radiation



 \wedge

 $cos(\theta) = 1/(n \beta)$ where $n = Refractive Index = c/c_M = n(E_{ph})$

$$\beta = v/c = p/E = p/(p^2 + m^2)^{0.5} = 1/(1+(m/p)^2)^{0.5}$$

 β = velocity of the charged particle in units of speed of light (c) vacuum p, E, m = momentum, Energy, mass of the charged particle. C_M = Speed of light in the Medium (Phase velocity), E_{ph} = Photon Energy, λ =Photon WaveIngth.

Theory of Cherenkov Radiation: Classical Electrodynamics by J.D.Jackson (Section 13.5)

The energy radiated by the charged particle as Cherenkov Radiation per unit length =

Typical example: Charged particle with momentum of few GeV/c or more emitting Cherenkov photons with few eV of energy $\cos(\theta) = 1/(n \beta)$

 θ = 0 : Cherenkov Threshold for the charged particle. At Threshold, β = 1/n

 θ has Maximum in a medium when β almost = 1 \Leftrightarrow p/m sufficiently high \Leftrightarrow Saturated Tracks

> Particle ID: θ (p,m); If we measure p and θ , we can Identify different particles with different m.

- Typically, in Accelerator based experiments, Momentum (p) is measured by a Magnetic Spectrometer : Tracking detectors and a Magnet.
- > Cherenkov Detectors: Measure θ : Resolution can be expressed in terms of ($\Delta \beta / \beta$)

Photonic Crystals: No Cherenkov Threshold and θ >90 degree. Not covered in this lecture: Reference: http://ab-initio.mit.edu/photons

Basics of Cherenkov Radiation



Cherenkov Angle vs Charged Particle Momentum

Components of a Cherenkov Detector

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- Main Components: Radiator

 - Photodetector
- Radiator: Any medium with a Refractive Index.

Example of radiators

		Photons/m	
3.5 10 ⁻⁵	120	3	
4.1 10 ⁻⁴	35	40	
0.025-0.075	4.6-2.7	2400-6600	
0.33	1.52	21300	
0.46-0.75	1.37-1.22	26100-33100	
	3.5 10 ⁻⁵ 4.1 10 ⁻⁴ 0.025-0.075 0.33 0.46-0.75	3.5 10 ⁻⁵ 120 4.1 10 ⁻⁴ 35 0.025-0.075 4.6-2.7 0.33 1.52 0.46-0.75 1.37-1.22	

To produce photons

- Mirror/lens etc. : To help with the transport of photons
 - : To detect the photons

Aerogel: network of SiO₂ nano-crystals



$$\gamma = 1/\text{sqrt}(1-\beta^2)$$

The atmosphere, ocean are the radiators in some Astro Particle Cherenkov Detectors 8

Note on the History of Cherenkov Radiation

> The formula cos (θ) =1/(n β) was already predicted by Heaviside in 1888

> ~1900: 'Blue glow' seen in fluids containing concentrated Radium (Marie & Pierre Curie)

- Pavel Alexeevich Cherenkov (1904-1990): Lebedev Physical Institute of the Russian Academy of Sciences.
- Discovery and Validation of Cherenkov Effect : 1934-37
- > Full Explanation using Maxwell's equations: I.M. Frank and I.E. Tamm in 1937
- > Nobel Prize in 1958: Cherenkov, Frank and Tamm.



Typical Apparatus used by Cherenkov to study the angular distribution of Cherenkov photons. (Incident γ ray produces electrons by compton scattering in the liquid).

P. Cherenkov established that:

- Light Intensity is proportional to the electron path length in the medium.
- Light comes only from the 'fast' electrons above a velocity threshold, in his Apparatus.
- Light emission is prompt and the light is polarized.
- The wavelength spectrum of the light produced is continuous. No special spectral lines.
- The angular distribution of the radiation, its intensity, wavelength spectrum and its dependence on the refractive index agree with the theory proposed by his colleagues Frank and Tamm.

Photons from Cherenkov Radiation

n= n(λ): Different photons from the same charged track
 can have different Cherenkov Angles.(Cos (θ)=1/n β)).
 This spread in angles gives rise to 'Chromatic Error' when measuring the average θ.



➢ To reduce the Chromatic error various methods have been tried:

- Filter out the low wavelength photons before they reach the photodetector.
- Appropriate choice of the radiator material
- Recent development: Measure the Time-Of-Propagation of photons

to estimate their wavelengths and correct for the Chromatic Error.

(Time= (PathLength in the detector) / Velocity)

Photons from Cherenkov Radiation

- Current photon detectors used for detecting Cherenkov light are sensitive to visible + part of UV. This part of the EM spectrum produced by the Cherenkov Radiation is the only range relevant for Cherenkov detectors. λ_{ph} ranges from 135 nm to 800 nm depending upon the photodetector.
- Number of photons produced by a particle with charge Z, along a Length L: (From Frank-Tamm theory)

$$N_{prod} = (\alpha/hc) Z^2 L \int \sin^2(\theta) dE_{\rho h} \qquad \text{where} \quad \alpha/hc = 370 \text{ eV}^{-1} \text{cm}^{-1}, E_{p h} = hc/\lambda.$$

- If the photons are reflected by a Mirror with Reflectivity R(E_{ph}), are transmitted through a quartz window of Transmission T(E_{ph}) and then are detected by a photon detector with efficiency Q (E_{ph})
- Number of photons detected :

$$N_{det} = (\alpha/hc) Z^2 L \int R Q T \sin^2(\theta) d E_{ph}$$

= $N_0 L \sin^2(\theta_c)$ (If we assume θ is constant = θ_c = Mean Cherenkov Angle)

> Figure of Merit of the detector = N_0 For example, $N_0 = 200$ cm⁻¹ is a good value.

Classification of Cherenkov Detectors

- Cherenkov Detector Designs:
 - Threshold Counters
 - Imaging Counters: > Differential Cherenkov Detectors
 - Ring Imaging Cherenkov Detectors (RICH)
 - Detector for Internally Reflected light (DIRC)

- > Types of Photodetectors:
- (a) Gas Based (b) Vacuum Based (c) Solid State

> Applications:

- In Accelerator Based High Energy Physics Detectors
- In AstroParticle Physics Detectors

Differential Cherenkov Detectors



Fig. 2. The differential Cherenkov counter used in the antiproton discovery experiment: (a) side view; (b) end view. Differential Cherenkov Detectors



With a Gas radiator

Table 2 Some differential Cherenkov counters

Туре	Year	Length [m]	Angle [mrad]	Gas	Range for $(\pi - K)$ [GeV/c]	Remarks	Ref.
IHEP [1]	1968	5	23	He, N ₂	<100	no optical	
[2]		10	12	-	< 200	correction	[3]
DISC	1964	2	44	CO ₂	<100	corrected	[4]
FNAL DISC	1973	5.5	25	He	< 500 (< 100 for $\pi - \mu - e$)	Id.	[2]
CEDAR W	1976	3.25	31	N ₂	<150	ld	[5]
N		3.90	26	He	< 340		
HYPERON	1972	0.3	120	SF_6	< 40	Id.	[2]
DISC					(<100 for Σ−p)		
For comparison:							
LDISC	1976	0.05	640	FC88 hquid	< 5	corrected high aperture	[6]

- > Very small acceptance in β and direction of the charged particle. (Narrow range in velocity and direction intervals).
- > From the Cherenkov angle (θ) determine β .
- Mostly used for identifying particles in the beam lines.

> Resolution that can be achieved = $\Delta \beta / \beta = (m_1^2 - m_2^2) / 2 p^2 = \tan \theta \Delta \theta$

m₁,m₂ (particle masses)<< p (momentum)

- At high momentum, to get better resolution, use gas radiators which have smaller refractive index than solid radiators. Have long enough radiators to get sufficient signal photons in the detector.
- To compensate for Chromatic dispersion (n (E_{ph})), lens used in the path of the photons. (DISC: Differential Isochronous self- collimating Cherenkov Counter).
- > $\Delta\beta/\beta$ from 0.011 to 4* 10 ⁻⁶ achieved.

Threhold Cherenkov Counters



- Signal produced from only those particles which are above Cherenkov Threshold. Basic version: Yes/No decision on the existence of the particle type.
- > One counts the number of photoelectrons detected.
- Improved version: Use the number of observed photoelectrons or a calibrated pulse height to discriminate between particle types.
- > For typical detectors: $N_o = 90 \text{ cm}^{-1}$,

 N_{ph} per unit length of the radiator = $N_o * (m_1^2 - m_2^2)/(p^2 + m_1^2)$

At p= 1 GeV/c, N_{ph} per unit length = 16 /cm for Pions and 0 for Kaons. At p= 5 GeV/c, N_{ph} per unit length= 0.8 /cm for Pions and 0 for Kaons.

> $\Delta \beta / \beta$ = tan² θ / (2 * sqrt (N_{ph}))

Threshold Cherenkov Detectors

Can be used over a large area, for Example : For secondary particles in a fixed target or Collider experiment.

> E691 at Fermilab: To study decays of charm particles in the 1980's $\Delta\beta/\beta$ = 2.3 * 10⁻⁵ using gas radiator.

BELLE Experiment: To observe CP ciolation in B-meson decays at an electron-positron collider.







RICH Detectors



> Measures both the Cherenkov angle and the number of photoelectrons detected.

Can be used over particle identification over large surfaces.

Requires photodetectors with single photon identification capability.

RICH detectors

> $\Delta \beta / \beta = \tan(\theta) * \Delta \theta_{c} = K$ where $\Delta \theta_{c} = < \Delta \theta > / \operatorname{sqrt}(N_{ph}) + C$

where $<\Delta\theta>$ is the mean resolution per single photon in a ring and C is the error contribution from the tracking , alignment etc.

- For example , for 1.4 m long CF_4 gas radiator at STP and a detector with $N_0 = 75 \text{ cm}^{-1}$ K = 1.6 * 10 ⁻⁶ . (E=6.5 eV, $\Delta E = 1 \text{ eV}$)
- This is better than similar Threshold counters by a factor 125.
 This is also better than similar Differential counters by a factor 2.
 Reason: RICH measures both θ and N_{ph} directly.
- ➤ RICH detectors have better resolution than equivalent Differential and Threshold counters.

> Let u= sin² (
$$\theta$$
) = 1- (1/n²⁾ - (m/p*n) ²

Number of standard deviations to discriminate between mass m_1 an m_2 = $N_{\sigma} = (u_2 - u_1) / (\sigma_u * sqrt(N))$ where $\sigma_u : \Delta \theta$ converted into the parameter u. ($\Delta \theta$ = error in single photon θ measurement)

> At momentum p (= β E), p= sqrt((m₂²-m₁²)/(2* K * N₅)), for $\beta \sim 1$

This equation can be used in the design of the RICH detectors.

> One the first large size RICH detector: in DELPHI at LEP.

- ➢ Principle: Convert Photons → Photoelectrons using a photocathode
 - Detect these photoelectrons using 'charged track detectors'.
 - Measure the position and (/or) time of photoelectrons in the tracking detector.
 - General introduction to tracking detectors is not covered in this lecture. Introduction to Silicon detectors already covered in another lecture of this series.

➤ In this lecture, we focus on some of the aspects related to the detection of photoelectrons in Cherenkov Detectors.

- Gas based detectors:
 MWPC (Multi Wire Proportional Chambers)
 - GEM (Gas Electron Multiplier)

Vacuum based detectors:

PMT (Photomultiplier tubes) HPD (Hybrid Photodiodes)

Solid state detectors: Silicon photomultipliers

Photodetectors

- Photon Conversion:
 - Photoelectric Effect : Photon energy to be above the 'work function' (Einstein : Nobel Prize in 1921).
 - Commercial alkaline Photocathodes: Bialkali, Trialkali (S20), Csl etc. Alkali metals have relatively low 'work function'.
 - There are also gases where the photon conversion takes place.
 - Different photocathodes are efficient at different wavelength ranges.
 - Quantum Efficiency (QE) : Fraction of photons converted to electrons





Examples of S20- photocathodes

Gas Based Photon Detectors



 \succ photon passes through the CaF₂ and converts to photoelectron by ionizing a TEA molecule.

The photoelectron drifts towards and avalanches near the anode wires, theirby inducing a charge signal on the cathode pads.



Photodetector with CsI photocathode



cause feedback: leading to loss of original signal info.

Recent Developments: Gas Based Photodetectors

GEM: Gas Electron Multiplier





GEM with semi-transparent Photocathode (K-Cs-Sb)

- Photon and ion feed back reduced.
- Gated operation to reduce noise.
 (no readout outside a 'time window of signal')
- For now only closed geometry (in sealed tubes): Reduced fraction of useful area for photon detection (Active Area Fraction) compared to open geometry.





Vacuum Based Photodetectors



PMTs

MAPMT

 PMTs Commercially produced: more info in <u>www.sales.hamamatsu.com</u>



 Image: mail of the sector of HPD



HPD

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Features of HPD



Band gap in Silicon = 3.16eV; Typical Max Gain = 20 keV / 3.16 eV = 5000 (approx)

- PMT: ➤ Typical Gain of MAPMT 300 K.
 - Excellent time resolution: 125 ps for example (Ex: used in underwater Cherenkov detectors).
 - Active area fraction: 40 % : Fraction of effective detection area. This can be Improved with a lens, but then one may loose some photons at the lens surface.
 - Recent developments: Flat panel pmts with 89 % active area fraction. New photocathodes with >45% QE at 400 nm
- \rightarrow HPD: \rightarrow Typical gain 5K, but quite uniform across different channels.
 - Excellent Single photon identification capability.
 - > Active area fraction: $35 \rightarrow 76$ %

Comparison of photodetectors

Choice of photodetector depends on the design of the Cherenkov detectors and constraints on cost etc.

➤ Gaseous:

Issues:	 Related to photon and ion feed back and high gains at high rate. Detection in visible wavelength range (for better resolution)
Advantages:	 Can operate in high magnetic field Lower cost for large size detectors compared to vacuum based
➤Vacuum based:	
lssues:	 Sensitivity to magnetic field cross talk between readout channels in case of MAPMTs Active Area Fraction
Advantages:	 Can easily operate at high rate (eg. LHC rates and higher). Operates also in visible wavelengths. Ease of operation at remote locations: underwater, in space etc. HPD: uniform gain over large number of tubes and small noise.

> Other Types and new developments: APD, Silicon photomultiplier, HAPD , MCP etc.

Recent Developments: Silicon Photomultipliers



detection is still an issue and is being worked upon.

New Developments: Micro Channel Plate (MCP) Photon Detectors



10um pores in an MCP

New Developments: Micro Channel Plates (MCP)



Typical Size:

- 2 mm thickness, 51 mm X 51 mm active area.
- 10 micron pores separated by 15 microns
- Chevron: 8 degree tilt : To increase th gain and reduce ion-feed back
- Gain: ~ 5 * 10 ⁵
- Typically ~ 1000 channels per MCP.



(SIDE VIEW)

- Measure Space and time of the hits.
- Manufactured by industry (Photonics for example).
- Resolutions: Space: ~100 microns, Time: ~ 50 100 psec.
- Short flight path of photoelectrons: Resistant to magnetic fields up to 0.8 Tesla.
- Can work at 40 MHz readout rate.
- Can detect single photons (No noise from 'first dynode' as in MAPMT).
- Fast 'ageing' at large luminosity (eg: LHC) is an issue, but there are some solutions.



> Precision measurement of B-Decays and search for signals beyond standard model.

➤ Two RICH detectors covering the particle momentum range 1→100 GeV/c using aerogel, C₄F₁₀ and CF₄ gas radiators.

LHCb-RICH Design

RICH1: Aerogel L=5cm p:2 \rightarrow 10 GeV/c n=1.03 (nominal at 540 nm) C₄F₁₀ L=85 cm p: < 70 GeV/c n=1.0014 (nominal at 400 nm) Upstream of LHCb Magnet Acceptance: 25 \rightarrow 250 mrad (vertical) 300 mrad (horizontal) Gas vessel: 2 X 3 X 1 m³

RICH2: CF_4 L=196 cm p: < 100 GeV/c n =1.0005 (nominal at 400 nm) Downstream of LHCb Magnet Acceptance: 15 \rightarrow 100 mrad (vertical) 120 mrad (horizontal) Gas vessel : 100 m³



LHCb-RICH Specifications



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RICH1 OPTICS



LHCb- RICH1 SCHEMATIC



 Spherical Mirror tilted to keep photodetectors outside acceptance (tilt=0.3 rad)

RICH1 Photos

RICH1-HPDs







RICH1 mirrors



LHCb- RICH2 STRUCTURE



Entrance Window (PMI foam between two carbon fibre epoxy Skins)



RICH2

Typical Hits on LHCb-RICH HPDs





In, LHCb-RICH collected data in 2010, 2011. In 2012 it will be taking data.
 The signals from the HPDs are subjected to time and space alignment.

Performance of LHCb RICH

From isolated Cherenkov rings from RICH1 in Real Data



Compare with the expectations plotted in slide 7.

Example of LHCb-RICH PERFORMANCE

- Performance as seen in Simulated Data in 2006
- Yield: Mean Number of hits per isolated saturated track (Beta ~1).

Aerogel	C4F10	CF4
5.3	24.0	18.4

Single Photon Cherenkov Angle Resolutions in mrad.

Components	Aerogel	C ₄ F ₁₀	CF ₄
and Overall (mrad)			
Chromatic	2.36	0.90	0.46
Emission Point	0.38	0.82	0.36
Pixel Size	0.52	0.52	0.17
PSF	0.54	0.53	0.17
Overall RICH	2.53	1.44	0.66
Overall RICH+Tracks	2.60	1.60	0.70

- Chromatic: From the variation in refractive index.
- Emission Point: Essentially from the tilt of the mirrors.
- Pixel Size: From the granularity of the Silicon detector pixels in HPD
- PSF (Point Spread Function):
 - From the spread of the Photoelectron direction as it travells inside the HPD, (from the cross focussing in the electron optics)

LHCb: Hits on the RICH from Simulation



Red: From particles from Primary and Secondary Vertex Blue: From secondaries and background processes (sometimes with no reconstructed track)

Pattern Recognition in Accelerator based Cherenkov Detector

 Events with large number of charged tracks giving rise to several overlapping Cherenkov Rings on the Photo detector plane. Problem: To identify which tracks correspond to which hits and then identify the type (e, π , p etc.) of the particle which created the tracks.

• Hough Transform: (used by ALICE at CERN)

- Project the particle direction on to the detector plane
- Accumulate the distance of each hit from these projection points in case of circular rings.
- Collect the peaks in the accumulated set and associate the corresponding hits to the tracks.

(used by LHCb at CERN)

- Likelihood Method: > For each of the track in the event, for a given mass hypothesis, create photons and project them to the detector plane using the knowledge of the geometry of the detector and its optical properties. Repeat this for all the other tracks.
 - From this calculate the probability that a signal would be seen in each pixel of the detector from all tracks.
 - \succ Compare this with the observed set of photoelectron signal on the pixels, by creating a likelihood.
 - \blacktriangleright Repeat all the above after changing the set of mass hypothesis of the tracks. Find the set of mass hypothesis, which maximize the likelihood.

(Ref: R.Forty: Nucl. Inst. Mech. A 433 (1999) 257-261)

LHCb-RICH pattern recognition

Efficiency for identification and probability for misidentification vs Particle momentum



MC Data

Typical PID Performance in Real Data



From RICH Calibration samples

RICH Data in Physics Analysis



LHCb-RICH Preliminary data



• Examples of signals for $\Phi \rightarrow KK$, $K_s \rightarrow \pi\pi$, $D \rightarrow K\pi$, $\Lambda \rightarrow p\pi$ obtained using RICH.

The data from RICH used for Physics Analysis.

LHCb-RICH : Use in Physics analysis



 $B^{0}_{s} \rightarrow D_{s}^{-}K^{+} \quad B^{0}_{s} \rightarrow D_{s}^{-}\pi^{+})$ (signal) (background After using RICH, background at 10% level from 10 times level



- The field of Particle Identification Detectors is an evolving field.
- They have contributed to some of the important discoveries in High Energy Physics in the last 50 years and they continue to be a crucial part of some of the recent experiments.
- The RICH detectors offer excellent Particle Identification capability for the hadrons since they can be designed to have very good single photon Cherenkov Angle resolution and large Photoelectron yield. Recent advances in photodetectors enhance the capability of these detectors.

Acknowledgement: Thanks to all the authors of the papers from which the material for this Lecture has been compiled. For information on Cherenkov detectors: (1) <u>http://pdg.lbl.gov</u> (2) T. Ypsilantis et.al. Nucl. Inst. Mech A (1994) 30-51

The Lord of the Rings

Photons from ice and sea under the sky,

Photons from vast water tanks in halls of stone, Photons from the atmosphere in an insect's eye,

Photons from aerogels, light, clear, blown, Photons from liquids, gases, crystals flying by,

Photons from fused silica expanding on a cone. In RICH detectors where PID truths lie.

One Ring to rule them all, One Ring to find them,

One Ring to bring them all, correlate, and bind them In RICH detectors where PID truths lie.

(From B.N.Ratcliff, Nucl. Inst. Mech. A 501(2003) 211-221)