

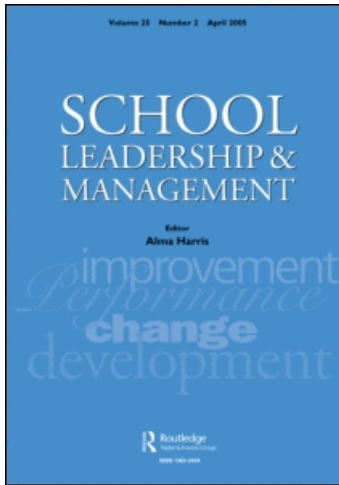
This article was downloaded by: [University of Leicester]

On: 16 February 2009

Access details: Access Details: [subscription number 908034121]

Publisher Routledge

Informa Ltd Registered in England and Wales Registered Number: 1072954 Registered office: Mortimer House, 37-41 Mortimer Street, London W1T 3JH, UK



School Leadership & Management

Publication details, including instructions for authors and subscription information:

<http://www.informaworld.com/smpp/title~content=t713446120>

Seven strong claims about successful school leadership

Kenneth Leithwood ^a; Alma Harris ^b; David Hopkins ^c

^a OISE/University of Toronto, Toronto, Canada ^b Leadership and Policy Unit, University of Warwick, UK ^c London Centre for Leadership in Learning, Institute of Education, London, UK

Online Publication Date: 01 February 2008

To cite this Article Leithwood, Kenneth, Harris, Alma and Hopkins, David(2008)'Seven strong claims about successful school leadership', School Leadership & Management, 28:1, 27 — 42

To link to this Article: DOI: 10.1080/13632430701800060

URL: <http://dx.doi.org/10.1080/13632430701800060>

PLEASE SCROLL DOWN FOR ARTICLE

Full terms and conditions of use: <http://www.informaworld.com/terms-and-conditions-of-access.pdf>

This article may be used for research, teaching and private study purposes. Any substantial or systematic reproduction, re-distribution, re-selling, loan or sub-licensing, systematic supply or distribution in any form to anyone is expressly forbidden.

The publisher does not give any warranty express or implied or make any representation that the contents will be complete or accurate or up to date. The accuracy of any instructions, formulae and drug doses should be independently verified with primary sources. The publisher shall not be liable for any loss, actions, claims, proceedings, demand or costs or damages whatsoever or howsoever caused arising directly or indirectly in connection with or arising out of the use of this material.

Seven strong claims about successful school leadership

Kenneth Leithwood^{a*}, Alma Harris^b and David Hopkins^c

^a*OISE/University of Toronto, Toronto, Canada;* ^b*Leadership and Policy Unit, University of Warwick, UK;* ^c*London Centre for Leadership in Learning, Institute of Education, London, UK*

This article provides an overview of the literature concerning successful school leadership. It draws on the international literature and is derived from a more extensive review of the literature completed in the early stage of the authors' project. The prime purpose of this review is to summarise the main findings from the wealth of empirical studies undertaken in the leadership field.

Introduction

This paper summarises key findings from the much more comprehensive review of literature undertaken as a point of departure for the study described in this special issue of the journal. These findings are organised around what we refer to as 'strong claims' about successful school leadership. These seven claims, in total, are not all strong in quite the same way, as we shall explain, but they all find support in varying amounts of quite robust empirical evidence, the first two having attracted the largest amount of such evidence. These claims are as follows:

1. School leadership is second only to classroom teaching as an influence on pupil learning.
2. Almost all successful leaders draw on the same repertoire of basic leadership practices.
3. The ways in which leaders apply these basic leadership practices – not the practices themselves – demonstrate responsiveness to, rather than dictation by, the contexts in which they work.
4. School leaders improve teaching and learning indirectly and most powerfully through their influence on staff motivation, commitment and working conditions.
5. School leadership has a greater influence on schools and students when it is widely distributed.

*Corresponding author. Email: kleithwood@oise.utoronto.ca

6. Some patterns of distribution are more effective than others.
7. A small handful of personal traits explains a high proportion of the variation in leadership effectiveness.

Claim 1: School leadership is second only to classroom teaching as an influence on pupil learning

This claim will be considered controversial by some. We could have claimed simply that school leadership has a significant effect on pupil learning, but our choice of wording captures the *comparative* amount of (direct and indirect) influence exercised by successful school leaders. Leadership acts as a catalyst without which other good things are quite unlikely to happen. Five sources of evidence justify this claim. While the middle three sources we identify are quite compelling, it is the first and fifth sources that place leadership in contention with instruction.

The first justification for this claim is based on primarily qualitative case study evidence. Studies providing this type of evidence are typically conducted in exceptional school settings.¹ Such settings are believed to contribute to pupil learning and achievement that is significantly above or below normal expectations (defined, for example, by research on effective schools based on comparing value-added similarities and differences among high- and low-performing schools). Studies of this type usually report very large leadership effects, not only on pupil learning but on an array of school conditions as well.² What is lacking in this evidence, however, is external validity or generalisability.

The second type of evidence regarding leadership effects is from large-scale quantitative studies of overall leader effects. Evidence of this type reported between 1980 and 1998 (approximately four dozen studies across all types of school) has been reviewed in several papers by Hallinger and Heck.³ These reviews conclude that the combined direct and indirect effects of school leadership on pupil outcomes are small but educationally significant. While leadership explains only 5–7% of the difference in pupil learning and achievement across schools (not to be confused with the typically very large differences among pupils within schools), this difference is actually about one-quarter of the total difference across schools (12–20%) explained by all school-level variables, after controlling for pupil intake and background factors.⁴ The quantitative school effectiveness studies providing much of this data indicate that classroom factors explain more than one-third of the variation in pupil achievement.

A third type of research on leadership effects is, like the second type, large scale and quantitative in nature. However, instead of examining overall leadership effects, it enquires about the effects of specific leadership practices. A recent meta-analysis,⁵ for example, identified 21 leadership responsibilities and calculated an average correlation between each one and the measures of pupil achievement used in the original studies. From this data, estimates were made of the effects on pupil test

scores. The authors concluded that a 10 percentile point increase in pupil test scores would result from the work of an average headteacher who improved her/his demonstrated abilities in all 21 responsibilities.

The fourth of five sources of evidence has explored leadership effects on pupil engagement. In addition to being an important variable in its own right, some evidence suggests that school engagement is a strong predictor of pupil achievement.⁶ At least 10 mostly recent, large-scale, quantitative, similarly designed studies in Australia and North America have concluded that the effects of transformational school leadership on pupil engagement⁷ are significantly positive.

Finally, leadership succession research indicates that unplanned headteacher succession is one of the most common sources of schools' failure to progress, in spite of what teachers might do. These studies demonstrate the devastating effects of unplanned headteacher succession, especially on initiatives intended to increase pupil achievement.⁸ The appointment and retention of a new headteacher is emerging from the evidence as one of the most important strategies for turning around struggling schools or schools in special measures.⁹

Our conclusion from this evidence as a whole is that leadership has very significant effects on the quality of school organisation and on pupil learning. As far as we are aware, there is not a single documented case of a school successfully turning around its pupil achievement trajectory in the absence of talented leadership. One explanation for this is that leadership serves as a catalyst for unleashing the potential capacities that already exist in the organisation.

Claim 2: Almost all successful leaders draw on the same repertoire of basic leadership practices

This claim emerges from recent research initiatives, and we believe that its implications for leadership development have not yet been fully grasped. The basic assumptions underlying the claim are that (a) the central task for leadership is to help improve employee performance; and (b) such performance is a function of employees' beliefs, values, motivations, skills and knowledge and the conditions in which they work. Successful school leadership, therefore, will include practices helpful in addressing each of these inner and observable dimensions of performance – particularly in relation to teachers, whose performance is central to what pupils learn.

Recent syntheses of evidence collected in both school and non-school contexts provide considerable evidence regarding four sets of leadership qualities and practices in different contexts that accomplish this goal.¹⁰ We have organised these core practices into four categories: building vision and setting directions; understanding and developing people; redesigning the organisation; and managing the teaching and learning programme. Each includes more specific sub-sets of practices: 14 in total. To illustrate how widespread is the evidence in their support, we have compared each set of practices to a widely known taxonomy of managerial

behaviours developed by Yukl¹¹ through a comprehensive synthesis of research conducted in non-school contexts.

- *Building vision and setting directions.* This category of practices carries the bulk of the effort to motivate leaders' colleagues. It is about the establishment of shared purpose as a basic stimulant for one's work. The more specific practices in this category are building a shared vision, fostering the acceptance of group goals and demonstrating high-performance expectations.¹² These specific practices reflect, but also add to, three functions in Yukl's managerial taxonomy: motivating and inspiring, clarifying roles and objectives, and planning and organising.
- *Understanding and developing people.* While practices in this category make a significant contribution to motivation, their primary aim is building not only the knowledge and skills that teachers and other staff need in order to accomplish organisational goals but also the dispositions (commitment, capacity and resilience) to persist in applying the knowledge and skills. The more specific practices in this category are providing individualised support and consideration, fostering intellectual stimulation, and modelling appropriate values and behaviours.¹³ These specific practices not only reflect managerial behaviours in Yukl's taxonomy (supporting, developing and mentoring, recognising, and rewarding) but, as more recent research has demonstrated, are central to the ways in which successful leaders integrate the functional and the personal.
- *Redesigning the organisation.* The specific practices included in this category are concerned with establishing work conditions which, for example, allow teachers to make the most of their motivations, commitments and capacities. School leadership practices explain significant variations in teachers' beliefs about and responses to their working conditions.¹⁴ Specific practices are building collaborative cultures, restructuring [and reculturing] ... the organisation, building productive relations with parents and the community, and connecting the school to its wider environment.¹⁵ Comparable practices in Yukl's managerial taxonomy include managing conflict and team-building, delegating, consulting and networking.
- *Managing the teaching and learning programme.* As with *Redesigning the organisation*, the specific practices included in this category aim to create productive working conditions for teachers, in this case by fostering organisational stability and strengthening the school's infrastructure. Specific practices are staffing the teaching programme, providing teaching support, monitoring school activity and buffering staff against distractions from their work.¹⁶ Yukl's taxonomy includes monitoring as a key part of successful leaders' behaviours.

These four categories of leadership practices, and the 14 more specific sets of behaviours they encompass, capture the results of a large and robust body of evidence about what successful leaders do. Leaders do not do all of these things all of the time, of course (you do not have to create a shared vision every day), and the way they go about each set of practices will certainly vary by context, as we discuss in the

next section. That said, the core practices provide a powerful new source of guidance for practising leaders, as well as a framework for initial and continuing leadership development.

Claim 3: The ways in which leaders apply these leadership practices – not the practices themselves – demonstrate responsiveness to, rather than dictation by, the contexts in which they work

Much has been written about the high degree of sensitivity successful leaders bring to the contexts in which they work. Some would go so far as to claim that ‘context is everything’. However, based on our review of the evidence, this reflects a superficial view of what successful leaders do. Without doubt, successful leaders are sensitive to context, but this does not mean they use qualitatively different practices in every different context. It means, rather, that they apply contextually sensitive combinations of the basic leadership practices described above. By way of example, consider the leadership of schools in special measures during each stage of being turned around. Beginning at the end of a period of declining performance, these stages are typically characterised, in both corporate and school literature,¹⁷ as early turnaround (or crisis stabilisation) and late turnaround (or achieving and sustaining success). Evidence suggests differences in the application of each of our four core sets of successful leadership practices.

- *Building vision and setting directions.* This category is particularly important for turnaround school leaders at the early crisis stabilisation stage, but the context requires enactment of these practices with a sense of urgency, quickly developing clear, short-term priorities.¹⁸ At the late turnaround stage, much more involvement of staff is necessary in crafting and revising the school’s direction, so that ownership of the direction becomes widespread, deeply held and relatively resistant to the vagaries of future leadership succession.
- *Understanding and developing people.* This category of practices is essential in all stages of school turnarounds, according to evidence from both US and UK contexts.¹⁹ Although this evidence is not yet sufficiently fine-grained to inform us about how these practices are enacted, it is consistent in highlighting its importance in all contexts.
- *Redesigning the organisation.* These practices are quite central to the work of turnaround leaders. For example, transition from early to later turnaround stages depends on organisational reculturing.²⁰ However, much of what leaders do in the early stage of the turnaround process entails restructuring to improve the quality of communication throughout the organisation and setting the stage for the development of new cultural norms related to performance and the more distributed forms of leadership required to achieve and sustain high levels of performance.²¹
- *Managing the teaching and learning programme.* All the practices within this category have been associated with successful turnaround leadership but their

enactments change over time. For example, the flexibility leaders need in order to recruit staff with the dispositions and capacities required to begin the turnaround process often means negotiating for special circumstances with local authorities and unions.²² Ongoing staffing of the school at the later turnaround stage, however, cannot be sustained outside the framework of established policies and regulations.

Additional evidence for the enactment of these basic successful leadership practices in contextually sensitive forms can now be found in relation both to highly accountable policy contexts and to the contexts found in schools serving highly diverse student populations²³.

Claim 4: School leaders improve teaching and learning indirectly and most powerfully through their influence on staff motivation, commitment and working conditions

As we pointed out in relation to Claim 2, a key task for leadership, if it is to influence pupil learning and achievement, is to improve staff performance. Such performance, we also claimed, is a function of staff members' motivations, commitments, capacities (skills and knowledge) and the conditions in which they work. Considerable emphasis has recently been placed on school leaders' contributions to building staff capacity in particular. This emphasis is reflected, for example, in the popularity in many countries of the term 'instructional leadership' and in fledgling efforts to discover the curriculum content knowledge that successful school leaders should possess.²⁴

There is, however, very little evidence that most school leaders build staff capacity in curriculum content knowledge, or at any rate that they do so directly and by themselves. Indeed, to suggest they should is, in our view, to advocate, yet again, a 'heroic' model of school leadership – one based on content knowledge rather than on charisma, as in the past (primarily, but it would also be heroic to expect huge amounts of curriculum content knowledge). Such heroic aspirations do more to discourage potential candidates from applying for leadership jobs than they do to improve the quality of incumbent leadership.

Our review suggested that, while school leaders made modest direct contributions to staff capacities, they had quite strong and positive influences on staff members' motivations, commitments and beliefs concerning the supportiveness of their working conditions. The nature of the evidence is illustrated by the results of a recent study²⁵ carried out across England.

Based on a national sample of teacher survey responses, the study enquired about the effects of most of the basic or core leadership practices described above, as enacted by headteachers, on teachers' implementation of the Primary Strategies (originally the National Literacy Strategy and National Numeracy Strategy) and the subsequent effects of such implementation on pupil learning and achievement. Figure 1 is a simplified (number-free) model of the sort typically used to represent

results of the kind of complex statistical analyses used in this study.²⁶ Such analyses are designed to test the direction and strength of relationships among variables in a model, as well as the amount of variation in certain variables that can be explained by other variables.

The model indicates that the more headteachers enacted the core leadership practices described earlier, the greater was their influence on teachers' capacities, motivation and beliefs regarding the supportiveness of their working conditions. In turn these capacities, motivations and beliefs had a significant influence on classroom practices, although in this study such practices seemed unrelated to pupil learning and achievement. As Figure 1 indicates, the influence of leadership practices was strongest on teachers' beliefs about working conditions, followed by their motivation to implement the Primary Strategies and then by their views of their preparedness to implement those strategies. Figure 1 also suggests that the strongest direct contribution to altered classroom practices was teachers' beliefs about their capacity to implement the strategies. Thus it is clearly important to develop teachers' capacities, although school leaders, in this study, have less influence on this dimension of teachers' performance than they do on the motivation and working conditions dimensions.

These results have been replicated most recently in separate very large English and American studies.²⁷ Further weight is added to these results by a recent synthesis of evidence about the emotions that shape teachers' motivations (levels of commitment, sense of efficacy, morale, job satisfaction, stress and the like) and the effects on their pupils' learning. This evidence indicates strong effects of teachers' emotions on their practices, and strong effects of leadership practices on those emotions. The recent four-year mixed-methods national study²⁸ of variations in the work, lives and effectiveness of teachers in English schools confirms the importance of leadership – alongside other mediating influences – to teachers' commitment, resilience and effectiveness, and the key role of emotional understanding in successful leadership.

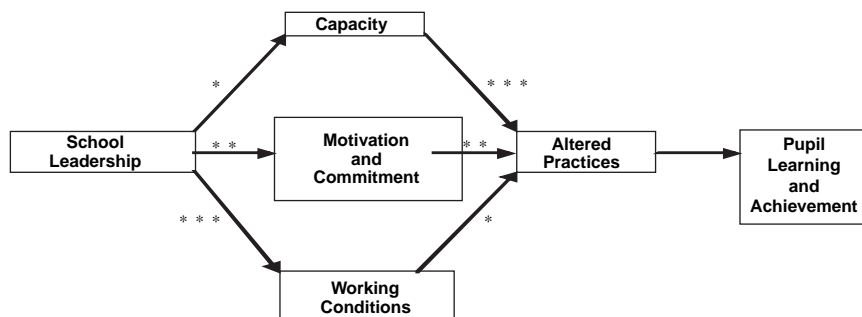


Figure 1. The effects of school leadership on teacher capacity, motivation, commitment and beliefs about working conditions

Key: * =weak influence; ** =moderate influence; *** =strong influence.

In the face of such evidence, the position most often advocated is that leaders ought to make greater direct contributions to staff capacities, and that this is a challenge to be addressed in the future.

Claim 5: School leadership has a greater influence on schools and pupils when it is widely distributed

Despite the popularity of this claim, evidence in its support is less extensive and in some cases less direct than that in support of the previous claims. Nevertheless, it is quite compelling. We begin with an illustration of this evidence using a recent study²⁹ designed in much the same way as the one used to illustrate Claim 4. Results of this study are summarised in Figure 2, a path-analysis model (with numbers included this time) representing the strength of relationships among the same variables (except altered teacher practices) considered in the study illustrating Claim 4. The leadership measured in this case was not provided exclusively by headteachers: we asked about the leadership provided by many possible sources – individual teachers, staff teams, parents, central office staff, students and vice-principals – as well as the principal or headteacher. ‘Total leadership’ refers to the combined influence of leadership from all sources.

Figure 2 indicates the following.

- There are significant relationships between total leadership and the three dimensions of staff performance.
- The strongest relationships are with teachers’ perceived working conditions.
- The weakest relationships are with teacher motivation and commitment.
- The relationship between total leadership and teachers’ capacity is much stronger than the relationship (illustrated in Figure 1) between the headteacher’s leadership alone and teachers’ capacity.

The most significant results of this study for our purposes, however, were the indirect effects of total leadership on student learning and achievement, through its direct effects on the three dimensions of staff performance. Total leadership accounted for a quite significant 27% of the variation in student achievement across schools. This is a

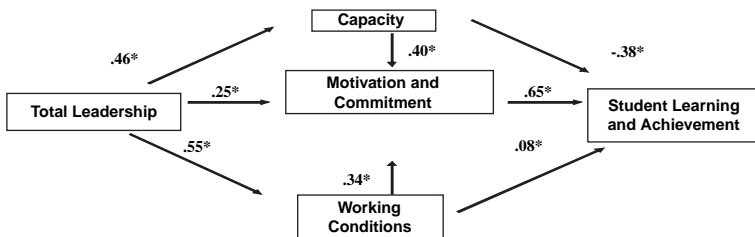


Figure 2. Total leadership effects on teachers and pupils

much higher proportion of explained variation (two to three times higher) than is typically reported in studies of individual headteacher effects.

In addition to this direct evidence concerning the effects of distributed leadership, less direct evidence in support of this claim can be found in research on formal leadership succession, school improvement initiatives, processes used to successfully turn around low-performing schools, and the movement towards flatter organisational structures and team problem-solving.

Claim 6: Some patterns of distribution are more effective than others

This claim grows directly from evidence about the superiority, in most but not all contexts, of distributed rather than focused (single-person) leadership. Research on a sample of 110 schools demonstrated that there are relationships between the use of different patterns of leadership distribution and levels of value-added student achievement.

- Schools with the highest levels of student achievement attributed this to relatively high levels of influence from all sources of leadership.
- Schools with the lowest levels of student achievement attributed this to low levels of influence from all sources of leadership.
- Schools with the highest levels, as compared with those in the lowest levels, of student achievement differed most in their ratings of the influence of school teams, parents and students.
- Headteachers were rated as having the greatest (positive and negative) influence in all schools.

This evidence is at least consistent with claims about the ineffectiveness of laissez-faire forms of leadership.³⁰ It also reflects earlier findings about power as a relatively unlimited resource in organisations.³¹ There is no loss of power and influence on the part of headteachers when, for example, the power and influence of many others in the school increase.

While the evidence strengthens the case that some leadership distribution patterns are more helpful than others, it sheds little light on the range of patterns that actually exists in schools and, most importantly, the relative effects of these patterns on the quality of teaching, learning and pupil achievement. Evidence on these key questions is extremely limited, and efforts to fill this gap represent the advancing edge of current leadership research. A number of theorists have proposed leadership patterns that they believe capture the range currently found in schools: for example, additive patterns reflecting uncoordinated patterns of practice by many people in an organisation, as compared with parallel patterns that reflect greater coordination.³² A recent report on evidence from private sector organisations³³ begins to support the sensible assertion that more coordinated patterns of leadership practice are associated with more beneficial organisational outcomes. No comparable evidence has yet been reported in schools.

Claim 7: A small handful of personal traits explains a high proportion of the variation in leadership effectiveness

Why are some leaders more expert than others? Why do some people seem to develop leadership capacities to higher levels and more quickly than others? These important questions direct our focus to what is known about successful leaders' personal traits, dispositions, personality characteristics and the like. A substantial body of research conducted outside schools provides a reasonably comprehensive answer to these questions as it applies to private sector leaders.³⁴ However, within schools the evidence is less comprehensive. Little research has focused on personality characteristics or intelligence, though there have been significant contributions concerning cognitive processes³⁵ and leaders' values.³⁶

One recent American study³⁷ on school leaders' confidence or sense of collective efficacy illustrates the potential value of future research on headteacher traits. Using a database comparable to the ones summarised in Figure 2 and noted under Claim 6, this study found that some characteristics of school districts (for example, a clear focus on pupil learning and achievement and a commitment to data-based decision-making) had a significant influence on school leaders' sense of how well they were doing their jobs. This sense of efficacy in turn shaped the nature of headteachers' leadership practices; highlighted the relationship between these practices and such things as decision-making processes in their schools; and had an indirect but significant influence on pupils' learning and achievement.

Although not setting out to be research on leader traits, recent studies of leaders' efforts to improve low-performing schools³⁸ have begun to replicate evidence from private sector research. This evidence warrants the claim that, at least under challenging circumstances, the most successful school leaders are open-minded and ready to learn from others. They are also flexible rather than dogmatic in their thinking within a system of core values, persistent (e.g. in pursuit of high expectations of staff motivation, commitment, learning and achievement for all), resilient and optimistic. Such traits help explain why successful leaders facing daunting conditions are often able to push forward when there is little reason to expect progress.

Conclusion

A recent publication³⁹ sponsored by Division A of the American Educational Research Association (the largest association of its kind in the world, with many international members) claimed that research on school leadership has generated few robust claims. The main reason cited for this gap in our knowledge was a lack of programmatic research; a paucity of accumulated evidence from both small- and large-scale studies, the use of a variety of research designs, and failure to provide evidence in sufficient amounts and of sufficient quality to serve as powerful guides to policy and practice. We have no quarrel with this assertion.

This assertion, however, should not be taken to mean that we know nothing of importance about successful school leadership. There are some quite important things that we do know, and claims that we can now make with some confidence. Not taking pains to capture what we know not only risks squandering the practical insights such evidence can provide; it also reduces the likelihood that future leadership research will build cumulatively on what we already know. Failure to build on this would be a huge waste of scarce resources.

This summary of the literature has presented, in the form of seven strong claims, the most important results of previous school-leadership research. We explore these claims in more detail in our full review of the literature.⁴⁰ This literature review, the jumping-off point for a large-scale, mixed-methods empirical study, will extend the number of robust claims that we can legitimately make about successful leadership in a range of schools. In so doing, it will significantly increase the quality and quantity of evidence of what successful school leadership means in practice.

Notes on contributors

Kenneth Leithwood is Professor of Leadership and Policy at OISE/University of Toronto. His research, writing, consulting and teaching are about the nature, causes and consequences of successful leadership, the impact of educational policies, and organisational change processes.

He is senior editor of both the first and second *International Handbooks on Educational Leadership and Administration* (Springer) and his latest book (co-authored with Brenda Beatty) is entitled *Leading With Teacher Emotions In Mind* (Corwin Press).

Alma Harris is a Professor and Director of Leadership and Policy Unit, University of Warwick. Her most recent research work has focused upon organisational change and development. She is internationally known for her work on educational leadership, focusing particularly on ways in which leadership can contribute to school development and change. Her most recent work has focused on distributed leadership and organisational change. This work has received international recognition and acclaim.

David Hopkins is the inaugural HSBC Chair in International Leadership, where he supports the work of iNet, the international arm of the Specialist Schools Trust and the Leadership Centre at the Institute of Education, University of London. He is also a Professorial Fellow at the Faculty of Education, University of Melbourne. Between 2002 and 2005 he served three Secretaries of State as the Chief Adviser on School Standards at the Department for Education and Skills. Previously, he was Chair of the Leicester City Partnership Board and Professor of Education, Head of the School, and Dean of the Faculty of Education at the University of Nottingham. Before that again he

was a Tutor at the University of Cambridge Institute of Education, a secondary school teacher and Outward Bound Instructor. David is also an International Mountain Guide who still climbs regularly in the Alps and Himalayas. Before becoming a civil servant he outlined his views on teaching quality, school improvement and large-scale reform in *School Improvement for Real* (RoutledgeFalmer2001). His new book *Every School a Great School* has just been published by the Open University Press.

Notes

1. For example, see Gezi (1990) and Reitzug and Patterson (1998).
2. See Mortimore (1993) for evidence on this point from England, and Scheurich (1998) for evidence from the United States.
3. See Hallinger and Heck (1996a, 1996b, 1998).
4. Evidence justifying this point has been reported by Creemers and Reezigt (1996) and by Townsend (1994).
5. Results have been reported in more or less detail in two sources: Marzano *et al.* (2005) and Waters *et al.* (2003).
6. This evidence has been comprehensively reviewed by Frederick *et al.* (2004).
7. Such evidence can be found in Leithwood and Jantzi (1999a, 1999b); Leithwood *et al.* (2003); Silins and Mulford (2002) and Silins *et al.* (2002).
8. See Macmillan (2000); Fink and Brayman (2006).
9. See Matthews and Sammons (2005). Murphy (in press) reviews extensive evidence about the importance of new leadership in the case of private sector turnarounds.
10. Lowe *et al.* (1996) review evidence collected mostly in non-school contexts. Waters *et al.* (2003) provide evidence of all these practices in school contexts, although they use different labels and categories. Leithwood and Riehl (2005) describe these practices using these categories. Day and Leithwood (2007) synthesise the case study work of researchers with 64 successful leaders across eight countries.
11. See Yukl (1989). Gary Yukl is among the most influential and prolific of leadership researchers focused on non-school organisations.
12. Evidence about the contribution of these practices can be found, for example, in Hallinger and Heck (2002).
13. Evidence about the contribution of these practices can be found, for example, in Bass and Avolio (1994); Gray (2000) and Harris and Chapman (2002).
14. See Leithwood (2006) and Day *et al.* (2007).
15. Evidence about the contribution of these practices can be found, for example, in Louis and Kruse (1998); West *et al.* (2005); Chrisman (2005); Muijs *et al.* (2004); Jackson (2002) and Reynolds *et al.* (2001).
16. Evidence about the contribution of these practices can be found, for example, in Dukem (2004) and Reynolds *et al.* (forthcoming).
17. A good review of corporate turnaround leadership can be found in Slatter *et al.* (2006). For a review of evidence about state- and district-prompted turnaround processes in the US, see Mintrop and Papazian (2003). In the UK context, see, for example, Day (2005) and Harris (2002).
18. Evidence in support of this claim can be found in Harris (2002) and Billman (2004).
19. See Mintrop and Papazian (2003) for US evidence and West *et al.* (2005) for evidence from England.
20. See Ross and Glaze (2005).

21. See Foster and St Hilaire (2004).
22. See Bell (2001).
23. For example, in relation to accountable policy contexts, see Belchetz and Leithwood (in press) and Day and Leithwood (2007); in relation to diverse student contexts, see Giles *et al.* (2005).
24. A series of papers devoted to this problem can be found in the fourth issue of Educational Evaluation and Policy Analysis (2003). The case for pursuing this focus has recently been made in a compelling article by Viviane Robinson (2006).
25. Leithwood and Jantzi (2006).
26. We refer here to path-modelling techniques, in this case structural equation modelling.
27. The American study, funded by the Wallace Foundation in New York, was conducted by research teams from the University of Minnesota and the University of Toronto. A report of their findings is in Mascall and Leithwood (in press). The English study, funded by the DfES, has been reported by Day *et al.* (2006) and is to be published in book form (Day *et al.*, 2007).
28. The English study, funded by DfES, has been reported by Day *et al.* (2006).
29. See Mascall and Leithwood (in press).
30. See Bass (1985).
31. See Malen (1995).
32. These are terms used by Gronn (2003) and Spillane (2006) respectively.
33. See Ensley *et al.* (2006).
34. This research has recently been summarised by Zaccaro *et al.* (2004), for example.
35. One line of research on school leaders' problem-solving expertise has been pulled together in Leithwood and Steinbach (1995).
36. See Begley and Johansson (2003) for a representative sample of this research.
37. Leithwood and Jantzi, in press.
38. One relevant set of data has been reported by Jacobson *et al.* (2005).
39. See Firestone and Riehl (2005).
40. Leithwood *et al.* (2004).

References

- Bass, B.M. 1985. *Leadership and performance beyond expectations*. New York: Free Press.
- Bass, B.M., and B.J. Avolio. 1994. *Improving organizational effectiveness through transformational leadership*. Thousand Oaks, CA: Sage Publications.
- Begley, P., and O. Johansson. 2003. *The ethical dimensions of school leadership*. Dordrecht: Kluwer.
- Belchetz, D. and K. Leithwood. In press. Successful leadership: Does context matter and if so, how? In *Successful Principal Leadership: An International Perspective*, C. Day and K. Leithwood (Eds), chapter 8. Dordrecht: Springer.
- Bell, J. 2001. High-performing, poverty schools. *Leadership* 31, no. 1: 8–11.
- Billman, P.S. 2004. *Mission possible: Achieving and maintaining academic improvement*. DeKalb: Northern Illinois University. http://www.p20.niu.edu/P20/mission_possible.pdf.
- Chrisman, V. 2005. How schools sustain success. *Educational Leadership* 62, no. 5: 16–20.
- Creemers, B.P.M., and G.J. Reezigt. 1996. School level conditions affecting the effectiveness of instruction. *School Effectiveness and School Improvement* 7: 197–228.
- Day, C. 2005. The UK policy for school leadership: Uneasy transitions. In *International handbook of educational policy*, eds N. Basciam, A. Cumming, A. Datnow, K. Leithwood, and D. Livingstone.. Dordrecht: Springer.
- Day, C., and K. Leithwood. 2007. *Successful school principalship: International perspectives*. Dordrecht: Springer.

- Day, C.G. Stobart, P. Sammons, A. Kington, and Q. Gu. 2006. *Variations in teachers' work and lives and their effects on pupils: VITAE Report*. DfES Research Report 743. London: Department for Education and Skills.
- Day, C., P. Sammons, G. Stobart, A. Kington, and Q. Gu. 2007. *Teachers matter: Variations in work, lives and effectiveness*. Milton Keynes: Open University Press.
- Dukem, D. 2004. Turnaround principal: High-stakes leadership. *Principal* 84, no. 1: 13–23.
- Education Evaluation and Policy Analysis. 2003. *Educational Evaluation and Policy Analysis* 25, no. 4.
- Ensley, M.D., K.M. Hmieleski, and C.L. Pearce. 2006. The importance of vertical and shared leadership within new venture top management teams: Implications for the performance of startups. *Leadership Quarterly* 17, no. 3: 217–31.
- Fink, D., and C. Brayman. 2006. School leadership succession and the challenge of change. *Educational Administration Quarterly* 42, no. 1: 62–89.
- Firestone, W., and C. Riehl. 2005. *A new agenda for research in educational leadership*. New York: Teachers College Press.
- Foster, R., and B. St Hillarie. 2004. The who, how, why, and what of leadership in secondary school improvement: Lessons learned in England. *Alberta Journal of Educational Research* 50, no. 4: 354–69.
- Frederick, J., P. Blumenfeld, and A. Paris. 2004. School engagement: Potential of the concept, state of the evidence. *Review of Educational Research* 74, no. 1: 59–110.
- Gezi, K. 1990. The role of leadership in inner-city schools. *Educational Research Quarterly* 12, no. 4: 4–11.
- Giles, C., L. Johnson, S. Brooks, and S.L. Jacobson. 2005. Building bridges, building community: Transformational leadership in a challenging urban context. *Journal of School Leadership* 15, no. 4: 519–45.
- Gray, J. 2000. *Causing concern but improving: A review of schools' experiences*. London: Department for Education and Skills.
- Gronn, P. 2003. *The new work of educational leaders: changing leadership practices in an era of school reform*. London: Paul Chapman.
- Hallinger, P. 2003. Leading educational change: Reflections on the practice of instructional and transformational leadership. *Cambridge Journal of Education* 33, no. 3: 329–51.
- Hallinger, P., and R. Heck. 1996a. The principal's role in school effectiveness: An assessment of methodological progress, 1980–1995. In *International handbook of educational leadership and administration*, eds K. Leithwood, and P. Hallinger. Dordrecht: Kluwer.
- Hallinger, P., and R. Heck. 1996b. Reassessing the principal's role in school effectiveness: A review of empirical research, 1980–1995. *Educational Administration Quarterly* 32, no. 1: 5–44.
- Hallinger, P., and R. Heck. 1998. Exploring the principal's contribution to school effectiveness: 1980–1995. *School Effectiveness and School Improvement* 9: 157–91.
- Hallinger, P., and R.H. Heck. 2002. What do you call people with visions? The role of vision, mission, and goals in school leadership and improvement. In *Handbook of research in educational leadership and administration*, eds K. Leithwood, and P. Hallinger vol. 2, 2nd ed. Dordrecht: Kluwer Academic.
- Harris, A. 2002. Effective leadership in schools facing challenging contexts. *School Leadership and Management* 22, no. 1: 15–26.
- Harris, A., and C. Chapman. 2002. *Effective leadership in schools facing challenging circumstances*. Nottingham: National College for School Leadership.
- Jackson, D. 2002. The creation of knowledge networks: collaborative enquiry for school and system improvement. Paper presented at the Knowledge Management in Education and Learning Forum, CERI/OECD/DfES/QCA ESRC, 18–19 March, Oxford.
- Jacobson, S.L., L. Johnson, R. Ylimaki, and C. Giles. 2005. Successful leadership in challenging US schools: Enabling principles, enabling schools. *Journal of Educational Administration* 43, no. 6: 607–18.

- Leithwood, K. 2006. *Teacher working conditions that matter: Evidence for change*. Toronto: Elementary Teachers Federation of Ontario.
- Leithwood, K., C. Day, P. Sammons, A. Harris, and D Hopkins. 2006. *Successful school leadership: What it is and how it influences pupil learning*. London: DfES and Nottingham: NCSL.
- Leithwood, K., and D. Jantzi. 1999a. The relative effects of principal and teacher sources of leadership on student engagement with school. *Educational Administration Quarterly* 35, Suppl.: 679–706.
- Leithwood, K., and D. Jantzi. 1999b. Transformational school leadership effects: A replication. *School Effectiveness and School Improvement* 10, no. 4: 451–79.
- Leithwood, K. and D. Jantzi. In press. Linking leadership to student learning: The contribution of leader efficacy. *Educational Administration Quarterly*.
- Leithwood, K., K.S. Louis, G. Anderson, and K. Wahlstrom. 2004. *How leadership influences student learning: A review of research for the Learning from Leadership project*. New York: The Wallace Foundation.
- Leithwood, K., B. Riedlinger, S. Bauer, and D. Jantzi. 2003. Leadership program effects on student learning: The case of the Greater New Orleans School Leadership Center. *Journal of School Leadership and Management* 13, no. 6: 707–38.
- Leithwood, K., and C. Riehl. 2005. What we know about successful school leadership. In *A new agenda: directions for research on educational leadership*, eds W. Firestone, and C. Riehl. New York: Teachers College Press.
- Leithwood, K., and R. Steinbach. 1995. *Expert problem solving processes: Evidence from principals and superintendents*. Albany: State University of New York Press.
- Louis, K.S., and S.D. Kruse. 1998. Creating community in reform: Images of organizational learning in inner-city schools. In *Organizational learning in schools*, eds K. Leithwood, and K.S. Louis. Lisse: Swets & Zeitlinger.
- Lowe, K.B., K.G. Kroeck, and N. Sivasubramaniam. 1996. Effectiveness correlates of transformational and transactional leadership: A meta-analytical review of the MLQ literature. *Leadership Quarterly* 7, no. 3: 385–425.
- Macmillan, R. 2000. Leadership succession: Cultures of teaching and educational change. In *The sharp edge of educational change: Teaching, leading and the realities of reform*, eds N. Bascia, and A. Hargreaves. London: RoutledgeFalmer.
- Malen, B. 1995. The micropolitics of education: Mapping the multiple dimensions of power relations in school politics. In *The study of educational politics*, eds J. Scribner, and D. Layton. New York: Falmer Press.
- Marzano, R.J., T. Waters, and B.A. McNulty. 2005. *School leadership that works: From research to results*. Alexandria: Association for Supervision and Curriculum Development.
- Mascall, B. and K. Leithwood. In press. The effects of total leadership on student learning. *Educational Administration Quarterly*.
- Matthews, P., and P. Sammons. 2005. Survival of the weakest: The differential improvement of schools causing concern in England. *London Review of Education* 3 3, no. 2: 159–76.
- Mintrop, H., and R. Papazian. 2003. *System strategies to improve low-performing schools: Lessons from first-generation accountability systems*. Los Angeles: National Center for Research on Evaluation, Standards, and Student Testing. (CRESST). Los Angeles: University of California.
- Mortimore, P. 1993. School effectiveness and the management of effective learning and teaching. *School Effectiveness and School Improvement* 4, no. 4: 290–310.
- Muijs, D., A. Harris, C. Chapman, L. Stoll, and J. Russ. 2004. Improving schools in socio-economically disadvantaged areas: A review of research evidence. *School Effectiveness and School Improvement* 15, no. 2: 149–75.
- Murphy, J. In press. *Turning around struggling schools: lessons from the organizational sciences*. Thousand Oaks, CA: Corwin Press.

- Reitzug, U., and J. Patterson. 1998. 'I'm not going to lose you!': Empowerment through caring in an urban principal's practice with pupils. *Urban Education* 33, no. 2: 150–81.
- Reynolds, A.J., S. Stringfield, and D. Muijs. Forthcoming. Results for the High Reliability Schools Project. Unpublished manuscript.
- Reynolds, D., D. Hopkins, D. Potter, and C. Chapman. 2001. *School improvement for schools facing challenging circumstances: A review of research and practice*. London: Department for Education and Skills.
- Robinson, V. 2006. Putting education back into educational leadership. *Leading and Managing* 12, no. 1: 62–75.
- Ross, J.A., and A. Glaze. 2005. *Creating turnaround schools: The effects of project reach on students, teachers, principals and support staff*. Final Report to the Ontario Principals' Council. Toronto: Ontario Principals' Council.
- Scheurich, J.J. 1998. Highly successful and loving, public elementary schools populated mainly by low-SES children of color: Core beliefs and cultural characteristics. *Urban Education* 33, no. 4: 451–91.
- Silins, H., and W. Mulford. 2002. Leadership and school results. In *Second international handbook of educational leadership and administration*, eds K. Leithwood, and P. Hallinger. Dordrecht: Kluwer.
- Silins, H.C., R.M. Mulford, and S. Zarins. 2002. Organizational learning and school change. *Educational Administration Quarterly* 38, no. 5: 613–42.
- Slatter, S., D. Lovett, and L. Barlow. 2006. *Leading corporate turnaround*. Chichester: Jossey-Bass.
- Spillane, J.P. 2006. *Distributed leadership*. San Francisco: Jossey-Bass.
- Townsend, T. 1994. Goals for effective schools: The view from the field. *School Effectiveness and School Improvement* 5, no. 2: 127–48.
- Waters, T., R.J. Marzano, and B. McNulty. 2003. *Balanced leadership: What 30 years of research tells us about the effect of leadership on student achievement*. Denver: Mid-continent Research for Education and Learning.
- West, M., M. Ainscow, and J. Stanford. 2005. Sustaining improvement in schools in challenging circumstances: A study of successful practice. *School Leadership and Management* 25, no. 1: 77–93.
- Yukl, G. 1989. *Leadership in organizations*, 2nd ed. Englewood Cliffs, NJ: Prentice Hall.
- Zaccaro, S.J., C. Kemp, and P. Bader. 2004. Leader traits and attributes. In *The Nature of Leadership I*, eds J. Antonakis, A.T. Cianciolo, and R.J. Sternberg. Thousand Oaks: Sage Publications.