

UNIVERSITY OF WARWICK

Open minutes of the meeting of the Council held on 20 October 2016

Present: Sir George Cox (Chair), Mr K Bedell-Pearce (Treasurer), Dr N Bentley (Independent Member), Professor D Branch (Academic Member of the Senate), Professor A Clarke (Academic Member of the Senate), Ms V Cooke (Vice-Chair) (except for items 19-22/16-17), Professor S Croft (Vice-Chancellor), Professor C Ennew (Provost), Dr J Ferrie (Independent Member), Ms K Gadhok (Independent Member), Ms R Gittins (Democracy and Development Officer for the Students' Union), Mr G Howells (Independent Member), Professor Christopher Hughes (Academic Member of the Senate), Ms J MacNaughton (Independent Member), Sir David Normington (Independent Member) (except for items 18-22/16-17), Mr A Penfold (Independent Member), Mr L Pilot (President of the Students' Union), Ms A Pulford (Non-Academic Member of Staff), Professor L Roberts (Academic Member of the Senate), Mr N Sachdev (Independent Member) (except for items 19-22/16-17), Professor P Thomas (Pro-Vice-Chancellor (Research)), Ms G Tucker (Independent Member).

Apologies: Professor Christina Hughes (Pro-Vice-Chancellor (Teaching and Learning)), Dr D Keith (Independent Member), Professor S Swain (Pro-Vice-Chancellor (External Engagement)).

In Attendance: Ms R Barnes (Head of Finance (Projects) (for item 16/16-17), Mrs E Birch (Head of Governance Services (Assistant Secretary)), Mrs S Black (Assistant Registrar (Governance)), Ms A Chambers (Senior Assistant Registrar (Strategic Programme Delivery)) (for item 16/16-17), Ms R Drinkwater (Group Finance Director), Dr M Glover (Academic Registrar) (for items 17 and 18/16-17), Mrs P Glover (Secretary to Council), Ms J Horsburgh (Strategy Director), Mr C Key (Deputy Finance Director (Projects)) (for item 16/16-17), Mr D Marlow (Executive Commissioner and Secretary to the Chancellor's Commission) (for item 19/16-17), Ms R Sandby-Thomas (Registrar).

Note: Some items are noted as "Exempt information not included" as they contain information that would be withheld from release to the public because an exemption under the Freedom of Information Act 2000 applies.

1/16-17 Membership of the Council

REPORTED: (by the Chair)

- (a) That he wished to extend a warm welcome to all new members.
- (b) That he wished to record the thanks of the Council to Professor Christina Hughes, Pro-Vice-Chancellor (Teaching and Learning), who was leaving to take up the position of Pro-Vice-Chancellor for Student Experience at Sheffield Hallam, with effect from 1 November 2016.

2/16-17 Conflicts of Interest

REPORTED: (by the Chair)

That, should any members or attendees of the Council have any conflicts of interest relating to agenda items for the meeting, they should be declared in accordance with The Committee of University Chairs Higher Education Code of Governance (2014).

NOTE: No declarations were made.

3/16-17 Minutes

CONSIDERED:

The minutes of the meeting of the Council held on 13 July 2016.

RESOLVED:

That the minutes of the meeting of the Council held on 13 July 2016 be approved.

4/16-17 Update on Prevent Reference Group (minute 144/15-16 refers)

REPORTED: (by the Vice-Chancellor)

- (a) That the Prevent Reference Group had held its first meeting in September 2016, noting that the Group would explore any emerging issues around the application of the Prevent Duty in the University context.
- (b) That further meetings would take place in due course, noting that periodic reports would be brought to the Council, with a substantive report to be made by the end of the academic year.

5/16-17 Institutional Teaching and Learning Review (minute 156(b)/15-16 refers)

REPORTED: (by the Secretary to Council)

That Dr Deborah Keith had been appointed as the independent member of Council to the Steering Group that was overseeing the Institutional Teaching and Learning Review which would take place in the current academic year.

6/16-17 Membership of the University Council 2016/17

CONSIDERED:

A statement of the membership of the Council for the 2016/17 academic year (C.01/16-17).

REPORTED: (by the Secretary to Council)

- (a) That, as part of a reduction in the size of the Council which had been implemented following the previous Council Effectiveness Review, the number of Pro-Vice-Chancellors serving as members of the Council had been reduced to two.

- (b) That, noting that the number of Pro-Vice-Chancellors had been reduced to three, the Nominations Committee recommended that for the current academic year all three Pro-Vice-Chancellors should serve on the Council, in line with statute.
- (c) That although this would increase the membership of the Council to 26 members, an independent majority would be maintained.

RESOLVED:

That the recommendation from the Nominations Committee that for the 2016/17 academic year all three Pro-Vice-Chancellors should serve on the Council, be approved.

7/16-17 Code of Corporate Governance, Statement of Primary Responsibilities and Delegated Authorities

- (a) Code of Practice on Corporate Governance

CONSIDERED:

The University's Code of Practice on Corporate Governance (C.02/16-17).

REPORTED: (by the Secretary to Council)

- (i) That the University's Code of Practice on Corporate Governance, which mapped out the responsibilities of the Council, Council committees and other key bodies, including delegated authorities, was updated, reviewed and adopted by the Council on an annual basis.
- (ii) That the Code included changes to the Terms of Reference and constitution of the Remuneration Committee, in line with the recommendations arising from the review of the Remuneration Committee which was undertaken by Sir David Normington, and adopted by the Council at its meeting of 13 July 2016.
- (iii) That a number of other changes were being proposed to the Code and to the structure, terms of reference and constitution of a number of Council and Joint Council/Senate Committees, namely to align the content of the Code with those Committees which directly reported to the Council and to reflect the revised management structure of the University.

RESOLVED:

That the University's Code of Practice on Corporate Governance as set out in paper C.02/16-17 be approved.

- (b) Statement of Primary Responsibilities

CONSIDERED:

The University's Statement of Primary Responsibilities (C.03/16-17).

REPORTED: (by the Secretary to Council)

That the Statement of Primary Responsibilities was reviewed annually by the Council at its first meeting in each academic year and should be read alongside the University's Code of Practice on Corporate Governance, noting that the Statement of Primary Responsibilities was unchanged from the previous year.

RESOLVED:

That the University's Statement of Primary Responsibilities be approved and adopted, as set out in paper C.03/16-17.

8/16-17 Chair's Business: Update on the Appointment of the Chancellor (minute 146/15-16 refers)

REPORTED: (by the Chair)

- (a) That Sir Richard Lambert had been welcomed and thanked for his service as University Chancellor at the Joint Council and Senate dinner held on the previous evening, noting that his term of appointment had concluded on 30 September 2016.
- (b) That the Committee on the Appointment of the Chancellor had, over the summer period, considered nominations, shortlisted potential candidates and approached a number of individuals in relation to the role.
- (c) That the Committee was due to meet later that day, noting that it was hoped a recommendation would be put forward to Council and Senate as a result of the meeting.

9/16-17 Vice-Chancellor's Business

RECEIVED:

A report from the Vice-Chancellor (C.04/16-17 {restricted}) on the following items of business:

- (a) European Engagement and Advisory Board

REPORTED: (by the Vice-Chancellor)

That recent figures demonstrated a significant increase in the numbers of non-British EU students joining the University in the current academic year, noting that anecdotally this was not the case in other institutions.

- (b) Recent Institutional Successes
- (c) Post-Pulse Staff Engagement
- (d) Warwick in London
- (e) Conservative Party Conference

10/16-17 Report from the Senate

CONSIDERED and ADOPTED, with the resolution as set out below, a report on selected items considered at the meeting of the Senate held on 5 October 2016 (C.05/16-17 {restricted}):

(a) Establishment of a New Academic Department, 'Warwick Foundation Studies'

REPORTED: (by the Vice-Chancellor)

- (i) That the establishment of a new academic department was being proposed to house the existing Warwick International Foundation Programme, which was now being delivered by the University rather than partner colleges, together with the Foundation Course within Warwick Business School.
- (ii) That the new department would provide the basis to build additional programmes of this nature.

(b) Revised HEFCE Operating Model for Quality Assessment

(c) Brexit

(d) University of Warwick in California

(e) Student Admissions Update

(f) Results of Student Surveys

(g) Proposed Amendments to Regulation 28, Regulation Covering Intellectual Property Rights

(h) Proposed Amendments to Regulation 29, Regulations Covering Meetings on University Premises

(i) Proposed Amendments to Ordinance 7 on the Constitution of the Boards of the Faculties

(j) Proposed Amendment to Ordinance 13: Degrees and Diplomas

(k) Student and Alumni Deaths

RESOLVED:

That the establishment of a new academic department, Warwick Foundation Studies, as set out in paper S.10/16-17, be approved.

11/16-17 *Report from the Finance and General Purposes Committee

CONSIDERED and ADOPTED, with the resolution as set out below, a report from the meeting of the Finance and General Purposes Committee (FGPC) held on 27 September 2016 (C.06/16-17 {restricted}) on the following items of business:

(a) *Nominations Agreements

[Exempt information not included]

(b) *Financial Performance – Quarter 4, 2015/16

[Exempt information not included]

(c) Memberships of Committees and Boards and Terms of Reference

(d) Home/EU Undergraduate Tuition Fees for 2017

(e) Modern Slavery Act 2015

(f) UK Research Partnership Investment Fund (UKRPIF) Projects – Progress Reports

RESOLVED:

[Exempt information not included]

12/16-17 *Audit and Risk Committee

CONSIDERED:

An oral report from the Chair of the Audit and Risk Committee from the meeting of the Committee held on 19 October 2016.

REPORTED: (by the Vice-Chair and Chair of the Audit and Risk Committee)

- (a) That meetings of the Audit and Risk Committee (A&RC) had been scheduled to take place as part of the Council programme during the current academic year, and as such the Committee had met on the previous day.
- (b) That the A&RC continued to review its role, noting that a further shift was required in the balance of consideration of risk and assurance to ensure the Committee could focus on strategic risk.
- (c) That the Committee had considered the thematic Internal Audit Annual Report, with a “clean bill of health” given overall, noting however that a number of areas of insufficient resourcing had been highlighted.
- (d) That the Committee had also considered the Internal Audit review of Value for Money, noting that this could usefully be framed in the context of desirable outcomes and distinctiveness of the University.
- (e) That the requirement for a clearer IT strategy including systems architecture had been identified, which would be considered further during the IT “deep dive” session planned for February 2017.
- (f) That as part of the meeting, the Committee had held a “deep dive” session on academic assurance, noting that whilst the report and presentation provided clarity on the University’s processes, some additions had been requested around the rationale and impact of these processes.
- (g) That the report on academic assurance would be considered by the Council at its meeting in November 2016.
- (h) That the Committee had considered a report from the Financial Sustainability Strategy Group and had discussed the question of University size and shape.
- (i) That the Committee would continue to hold deep dive sessions, noting that these were invaluable.

13/16-17 *Nominations Committee

CONSIDERED:

An oral report from the Chair of the Nominations Committee from the meeting of the Committee held on 19 October 2016.

REPORTED: (by the Chair)

- (a) That the high calibre of independent members on the Council was a reflection of the significant amount of time spent seeking out suitable candidates.

- (b) That in relation to the skills matrix for members, the Nominations Committee was seeking to strengthen expertise within the areas of digital technologies, medicine, and manufacturing, as well as in the international and regional context, whilst also ensuring a gender balance was maintained, noting that of the 14 independent members of the Council, there should be at least six members of either gender.
- (c) That members of the Council were invited to contact him or the Secretary to Council with any suggestions for potential nominations for new Council members.
- (d) That discussions with an excellent potential candidate were being held at the current time, noting that should discussions progress positively, a recommendation would be brought to the Council in due course.

14/16-17 Council Strategic Planning

CONSIDERED:

An oral report from the Vice-Chancellor on the outcomes of the Council strategic planning discussion held on 19 October 2016.

REPORTED: (by the Vice-Chancellor)

- (a) That he wished to express his thanks to members of the Council for their active participation in the strategic discussion held on the previous day.
- (b) That following the discussion, next steps could be categorised into four principal areas:
 - (i) The creation of a narrative around the purpose of the University, noting that it was intended to bring this back to the Council at its meeting in February 2017;
 - (ii) The requirement to populate the areas of operational strategy with content, noting the large amount of ongoing strategy-related work;
 - (iii) The representation and re-articulation within Goal 1 of a higher and more distinct ambition regarding education and student wellbeing;
 - (iv) The consideration of potential changes to the culture and ways of working at the University, using strategic priorities as a guide to prioritise areas of work.

(by the Strategy Director)

- (c) That comments and feedback from members of the Council had been very helpful, noting that these would be taken forward as part of the development of the piece of work.
- (d) That whilst a significant amount of work was already underway, it would be essential to communicate clearly the goals and ambitions of the University, bringing together the narrative, metrics and targets which would reflect the University's distinctiveness.

15/16-17 Reorientation of University Regulation 29

CONSIDERED:

A report setting out proposed revisions to University Regulation 29, together with supporting External Speakers Procedures (C.07/16-17).

REPORTED: (by the Strategy Director)

- (a) That the University's commitment to freedom of speech was articulated in University Regulation 29 (covering Meetings etc. on University Premises), which also served to document the University's approach to external speakers.
- (b) That at its meeting on 27 January 2016, the Senate had considered proposed revisions to Regulation 29 and resolved that further work was required to more appropriately foreground the fundamental principle of encouraging and enabling freedom of expression within the Regulation, whilst upholding the principles of dignity and respect.
- (c) That the Regulation had subsequently been rearticulated at a principle level, noting that a change in title was also proposed to signify the core purpose of the Regulation.
- (d) That a suite of procedures had been established to underpin the reworked Regulation, noting that these procedures codified the existing set of operational processes relating to the approval of external speakers, allowing for a proportionate and pragmatic response through local decision-making structures, whilst providing a clear escalation process for events requiring institutional consideration.
- (e) That the Senate had approved the proposed amendments at its meeting on 5 October 2016.
- (f) That a marked increase in the number of External Speaker requests submitted during the first week of the autumn term had been noted.

(by Ms K Gadhok)

- (g) That an evaluation process for the review of the External Speakers Procedures could usefully be considered in due course.

RESOLVED:

- (a) That the revised Regulation 29: Freedom of Speech as set out in paper C.07/16-17 be confirmed.
- (b) That the supporting External Speakers Procedures be noted.

16/16-17 *California: Progress Report

CONSIDERED:

A report on progress to establish academic and student activities and a physical presence in California (C.08/16-17 {restricted}).

REPORTED:

[Exempt information not included]

RESOLVED:

- (a) That a sub-group of the Council be established (with membership to include the Chair of Council, the Vice-Chair of Council, the Treasurer, the Vice-Chancellor, the Provost, the Registrar, the Group Finance Director, Sir David Normington, Dr J Ferrie, Mr A Penfold and Professor S Hand, noting that participation was welcome from other members of the Council) with authority delegated to:
 - (i) Recommend the level and timing of the significant financial resources to be put in place by the University Development Foundation (UDF) prior to the establishment of the University of Warwick in California (UWiC) legal entity; and
 - (ii) Oversee the financial negotiations with UDF and to progress the development of governance arrangements for UWiC.
- (b) That the California Project Team be thanked for their work to date.

17/16-17 *Tuition Fees for 2017

CONSIDERED:

A report outlining a series of recommendations regarding the University's approach to fees for Home/EU undergraduates from 2017 (C.09/16-17 {restricted}).

REPORTED: (by the Academic Registrar)

- (a) That the UK government had announced that it would amend UK/EU undergraduate (UG) fee regulations to permit those institutions which fulfilled the requirements for Teaching Excellence Framework (TEF1) to increase their fee level by 2.8% from 2017, noting that this would increase the fee from £9,000 to £9,250 per annum.
- (b) That as the University fulfilled the requirements for TEF1 and in view of the fact that the 2016/17 admissions cycle was underway, the University urgently needed to confirm the Home/EU fee for 2017 entry.
- (c) That the University would need to consider its position with respect to continuing students, who were currently charged £9,000, and those who had applied for deferred entry through the previous application cycle.
- (d) That the University would also need to consider whether it would plan to apply the permitted annual inflationary uplift to all new and continuing students after 2017, noting that this further inflationary uplift would be dependent on the University opting to make a submission through the TEF2 review process in January 2017 and achieving at least a "silver" award.

- (e) That prior to the introduction of the £9,000 fee in 2012, Home/EU fees were inflated on an annual basis in accordance with English fee regulations, noting that the recent past, in which Home/EU fees had been fixed for a period of five years, was an anomaly in this context.
- (f) That analysis of KIS data submissions for 2017 entry, together with fee information provided by Russell Group institutions on their websites, suggested that the most common approach in the Russell Group would be to apply inflation to the fees for new Home/EU students from 2017, whilst holding the fee for continuing students at £9,000 throughout their studies.
- (g) That the University had maintained its position that fees would be subject to inflationary uplift should regulations permit this.
- (h) That in relation to Home/EU UG students who had deferred their entry to 2017 from 2016, whilst their offer letters had included a caveat about fees, the letters had also stated a fee level of £9,000, noting that it could therefore be argued that deferring students would have a reasonable expectation that fees would remain at £9,000, based on the information provided to them.

(by the President of the Students' Union)

- (i) That whilst he was mandated to oppose all tuition fees, he welcomed the transparency of the proposals.

(by the Vice-Chancellor)

- (j) That, as with the previous change in fee regime (from £3,000 to £9,000), following the recent government announcement relating to fees and TEF1, the general expectation across the sector was that fee increases would be applied, where permitted.

(by the Democracy and Development Officer for the Students' Union)

- (k) That whilst the potential differential in fee levels among students deferring to 2017 entry and new starters in 2017 should be noted, the University should hold true to the information provided to deferring students, noting the potential impact on student numbers and capacity that any changes to fees at this point could have on the numbers of students choosing to defer or not.
- (l) That a number of differentials in fee levels within cohorts already existed.

RESOLVED:

- (a) That continuing Home/EU UG students in 2017 be charged a fee of £9,000 for the duration of their course.
- (b) That Home/EU UG students who had deferred their entry to 2017 from 2016 be charged a fee of £9,000 for the duration of their course.
- (c) That Home/EU UG students applying in 2016/17 and starting their course in 2017 be charged the increased fee of £9,250 from 2017 (subject to parliamentary approval of the amendment to regulations) with the expectation that the fee would inflate subsequently in accordance with government fee regulations.

- (d) That the fees for subsequent student cohorts be aligned with the fee cap in place at the time, as determined by government fee regulations, and that these fees be subject to annual increases in line with the rate of inflation permitted.

18/16-17 *Teaching Excellence Framework 2

CONSIDERED:

A report inviting the Council to consider whether, in principle, the University should participate in year 2 of the Teaching Excellence Framework (TEF2) (C.10/16-17 {restricted}).

REPORTED: (by the Academic Registrar)

- (a) That universities would be required to make a submission in order to participate in year 2 of the TEF, noting that institutions that did not participate would be limited to a maximum Home/EU UG fee of £9,000 from 2019.
- (b) That TEF data was likely to be used in league tables, meaning that universities that did not participate could remain unranked.
- (c) That as part of the TEF2 assessment process universities would be graded as “gold”, “silver” or “bronze”.
- (d) That while participation in TEF2 was optional, the University would wish to consider its position with respect to submission, as it had done previously with the Research Excellence Framework (REF).
- (e) That concerns over the current TEF2 metrics being noted, it was felt that the government would be open to the evolution of these metrics.
- (f) That whilst proposals would potentially impact Home/EU fees, it was noted that the majority of students at Warwick were on courses of study that did not have regulated fees.

(by the Vice-Chancellor)

- (g) That it had been indicated following the Conservative party conference, that only “high-quality institutions” would be permitted to sponsor international students, noting that as the TEF2 assessment process could be used as a way of defining high quality institutions, non-participation in TEF2 could preclude an institution from being a sponsor.
- (h) That although he empathised with concern around the TEF2 proposals, non-participation in TEF2 resulting in the potential inability to sponsor international students would be highly detrimental to any institution.
- (i) That the University had engaged significantly with the government to date over the TEF2 proposals, and the HE Bill as a whole, noting that current feeling was that the government was unlikely to revise its position in the short term.

- (j) That on behalf of Sir David Normington, who had sent apologies for this item, he wished to report in relation to the consideration of a potential TEF2 submission, that in addition to the Steering Committee, the draft submission could also usefully be considered by at least one independent member of the Council.

(by Professor C W Hughes)

- (k) That whilst arguments could be made about the TEF2 methodology, the process could be perceived as an important driver, leading to a step-change and broadening of the University's education strategy, demonstrating the value of teaching alongside research at the University.
- (l) That TEF proposals included a "lessons learned" exercise in year 3, noting that with one of the current metrics being around "learning gain", the University as the home for a project on learning gain would be well placed to make recommendations.
- (m) That as part of its education strategy the University would continue to demonstrate its commitment to teaching excellence, seeking to improve its rankings globally, rather than focusing only on TEF.

(by the Democracy and Development Officer for the Students' Union)

- (n) That members of the Council should consider their duty as Charity Trustees in protecting teaching and research, as the core purposes of the University, with the flawed TEF2 methodology not being a true reflection of the value given to education at Warwick.
- (o) That the University should seek to oppose the TEF2 proposals alongside other similarly-minded institutions.
- (p) That by opting in to TEF2 the University would be seen as supporting the government in creating a pricing structure around education.

(by the President of the Students' Union)

- (q) That the University had a duty to engage further with the Government and oppose what could be deemed as a devaluation of Higher Education.
- (r) That current TEF proposals were not seen to value teaching, noting that by opting in the University could be seen as being complicit to this view.

(by the Provost)

- (s) That the government seemed implacable in its aims, particularly in relation to issues around international students, noting that, as the REF process had improved over the years, the University would be in a stronger position to influence TEF2 by participating.

(by the Registrar)

- (t) That TEF was deemed by the government as being the solution for two of its current core issues, namely a perceived imbalance in the focus of HE institutions between research and teaching; and fraudulent student entry.

- (u) That with oversight of universities having moved from the Department for Business, Innovation and Skills to the Department for Education, there had been a shift from an “economic” to an “education” focus.

(by Ms J MacNaughton)

- (v) That whilst the issues around recent government debate on immigration were noted, together with the points made by the student members, in her view the University would be better placed to influence the evolution of TEF by opting in.

(by the Secretary to Council)

- (w) That in sending apologies for the meeting Dr D Keith had indicated her support for the recommendations as presented in the paper, noting however her suggestion that the University should commit to influencing the development of appropriate TEF metrics.

(by Dr N Bentley)

- (x) That the Council could usefully consider its position going forward in terms of the wider issues and ongoing debates.

(by the Chair)

- (y) That the University, and the HE sector as whole, could usefully look to improve its lobbying power in general.
- (z) That the drive to raise the stature of teaching within HE was generally seen as positive, noting that should the University opt in to TEF2 it would make every effort to influence the evolution of metrics together with views on international students.

RESOLVED:

- (a) That the recommendation that the University make a submission to the TEF 2 assessment process as set out in paper (C.10/16/17 {restricted}) be approved in principle, with the following votes cast among Council members present:

In favour: 18
Against: 2
Abstentions: 1

- (b) That the recommendation that authority be delegated to the Steering Committee to approve the TEF 2 submission on behalf of the Senate and the Council, be approved.
- (c) That the draft TEF2 submission be reviewed by Sir David Normington on behalf of the Council prior to submission.
- (d) That the University commit to influencing the development of appropriate TEF metrics.

19/16-17 The Chancellor's Commission

CONSIDERED:

The final report of the Chancellor's Commission (C.11/16-17 {restricted}) together with a presentation by Mr D Marlow, Executive Commissioner and Secretary to the Chancellor's Commission.

REPORTED:

(by Mr D Marlow, Executive Commissioner and Secretary to the Chancellor's Commission)

- (a) That whilst the majority of work on the Chancellor's Commission had been carried out in 2015, the findings and recommendations were even more important following the outcome of the EU referendum.
- (b) That as the West Midlands was moving towards a Combined Authority, with the election of a local Mayor in May 2017, an institutional approach would be required when considering the potential increase in expectations on universities.
- (c) That the Commission had concluded that the University should seek to balance its ambition as an even more powerful local and regional player, whilst maintaining global excellence.
- (d) That the Council would wish to have a role in the implementation of the recommendations.

(by the Vice-Chancellor)

- (e) That the University had been able to address a number of the points raised by the Commission, noting that this was supported by the appointment of Professor Simon Swain as Pro-Vice-Chancellor (External Engagement).
- (f) That the University was engaged with the Midlands Engine; Midlands Innovation; the Local Enterprise Partnership; the Coventry City of Culture bid; noting also the roles of the Arts Centre and Warwick Business School as drivers for partnerships with Coventry University.
- (g) That it was intended to hold meetings of the Council in Warwick in November 2016 and Coventry in May 2017 in order to improve the engagement of the Council with the local region.
- (h) That a number of focus groups had been held, with work ongoing to improve the connection between the University and the local community.
- (i) That it would be essential for the University to be actively involved in the core of regional activity, playing a key role in representing the region to the government.

(by Ms J MacNaughton)

- (j) That the long term ambitions being noted, the University could usefully review its position in the context of the recommendations in due course.

(by the Strategy Director)

- (k) That the outcomes of the Chancellor's Commission and ongoing work connected on a number of levels with the University's strategic priorities.

(by Mr G Howells)

- (l) That as part of the devolution agenda, the opportunity existed for Warwick to take a leadership role going forward.

(by the Pro-Vice-Chancellor (Research))

- (m) That in relation to Midlands Innovation, a number of significant initiatives around transport research were coming out of the Midlands.

(by Dr J Ferrie)

- (n) That it would be important for the University to achieve the right balance in terms of the different types of regional relationships it fostered, noting its roles as customer, supplier and investor.

(by the Chair)

- (o) That the potential forthcoming appointment to the Council would strengthen the Committee's expertise in this area.
- (p) That as part of its provision of public benefit, the University should harness the momentum around regional engagement following the Chancellor's Commission.

RESOLVED:

- (a) That the thanks of the Council be extended to Mr Marlow for his helpful presentation.
- (b) That the presentation on the final report of the Chancellor's Commission be noted.

20/16-17 Register of Members' Interests

REPORTED:

That a copy of the Register of Interests of members of the University Council for the academic year 2016/17 would be made available publicly on request, noting that the latest version was available on the Council members' webpage and would be brought for consideration to the November 2016 meeting of the Council.

21/16-17 Membership of Council and Joint Senate and Council Committees

CONSIDERED:

The membership of Council and Joint Senate and Council Committees for the 2016/17 academic year (C.12/16-17).

RESOLVED:

That the membership of Council and Joint Senate and Council Committees for the 2016/17 academic year as set out in paper C.12/16-17, be approved.

22/16-17 Warwick Students' Union Annual Elections Report: 2015/16

RECEIVED:

A report outlining key statistics for the Students' Union elections conducted during the 2015/16 academic year (C.13/16-17).

23/16-17 Students' Union Annual Statement of Compliance with the Education Act

RECEIVED:

A report presenting the Students' Union Statement of Compliance with the Education Act (C.14/16-17).

24/16-17 HEFCE Post-EU Referendum Survey Submission

RECEIVED:

A paper setting out the University's response to the HEFCE EU Referendum Survey (C.15/16-17 {restricted}).

25/16-17 HEFCE / FSSG Report: 'Mind the gap' – Understanding the financial sustainability challenge

RECEIVED:

A report from the HEFCE / FFSG on understanding the financial sustainability challenge for higher education institutions (C.16/16-17 {restricted}).

26/16-17 HEFCE Memorandum of Assurance and Accountability

RECEIVED:

A paper outlining changes to the HEFCE Memorandum of Assurance and Accountability (C.17/16-17).

27/16-17 Awards and Distinctions

RECEIVED:

A paper setting out the awards and distinctions conferred on members of the University since the meeting of the Council held on 13 July 2016 (C.18/16-17).

28/16-17 Amendments to University Ordinances

CONSIDERED:

(a) Proposed amendments to University Ordinance 7 on the Constitution of the Boards of Faculties:

- (i) For the first time University Ordinance 7 on the Constitution of the Boards of Faculties in relation to the establishment of the Department of Warwick Foundation Studies (C.19/16-17).
- (ii) For the second time University Ordinance 7 on the Constitution of the Boards of Faculties in relation to changes to the constitution of the Boards of the Faculties of Arts and Medicine (C.19/16-17).
- (b) For the first time Ordinance 13 on Degrees and Diplomas (C.20/16-17).
- (c) For the second time Ordinance 23, Code of Practice pursuant to the Education Act 1994 Part II: Students' Unions University Ordinance (C.21/16-17).

RESOLVED:

- (a) That the proposed amendments to University Ordinance 7 on the Constitution of the Boards of Faculties in relation to the establishment of the Department of Warwick Foundation Studies, as noted by the Senate and set out in paper C.19/16-17, be approved for the first time.
- (b) That the proposed amendments to University Ordinance 7 on the Constitution of the Boards of Faculties in relation to changes to the constitution of the Boards of the Faculties of Arts and Medicine, as approved by the Senate and set out in paper C.19/16-17, be approved for the second time.
- (c) That the proposed amendments to Ordinance 13 on Degrees and Diplomas as noted by the Senate and set out in paper C.20/16-17, be approved for the first time.
- (d) That the proposed amendments to Ordinance 23, Code of Practice pursuant to the Education Act 1994 Part II: Students' Unions University Ordinance, as approved by the Senate and set out in paper C.21/16-17, be approved for the second time.

29/16-17 Amendments to University Regulations

CONSIDERED:

- (a) University Regulation 28, Regulation Covering Intellectual Property Rights (C.22/16-17).
- (b) University Regulation 34, Regulation for the Determination of Fitness to Practise (C.23/16-17).

RESOLVED:

- (a) That the proposed amendments to University Regulation 28, Regulation Covering Intellectual Property Rights, be confirmed as set out in paper C.22/16-17, noting that the revised Regulation would take immediate effect.
- (b) That the proposed amendments to University Regulation 34, Regulation for the Determination of Fitness to Practise, be confirmed as set out in paper C.23/16-17, noting that the revised Regulation would take immediate effect.

30/16-17 Approvals by the Chair

(a) Pro-Vice-Chancellor (Education) Appointment

REPORTED:

That Chair's Action was taken on behalf of the Council to approve the recommendation of the Committee on the Appointment of the Pro-Vice-Chancellor (in line with the provisions of Statute 8 and Ordinance 3) that Professor Christopher Hughes be appointed as Pro-Vice-Chancellor (Education), to succeed Professor Christina Hughes, noting that Professor Hughes would begin his five year term of appointment on 1 November 2016.

(b) Amendments to University Regulations

REPORTED:

That Chair's Action was taken on behalf of the Council to confirm revisions to the following University Regulations:

- (i) Regulation 9.4, Governing the Constitution and Appointment of Boards of Examiners for the degrees of MBChB.
- (ii) Regulation 22, General Library Regulations.
- (iii) Regulation 31, Regulations governing the use of University Computing Facilities.

31/16-17 Council Schedule of Business for 2016/17

RECEIVED:

The schedule of business for meetings of the Council in 2016/17 (C.24/16-17).

32/16-17 Dates of Future Meetings

REPORTED:

That the future meetings of the Committee for the 2016/17 academic year were as follows:

Thursday, 17 November 2016, 9.00am – 12.00pm, Senate House Council Chamber
Wednesday, 8 February 2017, 5.00pm – 6.30pm, Senate House Council Chamber
Wednesday, 17 May 2017, 9.00am – 12.00pm, Senate House Council Chamber
Wednesday, 12 July 2017, 9.00am – 12.00pm, Senate House Council Chamber

As at 11 November 2016