

UNIVERSITY OF WARWICK

Open minutes of the meeting of the Council held on 13 July 2016

- Present:** Sir George Cox (Chair), Mr K Bedell-Pearce (Treasurer), Professor A Clarke (Academic Member of the Senate), Ms V Cooke (Vice-Chair) (until item 166/15-16), Professor S Croft (Vice-Chancellor), Dr J Ferrie (Lay Member), Mr G Howells (Lay Member) (until item 161/15-16), Professor C W Hughes (Academic Member of the Senate), Professor T Jones (Provost), Dr D Keith (Lay Member), Mr I Leigh (President of the Students' Union), Ms J MacNaughton (Lay Member), Professor A Muthoo (Academic Member of the Senate) (until item 161/15-16), Sir David Normington (Lay Member), Mr A Penfold (Lay Member), Mr O Rice (Democracy and Development Officer for the Students' Union), Mr A Rivett (Non-Academic Member of Staff), Mr M Temple (Lay Member).
- Apologies:** Dr N Bentley (Lay Member), Dame Fiona Caldicott (Lay Member), Ms K Gadhok (Lay Member), Professor C Hughes (Pro-Vice-Chancellor (Teaching and Learning)), Mr N Sachdev (Lay Member).
- In Attendance:** Mrs E Birch (Head of Governance Services (Assistant Secretary)), Mrs S Black (Assistant Registrar (Governance)), Dr G Carden (Director of Strategic Planning & Analytics) (for item 166/15-16), Ms R Drinkwater (Group Finance Director), Ms R Gittins (incoming Democracy and Development Officer for the Students' Union), Mrs P Glover (Secretary to Council), Professor S Kumar (Dean of the Warwick Medical School) (for item 162/15-16) Ms G McGrattan (Director of Human Resources) (for items 160 and 161/15-16), Mr L Pilot (incoming President of the Students' Union), Mr K Sloan (Registrar and Chief Operating Officer).

Note: Some items are noted as "Exempt information not included" as they contain information that would be withheld from release to the public because an exemption under the Freedom of Information Act 2000 applies.

141/15-16 Membership of the Council

REPORTED: (by the Chair)

That on behalf of the Council, he wished to welcome Mr Luke Pilot, the incoming President of the Students' Union and Ms Becky Gittins, the incoming second student member of the Council, who were in attendance at the meeting.

142/15-16 Minutes

CONSIDERED:

- (a) The minutes of the meeting of the Council held on 18 May 2016.
- (b) The minutes of the extraordinary meeting of the Council held on 26 May 2016.

RESOLVED:

- (a) That the minutes of the meeting of the Council held on 18 May 2016 be approved.

- (b) That the minutes of the extraordinary meeting of the Council held on 26 May 2016 be approved.

143/15-16 *Higher Education White Paper (minute 101(b)/15-16 refers)

REPORTED: (by the Vice-Chancellor)

[Exempt information not included]

(by the Chair)

- (e) That the topic would be discussed further at future meetings of the Council.

144/15-16 Report from a Meeting of the Assembly (minute 109/15-16 refers)

REPORTED: (by the Vice-Chancellor)

- (a) That in relation to Prevent, a Working Group had been established in conjunction with Ms Justine Mercer, who had proposed the motion on Prevent at the Assembly; Dr Charlotte Heath-Kelly, an academic specialist in the field of British counter-terrorism policy (identified at the Assembly); and Mr Luke Pilot, the incoming President of the Students' Union.
- (b) That the University community had been invited to input into the Working Group, with interest from 13 individuals having been expressed to date, noting that the possibility would be explored of a representative from HEFCE attending to speak to the Group.
- (c) That the Working Group would develop the concept of appropriate compliance, starting its work in the autumn 2016.
- (d) That following the meeting of the University Assembly held in March 2016, a further update would be provided to the University community summarising the discussions which had subsequently taken place at Senate, a Heads of Department meeting and Council in relation to the recommendations made by the Assembly.

145/15-16 Conflicts of Interest

REPORTED: (by the Chair)

- (a) That, should any members or attendees of the Council have any conflicts of interest relating to agenda items for the meeting, they should be declared in accordance with The Committee of University Chairs Higher Education Code of Governance (2014).

(by Mr G Howells)

- (b) That as his firm was representing Alumno Developments he wished to declare a potential conflict of interest in relation to item seven of the report from the Finance and General Purposes Committee (FGPC).

RESOLVED:

- (a) That the declaration of a potential conflict of interest from Mr G Howells in relation to item seven of the report from the FPGC, be noted.
- (b) That no other conflicts of interest be recorded.

146/15-16 Chair's Business: Update on the Appointment of the Chancellor (minute 104/15-16 refers)

REPORTED: (by the Chair)

- (a) That as previously reported, the Chancellor of the University, Sir Richard Lambert, would be standing down with effect from 30 September 2016.
- (b) That, as reported to the joint meeting of the Senate and the Council held on 14 October 2015, in accordance with Statute 3, a joint committee of the Council and the Senate had been established to consider and make a recommendation for the appointment of a successor to the Chancellor.
- (c) That the membership of the Committee on the Appointment of the Chancellor had subsequently been approved by the Vice-Chancellor and the Chair of Council, noting that in addition to the officers of the Council, the membership included three lay members of the Council, three Senate members, a non-academic member of staff, and the President of the Students' Union.
- (d) That at its meeting on 17 June 2016 the Committee approved the call for nominations, noting that the call was subsequently issued on 20 June 2016.
- (e) That the call for nominations had been open for four weeks, with 28 responses having been received, including some diverse and exciting nominees.
- (f) That the Committee would meet again on 20 July 2016 to agree a short-list of nominees, it being noted that the Committee would also consider any feedback from the community in relation to the specific qualities and attributes that the Chancellor should bring.
- (g) That shortlisted nominees would be approached over the summer period, noting that the Committee would meet again early in October 2016, with a view to making a recommendation to a joint meeting of the Senate and the Council to be held on 19 October 2016.

147/15-16 Chair's Business: Registrar and Chief Operating Officer

REPORTED: (by the Chair)

That he wished to record the thanks of the Council to Mr Ken Sloan for his contribution as Secretary to Council and his support to him in his capacity as Chair of Council, noting that this was Mr Sloan's last meeting before he stood down from his position as Registrar and Chief Operating Officer.

148/15-16 Chair's Business: Appointment of Registrar (minute 103/15-16 refers)

REPORTED: (by the Chair)

- (a) That following the extraordinary meeting of the Council on 26 May 2016, Ms Rachel Sandby-Thomas CB had been appointed to the role of Registrar, noting that the appointment had been widely welcomed.
- (b) That Ms Sandy-Thomas would join Warwick before the start of the next academic year.

149/15-16 Chair's Business: Membership of the Council

REPORTED: (by the Chair)

- (a) That he wished to record the thanks of the Council to the following members as they reached the end of their terms of appointment:
 - (i) Dame Fiona Caldicott and Mr Martin Temple for their valuable contributions to the work of the Council and its committees; noting Dame Fiona's service on FGPC as well as a significant number of statutory committees, and Mr Temple's contribution as Chair of the Warwick Business School (WBS) Advisory Board, service on FGPC and the Financial Plan Sub-Committee;
 - (ii) Mr Isaac Leigh, outgoing President of the Students' Union, and Mr Oliver Rice, outgoing Democracy and Development Officer, for their valuable and positive contributions to the work of the Council;
 - (iii) Professor Christopher Hughes and Professor Abhinay Muthoo, noting that following Professor Peter Winstanley's retirement, nominations for three academic representatives of the Senate on the Council were being sought;
 - (iv) Mr Alan Rivett, non-academic member of staff on the Council, for his service over a period of twelve years, noting that a call for nominations for a non-academic member of staff on the Council would be issued over the summer; and
 - (v) Professor Tim Jones for his contribution to the work of the Council, noting that this was his last meeting before taking up the position of Provost at the University of Birmingham.
- (b) That following consideration by correspondence, the Council had approved the appointment of Ms Gail Tucker as a lay member of the Council with effect from 1 August 2016 to 31 July 2019, it being noted that Ms Gail Tucker was already a member of the Audit and Risk Committee.

150/15-16 Chair's Business: Report from the Nominations Committee

REPORTED: (by the Chair)

- (a) That the Nominations Committee had worked significantly over the past year on strengthening the breadth of expertise on the Council.

- (b) That there was currently one remaining lay member vacancy on Council for the upcoming academic year and two for the subsequent year, noting that nominations would be welcome from Council members, particularly of those with expertise in the medical and manufacturing fields.

151/15-16 Chair's Business: Birthday Honours 2016

REPORTED: (by the Chair)

- (a) That Professor Christine Ennew, the next Provost of the University had been appointed an Officer of the Order of the British Empire (OBE) in the Queen's Birthday Honours list 2016 for her contribution to international higher education.
- (b) That Mr Neil Sachdev had received an MBE for his services to Energy Efficiency and Sustainability in the Retail Sector.
- (c) That letters of congratulation had been sent on behalf of the Council to Professor Christine Ennew and Mr Neil Sachdev.

152/15-16 Chair's Business: Chancellor's Commission

REPORTED: (by the Chair)

- (a) That the report of the Chancellor's Commission had been launched recently, noting that the Commission had been set up to consider the future role of the University of Warwick in Coventry, Warwickshire and the wider region, and to create a longer term vision for the University's place in the region.
- (b) That the Council would discuss the report and its recommendations in the autumn term 2016, noting that the report would be circulated for information in the interim.

153/15-16 Chair's Business: Students' Union Clubs and Societies Presentation

REPORTED: (by the Chair)

That he wished to record the thanks of the Council to the Students' Union (SU) sabbatical officers, in particular Mr George Creasey and Mr Alex Roberts, for the pre-dinner presentation to Council members on the valuable role of the SU clubs and societies at Warwick.

154/15-16 Vice-Chancellor's Business

RECEIVED:

An oral report from the Vice-Chancellor, together with a summary list of topics to be addressed by the Vice-Chancellor (C.75/15-16).

- (a) Outcome of the EU Referendum

REPORTED: (by the Vice-Chancellor)

- (i) That he had hosted an open meeting on 12 July 2016 to discuss the UK's vote to leave the EU and the potential impact on Warwick, noting that almost 500 staff had attended from across all parts of the University.

- (ii) That in relation to student fees, and in the absence of a government framework, a statement would be released imminently to confirm the following intentions:
 - A. That for undergraduate EU students starting their studies in Autumn 2016 and Autumn 2017, tuition fees would be protected from any increases linked to a change in fee status following BREXIT.
 - B. That a reduced fee would continue to be charged for Erasmus study abroad periods for students starting their courses in 2016 and 2017.
 - C. That for continuing PhD students funded by EU grants and RCUK-funded EU students within the Doctoral Training Centres, the University would underwrite fees if required.
- (iii) That advice was still being sought in relation to statements that could be made regarding Autumn 2018 entry.

(b) Statement of Intent on Gender

REPORTED: (by the Vice-Chair)

- (i) That a “Women in Academia” workshop held over two days in February 2016 had been attended by twenty female academics from the University.
- (ii) That following open and frank discussions, the workshop had culminated in a presentation to the Vice-Chancellor of how the position of women in the University’s academy might be improved.
- (iii) That the gender statement of intent, as presented within paper C.75/15-16, received unanimous support from the workshop participants.
- (iv) That it was intended to bring this issue back as a strategic topic for discussion at a future meeting of the Council.

- (c) Higher Education Bill
- (d) The Guild of European Research Intensive Universities
- (e) Looking Forward
- (f) West Midlands Combined Authority
- (g) Warwick Business School
- (h) Recent Institutional Successes

155/15-16 *Financial Plan 2016

CONSIDERED:

The University draft Financial Plan 2016, as recommended by the Finance and General Purposes Committee and the Senate (C.76/15-16 {restricted}), together with a presentation by the Group Finance Director.

REPORTED: (by the Chair)

- (a) That the Plan should be viewed in the context of the current financial and political climate, noting that the University required a robust Plan in which it could be confident.

(by the Group Finance Director)

- (b) That the Plan was the culmination of months of work by the Academic Resourcing Committee (ARC), the Administrative and Professional Services Group (APSG), the Campus and Commercial Services Group (CCSG), among many others, noting that she wished to thank all colleagues involved in the planning process.
- (c) That a number of issues were noted as affecting the sector, including: TEF; Research Excellence Framework (REF); Higher Education Bill; National Student Survey; BREXIT and the Competition and Markets Authority.
- (d) That the University's Capital Plan was a major feature of the 2015/16 planning round, as driven by demand for new facilities, ageing infrastructure and space efficiency, as well as the requirement to provide a modern and competitive campus.

[Exempt information not included]

(by the Treasurer)

- (y) That he wished to commend the impressive rigour and detailed work involved in the drafting of the Plan.
- (z) That the Financial Plan Sub-Committee (FPSC) had extensively reviewed and challenged the Plan during its development, noting that this had led to a sound forecast over the five year period, notwithstanding current uncertainties such as BREXIT.
- (aa) That benchmarking information from the HEFCE on institutional risk suggested that Warwick was positioned prudently in terms of borrowing, it being noted that given the current uncertainties it would not be sensible to deviate from the course mapped out in the Plan.
- (bb) That the current Plan, particularly forecasts for 2016/17, was noted as being achievable notwithstanding current events, and FGPC therefore recommended the Five Year Plan to the Council.

(by Mr M Temple)

- (cc) That he had been impressed with the robustness of the planning process, noting that FPSC had considered the risks and uncertainties.
- (dd) That while comparison with other institutions was informative, in view of the different funding generating models in operation across the sector, the University could usefully focus on its own business model and strategy.

(by the Vice-Chancellor)

[Exempt information not included]

(by Ms J MacNaughton)

- (ff) That the University might wish to sense-check the planned expenditure on car parking with the University's emerging Sustainability Strategy, noting the institution's ambition to achieve a real shift in the way people accessed the campus.

(by Mr G Howells)

- (gg) That the current car parking strategy was a medium term solution, noting that whilst the proposals represented a good temporary measure, further work was required to reach the end goals in terms of sustainable travel.
- (hh) That while the Capital Plan reflected what the University could afford, consideration could usefully be given to calculating future capital costs based on the University's ambitions and in the context of its land assets.

(by the Provost)

- (ii) That a considerable amount of work had been carried out during the planning round with specific departments, noting that whilst certain departments were reporting deficits, they also remained on-plan.
- (jj) That in addition to targeted reviews of specific departments during the planning round, productive strategy refresh discussions had also commenced, noting that it was intended to carry out a number of reviews per year.

(by the President of the Student's Union)

- (kk) That the investment in on-campus accommodation was encouraging, noting that this could also help reduce the number of cars on campus.

RESOLVED:

- (a) That the thanks of the Council be extended to all those involved in developing a robust Financial Plan, given the difficult planning environment.
- (b) That the draft Financial Plan 2016 and the financial forecasts, for submission to the HEFCE by 29 July 2016, be approved.

156/15-16 Report from the Senate

CONSIDERED and ADOPTED, with the resolution as set out below, a report on selected items considered at the meetings of the Senate held on 8 June 2016 and 27 June 2016 (C.77/15-16 {restricted}), together with an oral report from the Vice-Chancellor on the following items of business:

(a) Institutional Teaching and Learning Review 2017

REPORTED: (by the Vice-Chancellor)

That the Senate had approved the inclusion of a lay member of Council on the ITLR Steering Group to reinforce the link between the Senate and the Council in the provision of oversight of quality assurance matters reviewed through the ITLR.

- (b) University's Financial Plan 2016 and the 2016-17 Budget
- (c) EU Referendum Result
- (d) Government White Paper and Teaching Excellence Framework
- (e) Monash Warwick Alliance Renewal of Term Proposal
- (f) School for Cross-Faculty Studies
- (g) California
- (h) Review of Academic Governance
- (i) University's Access Agreement 2017/18
- (j) Institutional Risk Register
- (k) Report from the Academic Quality and Standards Committee
- (l) Honorary Degrees Committee
- (m) Report from the Faculties of Arts & Medicine: Ordinance 7
- (n) Report from the Equality and Diversity Committee
- (o) Report from the Academic Staff Committee
- (p) Report from the Research Committee
- (q) Chair's Action
- (r) Student & Alumni Deaths
- (s) Registrar

RESOLVED:

That the establishment of the School for Cross-Faculty Studies as set out in paper S.54/15-16, be approved.

157/15-16 Report from the Finance and General Purposes Committee (FGPC)

CONSIDERED and ADOPTED a report from the meetings of the FGPC held on 23 May 2016 and 30 June 2016 (C.78/15-16 {restricted}) on the following items of business:

- (a) Financial Plan 2016/17
- (b) Financial Performance – Quarter 3, 2015/16
- (c) Wellesbourne Leases
- (d) Warwick Students' Union Financial Plan
- (e) University Executive Office
- (f) Alan Turing Institute
- (g) Off-Campus Student Accommodation Proposal
- (h) Human Resources Programme
- (i) Guild of European Research-Intensive Universities
- (j) UK Research Partnership Investment Fund (UKRPIF) Projects

158/15-16 Report from the University Estate Committee

CONSIDERED and ADOPTED a report from the meeting of the University Estate Committee (UEC) held on 14 June 2016 (C.79/15-16 {restricted}) on the following items of business:

(a) University Masterplan

REPORTED: (by Mr G Howells, Chair of the UEC)

That proposals relating to car parking and campus road strategy would be considered by the UEC in the context of the University Masterplan refresh process, noting that further updates would be brought to the Council in due course.

- (b) Car Parking Strategy
- (c) Spine Route and University Road
- (d) Estates Maintenance Planning
- (e) Quality and Design Sub-Group
- (f) Capital Space and Amenities Group
- (g) Capital Projects

159/15-16 *Report from the Audit and Risk Committee

CONSIDERED and ADOPTED, with the resolutions as set out below, a report from the meeting of the Audit and Risk Committee held on 22 June 2016 (C.80/15-16 {restricted}) on the following items of business:

(a) Health and Safety

REPORTED: (by the Vice-Chair and Chair of the Audit and Risk Committee)

That the Audit and Risk Committee had been informed of two recent reportable incidents, noting that both had occurred in isolation, with improved control measures having been introduced immediately across the University as a result.

- (b) Risk Headlines
- (c) Risk Management
- (d) IT and Data Assurance Deep Dive
- (e) Academic Quality and Standards Assurance
- (f) HEFCE Assessment of the University's Prevent Duty Monitoring Detailed Submission
- (g) Annual Statement of Compliance with the Research Integrity Concordat
- (h) University Audit Services

RESOLVED:

- (a) That the Annual Risk Report 2015/16 (AC.48/15-16 {restricted}) be approved.
- (b) That the Health and Safety Annual Report (AC.50/15-16 {restricted}) be noted.
- (c) That the HEFCE assessment of the University's Prevent Duty monitoring detailed submission (AC.46/15-16 {restricted}) be noted.
- (d) That the report of the Audit and Risk Committee (C.80/15-16 {restricted}), be noted.

160/15-16 Equality and Diversity Committee

CONSIDERED and ADOPTED, with the resolutions set out below, a report from the meeting of the Equality and Diversity Committee held on 13 May 2016 (C.81/15-16) on the following items of business:

(a) Athena SWAN Charter Mark Update

REPORTED: (by Ms G McGrattan)

- (i) That, the principles of the Athena SWAN Charter Mark were widely accepted across the HE sector, noting that the principles were being extended from Science departments into the Humanities departments.
- (ii) That the volume and complexity of work involved in submitting for awards was expanding, noting also the increasing importance of achieving an award on the ability to secure funding in a number of subject areas.
- (iii) That of the two departments who submitted for Athena SWAN awards in November 2015, Engineering obtained a Bronze renewal (rather than a Silver award) and Psychology were asked to re-submit for a Bronze renewal in November 2016.
- (iv) That in November 2016, the University would be submitting to renew its institutional Silver Athena SWAN award, alongside submitting for a number of departmental awards.

(b) Race Equality Charter Mark

REPORTED: (by Ms G McGrattan)

That the University's Race Equality Charter Mark Group was currently analysing the Runnymede Report on race focus groups carried out at Warwick, as well as the responses to the staff and student race surveys, the results of both of which would inform the institutional race submission, which was due to be submitted in the 2016/17 academic year.

(c) Disabled Student Allowance Working Group

REPORTED: (by Ms G McGrattan)

That the Disabled Student Allowance Working Group continued to meet on a regular basis, noting that the University was registered as a non-medical help provider and would be audited regularly in accordance with a new Quality Assurance Framework.

(d) Annual Report on Equality and Diversity 2015/16

REPORTED: (by Ms G McGrattan)

- (i) That in relation to the key statistics included within the report, the following revisions should be noted: that only 19.1% of professors were female, and that only 8% of professors had a BME background.

- (ii) That work was being carried out at the University to improve the processes around promotion within the academy.
- (iii) That there was increased scrutiny on gender pay across the sector, with the University being required to report back on an institution-wide basis.

(by the Provost)

- (iv) That it would be useful to provide RG benchmarking information within future reports.

- (e) Equality Objectives
- (f) Disability Policy for Staff and Students
- (g) Trans and Gender Reassignment Policy
- (h) Diversity and Inclusion Policy

RESOLVED:

- (a) That the University's Equality Objectives for 2016-2020 (Appendix 5 of paper C.81/15-16) be approved.
- (b) That the Disability Policy for Staff and Students (Appendix 2 of paper C.81/15-16) be approved.
- (c) That the Trans and Gender Reassignment Policy (Appendix 3 of paper C.81/15-16) be approved.
- (d) That the Diversity and Inclusion Policy (Appendix 4 of paper C.81/15-16) be approved.
- (e) That the progress made on key developments in equality and diversity as set out in the Annual Report on Equality and Diversity 2015/16 (Appendix 1 of paper C.81/15-16) be noted.

161/15-16 Remuneration Committee Review (minute 65/15-16 refers)

CONSIDERED:

A report on the review of the Remuneration Committee (REMCO) (C.82/15-16 {restricted}).

REPORTED: (by Sir David Normington)

- (a) That he had been invited to review the function of the University's REMCO, noting that his report outlined a number of recommendations set out under four categories: the size and composition of REMCO; the role of the Council; transparency and the terms of reference and coverage of REMCO.
- (b) That in the interest of transparency, it was recommended that a report from REMCO, including on its policy framework, be considered annually by the Council under restricted business, noting that discussions would therefore include student representatives.
- (c) That equal pay issues should be included within the factors that REMCO take into account when reaching its decisions.

- (d) That the issue of whether the Chair of Council should continue to be the Chair of REMCO remained a matter for future consideration.

(by the Treasurer)

- (e) That he strongly endorsed the report, particularly the recommendations around transparency, noting that further consideration could be given to whether the Chair of Council should also Chair REMCO, as, in his experience, this was unusual in the business sector.
- (f) That he supported the proposal to include an independent member on REMCO, noting that the potential for engaging an independent specialist could also usefully be explored.

(by the Development and Democracy Officer)

- (g) That he welcomed the proposed increased transparency in terms of reporting to the Council, which would be important in ensuring that senior pay was not disconnected from the view of the wider University community.

(by the President of the Students' Union)

- (h) That the increased clarity around process relating to REMCO was welcomed, noting that it would be important to ensure the conversation continued regarding remuneration of the Vice-Chancellor rather than only being an annual consideration.

(by Dr D Keith)

- (i) That visibility of a basic framework of objectives and targets for the role of Vice-Chancellor would be useful to members of the Council in the context of considering an annual report and/or any related recommendations.

(by Mr M Temple)

- (j) That although oversight by REMCO of issues around senior salary more generally could be useful, the University should be mindful not to compromise the role of the Vice-Chancellor in managing his own team.

(by Professor C W Hughes)

- (k) That whilst noting that it was not proposed to substantially change the remit of REMCO, further clarity could usefully be provided on the level of oversight by REMCO and connectivity with, pay for staff at Level 9.

(by the Chair)

- (l) That continued openness around remuneration of the Vice-Chancellor was very important, as reflected by the blog written earlier in the year relating to the former Vice-Chancellor, noting that students would be welcome to raise the item for discussion in between formal points of consideration, if they so wished.

RESOLVED:

That the recommendations following the review of the Remuneration Committee, as summarised in Annex 1 of paper C.82/15-16 {restricted}, be approved.

162/15-16 *Warwick Medical School: Challenges, Vision and Strategy

CONSIDERED:

A presentation from Professor Sudhesh Kumar, Dean of the Warwick Medical School on the challenges, vision and strategy for the Warwick Medical School (WMS) (C.83/15-16 {restricted}).

REPORTED: (by Professor S Kumar)

- (a) That since its establishment as the Leicester Warwick Medical School in 2000, WMS had grown rapidly to become the UK's largest graduate entry medical school.
- (b) That in terms of graduate outcomes, WMS students progressed more rapidly into a specialism, compared with other medical school graduates, noting also the favourable reputation of WMS graduates with the General Medical Council.

[Exempt information not included]

(by Dr J Ferrie)

- (h) That the utilisation of the broader capabilities of the department, with a view to producing a distinctive offer, was encouraging.

(by the Chair)

- (i) That he commended the clarity of thinking in terms of the next steps and future ambitions for WMS.

RESOLVED:

- (a) That the thanks of the Council be extended to Professor Kumar for his role in leading WMS and his informative presentation.
- (b) That the presentation on the challenges, vision and strategy for the Warwick Medical School (C.83/15-16 {restricted}) be noted.

163/15-16 *California: Progress Report

CONSIDERED:

A report on progress to establish academic and student activities and a physical presence in California (C.84/15-16 {restricted}).

[Exempt information not included]

RESOLVED:

- (a) That the recommendation of the Senate to adopt the proposed name of the new institution and the mission statement, subject to the upholding of the three principles as set out in paper C.84/15-16 {restricted}, be approved.
- (b) That the three principles, as set out in paper C.84/15-16 {restricted}, regarding the new legal entity, to enable the University to progress the establishment of the entity over the summer, subject to further consultation with the Council by correspondence in the final stage of this development, be approved.
- (c) That the key areas of activity related to California developments, as set out in the report (S.84/15-16 {restricted}), be noted.

164/15-16 Students' Union Framework of Good Governance

CONSIDERED:

A paper on the proposed revised Students' Union (SU) Framework of Good Governance (C.85/15-16 {restricted}).

REPORTED: (by the Secretary to Council)

- (a) That a collaborative review had taken place of the documents underpinning the relationship between the University and the SU, noting that the Framework was also intended to ensure compliance with the requirements of the Education Act 1994.
- (b) That the SU Board of Trustees would be invited to approve formally the Framework of Good Governance at its meeting on 20 July 2016, noting that the SU Board had been consulted during the review and subsequent drafting of the revised documents.

RESOLVED:

- (a) That the University of Warwick and Warwick Students' Union Framework of Good Governance, as set out in paper C.85/15-16 {restricted}, be approved.
- (b) That the revisions to Ordinance 23: Code of Practice pursuant to the Education Act 1994 Part II: Students' Unions be approved for the first time.
- (c) That the proposed submission to the Council of an annual University Education Act compliance statement at the end of each financial year, be noted.
- (d) That the ongoing work to further clarify the arrangements between the University and the SU, with particular reference to use of brand agreements, be noted.

165/15-16 University Risk Update: Summer Term 2015/16

CONSIDERED:

A paper on the status of the University's key risks (C.86/15-16 {restricted}).

REPORTED: (by the Secretary to Council)

That risk 28 remained under development pending the appointment of the Director of Wellbeing.

RESOLVED:

- (a) That the draft Institutional Risk Register be approved as an accurate record of the key risks currently facing the University, subject to:
 - (i) The proposed incorporation of the TEF into the Institutional Risk Register as part of a review of risks 20 (Teaching and Learning experience), 21 (student satisfaction ratings) and 18 (widening participation);
 - (ii) The proposed disaggregation of the risk in relation to the carbon reduction target, currently incorporated into risk 26 (utilities usage);
 - (iii) The proposed addition of a new risk reflecting the uncertainty of the impact of the outcome of the recent EU Referendum.

166/15-16 *Strategic Topic for Discussion: EU Referendum

CONSIDERED:

A presentation from the Director of Strategic Planning & Analytics on impact scenarios to stimulate discussion following the EU Referendum result (C.87/15-16 {restricted}).

[Exempt information not included]

RESOLVED:

- (a) That the thanks of the Council be extended to Dr Carden for his helpful presentation.
- (b) That the presentation on the possible impact scenarios following the EU Referendum result (C.87/15-16 {restricted}) be noted.

167/15-16 Report from the Research Governance and Ethics Committee

CONSIDERED and ADOPTED, with the resolutions as set out below, a report from the meeting of the Research Governance and Ethics Committee held on 26 May 2016 (C.88/15-16 {restricted}) on the following item of business:

- (a) The University's Annual Statement on Research Integrity 2015/16

RESOLVED:

- (i) That the Annual Statement on Research Integrity 2015/16 as set out in Appendix 1 of paper C.88/15-16, be approved.
- (ii) That the Annual Statement on Research Integrity 2015/16 be made publically available on the University website.

168/15-16 Report from the Remuneration Committee

CONSIDERED and ADOPTED, with the resolution as set out below, a report from the meeting of the Remuneration Committee held on 18 May 2016 (C.89/15-16 {restricted}) on the following items of business:

- (a) Performance Review
- (b) Salary Review

RESOLVED:

That the performance and salary review process undertaken by the Remuneration Committee be noted.

169/15-16 Register of Members' Interests

REPORTED:

That a copy of the Register of Interests of members of the University Council was available from the Secretary to Council's Office, noting that the Secretary to Council would be writing to each of those members of the Council who would continue to serve in 2016-17, in order to request that members provides updated information for the register.

170/15-16 Awards and Distinctions

RECEIVED:

A paper setting out the awards and distinctions that had been conferred on members of the University since the meeting of the Council held on 18 May 2016 (C.90/15-16).

171/15-16 Future Meeting Dates of the University Council and Term Dates

CONSIDERED:

A list of proposed dates of future meetings of the University Council for the academic years 2016/17 to 2017/18 and University term dates from 2016/17 until 2026/27 (C.91/15-16).

RESOLVED:

- (a) That the confirmed dates for the meetings of the Council for the 2016/17 academic year, as set out in Appendix 1 of paper C.91/15-16, be noted.
- (b) That the provisional dates for the meetings of the Council for the 2017/18 academic year, as set out in Appendix 1 of paper C.91/15-16, be noted.
- (c) That the term dates for 2026/27, as recommended by the Senate and set out in Appendix 2 of paper C.91/15-16, be approved.

172/15-16 Amendments to University Ordinances

CONSIDERED:

- (a) For the first time, proposed amendments to University Ordinance 7 on the Constitution of the Boards of the Faculties (C.92/15-16), as noted by the Senate.
- (b) For the first time, proposed amendments to University Ordinance 23 on the Code of Practice pursuant to the Education Act 1994 Part II: Students' Unions (C.93/15-16), as noted by the Senate.

RESOLVED:

- (a) That the proposed amendments to University Ordinance 7 on the Constitution of the Boards of the Faculties, as noted by the Senate and set out in paper C.92/15-16, be approved for the first time.
- (b) That the proposed amendments to University Ordinance 23 on the Code of Practice pursuant to the Education Act 1994 Part II: Students' Unions, as noted by the Senate and set out in paper C.93/15-16, be approved for the first time.

173/15-16 Amendments to University Regulations

CONSIDERED:

- (a) Proposed amendments to University Regulation 8.1, Regulations for the Degree of BA (and for the Degrees of BSc and LLB Awarded on the Recommendation of the Board of the Faculty of Social Sciences), as approved by the Senate at its meeting on 27 June 2016 (C.94/15-16).
- (b) Proposed amendments to University Regulation 8.10, Regulations for the Degrees of Bachelor of Medicine and Bachelor of Surgery (MBChB) and for the Bachelor of Medical Sciences (BMedSci), as approved by the Senate at its meeting on 8 June 2016 (C.95/15-16).
- (c) Proposed amendments to University Regulation 23, Student Disciplinary Offences, as approved by the Senate by Chair' on 4 July 2016 (C.96/15-16).
- (d) Proposed amendments to University Regulation 34, Regulation for the Determination of Fitness to Practise, as approved by the Senate at its meeting on 27 June 2016 (C.97/15-16).

RESOLVED:

- (a) That the proposed amendments to University Regulation 8.1, Regulations for the Degree of BA (and for the Degrees of BSc and LLB Awarded on the Recommendation of the Board of the Faculty of Social Sciences), be confirmed as set out in paper C.94/15-16, noting that the revised Regulation would take immediate effect.

- (b) That the proposed amendments to University Regulation 8.10, Regulations for the Degrees of Bachelor of Medicine and Bachelor of Surgery (MBChB) and for the Bachelor of Medical Sciences (BMedSci), be confirmed as set out in paper C.95/15-16, noting that the revised Regulation would take effect from 1 September 2016.
- (c) That the proposed amendments to University Regulation 23, Student Disciplinary Offences, be confirmed as set out in paper C.95/15-16, noting that the revised Regulation would take immediate effect.
- (d) That the proposed amendments to University Regulation 34, Regulation for the Determination of Fitness to Practise, be confirmed as set out in paper C.97/15-16, noting that the revised Regulation would take immediate effect.

174/15-16 Date of Next Meeting

REPORTED:

That the next meeting of the Council would take place on Thursday, 20 October 2016 in the Senate House Council Chamber, with further details to be confirmed.

As at 12 October 2016